



ETHICS COMMISSION

CITY AND COUNTY OF SAN FRANCISCO

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JOHN ST. CROIX
EXECUTIVE DIRECTOR

Date: March 20, 2012

To: Members, Ethics Commission

From: John St. Croix, Executive Director
By: Shaista Shaikh, Assistant Deputy Director

Re: Audit Selection of Year 2011 Committees

This memorandum explains the Ethics Commission's audit selection guidelines and summarizes the levels of financial activity by the different types of committees that were active during 2011. At its March 26, 2012 meeting, the Commission will randomly select committees to be audited.

Staff has determined that it will be able to audit five recipient committees that were active in the November 8, 2011 election. The audit pool includes:

- all candidates¹ who ran for City elective office in the November 2011 election;
- ballot measure committees active in the November 2011 election; and
- general purpose recipient and primarily formed candidate committees that were active in 2011.

Table 1: Types and Financial Activity Levels of Committees Active in 2011

Level of Financial Activity ²	Ballot Measure Committees	Candidates	General Purpose Committees	No. of committees in audit pool	No. of committees to be selected
\$10,000 to \$50,000	5	3	14	22	1
Above \$50,000 to \$100,000	2	2	6	10	1
Above \$100,000	12	8	14	34	3

¹ Publicly financed candidates were not part of the audit pool because they are subject to a mandatory audit. The pool also excludes candidates for county central committees, general purpose committees that were selected for audit through the 2010 random selection process, and committees with financial activity of less than \$10,000.

² For candidates and ballot measure committees, the level of financial activity is based on the sum of expenditures made by these candidates and committees from the time their committees were formed through December 31, 2011. For general purpose committees, the level of financial activity is based on the expenditures made in 2011.

Total	19	13	34	66	5 (7.5%)

In 2011, the Commission administered the Mayoral public financing program, which was very different from the public financing program for Board of Supervisors candidates. While the Mayoral public financing program involved larger amounts of public funds that were disbursed and expenditures that were made to support or oppose candidates, it also meant that staff was much busier reviewing applications for public financing, monitoring Individual Expenditure Ceilings and answering complex questions. In addition, one auditor left the Commission in the first part of 2011. For these reasons, staff has not completed audits from the year 2010. As soon as proposed public financing legislation passes, staff will update manuals and forms, conduct workshops and begin to administer the public financing program for supervisorial candidates in the November 2012 election.

The mandatory audits of the 2011 publicly financed Mayoral candidates will begin when staff completes the audits of the 22 publicly financed Board of Supervisors candidates from 2010 and the seven randomly selected audits of committees active in 2010. Thus, staff has determined it can perform five randomly selected audits from the 2011 election cycle.

2011 Audit Pool

Filer ID	Committee Name	Committee Type	Total Expenditures	Level of Financial Activity
1340788	Friends of Ethics, Committee Opposed to Measures E & F	BMC	\$14,097	1
1336649	Committee Opposing Forced Male Circumcision	BMC	\$18,538	1
1339557	Committee To Stop Mass Demolition of Housing, A Committee to Support Proposition	BMC	\$26,829	1
1340708	Yes on E, San Franciscans for Ballot Measure Reform, Supported by Supervisor Scott Wiener	BMC	\$38,000	1
1326084	Students First - Yes on H	BMC	\$41,257	1
1342853	THE MUNICIPAL ATTORNEYS ASSOCIATION, YES ON C, NO ON D	BMC	\$67,500	2
1342495	COMMITTEE FOR A FAIR AND BETTER SAN FRANCISCO, NO ON G	BMC	\$95,150	2
1311596	ONE CALIFORNIA FOR ALL - YEE FOR A	BMC	\$102,077	3
1332447	SAN FRANCISCO FIRE FIGHTERS LOCAL 798, YES ON C, NO ON D	BMC	\$112,294	3
991800	SEIU United Healthcare Workers West Political Issues Committee	BMC	\$129,455	3
1342070	Support Quality Neighborhood Schools for All - Yes on H, Supported by Entrepreneur Joanna Rees and Education Reform Advocates	BMC	\$131,700	3
1338674	Committee for Parental Choice and Religious Freedom, sponsored by the Jewish Community Relations Council	BMC	\$134,256	3
1341548	H No! Let Parents Decide, sponsored by and with major funding from: United Educators of San Francisco	BMC	\$136,702	3
1340704	Fix Our Streets - Yes on B	BMC	\$163,229	3
1341729	Yes on Proposition G 2011 (see additional page for full committe name)	BMC	\$225,137	3
1340175	Rebuilding San Francisco Schools, Yes on Proposition A	BMC	\$381,422	3
1336704	Yes on Proposition D, supported by Public Defender Jeff Adachi, Basic American Foods executive George Hume & business investor Michael Moritz	BMC	\$636,769	3
1329001	STANDING UP FOR WORKING FAMILIES, YES ON C, NO ON D, A COALITION OF TEACHERS, NURSES, FIREFIGHTERS, PUBLIC EMPLOYEES AND HEALTH CARE ADVOCATES	BMC	\$741,175	3
1340454	SAN FRANCISCANS UNITED FOR PENSION AND HEALTH REFORM, YES ON C, NO ON D, A COALITION OF PUBLIC EMPLOYEES, TAXPAYERS AND BUSINESS GROUPS	BMC	\$1,337,498	3
1338564	Michael Evans for Sheriff 2011	CTL	\$11,620	1
1338669	Terry Baum for Mayor 2011	CTL	\$49,094	1
1339111	Committee to Elect Cesar Ascarrunz for Mayor of San Francisco, 2011	CTL	\$49,529	1
1340819	Bill Fazio for District Attorney 2011	CTL	\$53,697	2
1339732	Vu Trinh for SF District Attorney 2011	CTL	\$70,323	2
1340832	Adachi For Mayor 2011	CTL	\$197,238	3
1338641	Miyamoto for Sheriff 2011	CTL	\$245,745	3
1337982	Ross Mirkarimi for Sheriff 2011	CTL	\$265,640	3

2011 Audit Pool

Filer ID	Committee Name	Committee Type	Total Expenditures	Level of Financial Activity
1340184	Chris Cunnie for San Francisco Sheriff 2011	CTL	\$322,926	3
1328678	Onek for District Attorney 2011	CTL	\$389,025	3
1336662	GASCON FOR DA 2011	CTL	\$526,403	3
1337335	Sharmin Bock for District Attorney 2011	CTL	\$656,032	3
1340520	ED LEE FOR MAYOR 2011	CTL	\$1,888,968	3
970432	BUILDING OWNERS AND MANAGERS ASSOCIATION OF SAN FRANCISCO POLITICAL ACTION COMMITTEE - BALLOT ISSUES (AKA BOMA-SF-PAC-BALLOT ISSUES)	RCP	\$10,398	1
1237101	San Francisco Building and Construction Trades Council Political Organization of Workers for Employee Rights (POWER PAC)	RCP	\$10,954	1
1306077	San Francisco Coalition for Responsible Growth - Political Action Committee	RCP	\$11,500	1
961946	San Francisco Young Democrats	RCP	\$11,793	1
1342256	San Francisco Sheriffs Managers and Supervisors Political Action Committee	RCP	\$12,907	1
991741	District 11 Democratic Club	RCP	\$13,189	1
1311766	Coleman Action Fund for Children Committee	RCP	\$21,869	1
1332622	D10 Forward, sponsored by the San Francisco Building and Construction Trades Council	RCP	\$22,400	1
991828	SAN FRANCISCO DEPUTY SHERIFFS' ASSOCIATION POLITICAL ACTION COMMITTEE	RCP	\$23,032	1
1245538	Plan C San Francisco PAC	RCP	\$23,942	1
1243711	San Francisco Women's Political Committee	RCP	\$35,240	1
921683	Harvey Milk LGBT Democratic Club PAC	RCP	\$36,964	1
1341023	Educating Voters for Jobs Against Avalos and Adachi for Mayor 2011 a coalition of police and construction workers unions	RCP	\$37,545	1
842018	Alice B. Toklas Lesbian and Gay Democratic Club PAC	RCP	\$49,440	1
932123	GOLDEN GATE RESTAURANT ASSOCIATION PAC	RCP	\$53,929	2
931558	Asian Pacific Democratic Club PAC	RCP	\$57,122	2
1342147	San Franciscans for New Priorities and Dennis Herrera for Mayor 2011	RCP	\$62,618	2
991525	SAN FRANCISCO LABOR COUNCIL LABOR & NEIGHBOR INDEPENDENT EXPENDITURE POLITICAL ACTION COMMITTEE	RCP	\$82,520	2
941562	SAN FRANCISCO LABOR COUNCIL LABOR & NEIGHBOR PAC	RCP	\$94,388	2
1271203	Municipal Executives Association of San Francisco PAC	RCP	\$94,510	2
1338720	Progress for All	RCP	\$119,118	3
870449	BUILDING OWNERS AND MANAGERS ASSOCIATION OF SAN FRANCISCO POLITICAL ACTION COMMITTEE - INDEPENDENT EXPENDITURES AKA BOMA-SF-PAC-IE	RCP	\$134,603	3

2011 Audit Pool

Filer ID	Committee Name	Committee Type	Total Expenditures	Level of Financial Activity
1341523	Committee for Effective City Management--A Committee in Support of Ed Lee for Mayor 2011	RCP	\$160,657	3
1331757	COALITION FOR SENSIBLE GOVERNMENT WITH MAJOR FUNDING BY SAN FRANCISCO ASSOCIATION OF REALTORS	RCP	\$163,480	3
1318539	San Francisco Police Officers Association Independent Expenditure Committee	RCP	\$192,674	3
982683	Committee on Jobs Government Reform Fund	RCP	\$203,962	3
1341302	SF Neighborhood Alliance for Ed Lee for Mayor 2011	RCP	\$218,886	3
1340803	Committee for a Safer San Francisco; Supporting Sharmin Bock, Opposing George Gascon for District Attorney 2011	RCP	\$227,000	3
1317554	San Francisco Police Officers Association Issues PAC	RCP	\$246,719	3
1243324	UNITE HERE LOCAL 2 PAC	RCP	\$291,672	3
1296947	Service Employees International Union Local 1021 Issues PAC	RCP	\$294,044	3
1341796	SAN FRANCISCO ALLIANCE FOR JOBS AND SUSTAINABLE GROWTH PAC	RCP	\$526,365	3
1340750	SAN FRANCISCANS FOR JOBS AND GOOD GOVERNMENT, SUPPORTING ED LEE FOR MAYOR 2011	RCP	\$638,772	3
1338191	City Residents Opposing Ed Lee for Mayor 2011, sponsored by American Federation of State County and Municipal Employees AFL-CIO and AFSCME CA People	RCP	\$689,994	3

Legend for "Committee Type":

BMC	Primarily Formed Ballot Measure Committee
CTL	Candidate-Controlled Committee
RCP	General Purpose Recipient Committee (This category includes Primarily Formed Candidate Committees.)

Legend for "Level of Financial Activity":

1	\$10,000 to \$50,000
2	Above \$50,000 to \$100,000
3	Above \$100,000