



# ETHICS COMMISSION

## CITY AND COUNTY OF SAN FRANCISCO

BENEDICT Y. HUR  
CHAIRPERSON

JAMIENNE S. STUDLEY  
VICE-CHAIRPERSON

BEVERLY HAYON  
COMMISSIONER

DOROTHY S. LIU  
COMMISSIONER

PAUL A. RENNE  
COMMISSIONER

JOHN ST. CROIX  
EXECUTIVE DIRECTOR

Date: March 25, 2013

To: Members, Ethics Commission

From: John St. Croix, Executive Director  
By: Alex Koskinen, Campaign Finance Auditor

Re: Audit Selection of Year 2012 Committees

This memorandum explains the Ethics Commission's audit selection guidelines and summarizes the levels of financial activity by the different types of committees that were active during 2012. At its April 1, 2013 meeting, the Commission will randomly select committees to be audited.

Staff has determined that it will be able to audit seven recipient committees that were active in the November 6, 2012 election. The audit pool includes:

- all candidates<sup>1</sup> who ran for City elective office in the November 2012 election;
- ballot measure committees active in the November 2012 election; and
- general purpose recipient and primarily formed candidate committees that were active in 2012.

Table 1: Types and Financial Activity Levels of Committees Active in 2012

Level of Financial Activity <sup>2</sup>	Ballot Measure Committees	Candidates	General Purpose Committees	No. of committees in audit pool	No. of committees to be selected
(1) \$10,000 to \$50,000	1	12	23	36	3 (8%)
(2) Above \$50,000 to \$100,000	1	4	7	12	1 (8%)
(3) Above \$100,000	7	3	13	23	3 (13%)
Total	9	19	43	71	7 (10%)

<sup>1</sup> Publicly financed candidates were not part of the audit pool because they are subject to a mandatory audit. The pool also excludes candidates for county central committees, general purpose committees that were selected for audit through the 2010 random selection process, and committees with financial activity of less than \$10,000.

<sup>2</sup> For candidates and ballot measure committees, the level of financial activity is based on the sum of expenditures made by these candidates and committees from the time their committees were formed through December 31, 2012. For general purpose committees, the level of financial activity is based on the expenditures made in 2012.

In 2012, the Commission administered the Board of Supervisors public financing program, which was very different from the public financing program for mayoral candidates. While Mayoral campaign committees tend to be larger and more complex, each district race for Board of Supervisors had to be monitored individually by Ethics Commission staff. This resulted in an equally large overall workload for staff, which included reviewing applications for public financing, monitoring Individual Expenditure Ceilings and answering complex questions. In addition, staff has not yet fully caught up on prior year audits due to the departure of key staff and busy election seasons in 2012 and 2011.

The mandatory audits of the 2012 publicly financed candidates will begin when staff completes the audits of the remaining 2010 publicly funded supervisorial candidates, the nine publicly financed Mayoral candidates from 2011, the seven randomly selected committees active in 2010, and the five randomly selected committees active in 2011. Because the November 2013 election may involve the administration of a public financing program for only one supervisorial district, staff anticipates that it can perform seven randomly selected audits from the 2012 election cycle in addition to the not-yet completed audits listed in the chart titled List of Audits Beginning With 2010 Audit Batch.

2012 Audit Selection Committee List

Filer ID	Committee Name	Committee Type	Total Expenditure	Level of Financial Activity
1343614	Protect Coit Tower Committee - Yes on B	BMC	\$28,870	1
961189	Jill Wynns for School Board 2012	CTL	\$12,616	1
1347527	Leon Chow for District 11 Supervisor 2012	CTL	\$12,660	1
1349920	Sandra Lee Fewer for Board of Education 2012	CTL	\$15,331	1
1343807	Bacharach for SF Community College Board 2012	CTL	\$16,433	1
1347366	Committee to Elect Shamann Walton for SF Board of Education 2012	CTL	\$18,218	1
1345528	Popek for School Board 2012	CTL	\$22,074	1
1343052	Committee to Elect Gladys Soto for San Francisco School Board - 2012	CTL	\$22,386	1
1346150	Bob Squeri for District 7 Supervisor 2012	CTL	\$31,197	1
1344536	STEVE NGO FOR COLLEGE BOARD 2012	CTL	\$31,211	1
1348585	Sam Rodriguez 4 SF School Board 2012	CTL	\$33,241	1
1347002	Re-Elect Rachel Norton for Board of Education 2012	CTL	\$39,060	1
1350267	Rafael Mandelman for San Francisco Community College District Board 2012	CTL	\$43,074	1
1340799	San Francisco Rising Action Fund Committee	RCP	\$10,109	1
1318200	National Union of Healthcare Workers Candidate Committee for Quality Patient Care and Union Democracy	RCP	\$10,549	1
1351724	FDR Democratic Club of San Francisco	RCP	\$12,968	1
991741	District 11 Democratic Club	RCP	\$13,135	1
981476	African American Democratic Club	RCP	\$13,504	1
882390	Richmond District Democratic Club	RCP	\$13,624	1
921683	Harvey Milk LGBT Democratic Club PAC	RCP	\$14,440	1
1271203	Municipal Executives Association of San Francisco PAC	RCP	\$17,122	1
1311596	ONE CALIFORNIA FOR ALL - LELAND YEE BALLOT MEASURE COMMITTEE	RCP	\$17,738	1
1237101	San Francisco Building and Construction Trades Council Political Organization of Workers for Employee Rights (POWER PAC)	RCP	\$18,874	1
1243324	UNITE HERE LOCAL 2 PAC	RCP	\$20,600	1
1311766	Coleman Action Fund for Children Committee	RCP	\$22,746	1
1346968	This Land is Your Land	RCP	\$23,119	1
1346853	Elect Women 2012, a committee to support Anderson, Cohen, DeJesus, Dunning, Elias-Jackson, Jung, Katz, Kott, Levitan, Mendoza, Migden, Mondejar, Pimentel, Rosenthal and Wolfe for San Francisco Democratic County Central Committee	RCP	\$24,034	1
970432	BUILDING OWNERS AND MANAGERS ASSOCIATION OF SAN FRANCISCO POLITICAL ACTION COMMITTEE - BALLOT ISSUES (AKA BOMA-SF-PAC-	RCP	\$25,650	1

2012 Audit Selection Committee List

Filer ID	Committee Name	Committee Type	Total Expenditure	Level of Financial Activity
	BALLOT ISSUES)			
1245538	Plan C San Francisco PAC	RCP	\$27,370	1
890287	Good Government Alliance Committee	RCP	\$28,559	1
1347298	A New San Francisco Majority in Support of the Democratic County Central Committee 2012 of JOHN AVALOS, KAT ANDERSON, DAVID CAMPOS, DAVID CHIU...[see Attachment A for full list of candidates]	RCP	\$28,622	1
1317554	San Francisco Police Officers Association Issues PAC	RCP	\$31,768	1
1351803	Teachers, Nurses and Neighbors Supporting Christina Olague for Supervisor 2012. Sponsored by San Francisco Labor Council	RCP	\$34,946	1
1330472	SAN FRANCISCO PARENT POLITICAL ACTION COMMITTEE	RCP	\$38,990	1
1348668	FRIENDS AND NEIGHBORS IN SUPPORT OF MIKE GARCIA FOR DISTRICT 7 SUPERVISOR 2012 - A COALITION OF TAXPAYERS, RESIDENTS, AND THE SAN FRANCISCO APARTMENT ASSOCIATION	RCP	\$45,170	1
1318539	San Francisco Police Officers Association Independent Expenditure Committee	RCP	\$45,504	1
1339394	SAN FRANCISCANS FOR COMPETITIVE BIDDING supported by: San Francisco Bay Railroad, Quentin Kopp, Tony Kelly, environmentalists, small businesses and San Francisco ratepayers	BMC	\$62,014	2
1347846	Re-Elect Natalie Berg Community College Board 2012	CTL	\$66,289	2
1347701	Hanna Leung for College Board 2012	CTL	\$68,226	2
1299655	Avalos for District 11 Supervisor 2012	CTL	\$79,601	2
1346687	Matt Haney for School Board 2012	CTL	\$87,197	2
1351799	Teachers, Firefighters and Neighbors Supporting F.X. Crowley for Supervisor 2012. Sponsored by San Francisco Labor Council	RCP	\$68,801	2
842018	Alice B. Toklas Lesbian and Gay Democratic Club PAC	RCP	\$75,617	2
970630	SAN FRANCISCO LABOR & NEIGHBOR MEMBER EDUCATION/POLITICAL ISSUES COMMITTEE	RCP	\$76,902	2
932123	GOLDEN GATE RESTAURANT ASSOCIATION PAC	RCP	\$86,584	2
891575	SF FORWARD SPONSORED BY SAN FRANCISCO CHAMBER OF COMMERCE	RCP	\$93,429	2
840002	SAN FRANCISCO APARTMENT ASSOCIATION POLITICAL ACTION COMMITTEE	RCP	\$97,012	2
1338720	Progress for All	RCP	\$99,769	2
1348906	Committee to Save Our City College, Yes on A, supported by thousands of San Franciscans who support education (see attachment sheet for full committee name)	BMC	\$261,040	3
1345756	Yosemite Restoration Campaign - Yes on F, sponsored by Restore Hetch Hetchy	BMC	\$374,300	3
1349741	Coalition for Sustainable Housing, A Committee in	BMC	\$376,999	3

2012 Audit Selection Committee List

Filer ID	Committee Name	Committee Type	Total Expenditure	Level of Financial Activity
	Support of Proposition C			
1347286	SAVE HETCH HETCHY, NO ON F, A COALITION OF BUSINESS, LABOR AND TAXPAYERS, WITH MAJOR FUNDING BY THE SAN FRANCISCO FOUNDATION, DIGNITY HEALTH, AND THE BAY AREA COUNCIL	BMC	\$710,200	3
1348191	YES ON B, SAN FRANCISCANS FOR CLEAN AND SAFE NEIGHBORHOOD PARKS, WITH MAJOR SUPPORT FROM SAN FRANCISCO PARKS ALLIANCE, RON CONWAY AND THE TRUST FOR PUBLIC LAND	BMC	\$1,005,644	3
1349080	SAN FRANCISCANS FOR FAIR TAXES AND BETTER CITY SERVICES, YES ON E, A BROAD COALITION OF SMALL BUSINESSES, LABOR UNIONS, AND TECHNOLOGY COMPANIES	BMC	\$1,388,758	3
1344802	Keep San Francisco Green; No on Prop A, A Coalition of Recology, Labor, Business and Environmentalists, Major Funding by Recology.	BMC	\$1,726,486	3
1343996	Committee to Reelect David Campos for Supervisor - 2012	CTL	\$146,569	3
1343864	Rodrigo Santos for San Francisco Community College Board 2012	CTL	\$209,637	3
1346677	Re-Elect Supervisor David Chiu 2012	CTL	\$230,728	3
990028	Protect Our Benefits	RCP	\$109,701	3
1351281	Teachers, Nurses and Neighbors supporting Eric Mar for Supervisor 2012. Sponsored by San Francisco Labor Council	RCP	\$116,934	3
991525	SAN FRANCISCO LABOR COUNCIL LABOR & NEIGHBOR INDEPENDENT EXPENDITURE POLITICAL ACTION COMMITTEE	RCP	\$123,988	3
870449	BUILDING OWNERS AND MANAGERS ASSOCIATION OF SAN FRANCISCO POLITICAL ACTION COMMITTEE - INDEPENDENT EXPENDITURES AKA BOMA-SF-PAC-IE	RCP	\$137,034	3
1353184	SAN FRANCISCO WOMEN FOR ACCOUNTABILITY AND A RESPONSIBLE SUPERVISOR OPPOSING CHRISTINA OLAGUE 2012	RCP	\$148,805	3
1306339	Coalition for a Safer California	RCP	\$157,024	3
982683	Committee on Jobs Government Reform Fund	RCP	\$183,267	3
742051	San Francisco Democratic County Central Committee	RCP	\$208,217	3
1347217	MAYOR ED LEE FOR SAN FRANCISCO COMMITTEE	RCP	\$352,462	3
1331757	COALITION FOR SENSIBLE GOVERNMENT WITH MAJOR FUNDING BY SAN FRANCISCO ASSOCIATION OF REALTORS	RCP	\$376,506	3
1341796	SAN FRANCISCO ALLIANCE FOR JOBS AND SUSTAINABLE GROWTH PAC	RCP	\$744,723	3
1296947	Service Employees International Union Local 1021 Issues PAC	RCP	\$1,046,267	3
991800	SEIU United Healthcare Workers West Political Issues Committee	RCP	\$1,293,749	3

## 2012 Audit Selection Committee List

### Legend for "Committee Type":

<b>BMC</b>	Primarily Formed Ballot Measure Committee
<b>CTL</b>	Candidate-Controlled Committee
<b>RCP</b>	General Purpose Recipient Committee (This category includes Primarily Formed Candidate Committees.)

### Legend for "Level of Financial Activity":

<b>1</b>	\$10,000 to \$50,000
<b>2</b>	Above \$50,000 to \$100,000
<b>3</b>	Above \$100,000

List of Audits Beginning with 2010 Audit Batch

Committee Name	FPPC ID Number	Year	Publicly Financed (PF) or Randomly Selected (RS)	Status
Kat Anderson for District 2 Supervisor 2010	1323801	2010	PF	Complete
Committee to Elect Abraham Simmons District 2 Supervisor 2010	1323271	2010	PF	Complete
James Keys for District 6 Supervisor 2010	1319796	2010	PF	Pending
Jane Kim for Supervisor 2010	1324277	2010	PF	Pending
Jim Meko for District 6 Supervisor 2010	1318471	2010	PF	Complete
Theresa Sparks for District 6 Supervisor 2010	1326508	2010	PF	Pending
Debra Walker for Supervisor - 2010	1318110	2010	PF	Complete
Elaine Zamora for Supervisor 2010	1323197	2010	PF	Complete
Committee to Elect Bill Hemenger Supervisor District 8 - 2010	1325814	2010	PF	Complete
Rafael Mandelman for Supervisor 2010	1318949	2010	PF	Pending
Rebecca Prozan for Supervisor 2010	1322356	2010	PF	Complete
Scott Wiener for Supervisor 2010	1319353	2010	PF	Complete
Malia Cohen for Supervisor	1321410	2010	PF	Complete
Teresa Duque for Supervisor	1327981	2010	PF	Complete
Kristine Enea for Supervisor District 10 2010	1321986	2010	PF	Complete
Chris Jackson for Supervisor 2010	1327936	2010	PF	Complete
Tony Kelly for Supervisor 2010	1324851	2010	PF	Complete
DeWitt Lacy for Supervisor 2010	1320405	2010	PF	Pending
Steven Moss for District 10 Supervisor 2010	1321439	2010	PF	Complete
Eric Smith for Supervisor 2010	1321115	2010	PF	Complete
Sweet for Supervisor 2010	1324331	2010	PF	Pending
Marlene Tran, Supervisor 2010	1327510	2010	PF	Complete
Standing up to Save San Francisco – No on Measures B and K / Yes on Measures J and N, a coalition of Teachers, Nurses, Public School Parents and Labor Organizations	1329703	2010	RS	Pending
Phil Ting for Assessor 2010	1316861	2010	RS	Pending
SF Forward Sponsored by San Francisco Chamber of Commerce	891575	2010	RS	
San Francisco Labor & Neighbor Member Education / Political Issues Committee, sponsored by the San Francisco Labor Council	970630	2010	RS	
Noe Valley Democratic Club	963103	2010	RS	
Protect Our Benefits	990028	2010	RS	Complete
Laura Spanjian for Supervisor 2010	1321119	2010	RS	
Michela Alioto-Pier for Mayor 2011	1336522	2011	PF	

List of Audits Beginning with 2010 Audit Batch

Avalos for Mayor 2011	1338378	2011	PF	
David Chiu for Mayor 2011	1337108	2011	PF	
Bevan Dufty for Mayor 2011	1321548	2011	PF	
Tony Hall for Mayor 2011	1334524	2011	PF	
Dennis Herrera for Mayor 2011	1331135	2011	PF	
Join Joanna Rees for Mayor 2011	1330933	2011	PF	
Phil Ting for Mayor 2011	1316861	2011	PF	
Leland Yee for Mayor 2011	1333826	2011	PF	
Terry Baum for Mayor 2011	1338669	2011	RS	
San Francisco Labor Council Labor & Neighbor PAC	941562	2011	RS	Pending
Miyamoto for Sheriff 2011	1338641	2011	RS	
Ed Lee for Mayor 2011	1340520	2011	RS	
Adachi for Mayor 2011	1340832	2011	RS	
David Lee for San Francisco District 1 Supervisor 2012	1347203	2012	PF	
Re-Elect Supervisor Eric Mar 2012	1346364	2012	PF	
Joe Butler for D3 Supervisor 2012	1350508	2012	PF	
Friends of Thea Selby for D5 Supervisor 2012	1342843	2012	PF	
Julian Davis for Supervisor 2012	1347852	2012	PF	
John Rizzo for District 5 Supervisor 2012	1343034	2012	PF	
London Breed for San Francisco Supervisor 2012	1345505	2012	PF	
Christina Olague for Supervisor 2012	1345087	2012	PF	
FX Crowley for Supervisor 2012	1344113	2012	PF	
Engardio for District 7 Supervisor 2012	1341381	2012	PF	
Mike Garcia for District 7 Supervisor 2012	1344444	2012	PF	
Norman Yee for 2012 Supervisor District 7	1342055	2012	PF	