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December 18, 2014

BY ELECTRONIC MAIL AND OVERNIGHT DELIVERY

Benedict Y. Hur, Chairperson/Commissioner
Paul A. Renne, Vice Chairperson/Commissioner
Brett Andrews, Commissioner
Beverly Hayon, Commissioner
Peter Keane, Commissioner
& Mr. John St. Croix, Executive Director
San Francisco Ethics Commission
25 Van Ness Avenue
San Francisco, CA 94102

Honorable Dennis Herrera, City Attorney
Office of the City Attorney
City Hall, Room 234
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4682

Re: Common Sense Voters, SF 2010, Mark Farrell for Supervisor 2010

Dear Commissioners and City Attorney Herrera:

Thank you for the opportunity to speak at the Ethics Commission hearing on December 16, 2014, concerning the above-referenced matter. My oral remarks, together with my written communications, describe the unprecedented and phenomenal abuse of the San Francisco election process in an act of blatant cheating that victimized all the voters of the City and County of San Francisco, the voters of Supervisorial District 2, and my client, candidate Janet Reilly. The illegal expenditure by Common Sense Voters, SF 2010, almost entirely on last minute, negative smear mailings against Janet Reilly was over \$191,000. Mark Farrell's spending from his regular campaign committee totaled \$265,198. The combined spending, including the illegally spent \$191,000 totaled around \$465,000. The illegal expenditure total was about 41% of the total spent by the legal and illegal Farrell committees. But for this illegal activity, my client would have won the election. A change of fewer than 130 votes would have affected the outcome of a contest that was narrowly decided by only 258 votes.

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John St. Croix, Executive Director
& Honorable Dennis Herrera, City Attorney
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While Janet Reilly has no forum or remedy to reverse the election outcome, you are not without causes of action or remedies. Commendably, the Ethics Commission has demanded that Mark Farrell immediately disgorge illegal funds to the City and County of San Francisco, as provided in San Francisco C & GC § 114(f).¹ However, you should not stop without imposing monetary penalties as you are authorized to do for serious violations of the San Francisco Campaign Finance Ordinance.

At the end of my brief oral remarks, I stated that possible civil or administrative action was not barred by the four (4) year statute of limitations for such actions, due to the fraudulent concealment of the parties' relationship to Mark Farrell, a candidate for Supervisor. Farrell and Farrell's committee, Common Sense Voters, SF 2010, admitted by stipulation with the Fair Political Practices Commission that Common Sense Voters, SF 2010, was actually a controlled committee of Mark Farrell.

Ample case law precedent, and the definition of fraudulent concealment in the Political Reform Act, support tolling the statute of limitations for fraudulent concealment. *Community Cause v. Boatwright*, 124 Cal. App. 3d 888, 898-903 (1st Dist. Ct. App. 1981.) [statute of limitations on civil action for violation of the Political Reform Act tolled by fraudulent concealment of material facts].² Fraudulent concealment is defined in the Political Reform Act, which the San Francisco Campaign Reform Ordinance follows unless the local ordinance

¹ As discussed below, we believe the proper measure of the funds to disgorge is greater than the \$191,000 amount set forth in the Ethics Commission's letter to Mark Farrell dated December 11, 2014.

² The court explained the rationale for its rule as follows:

"In general the fraudulent concealment by the defendant of a cause of action tolls the relevant statute of limitations, which does not begin to run until the aggrieved party discovers the existence of the cause of action. (*Pashley v. Pacific Elec. Ry. Co.* (1944) 25 Cal.2d 226, 229.) The rationale for this rule is that such a defendant should be estopped from taking advantage of his own wrong by asserting the statute of limitations. (*Sears v. Rule* (1945) 27 Cal.2d 131, 147.) In *Baker v. Beech Aircraft Corp.* (1974) 39 Cal.App.3d 315, at page 324, the court expanded upon that rationale, explaining that statutes of limitation are intended in part to prevent fraud, to keep parties from asserting rights after a lapse of time has destroyed or impaired the evidence which would show that such rights never existed or had been extinguished. To hold that by concealing fraud, or by committing fraud in such a manner as to conceal it until after the party committing the fraud could plead the statute of limitations to protect itself, is to make the law which was designed to prevent fraud the means by which it is successful and secure. [citation omitted.] ***Notwithstanding the general rule of strict construction, both the 1973 Act and the PRA expressly declare that their provisions are to be liberally construed, to fully protect the public interest and accomplish their purposes. (§§ 3602, 81003.) *** It would be incongruous and inconsistent with these stated aims to hold that fraudulent concealment does not toll the statutes of limitation on causes of action arising out of either of these acts, the heart of which is the elected official's obligation to disclose." (*Id.* at pp. 899-900.)

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specifically provides otherwise (SF C & GC § 1.106.). Government Code, section 91000.5, subdiv.(b) defines that term as follows:

(b) If the person alleged to have violated this title engages in the fraudulent concealment of his or her acts or identity, the five-year period shall be tolled for the period of the concealment. For purposes of this subdivision, *“fraudulent concealment” means the person knows of material facts related to his or her duties under this title and knowingly conceals them in performing or omitting to perform those duties, for the purpose of defrauding the public of information to which it is entitled under this title.* (Italics added.)

Here, the material fact – that Common Sense Voters, SF 2010 was a controlled committee of Mark Farrell – was concealed by that committee and by Mark Farrell’s main controlled committee. Not only did Common Sense Voters, SF 2010 conceal that fact, but Mark Farrell’s legal campaign committee also concealed that fact by failing to identify Common Sense Voters, SF 2010 as an additional controlled committee of Mark Farrell on page 2 of its FPPC Form 460 campaign reports filed with the SFEC. Mark Farrell participated in this concealment by executing, under penalty of perjury, the latter committee’s campaign reports which failed to disclose the related controlled committee. Thus, Farrell directly failed to perform his duties under the Political Reform Act and the San Francisco Campaign Finance Ordinance – by failing to acknowledge, take responsibility for the actions of his agents, and report accordingly.

The pattern of fraudulent concealment began with the erroneous designation of Common Sense Voters, SF 2010 as an independent expenditure committee in late October 2010, and continued until sometime in late 2014, when Christopher Lee and the committee admitted to the allegations in the FPPC’s enforcement stipulation. This concealment continued until the FPPC actually disclosed the admissions to the public. Neither Farrell nor the Common Sense Voters, SF 2010 made such disclosures, even after their negotiations leading to the FPPC stipulation and admissions therein.

While the “transformation by admission” of Common Sense Voters, SF 2010 from an independent expenditure committee to a candidate-controlled committee of Mark Farrell has exposed a plethora of technical violations of the San Francisco Ethics Ordinance and the Political Reform Act (because candidate controlled committees and independent expenditure committees have different filing obligations and file different special reports)³, the gravest failure to disclose

³ For example, candidate controlled committees report contributions of \$1,000 or more received within 16 days of an election on FPPC Form 497, while independent expenditure committees report contributions of \$1,000 or more received, and expenditures of \$1,000 or more made within 16 days of an election, on FPPC Form 496. Independent expenditure committees file special supplemental independent expenditure reports on FPPC Form 466. Candidate controlled committees have disclaimers that identify the candidate controlling the committee. Candidate controlled

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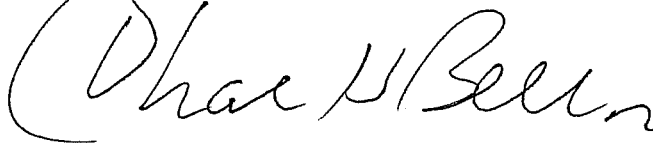
in this situation was the failure to disclose illegal campaign contributions made by seven (7) donors to Common Sense Voters, SF 2010, and the illegal receipt of such contributions by Farrell. These material facts were fraudulently concealed from the public at the time of the election and, subsequently, until their disclosure on November 10, 2014, when the FPPCs stipulation was made public.

The City Attorney and the Ethics Commission have ample time to bring civil and administrative enforcement actions against the committee and Mark Farrell for the making and accepting illegal contributions in the amount of \$215,000 from the seven (7) donors who exceeded the \$500 contribution limit applicable to the Mark Farrell and his two controlled committees. These actions should not leave unaccountable the seven (7) donors, particularly the two largest donors, Thomas Coates (at \$141,000, this host of a fundraising party for Mark Farrell on October 15, 2010 only three days later contributed \$100,000 to the Common Sense Voters, SF 2010) and Diane Wilsey (\$50,000 on October 18, 2010).

As noted above, the Ethics Commission has demanded that Mark Farrell disgorge about \$191,000. The letter demanding this disgorgement calculated the amount based on what Common Sense Voters, SF 2010 spent prior to the 2010 election. However, as a controlled committee, Common Sense Voters, SF 2010 accepted and ultimately used \$215,000 from donors whose contributions to Mark Farrell exceeded the \$500 limit. The additional \$24,000 was also spent, and by virtue of the "transformation by admission" in the FPPC stipulated settlement, those funds also were illegally obtained and spent, and should be added to the calculation of sums required to be disgorged.

We request that the Ethics Commission and the City Attorney take appropriate action to enforce the provisions of San Francisco law to vindicate the interests of the public that has been cheated and defrauded by these parties' unprecedented lawless actions and omissions.

Very truly yours,

A handwritten signature in black ink, appearing to read "Charles H. Bell, Jr.", with a large, stylized initial "C" that loops around the first part of the name.

Charles H. Bell, Jr.

CHB/cfd

committees also disclose affiliated committees which are controlled by the candidate. Independent expenditure committees are required to identify the two largest donors of \$50,000 or more on the committees' communications.

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January 2, 2015

BY ELECTRONIC MAIL AND OVERNIGHT DELIVERY

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Paul A. Renne, Vice Chairperson/Commissioner
Brett Andrews, Commissioner
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San Francisco Ethics Commission
25 Van Ness Avenue
San Francisco, CA 94102

Honorable Dennis Herrera, City Attorney
Office of the City Attorney
City Hall, Room 234
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4682

Re: Common Sense Voters, SF 2010, Mark Farrell for Supervisor 2010

Dear Commissioners and City Attorney Herrera:

This is to follow up on my previous letters to you, the last of which was on December 18, 2014, concerning the above-referenced complaint.

On December 24 and 29, 2014, I received materials from the Fair Political Practices Commission in response to a Public Records Act request for documents in their closed enforcement files in the Common Sense Voters, SF 2010, Mark Farrell for Supervisor 2010 and Christopher Lee Enforcement Proceeding, FPPC No. 10/973. I am providing copies of the relevant interview summaries and witness transcripts (identified as CPRA ENF2014- 099F, - 099G, -099M and -099N.) These documents were redacted by the FPPC.¹

¹ The FPPC records provided with this letter contain a number of redactions, which were made by the FPPC staff to comply with Government Code Sections 6454, subdivision (k), and 11183 (omitting exhibits used in the enforcement investigation that had been obtained by subpoena) and Civil Code Section 1798.42 (Information

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The redacted FPPC materials make clear that Chris Lee provided services essential to the establishment of Common Sense Voters, SF 2010. Lee denied that he had referred potential donors to Rich Schlackman, the consultant and Jack Helfand, the principal officer of Common Sense Voters, SF 2010, in his own FPPC interview (CPRA ENF2014-099F, Lee interview summary at p. 2.). Lee's testimony was refuted by the testimony of Rich Schlackman and Michela Alioto-Pier, and others. The summary of testimony of Rich Schlackman (CPRA ENF2014-099G, Schlackman interview summary, at p. 2, re: Exhs. 2 & 3)² directly undercuts Lee's denial.

More significantly, Michela Alioto-Pier acknowledged activities that can only be characterized as admissions that she acted as an agent for Mark Farrell, and also closely supervised the fundraising and campaign activities of Common Sense Voters, SF 2010. This involvement commenced on September 12, 2010, when she met with Mark Farrell, Rich Schlackman, Chris Lee, Bill Barnes, Catherine Stefani, and Margaux Kelly (all of whom except for Schlackman worked for the official Farrell Committee) to discuss Alioto-Pier's support. (CPRA ENF2014-099F, Stefani interview summary, at p.3; CPRA ENF2014-099G, Michela Alioto-Pier interview summary, at p. 2 re: Exh. 3 & 4; Kelly interview summary, pp. 2-3, re: Exh. 1, and Kelly interview transcript, pp. 3-6.) Mark Farrell acknowledged attending the September 12, 2010 meeting with Alioto-Pier, Lee, and Schlackman. (CPRA ENF2014-099G, Farrell interview summary, p. 2, re: Exh.4) The Alioto-Pier interview testimony makes clear that Alioto-Pier provided contributor names to Common Sense Voters, SF 2010, and made contributor solicitations of Tom Coates and Dede Wilsey, the two largest contributors to Common Sense Voters, SF 2010, on behalf of Common Sense Voters, SF 2010, and attended house parties of the Farrell Committee, including one on October 15, 2010, just three days before Tom Coates contributed \$100,000 to the Common Sense Voters, SF 2010, and received copies of Common Sense Voters, SF 2010 campaign materials in draft and final from Rich Schlackman. (CPRA ENF2014-099G, Michela Alioto-Pier interview summary, p. 2-3; LeBlanc interview summary, p. 2.)

Practices Act of 1977) (omitting signatures and sensitive financial information). The FPPC staff also advised that they destroyed, before the closing of their enforcement files, recorded tapes of interviews of Mark Farrell, and perhaps others, as part of their "standard practice." I was unaware of such a "standard practice," but assume that the Ethics Commission and/or the City Attorney as public agencies can obtain un-redacted information in the FPPC's possession, including correspondence between the FPPC staff and attorneys Jim Sutton (for Mark Farrell), Kevin Hannegan (for Rich Schlackman), Joe Sandler (for Natalie LeBlanc), Joseph Alioto Jr. (for Michela Alioto-Pier) and the unidentified attorney for Chris Lee, that may be relevant to your own investigation in this matter.

² References are made to exhibits identified by number in the FPPC interview summaries described herein. The FPPC redacted their own references to the exhibit titles or names, and did not supply the exhibits themselves, as noted in footnote 1 above.

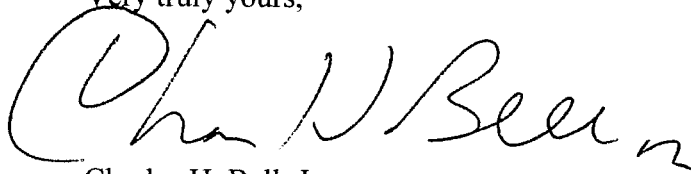
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Moreover, it appears that Margaux Kelly, Farrell's campaign staffer, gave potential donor contact information to Chris Lee, who transmitted it to Jack Helfand for use by Common Sense Voters, SF 2010. (Compare CPRA ENF2014-099G, Farrell interview summary, at p. 3, re: Exh. 8 & 12 and CPRA ENF2014-099G, Kelly interview transcript, at pp. 37:20-39:5, re: Exh.12.)

The public information disclosure indicates that the FPPC failed to interview Tom Coates or Dede Wilsey, notwithstanding that Michela Alioto-Pier admitted soliciting Coates and Wilsey to contribute to Common Sense Voters, SF 2010, and that Farrell denied talking to Coates at all but acknowledged meeting for "two hours" with Dede Wilsey on October 6, 2010. However, Coates had hosted a house party for Farrell on October 15, 2010, and then gave \$100,000 to Common Sense Voters, SF 2010 three days later. In addition, Wilsey gave \$50,000 to Common Sense Voters, SF 2010 on October 18, 2010, less than two weeks after meeting with Farrell for two hours on October 6, 2010. (CPRA ENF2014-099G, Farrell interview summary, at p. 3, re: Exh. 16 & 17.) Further, Farrell's campaign manager Margaux Kelly denied, in an un-redacted portion of her interview, that Coates had made a contribution to the official Farrell Committee. (CPRA ENF2014-099M, Margaux Kelly interview transcript, at p. 21.) However, the Facebook pictures of that event suggest that its value likely would have been \$500 or more, an amount that is the threshold for reporting of non-monetary contributions for home events. (See, Gov. Code, section 82015(f). If that is so, Coates also failed to disclose (and may have violated) the \$500 per-election limit (which he exceeded by a city mile with his \$141,000 in contributions to Common Sense Voters, SF 2010), now an admitted Farrell candidate-controlled committee.)

Very truly yours,

A handwritten signature in black ink, appearing to read "Charles H. Bell, Jr.", with a stylized flourish at the end.

Charles H. Bell, Jr.

CHB/cfd
Enclosures as stated.

STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: 10
REPORT DATE: 10/26/12 PAGE 1 of 3 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED] DATE SIGNED: 11/6/12

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes
Manner of interview: In-Person
Person(s) interviewed: Catherine Stefani
Work address: [REDACTED]
Work phone: [REDACTED]
Home address: [REDACTED]
Home phone: [REDACTED]

VI NARRATIVE:

On 10/04/12 at 09:00, Senior Commission Counsel (SCC) Galena West and I interviewed Catherine Stefani, former Legislative Aide to Supervisor Michela Alioto-Pier (MAP) and current Legislative Aide to Supervisor Mark Farrell, at the San Francisco Ethics Commission located at 25 Van Ness Avenue, San Francisco, CA 94102. Also present were Catherine Argumedo and Garrett Chatfield of the SF Ethics Commission. The following is a summary of this interview with Stefani:

Stefani's current job entails analyzing public policy, preparing and creating policy to come from Farrell, handling constituent issues, and overseeing the office staff. She has been working for Farrell since 01/08/11. Previously she worked for MAP and had the same job duties. MAP endorsed Farrell for her District 2 supervisor seat when it was determined that she could not run for another term. MAP did not loan her staff to the Farrell campaign. Stefani did volunteer her time to the Farrell campaign on the weekends where she did precinct walking and passed out flyers. [REDACTED]

[REDACTED] The emails are attached to this report. The following is Stefani's analysis of the emails:

- Exhibit 1- [REDACTED]
[REDACTED] Margaux Kelly was Farrell's campaign manager and is currently a Legislative Aide for Farrell, Bill Barnes was Stefani's colleague for a year while she worked for MAP, and Schlackman refers to Rich Schlackman who is a political consultant who worked for MAP at various

times. Stefani recalls the meeting that the email references and remembers talking about window signs, and to "touch base" on what was happening with the Farrell campaign. She does not recall what "HP locations" means. Stefani stated that meeting at MAP's residence was unusual and that it was the only time that it happened.

- Exhibit 2- [REDACTED] Chris Lee was Farrell's political consultant. Stefani said that it "appeared to be the case" that the Farrell campaign was drafting an endorsement to come from MAP. Stefani said that her role was to make sure that MAP approved of the language that the Farrell campaign used.
- Exhibit 3- [REDACTED] Stefani agreed that this email referred to the email blast that was referenced in the Exhibit 2 email.
- Exhibit 4- [REDACTED] Stefani was unfamiliar with this email. She was unsure if MAP regularly gave out her donor lists to others.
- Exhibit 5- [REDACTED] Natalie LeBlanc worked for Rich Schlackman. Suki Kott was a volunteer in MAP's office and a friend of Stefani. Stefani believes that this email refers to a mailer that the District 2 Democratic Club is sending out. She is unaware of whether MAP had a part in the fabrication of the mailer. Barnes was working with the Club on the project.
- Exhibit 6- [REDACTED] Stefani is unfamiliar with Moran and does not know anything about the doorhanger that the email references.
- Exhibit 7- [REDACTED] Stefani does not know Angel Chan. She has met Coates around three times and the only thing she knows about him is that he is a District 2 resident. She knows Barboza and LeBlanc. Stefani was MAP's scheduler so she would receive numerous emails, such as this one, to coordinate meetings with MAP. Stefani would prep MAP for meetings. Occasionally there would be meetings that Stefani would not need to prepare MAP for; this was one of those meetings. Stefani would mainly prep MAP on city hall items. Stefani does not know why MAP would be meeting with campaign personnel (Schlackman) while she was not running for any office at that time. She does not know Jack Helfand. Stefani assumed that since MAP knows Schlackman then she would know what this meeting is about. She does not recall ever scheduling a meeting between Helfand and MAP.
- Exhibit 8- [REDACTED] Ungerleider is the president of the Marina Community Association. She would also volunteer in MAP's office and on Farrell's campaign. Stefani first met Kelly through the Farrell campaign. She said that they would sometimes email back and forth. Stefani said that Farrell did not contact her but she might have scheduled MAP for a "coffee" with Farrell.
- Exhibit 9- [REDACTED] Stefani is unaware that MAP was putting

out her own material endorsing candidates in the 2010 elections. She knew that LeBlanc, Schlackman and MAP were talking "perhaps" frequently during the final month before the election even though MAP was not running for office at this time. Stefani also stated that she did not believe that there were any ballot measures that MAP was endorsing.

- Exhibit 10- [REDACTED]
[REDACTED] Stefani did not want to speculate but thinks that "the boss" refers to Farrell. Stefani attended the celebration that the email chain refers to. Schlackman may have picked up the tab for the dinner. Farrell did not come to the celebration. Schlackman did come to the celebration. She does not recall anyone talking about CSV. She does not recall the discussions from that celebration. Stefani remembers having to call a cab for Schlackman, and she remembers that the food was good.

Stefani recalls knowing that there was an IE committee (CSV) for Farrell. Stefani remembers having conversations with MAP about the IE but not with any specificity. Stefani was never at a meeting where an IE committee was discussed, to her knowledge. SCC West brought up the meeting that the email in Exhibit 1 documents; Stefani does not know why Schlackman, a political consultant, would be at a meeting with MAP and Farrell when Lee was present and already Farrell's consultant. Stefani does not recall any side meetings taking place during the meeting on 09/12/10. SCC West asked whether it was discussed at that meeting if Schlackman would be in charge of the IE committee and Stefani replied, *"I can't say that I recall that specifically, that he would be in charge of an Independent Expenditure."* SCC West then asked, "How about generally?" To which Stefani replied, *"Generally, like to give campaign advice or something like that, perhaps."*

STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: 9
REPORT DATE: 10/26/12 PAGE 1 of 3 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED] DATE SIGNED: 10/31/12

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes (CD Attached)
Manner of interview: In-Person
Person(s) interviewed: Chris Lee
Work address: [REDACTED]
Work phone: [REDACTED]
Home address: [REDACTED]
Home phone: [REDACTED]

VI NARRATIVE:

On 10/03/12 at 15:45, Senior Commission Counsel (SCC) Galena West and I interviewed Chris Lee, Partner at Town Square Consulting and campaign consultant to the Mark Farrell campaign, at the San Francisco Ethics Commission located at 25 Van Ness Avenue, San Francisco, CA 94102. Also present were Catherine Argumedo and Garrett Chatfield of the SF Ethics Commission. The following is a summary of this interview with Lee:

Lee created Town Square Consulting in 2009. He was in charge of direct mail and campaign strategy. When it was determined that the incumbent, Supervisor Michela Alioto-Pier (MAP), could not run again, it changed the entire makeup of the 2010 election. When MAP was still running, the Farrell campaign was thinking about dropping out due to low poll numbers. [REDACTED]

[REDACTED] The emails are attached to this report. The following is Lee's analysis of the emails:

- Exhibit 1- [REDACTED]
[REDACTED] According to Lee, Catherine Stefani and Bill Barnes worked for MAP and Margaux Kelly was Farrell's campaign manager. Schlackman is Rich Schlackman, a political consultant in SF. Lee does not recall the meeting. At this point, SCC West told Lee that perhaps it would help to jog his memory if she told him that the meeting took place at MAP's residence and that it was about campaign strategy. Lee responded that the Farrell campaign was going to do a mail piece with MAP's endorsement. Lee stated that he wrote the endorsement. Lee stated that he did

not have a lot of meetings with MAP, just a few when they were setting up the mailer. The list that the email from Margaux Kelly to Farrell and Lee references is a list of donors to the MAP campaign. The list was to be used to for the eblast to be sent out that Lee drafted to come from MAP.

- Exhibit 2- [REDACTED] Jack Helfand is a donor of Farrell. Lee then stated, "*I found out later that he was the Treasurer of the Independent Expenditure Committee.*" SCC West says, "Is that Common Sense Voters (CSV)?" Lee then states, "*Is that the name of it? I think that was the name of it.*"
- Exhibit 3- [REDACTED] Farrell did not ask Lee to contact Helfand. SCC West asked Lee if Helfand had any previous political experience in putting together an IE and Lee stated that Helfand "had contacted a couple of people on the peninsula about it." Lee thought it was odd for Helfand to contact people on the peninsula.
- Exhibit 4- [REDACTED] Lee stated that he did not mean that a consultant was chosen for Helfand even though Lee wrote, "We have a consultant on board that you will need to meet with and then I have to step out of the picture." Lee said that he really meant that there were many consultants that may do work for an IE committee, but the majority of which would probably be busy at that particular time. Lee said that he did not mention any specific San Francisco consultants to Helfand. Lee said that the list that he attached for Helfand was a list of donors who had already donated to the Farrell campaign. SCC West asked Lee if it was possible that the sentence about having a consultant on board was misspeak. Lee responded, "*Could have been, probably meant to say you should call consultants and there might have been someone that you could talk to.*" (See Exhibit 6 below, the email on 09/13/10 contradicts this statement.)
- Exhibit 5- [REDACTED] Lee was unaware if those people donated to CSV. There were two additional email addresses that were not on the donor list: [REDACTED] and [REDACTED] Lee identified the first address as that of Rich Schlackman and the other address as Natalie Leblanc, an employee of Schlackman.
- Exhibit 6- [REDACTED]

[REDACTED]

Lee stated that he must have heard four days later that Schalckman was on board. Lee says he must have heard it from somewhere else because San Francisco is a small town. At this point Lee [REDACTED] said, "*So you can talk to Rich, don't talk to me.*" SCC West asked Lee why he would send Helfand an email confirming who Helfand hired. Lee responded, "*You know I think I probably meant to say this is the guy who I have heard that will be running your campaign and it's Rich Schlackman. That's probably what I meant by that*" When informed that it appeared that Lee reached out to Schlackman, Lee stated, "*No I wouldn't have reached out to Rich, or I don't think I would have.*" Lee was asked why he would want Helfand to call him as soon as he could. Lee stated, "*That was probably again to remind him that I'm out of this and you can't talk to me anymore once you form this committee I am no one to talk to.*" Lee was sending Helfand an email to

tell him who he thought would be running his campaign and asked Helfand to call him so that Lee could tell Helfand not to call him anymore.

- Exhibit [REDACTED]
[REDACTED] Ren Riley was one of Farrell's donors. Helfand was aware that he could not talk or coordinate with the Farrell campaign. Lee said that he made Helfand aware that he could not coordinate with the Farrell campaign.
- Exhibit 8- [REDACTED]
[REDACTED] Lee said he meant for Kelly to send him Lamond's number and then he would forward it to Helfand. Lee then said, "*If I did, I did.*"

Lee did not feel that Farrell was in contact with Helfand during the election cycle. Lee does not remember meeting Helfand face to face. He might have met Helfand before at a fundraiser. Helfand used to work with Farrell. Lee stated that MAP and her staff may have had conversations and contact with CSV, but he could not be certain. Farrell may have had conversations with MAP about the on goings of the Farrell campaign. Lee remembers seeing Schalckman at the Golden Gate Yacht Club but does not think that they spoke of CSV.

**STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION**

INVESTIGATION REPORT

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DATE SIGNED: 10/31/12

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

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Manner of interview: In-Person
Person(s) interviewed: Bill Barnes
Work address: [REDACTED]
Work phone: [REDACTED]
Home address: [REDACTED]
Home phone: [REDACTED]

VI NARRATIVE:

On 10/03/12 at 14:30, Galena West and I interviewed Bill Barnes, former Legislative Aide to San Francisco Supervisor Michaela Alioto-Pier (MAP), at the San Francisco Ethics Commission located at 25 Van Ness Avenue, San Francisco, CA 94102. Also present was Catherine Argumedo and Garrett Chatfield of the SF Ethics Commission. The following is a summary of this interview with Barnes:

Barnes has been friends with MAP since 1998. During MAP's tenure as Supervisor, Barnes was one of her Legislative Aides. Once it was determined by the courts that MAP would be unable to run again for Supervisor due to term limits, MAP began to endorse Mark Farrell to take her place on the Board of Supervisors. [REDACTED]

[REDACTED] The emails are attached to this report. The following is Barnes analysis of the emails:

- Exhibit 1- [REDACTED]
[REDACTED] This meeting took place at MAP's residence on Vallejo Street. Barnes does not recall the exact details of the meeting. Barnes said that Catherine Stefani works for MAP, Chris Lee works as a consultant to Farrell, Margaux Kelly is Farrell's campaign manager, and Richard Schlackman is a political consultant that MAP has dealt with in the past.
- Exhibit 2- [REDACTED] MAP was often asked to endorse things. It was common for MAP to have the person who wanted the endorsement

to draft the letter or email and then submit it to MAP for review. This email was referencing an eblast that the Farrell campaign was drafting to come from MAP.

- Exhibit 3- [REDACTED] It was fairly common to share donor lists with other candidates and Barnes said he was happy to do so. Barnes said that MAP knew about him giving the donor list to the Farrell campaign and he cc'd MAP on the email to Kelly that had the list attached.
- Exhibit 4- [REDACTED] Barnes recalls that he would prep officials before the meetings so that there would be no wasted time or miscommunication. In this particular instance he was telling Kelly to make sure that she had a list ready for MAP of what Farrell would need from her when they were meeting later that day. Barnes did not attend the meeting.
- Exhibit 5- [REDACTED]; Suki Kott started the District 2 Democrats which endorsed Mark Farrell. Natalie LeBlanc works for Schlackman. Barnes does not recall what his comments that the email references would have been about. On the 10/21/10 email from MAP to Peter Moran of Winning Directions, Barnes does not know what MAP would have been referencing about when she wrote "common sence" [sic]. "Common Sense" is a phrase that is popular in San Francisco with moderates. He cannot recall if Winning Directions had done work for MAP in the past.
- Exhibit 6- [REDACTED] Barnes does not know what MAP would have been fundraising about. He said it was not uncommon for her to help others with slate mailers. Tom Coates was a major donor to various ballot measures for SF.

Barnes is unfamiliar with Jack Helfand. He heard Helfand's name after the 2010 election was over. Barnes' understanding was Helfand was a friend of Farrell or someone that Farrell grew up with. Barnes has never met Helfand. Toward the end of MAP's term, Barnes was in-charge of packing up the office and getting some last minute projects and legislation finished. Barnes does not believe that giving a list to other campaigns is disclosed as a non-monetary contribution. Barnes does not believe that it was a personal issue with MAP opposing Janet Reilly. It was simply a matter of MAP feeling that Reilly's politics were too liberal for District 2. MAP became upset with Barnes after the 2010 election because Barnes was working on Ed Lee's mayoral campaign. Barnes said that the Common Sense Voters independent expenditure committee was an under-the-radar committee and did not really affect Barnes in his duties at city hall, so he did not pay much attention to the committee.

**STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION**

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: 24
REPORT DATE: 02/25/13 PAGE 1 of 2 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED] DATE SIGNED: 2/25/12

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes
Manner of interview: Telephonically
Person(s) interviewed: Mark Farrell (Represented by James Sutton)
Work address: [REDACTED]
Work phone: [REDACTED]
Home address:
Home phone:

VI NARRATIVE:

On 02/22/13 at 10:30, I interviewed Mark Farrell, San Francisco District 2 Supervisor telephonically. Farrell is represented by Jim Sutton who was present during the interview. Also present was Margaux Kelly, Farrell's Legislative Aide and former campaign manager of the Farrell campaign in 2010. The following is a summary of this interview with Farrell:

- Exhibit 1- [REDACTED] Farrell believes that the meeting that Lee stated that he spoke with Michela Alioto-Pier (MAP) about Tom Coates at, was the same meeting referenced on the 09/09/10 email (Tab 2). Farrell recalls talking to MAP at the meeting about having Coates host a house party for Farrell. Farrell was only interested in Coates hosting a fundraising event and possibly writing a check to help out his campaign. Coates was a good personal friend of MAP and MAP told Farrell that she would approach Coates about helping Farrell's campaign. Farrell does not recall having a conversation with Lee about approaching MAP to help solicit help from Coates. The list that the 09/11/10 email refers to is not the same list as the one in the 09/12/10 email from Lee to Helfand. Farrell reiterated that he had never seen, nor spoke with Lee about the list that Lee sent to Helfand on 09/12/10. The list that the 09/11/10 email referred was a list of donors that was known to the Farrell campaign and which the campaign would send to anyone trying to help raise money for the campaign. Farrell would not hesitate to pass the list on to anyone because it was in the interest of his campaign to do so. Sutton chimed in and stated that he wanted to be completely clear that the email that Lee sent on 09/12/13 (Tab 3) with the list attached with targeted names was not the same list that was being discussed in the email in Exhibit 1.

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The list that Farrell and Lee were referencing on the Exhibit 1 email was just the donor list that was in the Farrell campaign's database. It was not the spreadsheet that was tailored to specific donors in the Lee/Helfand email (Tab 3). Farrell did not talk to Helfand about either list that was sent by Lee and knew nothing of the email and list that Lee sent to Helfand on 09/12/10.

**STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION**

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: 23
REPORT DATE: 01/30/13 PAGE 1 of 4 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED]

DATE SIGNED: 2/11/13

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes
Manner of interview: Telephonically
Person(s) interviewed: Michela Alioto-Pier (Represented by Joseph Alioto)
Work address:
Work phone: [REDACTED]
Home address:
Home phone:

VI NARRATIVE:

On 01/30/13 at 11:00 (20:00 in Rome), Senior Commission Counsel (SCC) Galena West and I interviewed Michela Alioto-Pier (MAP) telephonically. MAP currently resides in Rome, Italy and was the incumbent 2010 San Francisco District 2 Supervisor. MAP is represented by Joe Alioto (Alioto) who was present during the interview. Also present was Tom Pier, MAP's husband. The following is a summary of this interview with MAP:

The two leading candidates in the 2010 San Francisco District 2 Supervisor race were Mark Farrell and Janet Riley. The race was a contentious one. MAP was deemed ineligible to run again because of term limits in San Francisco. MAP decided to place her support behind Farrell and give him her endorsement. MAP does not remember the exact date that she met Farrell. MAP met with five or six people that were interested in running for the District 2 position. MAP said that Farrell was the most impressive candidate out of the people that she had spoken with. Farrell reminded MAP a lot of herself. Farrell had grown up in the same neighborhoods as MAP, went to the same schools that MAP had attended, and grew up in the Marina District where MAP had spent much of her time as well. MAP felt that she and Farrell had a lot in common and she really liked him. Farrell was a well-spoken person and was always very respectful of MAP. MAP knew that if she was not allowed to run again, Farrell would be the person that she would endorse.

[REDACTED] The emails were labeled numerically as exhibits. I provided the exhibits to Alioto by electronic attachment to an email. Alioto

forwarded the exhibits to MAP. The exhibits are attached to this report. The following are MAP's remarks about the exhibits:

- Exhibit 1- [REDACTED] MAP did not know who Helfand was at the time of this email. MAP became aware of Helfand when the Independent Expenditure (IE) committee was established. [REDACTED]
[REDACTED] MAP stated that Farrell did not mention anything to her regarding Helfand. MAP did know Chris Lee at the time and she did have conversations with him but does not recall the subject of those conversations. MAP would speak to Lee about Farrell's campaign.
- Exhibit 2- [REDACTED] MAP does not recall having a conversation with Farrell about Lee reaching out to Helfand and providing Helfand with information on how to form an IE. MAP does not remember any conversations with Lee where he talked about providing IE information to Helfand. MAP had met Helfand before but did not know anything about him. MAP was then asked what she did remember about the formation of Common Sense Voters (CSV). MAP recalls that CSV was formed sometime in October of 2010 and there were questions about where money might come from. She remembered some vague conversations about people that might be possibly interested in forming an IE for Farrell. MAP had conversations with Tom Coates where Coates had told her that he would be interested in helping Farrell win by helping an IE. MAP believed that Richard Schlackman was going to set up the IE. Schlackman is a consultant in San Francisco who had done a lot IE campaigns. MAP had talked with Schlackman about the potential interest in an IE for Farrell. MAP has known Schlackman since 1996 and has done work on MAP's committees.
- Exhibit 3- [REDACTED] MAP does not recall that specific meeting. Barnes and Stefani were staffers of MAP. MAP did not speculate why Schlackman would have been invited to the meeting. MAP stated that she was not running for office at this time and reiterated that Schlackman was a friend. MAP said that by reading the email, she assumes that the meeting would have been about Farrell's campaign. MAP assumes that this meeting took place after she endorsed Farrell and that she wanted to make sure that Farrell and his staff knew how to run a campaign properly. MAP was asked if she remembers any other meetings like the one referenced in the email. MAP stated that she does not remember.
- Exhibit 4- [REDACTED] MAP does not know what meeting Lee is referring to in the email. She does not think that he is referencing the meeting in Exhibit 3. I asked MAP if she ever recalled Lee talking to her about Coates in any meeting and she stated that it would make sense that she would have conversations about Coates with Lee because Coates was one of MAP's biggest supporters. MAP does not think that Coates had talked to her about becoming involved in an IE until later.
- Exhibit 5- [REDACTED] MAP does not know anything about the email. MAP does not know where the list that was attached to the email came from. The only person that MAP knew on the list was Chris Gruwell, who was a fundraiser for Platinum Advisors.

- Exhibit 6- [REDACTED] MAP did not know why Lee would have been telling Helfand that Schlackman was going to run the IE. I asked MAP to tell us again how Schlackman became involved. MAP said that Schlackman did not like Janet Riley at all. MAP was fairly certain that Schlackman ran a negative IE against Riley when Riley was running for Assembly. MAP felt that Schlackman became involved because he wanted to. [REDACTED]
[REDACTED] MAP stated that she did not recall the discussion.
- Exhibit 7- [REDACTED] When I asked MAP why she felt that Schlackman would be concerned about her involvement in the IE, Alioto interjected and stated that I should ask questions about things MAP did or how she felt, not questions about what someone else was thinking. Alioto then went on to demand why the author of the complaint (Charles Bell, Jr.) was allowed to cite a newspaper article that had misquoted MAP. SCC West said that his point about the line of questioning was well taken and I agreed to rephrase. MAP was asked if she had any conversations with Schlackman or LeBlanc about keeping her involvement with the IE a secret. She responded that she did not have any conversations of that nature.
- Exhibit 8- [REDACTED] MAP is not certain that her staff sent her fundraising list to the Farrell campaign, but assumes that her staff did. MAP does not remember signing off on the eblast that was sent out from the Farrell campaign. MAP stated that she probably did direct her staff to send the fundraising list to the Farrell campaign.
- Exhibit 9 was skipped because we discussed the subject in Exhibit 8.
- Exhibit 10- [REDACTED] The events noted in the email would have been places where MAP would have showed up and campaigned for Farrell. MAP does not recall how many events she went to where she showed up and supported Farrell.
- Exhibit 11- [REDACTED] Bill Barnes and Catherine Stefani did not speak with MAP about the slate card that was referred to in the emails.
- Exhibit 12- [REDACTED] I asked MAP the following question, "Did you have any part of facilitating Tom Coates' contribution to CSV?" MAP responded, "*Oh yeah, I asked him for it.*" MAP assumed that the reason that Schlackman knew the contribution was coming was because MAP facilitated it.
- Exhibit 13- [REDACTED] MAP was not kept apprised of the on goings of CSV regularly. MAP felt that this was the only email where Schlackman told MAP of the basic plan of CSV. MAP did not remember getting this email and recalled that the strategy of CSV was not something that she "was really in the know about." MAP thought the reasoning behind this email was "*Tom had written a very large check and I think he wanted to know where his money, how it was being spent, and uh, we just asked for an idea so we could let him know.*" MAP reiterated that she was not a part of coming up with the plan.
- Exhibit 14- [REDACTED] I told MAP that I believed that this email was referring to her facilitation of the Coates' contribution and she agreed. MAP then stated, "*I was certainly responsible for getting Tom's contribution and Dede's contribution.*"

MAP was told that Schlackman informed us during his interview that she was in-charge of fundraising for CSV. MAP further stated, *"So I think that when he (Schlackman) says that I'm in-charge of it (fundraising), there is no question that I brought in the bulk of the mo, the bulk of it from the Tom Coates and Dede Wilsey checks."*

- Exhibit 15- [REDACTED] MAP recalled that she was brought into the email chain because she was going to sign off on a message that was going to be sent out to voters. She was checking for accuracy and content since the message was to come from her. MAP was told that the Alliance was interested in doing an expenditure for Farrell and that is how the door hanger came to fruition. The Alliance started during the 2010 election cycle and its full name was The Alliance for Job Growth. The Alliance was a group of organizations that came together to help moderate candidates. MAP felt that the Alliance was unusual in that it was made up of the business community, the Chamber of Commerce, and certain labor organizations. The Alliance would support candidates that it felt best represented its interests. MAP thought that Coates paid for the door hanger and used CSV to broker the expenditure.
- Exhibit 16- [REDACTED] This is the only time that Schlackman deferred to MAP to make a decision regarding CSV. MAP believes that the only decision MAP made regarding the doorhanger was the background color. MAP did not make decisions regarding CSV. She only raised money from Coates and Wilsey. MAP did not tell Schlackman how to spend the money. She assumes that the decisions of CSV would have been made by Schlackman and Helfand. The only thing that she can recall approving was a letter that was to come from her and was to be printed on the doorhanger.
- Exhibit 17, 18, and 19 were skipped due to the exhibits being redundant.
- Exhibit 20- [REDACTED] MAP does not know why Fazio would send an email asking for a disclaimer for the doorhanger, a contact for the walkers, and a check to her. MAP does not remember this email. MAP was asked if Winning Directions (WD) was contacting her regarding content or payment. MAP reiterated that she remembers approving the letter for the doorhanger but does not remember writing the letter. MAP was asked why WD would have been in contact with MAP about payment. MAP replied that Coates may have made his final contribution for the doorhanger. If that were the case, then she would have been the person to contact Coates for payment. MAP thought that the Alliance was going to take care of the doorhanger. This whole ordeal was about Fazio and the Alliance getting a doorhanger out to help the moderate candidate in District 2. The Alliance may have not been able to pay for the doorhanger in the end and Coates ended up paying for it. MAP does not know why she forwarded the email to Schlackman. MAP then asked if CSV paid WD for the doorhanger and was informed that CSV did pay for the doorhanger. MAP then responded that that is probably the reason that she forwarded the email to Schlackman.
- Exhibit 21- [REDACTED] [REDACTED] Coates put over \$100,000 in and wanted to see if the expenditures were working.

SCC West asked Alioto if he would accept service of documents on behalf of his client, MAP. Alioto [REDACTED] said he would not. MAP declined to give Enforcement her address in Italy and Alioto said if we have documents for her then we should send them to the Embassy in Rome.

**STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION**

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: 22
REPORT DATE: 12/28/12 PAGE 1 of 2 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED] DATE SIGNED: 12/31/12

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes
Manner of interview: In-Person
Person(s) interviewed: Peter Moran
Work address: [REDACTED]
Work phone: [REDACTED]
Home address:
Home phone:

VI NARRATIVE:

On 12/18/12 at 11:30, Senior Commission Counsel (SCC) Galena West and I interviewed Peter Moran, Chief Operating Officer for Winning Directions (WD), at the FPCC office. The following is a summary of this interview with Moran:

Moran does part time work for WD currently and also does various side jobs to make a living. In 2010 Moran primarily worked for WD. Moran stated that he runs WD and that Tony Fazio is the owner of WD. WD used to be much larger, but Moran stated that partnerships come and go and WD was affected by the downturn in the economy in 2008. At one point WD had around 70 employees nationally but now the company is a shell of it's former self. In 2010, WD worked on two or three campaigns in the Bay Area for the November election. WD is a mail consulting firm which is hired by campaigns and organizations to put out various types of print. A general campaign consultant would contact WD to put together a print piece. When a committee would ask WD to create a mailer, WD would coordinate the design, the print, and the mail house and provide expertise to the client. When asked about Common Sense Voters (CSV) Moran did not recall the name of the entity, just that Richard Schlackman was speaking on the entity's behalf. Fazio and Schlackman had done work together for 25-30 years. Sometimes WD was competitors with MSHC Partners (MSHC) and sometimes the two firms worked on projects together.

[REDACTED] The emails are attached to this report. The following are Moran's comments on the emails:

- Exhibit 1- [REDACTED]
[REDACTED] Moran believes that Schlackman was the one who approached WD to do work for CSV. I pointed to the invoice where it said "Precinct walkers \$25,388.09" and asked Moran how this worked. Moran stated that sometimes the walkers were necessary if a committee did not have a large volunteer base or if the committee was an Independent Expenditure (IE) committee because the IE could not be in contact with staff of the candidate controlled committee. Fazio was part of the San Francisco Alliance for Jobs and Sustainable Growth (the Alliance) which is an ongoing organization that is about bringing labor and business together to facilitate job growth. Moran stated that the Alliance would coordinate with other IEs so that there would not be redundant expenditures. Moran was not in the room when the decision was made, but he believes that Schlackman used the Alliance resources for precinct walkers and WD facilitated this because WD received payment for the walkers.
- Exhibit 2- [REDACTED] Moran recalls working with Supervisor Michela Alioto-Pier (MAP) regarding a door hanger for CSV. MAP provided input regarding the design of the door hanger. When asked whether Schlackman or MAP would have the final say regarding the door hanger, Moran stated, *"I think that he essentially handed over the reigns to her."* Moran felt this way because he dealt MAP instead of Schlackman. Scott Hart worked for WD and helped out with the Alliance. Hart worked with Chris Lee until Moran "pinched" Hart from him. Lee met Hart in Washington, D.C. several years before 2010. Fazio and Lee are friends and are both from Rhode Island.
- Exhibit 3- [REDACTED]
[REDACTED] Vince Courtney is the acting director of the Alliance. The creation of the Alliance was Fazio's idea. Moran did not know if MAP was the one who made CSV write WD a check.

I asked if Moran recalled doing work for the two other candidates that Lee had been consulting for and Moran responded that he remembers there was some design work done for one of the candidates as well as the preliminary design of a door hanger. When WD believed that it was going to do IE work, WD stopped doing work for any candidate controlled committees. [REDACTED]

[REDACTED] Moran responded that he and Lee were friends and they never spoke about CSV. During the calls Moran would give Lee direction about "how to do things but not what to do." Moran is confident that Lee would call Hart also and ask him the same things because Moran felt that Lee was "needy." Moran never heard of Jack Helfand. Moran was not involved in the money side of WD. Moran believes that Fazio and Schlackman would be the ones to discuss payment to WD. WD does not do fundraising and Moran said that WD is a "spender not generator." Moran thought that Schlackman could have been coordinating

between Fazio and MAP. Moran does not recall doing any work for MAP during his 12 years with WD. When coordinating the precinct walkers, the conversation would have been between Courtney, Schlackman and Fazio. The reason that walkers were used instead of direct mail was because of the proximity to Election Day. Schlackman brought MAP into the email chain. The Alliance did end up endorsing Mark Farrell.

**STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION**

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: 20
REPORT DATE: 12/27/12 PAGE 1 of 3 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED] DATE SIGNED: 1/7/13

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes
Manner of interview: In-Person
Person(s) interviewed: Richard Schlackman (Represented by Kevin Hennigan)
Work address: [REDACTED]
Work phone: [REDACTED]
Home address:
Home phone:

VI NARRATIVE:

On 12/05/12 at 13:00, Senior Commission Counsel (SCC) Galena West and I interviewed Richard Schlackman, former partner of Malchow, Schlackman, Hoppey and Cooper (MSHC), at the law offices of Hansen Bridget LLP located at 425 Market Street, 26th Floor, San Francisco, CA 94105. Schlackman is represented by Kevin Hennigan who was present during the interview. The following is a summary of this interview with Schlackman:

In 2010, Schlackman was a partner at MSHC and stated that he worked on Common Sense Voters (CSV). Schlackman ran what he called the "paid communication" part of the campaign. As far as fundraising for the CSV, Schlackman said that he picked up checks but that was about as far as it went. Natalie LeBlanc worked on CSV and took instruction from Schlackman. There may have been others that worked with LeBlanc on CSV but he did not know. When asked if he provided the strategy of CSV, Schlackman replied, "Yes, I did." Schlackman stated that he was also working with Jack Helfand. Helfand was in-charge of fundraising and approving all of MSHC's work for CSV. MSHC made Helfand sign off on everything that MSHC did. Schlackman would come up with the strategy of CSV and Helfand would make comments on it. LeBlanc would send Helfand the description of the expenditure and the price and Helfand would fax his signature on an approval letter to LeBlanc.

[REDACTED] The emails are attached to this report. The following are Schlackman's comments on the emails:

- Exhibit 1- [REDACTED]
[REDACTED] Schlackman knows Bill Barnes and Catherine Stefani. He does not remember attending the meeting.
- Exhibit 2- [REDACTED] When asked how he became the consultant of CSV, Schlackman replied, "*If my memory serves me correct, Michela called me.*" He does not recall what was said. Schlackman had done work previously for Supervisor Michela Alioto-Pier (MAP). Schlackman said that he never met with Helfand in-person. Schlackman knows Chris Lee and Lee might have said something to the effect of, "Are you available to do something like that?" (Referring to work on an Independent Expenditure (IE) committee). Schlackman said that Lee left after that.
- Exhibit 3- [REDACTED] Lee might have talked with Schlackman and told Schlackman that the IE was interested in him. MAP told Schlackman that Lee was running the Farrell campaign and if Schlackman was to work on the IE, Schlackman could not be in-contact with Lee.
- Exhibit 4- [REDACTED] Schlackman stated that the sentence where he told LeBlanc that only the two of them should know about MAP's involvement in CSV was in the email because of politics. He did not want everyone to know that MAP was helping CSV raise money. Schlackman did not want to give anything to his opponents that could be used against him and that was the reason for the statement. When asked if fundraising was MAP's role, Schlackman responded that it was and that he believed that was all she could do within the law.
- Exhibit 5- [REDACTED]
[REDACTED]. It does not refer to a CSV mailer. CSV gave money to the D2 Democrats as an IE. LeBlanc was producing the mailer but it was not a CSV mailer. The D2 Democrats paid MSHC for the slate card.
- Exhibit 6- [REDACTED]
Schlackman said that he was not keeping MAP apprised of the on goings of CSV but sent this email to her because she was going to do a robocall for CSV. He said that this email was for budgeting purposes and MAP was fundraising for CSV. Schlackman was asked if the information in the email would be items that would be discussed with Helfand. Schlackman said that was correct, Helfand knew the plan, he knew if there were changes, and he knew if there was pending money coming in to CSV. SCC West told Schlackman that when we talked with Helfand, Helfand made it seem that he did not make any decisions for CSV and that Schlackman and LeBlanc made all of the decisions for CSV. Schlackman stated that Helfand had to approve the expenditures and said that Helfand had veto power.
- Exhibit 7- [REDACTED] Winning Directions (WD) is a firm that does printing and other political functions. Schlackman thinks that the door hanger was for the field operation. CSV was paying people to go and hang the door hangers that the email references. Schlackman is fairly certain that CSV paid for the field operation which included the street walkers. Schlackman said the reason that WD did the work for the door hangers instead of MSHC was because WD was close to the street walkers and he figured that WD should handle it.

Tony Fazio was the owner of WD. Schlackman did not know what he meant when he wrote, "Both work—Michela you make the decision." He did not remember this particular email.

- Exhibit 8- [REDACTED] Schlackman does not remember how the Tom Coates contribution was facilitated. Schlackman knows Coates personally. Schlackman stated that the only thing he had to do with the Coates contribution was that he picked up the check from Coates. Schlackman believes that MAP made the calls to Coates and Dede Wilsey. SCC West asked that when he would receive large contributions would he give a revised plan to Helfand. Schlackman responded that at the time things were moving too quickly to keep Helfand constantly updated. Schlackman said that with the extra money, he might add to the quantity of a mailer, or he might enhance the internet presence. When asked if they received less money would they cut items from the budget, Schlackman stated, *"I don't do this for free. He (referring to Hennigan) told me not to add, but I'll add that line."*
- Exhibit 9- [REDACTED] This email was covered under Exhibit 7.
- Exhibit 10- [REDACTED] Schlackman stated that this email chain was all about fundraising. He said that it was to show MAP what was done with the money that had been raised. When asked about MAP forwarding the email chain to Coates, Schlackman responded that this was done to show Coates what was done with the money.
- Exhibit 11- [REDACTED] Ungerleider is someone that Schlackman met with the D2 Democrats. Margaux Kelly is someone that he met once before, a couple of years prior to the election cycle. After the election Schlackman became friendly with Kelly. When asked if he went to the dinner, Schlackman stated that, *"Knowing if I did, I probably bought."* He then said, *"It's not unusual for me to celebrate."*

Schlackman said that MAP gave him very little advice with regards to CSV. If she did give him advice it was to the effect that he should bring up a particular issue or not bring up a particular issue. He never sent her copies of the mailers before they went out. Schlackman said that he knew better than show her anything or send her anything before print. Towards the end of the election cycle, he was telling her what CSV had done with the money. Stefani, Ungerleider, and Kott were all part of the D2 Democrats and wanted Farrell to win. None of them were working on Farrell's campaign¹. Schlackman said that Stefani, Ungerleider, and Kott did not see the mailers until after they had been sent out. The mailers of CSV were done by Schlackman, LeBlanc and Helfand. Schlackman was asked about contact between Lee and Helfand and Schlackman responded, *"The only thing I knew was that Jack knew who I was through Chris Lee."* When Helfand and Schlackman first spoke, Helfand was not surprised to talk with Schlackman and knew who he was and that Schlackman was going to work on the campaign. Schlackman never saw the email attachment that Lee gave to Helfand which outlined the basics of an IE. Schlackman said that Helfand started the IE because he was a friend of Farrell's and wanted him to win. Schlackman stated that the standard procedure of MSHC is that somebody in a campaign has to sign off the expenditure before a mailer would go to print. Schlackman could not believe that as diligent as LeBlanc is, that she would not have had Helfand sign the approval every step of the way. Schlackman did not know if Helfand could override him because the situation never arose.

¹ Farrell stated in his interview that Ungerleider had stopped by and volunteered on his campaign. See Report # 18. Stefani stated that she worked on the Farrell campaign as a volunteer. See Report # 10.

**STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION**

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: 19
REPORT DATE: 12/12/12 PAGE 1 of 3 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED] DATE SIGNED: 12/20/12

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes
Manner of interview: In-Person
Person(s) interviewed: Natalie LeBlanc (Represented by Joe Sandler)
Work address: [REDACTED]
Work phone: [REDACTED]
Home address:
Home phone:

VI NARRATIVE:

On 12/05/12 at 10:30, Senior Commission Counsel (SCC) Galena West and I interviewed Natalie LeBlanc, former Vice President of MSHC Partners (MSHC) and current California Director of the Pivot Group, at the Pivot Group office located at 2201 Broadway, Penthouse 1, Oakland, CA. LeBlanc was represented by Joe Sandler who was present on speaker telephone. The following is a summary of this interview with LeBlanc:

LeBlanc currently works for the Pivot Group as the California Director. In 2010, during the time of the November election, LeBlanc was Vice President of the MSHC and managed the California office. She reported to the senior partnership which consisted of Richard Schlackman, Hal Malchao, Trish Hoppy, and Dean Levitan. She worked on 10 to 15 committees during the 2010 election cycle throughout the nation.

[REDACTED] The emails are attached to this report. I sent the emails to Sandler by facsimile so that he could follow along during the interview. The following are LeBlanc's comments on the emails:

- Exhibit 1- [REDACTED]
[REDACTED] LeBlanc does not know Kelly. She does not know Chris Lee personally, but she does know his wife. She also knows Bill Barnes and Catherine Stefani. She confirmed that she also knows Michela Alioto-Pier (MAP) and Schlackman. LeBlanc has never met Farrell. She stated that

Schlackman was handling the campaign for the IE for Farrell but did not know if the email was before he became involved. MSHC did become involved with Common Sense Voters (CSV).

- Exhibit 2- [REDACTED] LeBlanc said that Helfand ended up being her contact person for CSV.
- Exhibit 3- [REDACTED] LeBlanc did not know how MSHC was chosen to be the consultant for CSV. She stated that "all of the sudden" MSHC was involved and that Schlackman asked if she would help manage CSV internally.
- Exhibit 4- [REDACTED] It was not a common statement for Schlackman to make. LeBlanc did not believe that she had any contact with MAP regarding CSV. LeBlanc handled the mail production and MAP was not involved with that. She was unaware if MAP helped with other aspects. She does not recall if she asked for clarification from Schlackman regarding this email. Schlackman, as a general consultant, was in-charge of the strategy of CSV. She then stated that, "*He and Jack worked together.*" (Referring to the strategy of CSV.)
- Exhibit 5- [REDACTED] 20:
[REDACTED] Kott was her contact on the D2 slate mailer. The reason that LeBlanc was in contact with Stefani and Barnes was to get a picture for the slate. LeBlanc said that she did not work with either of them on CSV.
- Exhibit 6- [REDACTED]
[REDACTED] LeBlanc agreed that it appeared that Schlackman was keeping MAP in the loop concerning CSV. SCC West then referred back to the sentence in the email in Exhibit 4 where Schlackman asks that LeBlanc not tell anyone of MAP's involvement in CSV. LeBlanc did not know why Schlackman continued to keep MAP informed about CSV.
- Exhibit 7- [REDACTED] LeBlanc does not know Moran. LeBlanc does not recall ever using Winning Directions (WD) because they are a rival mail firm. Sandler said that WD was and is a competing Democratic direct mail firm. She does not know if the doorhanger referenced in the email is for CSV. LeBlanc feels that it is "little bit" odd for Schlackman to be using a rival firm to make the doorhanger. Sometimes when senior staff would give her directions, she would not question it but just complete the task that was asked of her.
- Exhibit 8- [REDACTED] LeBlanc was given a budget to plan around and then MSHC built a marketing plan around that. Schlackman would sketch out a budget and a basic plan and LeBlanc and another colleague would use this as a guide. The only person she recalls dealing with at CSV was Helfand. She cannot recall if Schlackman told her to contact MAP. Helfand was the one who signed off on all of the mail pieces and other expenditures.
- Exhibit 9- [REDACTED]
[REDACTED] MSHC does create doorhangers as part of their printing business. LeBlanc does not know why WD was used, especially since Schlackman was involved.

- Exhibit 10- [REDACTED] LeBlanc has heard the name Tom Coates before, but has never had any contact with him. CSV helped pay for the District 2 slate mailer that went out. That slate was the one where she was in contact with Stefani and Barnes regarding the picture of MAP and Farrell. She sent the update of the expenditures to MAP because Schlackman asked her to send the information. She does not know what the \$5,000 to the Republicans was about. She does not remember sending any other updates to MAP, but she noted that she does not remember sending this one.
- Exhibit 11- [REDACTED]
[REDACTED] Ungerleider worked with Kott on the District 2 slate. LeBlanc was not invited to the dinner and she stated that she did not attend. She does not know why Kelly would be invited to the dinner. Schlackman is known to invite people from different committees for celebrations. It does not surprise LeBlanc that he hosted a party such as this.

LeBlanc sometimes had a hard time tracking Helfand down to sign off on expenditures and make final decisions. When asked what types of decisions that Helfand would make, LeBlanc replied, *"He definitely was the overseer of the money of um, so we didn't spend anything until he signed off on it. We didn't build or work on any strategy or any I mean I think in the documents that we sent was a plan we wrote for him and we don't move forward. Our plan is that we come up with the ideas, we write the plan, and then we send it to the client for approval. He approved the plan and then we went through the process of designing and approving the mail and then he signed off, gave feed back, and made sure the pieces were right on in terms of what we wanted to do and then signed off on them and we sent them out."* When SCC West told LeBlanc that Helfand had informed us that MSHC had made all of the decisions for CSV, LeBlanc laughed and stated it was surprising that he would say that because MSHC ultimately needed approval from Helfand before they could move forward. Helfand and Schlackman were in-charge of fundraising. MSHC's policy is that the client would have to sign an approval before any work could commence. Helfand had to physically sign the approval. LeBlanc's contact with Helfand was at those points where she would need his approval. She called these points "fail-safes."

**STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION**

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: 18
REPORT DATE: 12/19/12 PAGE 1 of 5 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED] DATE SIGNED: 12/20/12

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes
Manner of interview: In-Person
Person(s) interviewed: Mark Farrell (Represented by James Sutton)
Work address: [REDACTED]
Work phone: [REDACTED]
Home address:
Home phone:

VI NARRATIVE:

On 11/15/12 at 11:00, Senior Commission Counsel (SCC) Galena West and I interviewed Mark Farrell, San Francisco District 2 Supervisor, at the law offices of Mennemeier Glassman & Stroud located at 980 9th St., Suite 1750, Sacramento, CA 95815. James Sutton represents Farrell and was present during the interview. The following is a summary of this interview with Farrell:

The 2010 election run was Farrell's first venture into public office. He had never held any public office prior to 2010. He felt the need to run for office because he felt that he could make better decisions for the city to make it a good place to raise his children. He was frustrated by what was happening at City Hall. He has a finance background and thought that it could be useful in curbing the large deficits that were coming from the current Board of Supervisors. In August 2009 he decided to run and started raising money for his campaign. He knew that he wanted to be in politics but he did not really know what he was getting himself into. He hired a treasurer and campaign consultant at first. The political consultant he hired was Chris Lee. Farrell believed that it was important to get a consultant in the beginning because he needed advice and had no idea what he was doing. Lee had other clients during this election. Lee was a political consultant for Teresa Sparks and Tony Kelly.

Margaux Kelly (Kelly) was Farrell's campaign manager. Early in 2010 he started running a field campaign with precinct captains. They focused on door knocking and getting out in the community. It was a very family oriented campaign. Lee was in-charge and Farrell and Kelly completed tasks that Lee thought were best for the campaign. It was a big deal when it was determined that Supervisor Michela Alioto-Pier (MAP) could not run

again. He had a good opinion of MAP. Farrell would not have continued his Supervisor bid if it was determined that MAP could run for reelection. MAP was popular in the district and Farrell's campaign priority became to get MAP to endorse Farrell. Farrell ended up getting endorsed by both MAP and the San Francisco Chronicle. There was a lot of contact between Farrell and MAP while he was trying to get her to endorse him. Once she endorsed him, there was less contact. MAP showed up at three or four campaign events for Farrell. Farrell does not remember the specific vendors that his campaign used. This was Lee's department. Farrell recalls that they could only afford to do three or four mail pieces.

[REDACTED] The emails are attached to this report. The following are Farrell's remarks on the emails:

- Exhibit 1- [REDACTED] Ren Riley is a grammar school friend of Farrell. Through public filings, Farrell found out that Jack Helfand was the Principal Officer of Common Sense Voters (CSV). Farrell stated that Helfand was his former boss at a law firm that he used to work at and was a bit of a "slave driver." Helfand was on Farrell's finance committee in the early parts of the campaign. He found out later that Helfand was going to do an independent expenditure (IE) committee and that he was told to stay away from Helfand. He was not to interact with Helfand. Farrell knew that he was going to be reaching out to people for the IE. Farrell wanted to strictly abide by the rules. Lee told Farrell that he could point people in Helfand's direction, but that was it. I asked Farrell where did the idea of the IE come from, who thought of it and he replied, "*Chris had mentioned this idea um, but it was not, uh I think it was on a finance committee call.*" Farrell did not remember the specifics of it.
- Exhibit 2- [REDACTED] Farrell thinks that the Chris in the email refers to Chris Lee. Farrell did not specifically direct Lee to contact Helfand. He does not recall talking to Lee about this.
- Exhibit 3- [REDACTED] Farrell is not aware of how Lee became involved with talking to Helfand. Farrell had never seen this email. Farrell did not know that Lee sent Helfand this email or attachment. Helfand was on the finance committee early on and he and Lee would talk. Farrell says it was possible that Helfand and Lee formed their own relationship without his knowledge. Lee was not getting paid to give Helfand advice regarding IEs. Farrell is frustrated that Lee did this.
- Exhibit 4- [REDACTED]
[REDACTED] This meeting was about getting MAP to endorse Farrell and it took place at MAP's residence. Catherine Stefani and Bill Barnes were working for MAP at the time. He knows who Richard Schlackman is now, but did not know him then. It was a meeting about whether MAP could do more than just endorse Farrell. He does not remember the exact date of her endorsement, but he does remember sitting at her dining room table and speaking with her about the endorsement. "HP locations" refers to "House Party locations." A big part of MAP's involvement was getting her email lists and seeing if people who supported MAP could support Farrell. He does not know why Schlackman would have been invited. He met Stefani during the campaign and she works for Farrell now. Kelly also currently works for Farrell. Lee does not currently work for Farrell. Lee and Farrell talk sporadically. Sutton felt that MAP could have invited Schlackman because she had a long standing relationship with him and he always ran her campaigns.

- Exhibit 5- [REDACTED] Farrell did not know that Lee was sending this information to Helfand. Farrell knows the people on the list that Lee sent to Helfand. He was unsure if all of them were contributors to his campaign. Some of the people were people that were on the finance committee on Farrell's campaign, so they would know Lee. Farrell did not help come up with this list. Farrell does not know why Lee used the word "we" in the sentence telling Helfand that a consultant was on board. Farrell did not choose a consultant to run CSV. He did not discuss with Lee who should help on CSV. Farrell did not direct Lee or Helfand to speak with MAP about getting Schlackman to do the consulting work on CSV.
- Exhibit 6- [REDACTED] I asked if Lee had gone rouge and Farrell agreed he had. Farrell did not have any contact with Helfand regarding CSV.
- Exhibit 7- [REDACTED] MAP did not mention that she was going to get involved with CSV. MAP did not give Farrell any indication that she had worked on CSV.
- Exhibit 8- [REDACTED] Farrell did not give Lee any direction to funnel contributors to Helfand. Kelly did not bring this to Farrell's attention that she sent the contact information to Lee.
- Exhibit 9- [REDACTED] The list the email refers to is MAP's donor email list.
- Exhibit 10- [REDACTED] Farrell does not remember specifically what this email chain refers to, but he thinks that it has to do with the list and eblast referenced in exhibit 9.
- Exhibit 11- [REDACTED] Farrell imagines that his campaign was going to send an eblast to everyone on his campaign's email list and his campaign was going to add the email addresses of MAP's donors to the campaign's master list. SCC West asked if this list was sent to the finance committee of Farrell's campaign and he replied that he did not think that the finance committee was active at this point because it was not very effective. Farrell said that when they would receive a list like this, Lee would draft an email, Farrell would proof the copy of the email, and Kelly would be in-charge of getting the email out from the Farrell campaign's email account.
- Exhibit 12- [REDACTED] Farrell knows nothing about this email and reiterated that he had no contact with Helfand about this eblast. Farrell stated that the only way that he knew that Helfand was involved was when someone brought it to his attention that Helfand's name was on a document. Farrell lived in the district and remembers getting a piece sent from CSV. When he and his campaign workers were walking the precinct, he recalls running into walkers from CSV hanging doorhangers. Farrell did not take one of the door hangers with him, nor did he comment to anyone at CSV that he was not pleased with the design. SCC West asked Sutton if he wanted to comment for the record about the part of the eblast that said to send contributions to the Sutton Law Firm. Sutton stated that Helfand reached out to him early on, but did not hire the Firm. Sutton said that the Firm did not receive any CSV contributions.

- Exhibit 13- [REDACTED] Farrell does not remember Riley contacting him during this time and he is certain that if he did become in contact with Riley, they did not talk about CSV.
- Exhibit 14- [REDACTED] Farrell does not recall this specific meeting but he said the nature of the meeting would have been to get MAP to go to events with Farrell or to meet people in the district with him.
- Exhibit 15- [REDACTED] Suki Kott is from Farrell's neighborhood. Farrell was not aware that MAP's campaign staff was working on projects from CSV.
- Exhibit 16- [REDACTED] Farrell did not speak with MAP about the large donations that came to CSV. Farrell never spoke with Tom Coates. Coates did not contribute to the Farrell campaign. Coates hosted a house party for him. Farrell knows Dede Wilsey. Farrell met with her in her home and he spent about two hours talking about his campaign. She agreed to contribute \$500 to Farrell's campaign.
- Exhibit 17- [REDACTED] Sutton stated that Farrell met with Wilsey on 10/06/10 and she gave \$50,000 to CSV on 10/18/10.
- Exhibit 18- [REDACTED] Farrell did not know if MAP discussed the email or the plan referenced in the email with Lee. Farrell stated that Kelly and Lee went to the first meeting with MAP, but after that, he believes that they did not attend anymore meetings. Farrell and MAP did a campaign photo and he believes that Kelly was there also, but does not recall Lee being there. Farrell did not believe that Lee was in-contact with MAP very often.
- Exhibit 19- [REDACTED] Farrell did not know anything about this email. Farrell said that he probably reached out and contacted Coates after it was determined that MAP could not run and asked if he could host a house party.
- Exhibit 20- [REDACTED] Farrell knew of Winning Directions (WD) because earlier in the election cycle Lee informed him that WD would be doing the direct mail for the Farrell campaign. Farrell stated that WD stopped doing work for them because they were going to be doing IEs the rest of the election cycle. Scott Hart volunteered under Lee for Farrell's campaign. Farrell stated that he had no knowledge of Lee staying in touch with WD. [REDACTED] Farrell stated that it would not surprise him if Lee used WD for all of his races. Farrell had met Moran before in 2009 but did not know him well.
- Exhibit 21- [REDACTED] Farrell did not know anything about this email thread. He did not know that MAP was keeping Coates in the loop. SCC West asked Farrell about the state of his campaign at the time of this email and Farrell replied that he was satisfied where they were at this point in the cycle and that he was just focused on working in the neighborhood. Farrell stated that his campaign was not concerned about money at this point. Farrell stated that he had a website that they updated all the time, as well as a Facebook page. The campaign sent out eblasts also. There was overlap with what CSV was putting out and with what the Farrell campaign was putting out. Farrell was not very pleased with having the CSV walkers placing doorhangers throughout the district. He felt that they were just getting in the way. The Farrell

campaign did not do online advertisements while CSV did. Farrell knew that there was an internet advertising budget, but did not recall how it was allocated.

- Exhibit 22- [REDACTED]
[REDACTED]
Ungerleider is a woman in the neighborhood who volunteered on Farrell's campaign. Farrell did not know about this dinner and was not invited.
- Exhibit 23- [REDACTED] This email was discussed previously in the interview under Exhibit 20.

Farrell stated that many of the emails showed to him during the interview were new to him. Lee did not give Farrell the indication that he was communicating with CSV. Farrell said that he wanted his campaign to be about following the rules. Lee was hired as a general consultant, but Farrell does not remember if there was any kind of contract. Farrell stated he had agreed on a certain dollar amount per month that the campaign would pay Lee. He does not remember whether there was a document that would show Lee's job duties.

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**STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION**

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: 12
REPORT DATE: 10/26/12 PAGE 1 of 3 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED]

DATE SIGNED: 12/20/12

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes (Transcript Attached)
Manner of interview: In-Person
Person(s) interviewed: Margaux Kelly (Represented by James Sutton)
Work address: [REDACTED]
Work phone: [REDACTED]
Home address:
Home phone:

VI NARRATIVE:

On 10/04/12 at 14:30, Senior Commission Counsel (SCC) Galena West and I interviewed Margaux Kelly, former campaign manager for the Mark Farrell campaign and current Legislative Aide to Supervisor Mark Farrell, at the law offices of James Sutton located at the address above. Sutton represents Kelly and was present during the interview. The following is a summary of this interview with Kelly:

Kelly's current job includes constituent services, guiding the legislative process through the Board of Supervisors and helping to write newsletters. While Kelly was Farrell's campaign manager, she was his "day to day" person who ran a field program. She had door to door contact with voters that Farrell had met at community events and was in charge of Farrell's scheduling. When it was determined that Supervisor Michela Alioto-Pier (MAP) was unable to run again for Supervisor due to term limits, the Farrell campaign stayed in the race. MAP eventually endorsed Farrell for District Two Supervisor.

[REDACTED] The emails are attached to this report. The following are Kelly's remarks on the emails:

- Exhibit 1- [REDACTED]

[REDACTED] Stefani was legislative aide for MAP. Bill Barnes was also a legislative aide for MAP. Schlackman refers to Rich Schlackman who is MAP's political consultant. Kelly recalls the meeting was after it was determined that MAP was unable to run again. She does not remember the

exact details of the meeting and cannot recall if Farrell was actually there, even though he is listed on the email. She says the meeting could have been about where to place signs and where to have house parties even though Kelly says that MAP and her staff never ended up helping her in this way. Kelly says that they were lazy.

- Exhibit 2- [REDACTED] Kelly says that MAP was going to send out a fundraising e-blast for Farrell. Kelly agreed that MAP was fundraising for Farrell.
- Exhibit 3- [REDACTED] Kelly did not know who wrote the text the email refers to.
- Exhibit 4- [REDACTED] Kelly agreed that this is the list that the email in Exhibit 3 refers to. She stated that they used the list to send out the e-blast to the donors.
- Exhibit 5- [REDACTED] Stefani was MAP's scheduler and the email refers to house parties and restaurant events that the Farrell campaign was attending and that which they wanted MAP to attend also.
- Exhibit 6- [REDACTED] The majority of the support that MAP provided the Farrell campaign was showing up to events and speaking favorably about Farrell. Kelly stated that they did not meet often.
- Exhibit 7 [REDACTED] Kelly does not know LeBlanc. Kott is a friend of Stefani and a resident of District 2. Kelly does not know what the email is referring to. She knows that the email is not referring to any type of fundraising for the Farrell campaign. Kelly stated that she never exchanged an email with LeBlanc.
- Exhibit 8- [REDACTED] Winning Directions (WD) did work for the Farrell campaign prior to August 2010. Kelly is adamant that WD did not print anything for the Farrell campaign after August 2010. At this point, Sutton produced an email and gave me a copy of the email which is the first document under tab 8 attached to this report. The email was from Scott Hart to Lee dated 08/19/10 stating that WD will be working on IEs and that it can no longer do any work for the Farrell campaign. (It was later determined by Sutton that this email was non responsive to the Farrell subpoena and was not provided to Enforcement originally. The email has the following writing in the bottom right corner of the email: "Non-responsive but Big FYI")
- Exhibit 9- [REDACTED] Tom Coates is a supporter of Farrell and hosted a party at his house for Farrell. Kelly does not believe that Coates ever made a contribution to Farrell's campaign. She did not have any contact with Schlackman and did not know why MAP was being apprised of expenditures of Common Sense Voters (CSV). To Kelly's knowledge, MAP's involvement was limited to attending events for Farrell, sending out endorsements, and giving the Farrell campaign her donor list. Kelly was not privy to any conversations that led her to believe that MAP was involved in CSV. Kelly knew that Helfand was a supporter of Farrell. She did not schedule Farrell to meet with him. Kelly stated that

MAP and Farrell did not meet frequently during the campaign season. Kelly did not schedule any meetings between Farrell and Schlackman.

- Exhibit 10- [REDACTED]
[REDACTED] Ungerleider was an acquaintance who became a friend of Kelly. The Farrell campaign did not create the website. Kelly said that she sent the email because, *"It was out there in the universe and I couldn't believe it existed."* Kelly agreed that she sent it to Stefani because they were friends. The website had the same graphic as a mailer that CSV put out and Kelly agreed that it was put out by the same people.
- Exhibit 11- [REDACTED]
[REDACTED] The "boss" refers to Farrell. Kelly ended up going to the dinner at Parma in the Marina. Stefani was there as well as Barnes, Schlackman, Ungerleider, and Kott. Kelly does not remember who paid for the dinner, but did not rule out that Schlackman could have paid for her. Ungerleider was the one who invited Kelly. At the time of the email, Stefani and Kelly had become friends and potentially new colleagues. She did not recall any conversations at the party concerning CSV.
- Exhibit 12- [REDACTED]
[REDACTED] Kelly did forward Lamond's contact information to Lee. She is unaware if Lee forwarded it to Helfand. This was the only instance that Kelly can recall where she forwarded something to Lee for Helfand. Kelly reiterated that she did not have any contact with the IE.

**STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION**

INVESTIGATION REPORT

I CASE NO: 10/973 CASE NAME: COMMON SENSE VOTERS REPORT NO.: //

REPORT DATE: 11/19/12 PAGE 1 of 3 pages

II REPORT TYPE: Interview Summary

III REPORT PREPARED BY: Lee Myers [REDACTED]

DATE SIGNED: 12/6/12

IV DISTRIBUTION: Lee Myers, Galena West

V INTERVIEW SUMMARY ONLY:

Interview tape recorded: Yes
Manner of interview: In-Person
Person(s) interviewed: Jack Helfand
Work address: [REDACTED]
Work phone: [REDACTED]
Home address: [REDACTED]
Home phone: [REDACTED]

VI NARRATIVE:

On 10/04/12 at 11:30, Senior Commission Counsel (SCC) Galena West and I interviewed Jack Helfand, Principal Officer of Common Sense Voters (CSV), at Vantage Point Capital located at the address above. A transcript is attached to this report. The following is a summary of this interview with Helfand:

Helfand's current job is General Counsel at Vantage Point Capital (VPC). He has been employed by VPC for a little over one year. He has never had anything to do with San Francisco politics before. Helfand was Farrell's supervisor at Wilson, Sonsini, Goodrich and Rosati. I asked Helfand how he became involved with CSV and Helfand replied, "*I think that I was trying to encourage people to support Mark who were sort of outside San Francisco, you know, sort of people we had worked with and stuff like that.*" Some people that had donated to Farrell had discovered that there was a limit to how much they could contribute; so Helfand looked into seeing whether there was "anything that could be done."

SCC West asked Helfand if he was in-charge of strategy to which he replied, "*I mean that is a huge overstatement.*" SCC West followed up with, "But deciding who spent the money that would be you, because they were your bookkeepers, right?" Helfand then stated, "*Well, I...I...I don't know how exactly how the arrangement work, my understanding was that the Treasurer actually had authority uh with respect to the committee, but yeah I certainly was you know involved in it.*" Helfand was involved with the expenditures of CSV. When asked who would set up mailers or other expenditures, Helfand stated that it was the person that determined the strategy. Helfand was asked who that person was and he became slightly agitated and said that

he expected us to have more answers than him. He said we clearly know who the consultant was. SCC West responded that we know it was Richard Schlackman who was the political consultant of CSV. Helfand agreed that he was not dealing with the vendors. Schlackman would have been the person who would have picked out who printed mailers and what they would say.

[REDACTED] The emails are attached to this report. The following is Helfand's analysis of the emails:

- Exhibit 1- [REDACTED] Helfand does not remember who "Chris" is or what the email would have been about.
- Exhibit 2- [REDACTED] When informed that this email was sent by Chris Lee three hours later than the one in Exhibit 1, Helfand agreed that Chris Lee is the "Chris" from the first email. Helfand said that the information that Lee sent to him certainly was not requested by him at anytime. Helfand said, *"I don't recall asking anybody for help with this."* When informed that Lee had told us that Helfand had requested the information contained in the email attachment, Helfand said he still didn't remember but he must have since he received an email from Lee. Helfand may have said something to Farrell to the effect that he was "going to work on something separately from him."
- Exhibit 3- [REDACTED] Helfand did end up contacting Ren Riley. Riley was part of the 8-10 people that Helfand wanted to step up for Farrell. Helfand stated that Riley was at a company like Helfand's there in Palo Alto and that he knew Riley before CSV.
- Exhibit 4- [REDACTED]
[REDACTED] I pointed to the sentence in the email that said, [REDACTED]
[REDACTED] I told Helfand that that particular sentence made it seem as if Lee, or someone else, had picked the consultant for him. Helfand then stated, *"Hm, I don't take that from the email um, but..."* SCC West then asked him what he thought the sentence means, to which Helfand replied, *"I don't know, I never ever met with any of the consultants..."* Helfand insisted that Lee never told him who the consultant would be. Helfand was then asked how Rich Schlackman was hired as the consultant for CSV. Helfand said he did not know. Helfand was asked whether he did indeed call Lee as the email says. Helfand said he definitely spoke with Schlackman and, *"...may very well have followed up with Chris."* He does not remember details of the conversation. Helfand feels that it is possible that Lee said something to the effect that they could not have communications. Helfand thinks it is incredibly unlikely that Lee told him never to call him again.
- Exhibit 5- [REDACTED] Helfand's recollection of Schlackman becoming involved was not because Lee or anyone else said to hire Schlackman.
- Exhibit 6- [REDACTED]
[REDACTED] Helfand thinks that LeBlanc worked for Schlackman. Helfand was working with LeBlanc and Schlackman to determine activities of CSV. Helfand referred to himself as a political novice and that he deferred the name and the political message to people who had expertise. SCC West asked Helfand if he was paid for his position in CSV. Helfand replied, *"...to be entirely accurate about that, um, at the end shutting down the fund*

there was approximately \$2,600 left or something like that. I charged or approved an expense to cover legal costs..." The legal costs had to do with a settlement with the San Francisco Ethics Commission. He felt that the issue and settlement was "B.S." Helfand said, "...there was a little bit of legal time that I negotiated with San Francisco which I compensated myself for."

- Exhibit 7- [REDACTED]
[REDACTED] Money that was received by the Sutton Law Firm was then sent to the treasurer. He said that his committee was trying hard not to have any contact with the Farrell campaign. Even though the names of the recipients of the email are the same as the names on the list that Lee sent him on 09/12/10, Helfand did not agree that Lee brought up these names in specific. Helfand thinks that he knew all of the people that the email went out to before the election. Helfand reiterated that he was trying not to have any contact with the Farrell campaign at all. SCC West asked whether he felt that Lee was trying to influence CSV. Helfand responded that Lee had no effect on him. When asked whether he was contacted by Lee, Helfand stated that there was an exchange of emails.
- Exhibit 8- [REDACTED]
[REDACTED] Helfand said that it appeared that Riley said that he was going to contact Farrell and Helfand advised him against contacting Farrell.
- Exhibit 9- [REDACTED] Helfand stated that originally he put CSV together as an effort to raise a small amount of money to support Farrell. He then said that "two fairly well to do San Franciscans" ended up contributing. He said that CSV only received one six-figure check and that was from Tom Coates so that must be the check that Schlackman is referring to in the email.
- Exhibit 10- [REDACTED] This email references a conversation that Helfand had with Wilsey.
- Exhibit 11- [REDACTED] Helfand knows Artisan Capital but not necessarily David Lamond. Helfand does not know if Lamond gave CSV money. Helfand knew nothing about the email conversation between Lee and Kelly.

Helfand does not recall Supervisor Alioto-Pier working for CSV and he never met with her. The political consultants would have chosen who to do the printing and who to do the mailing. He would occasionally get a proof of a mailer before it went out. The consultants came up with the draft and would then send it to Helfand. Helfand had the final approval of the invoices. He did not deal with anyone else but Schlackman and LeBlanc. Schlackman never gave Helfand the impression that anyone was telling him how to run CSV.

Column	Description
Schedule A	Monetary Contributions Received
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - State Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Office_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Contributor Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Tran_NamL	Transaction Entity's Last Name or Business Name
Tran_NamF	Transaction Entity's First Name
Tran_NamT	Transaction Entity's Prefix or Title
Tran_NamS	Transaction Entity's Suffix
Tran_Adr1	Transaction Entity's Address Line 1
Tran_Adr2	Transaction Entity's Address Line 2
Tran_City	Transaction Entity's City
Tran_State	Transaction Entity's State
Tran_Zip4	Transaction Entity's Zip Code
Tran_Emp	Transaction Entity's Employer
Tran_Occ	Transaction Entity's Occupation
Tran_Self	Transaction Entity's self employed status (check box)
Tran_Type	Transaction Type (T=Third Party Repayment, F=Forgiven Loan, R=Returned (Neg. Amt?), I=Intermediary, X=Transfer)
Tran_Date	Transaction Date
Tran_Date1	Transaction Date (if a range)
Tran_Amt1	Transaction Amount
Tran_Amt2	Cumulative Year-To-Date
Tran_Dscr	Transaction Description
Cmte_ID	Committee ID # (If [COM RCP] & no ID#, Treas Info Req.)
Tres_NamL	Treasurer Last Name (Req If [COM RCP] & no ID#)
Tres_NamF	Treasurer First Name (Req If [COM RCP] & no ID#)
Tres_NamT	Treasurer Title or Prefix
Tres_NamS	Treasurer Suffix
Tres_Adr1	Treasurer Address Line 1 (Req If [COM RCP] & no ID#)
Tres_Adr2	Treasurer Address Line 2
Tres_City	Treasurer City
Tres_State	Treasurer State
Tres_Zip	Treasurer Zip
Intr_NamL	Intermediary Last Name
Intr_NamF	Intermediary First Name
Intr_NamT	Intermediary Title or Prefix
Intr_NamS	Intermediary Suffix
Intr_Adr1	Intermediary Address Line 1

Column	Description
Intr_Adr2	Intermediary Address Line 2
Intr_City	Intermediary City
Intr_State	Intermediary State
Intr_Zip4	Intermediary Zip
Intr_Emp	Intermediary Employer
Intr_Occ	Intermediary Occupation
Intr_Self	Intermediary Self Employed? (check box value)
Cand_NamL	N/A
Cand_NamF	N/A
Cand_NamT	N/A
Cand_NamS	N/A
tblDetlTran.Office_Cd	N/A
tblDetlTran.Office_Dscr	N/A
Juris_Cd	N/A
Juris_Dscr	N/A
Dist_No	N/A
Off_S_H_Cd	N/A
Bal_Name	N/A
Bal_Num	N/A
Bal_Juris	N/A
Sup_Opp_Cd	N/A
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
XRef_SchNm	Related Items is Included on Schedules 'B2' or 'F'
XRef_Match	Related Item on another schedule has same transaction ID
Loan_Rate	Interest Rate on a Loan
Int_Cmteld	Committee ID for Transfer or Intermediary (required when Tran_type = X)
Schedule B1	Loans Received
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee Including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - Slate Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Office_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Contributor Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Lndr_NamL	Lender Entity's Last Name or Business Name
Lndr_NamF	Lender Entity's First Name
Lndr_NamT	Lender Entity's Prefix or Title
Lndr_NamS	Lender Entity's Suffix
Loan_Adr1	Transaction Entity's Address Line 1

Column	Description
Loan_Adr2	Transaction Entity's Address Line 2
Loan_City	Transaction Entity's City
Loan_ST	Transaction Entity's State
Loan_Zip4	Transaction Entity's Zip Code
Loan_Date1	Date Loan Incurred (Original Date)
Loan_Date2	Date Due (Date Loan Due)
Loan_Amt1	Loan Amount (Amount Received this Period)
Loan_Amt2	Outstanding Balance
Loan_Amt3	Cumulative Cont. to Date (Calendar Year)
Loan_Amt4	Outstanding Balance Beginning this Period
Loan_Rate	Interest Rate Paid This Period
Loan_EMP	Transaction Entity's Employer
Loan_OCC	Transaction Entity's Occupation
Loan_Self	Transaction Entity is self-employed? (Check Box) y/n
Comte_ID	Committee ID # (If [COM RCP] & no ID#, Treas info Req.)
Tres_NamL	Treasurer Last Name (Req if [COM RCP] & no ID#)
Tres_NamF	Treasurer First Name (Req if [COM RCP] & no ID#)
Tres_NamT	Treasurer Title or Prefix
Tres_NamS	Treasurer Suffix
Tres_Adr1	Treasurer Address Line 1 (Req if [COM RCP] & no ID#)
Tres_Adr2	Treasurer Address Line 2
Tres_City	Treasurer City
Tres_ST	Treasurer State
Tres_ZIP4	Treasurer Zip
B2Lender_Name-Inter_name	Intermediary Last Name
Intr_NamF	Intermediary First Name
Intr_NamT	Intermediary Title or Prefix
Intr_NamS	Intermediary Suffix
Intr_Adr1	Intermediary Address Line 1
Intr_Adr2	Intermediary Address Line 2
Intr_City	Intermediary City
Intr_ST	Intermediary State
Intr_ZIP4	Intermediary Zip
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
XRef_SchNm	Related item is included on Schedules A or E
XRef_Match	X = related item on other schedule has same Tran_ID
Loan_Amt5	Amount Paid this Period (B1 only)
Loan_Amt6	Amount Forgiven this Period (B1 only)
Loan_Amt7	Amount of Interest Paid this Period (B1 only)
Loan_Amt8	Original Amount of Loan (B1 only)
Schedule B2	Loan Gaurantors
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee Including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - Slate Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)

Column	Description
tblCover.Offic_Descr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Contributor Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Lndr_NamL	Gaurantor Entity's Last Name or Business Name
Lndr_NamF	Gaurantor Entity's First Name
Lndr_NamT	Gaurantor Entity's Prefix or Title
Lndr_NamS	Gaurantor Entity's Suffix
Loan_Adr1	Transaction Entity's Address Line 1
Loan_Adr2	Transaction Entity's Address Line 2
Loan_City	Transaction Entity's City
Loan_ST	Transaction Entity's State
Loan_Zip4	Transaction Entity's Zip Code
Loan_Date1	Date of Loan
Loan_Date2	N/A
Loan_Amt1	Amount Gauranteed this Period
Loan_Amt2	Balance Outstanding to Date
Loan_Amt3	Cumulative to Date (Calendar Year Amount)
Loan_Amt4	N/A
Loan_Rate	N/A
Loan_EMP	N/A
Loan_OCC	N/A
Loan_Self	N/A
Cmte_ID	Committee ID # (If [COM RCP] & no ID#, Treas Info Req.)
Tres_NamL	Treasurer Last Name (Req If [COM RCP] & no ID#)
Tres_NamF	Treasurer First Name (Req If [COM RCP] & no ID#)
Tres_NamT	Treasurer Title or Prefix
Tres_NamS	Treasurer Suffix
Tres_Adr1	Treasurer Address Line 1 (Req If [COM RCP] & no ID#)
Tres_Adr2	Treasurer Address Line 2
Tres_City	Treasurer City
Tres_ST	Treasurer State
Tres_ZIP4	Treasurer Zip
B2Lender_Name-Inter_name	Intermediary Last Name
Intr_NamF	Intermediary First Name
Intr_NamT	Intermediary Title or Prefix
Intr_NamS	Intermediary Suffix
Intr_Adr1	Intermediary Address Line 1
Intr_Adr2	Intermediary Address Line 2
Intr_City	Intermediary City
Intr_ST	Intermediary State
Intr_ZIP4	Intermediary Zip
Memo_Code	Memo Amount (Date/Amount are Informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
XRef_SchNm	Related item is included on Schedules A or E
XRef_Match	X = related item on other schedule has same Tran_ID
Loan_Amt5	N/A
Loan_Amt6	N/A
Loan_Amt7	N/A
Loan_Amt8	N/A
Schedule C	Nonmonetary Contributions Received

Column	Description
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - State Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Office_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Tran_NamL	Transaction Entity's Last Name or Business Name
Tran_NamF	Transaction Entity's First Name
Tran_NamT	Transaction Entity's Prefix or Title
Tran_NamS	Transaction Entity's Suffix
Tran_Adr1	Transaction Entity's Address Line 1
Tran_Adr2	Transaction Entity's Address Line 2
Tran_City	Transaction Entity's City
Tran_State	Transaction Entity's State
Tran_Zip4	Transaction Entity's Zip Code
Tran_Emp	Transaction Entity's Employer
Tran_Occ	Transaction Entity's Occupation
Tran_Self	Transaction Entity's self employed status (check box)
Tran_Type	Transaction Type (T=Third Party Repayment, F=Forgiven Loan, R=Returned (Neg. Amt?), I=Intermediary, X=Transfer)
Tran_Date	Transaction Date
Tran_Date1	Transaction Date (if a range)
Tran_Amt1	Transaction Amount/Fair Market Value
Tran_Amt2	Cumulative Year-To-Date
Tran_Dscr	Transaction Description
Cmte_ID	Committee ID # (If [COM RCP] & no ID#, Treas Info Req.)
Tres_NamL	Treasurer Last Name (Req If [COM RCP] & no ID#)
Tres_NamF	Treasurer First Name (Req If [COM RCP] & no ID#)
Tres_NamT	Treasurer Title or Prefix
Tres_NamS	Treasurer Suffix
Tres_Adr1	Treasurer Address Line 1 (Req If [COM RCP] & no ID#)
Tres_Adr2	Treasurer Address Line 2
Tres_City	Treasurer City
Tres_State	Treasurer State
Tres_Zip	Treasurer Zip
Intr_NamL	Intermediary Last Name
Intr_NamF	Intermediary First Name
Intr_NamT	Intermediary Title or Prefix
Intr_NamS	Intermediary Suffix
Intr_Adr1	Intermediary Address Line 1
Intr_Adr2	Intermediary Address Line 2
Intr_City	Intermediary City

Column	Description
Intr_State	Intermediary State
Intr_Zip4	Intermediary Zip
Intr_Emp	Intermediary Employer
Intr_Occ	Intermediary Occupation
Intr_Self	Intermediary Self Employed? (check box value)
Cand_NamL	N/A
Cand_NamF	N/A
Cand_NamT	N/A
Cand_NamS	N/A
tblDetlTran.Office_Cd	N/A
tblDetlTran.Offic_Dscr	N/A
Juris_Cd	N/A
Juris_Dscr	N/A
Dist_No	N/A
Off_S_H_Cd	N/A
Bal_Name	N/A
Bal_Num	N/A
Bal_Juris	N/A
Sup_Opp_Cd	N/A
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
XRef_SchNm	Related Items is included on Schedules 'B2' or 'F'
XRef_Match	Related item on another schedule has same transaction ID
Loan_Rate	Interest Rate on a Loan
Int_Cmteld	Committee ID for Transfer or Intermediary (required when Tran_type = X)
Schedule D	Summary of Expenditures Supporting/Opposing Other Candidates, Measures and Committees
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - Slate Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Offic_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Payee_NamL	Payee's Last name (candidate, committee, or measure affected by expenditure)
Payee_NamF	Payee's First name
Payee_NamT	Payee's Prefix or Title
Payee_NamS	Payee's Suffix
Payee_Adr1	Address of Payee
Payee_Adr2	Optional 2nd line of Address

Column	Description
Payee_City	Payee City
Payee_State	Payee State
Payee_Zip4	Payee Zip
Expn_Date	Date of Expenditure (Note: Date not on E & G)
Amount	Amount of Payment
Cum_YTD	Cumulative / YTD Amt (Note: No cumulatives on E & G)
Expn_ChkNo	Check Number (optional)
Expn_Code	Expense Code
Expn_Dscr	Purpose of Expense and/or Description / explanation
Agent_NamL	Agent or Individual Contractor's Last Name
Agent_NamF	Agent or Individual Contractor's First Name
Agent_NamT	Agent or Individual Contractor's Prefix or Title
Agent_NamS	Agent or Individual Contractor's Suffix
Cmta_ID	N/A
Tres_NamL	N/A
Tres_NamF	N/A
Tres_NamT	N/A
Tres_NamS	N/A
Tres_Adr1	N/A
Tres_Adr2	N/A
Tres_City	N/A
Tres_ST	N/A
Tres_ZIP4	N/A
Cand_NamL	Candidate's Last Name
Cand_NamF	Candidate's First Name
Cand_NamT	Candidate's Prefix or Title
Cand_NamS	Candidate's Suffix
Office_Cd	Office Sought
Offic_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Juris_Cd	Office Jurisdiction Code
Juris_Dscr	Office Jurisdiction Description (Required if Juris_CD=(CIT,CTY,LOC,OTH)
Dist_No	Office District Number (Required if Juris_CD=(SEN,ASM,BOE)
Off_S_H_Cd	Office Sought/Held Code: H=Held; S=Sought
Bal_Name	Ballot Measure Name
Bal_Num	Ballot Measure Number or Letter
Bal_Juris	Ballot Measure Jurisdiction
Sup_Opp_Cd	Support or Opposition of the Ballot Measure
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BackRef_TID	Back Reference to a Tran_ID of a "parent" record
G_From_E_F	Back reference from schedule G to E or F
XRef_SchNm	Related Item is included on schedules C or H2
XRef_Match	X = related item on other schedule has same Tran_ID
Schedule G	
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - State Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date

Column	Description
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Office_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Payee Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Payee_NamL	Payee's Last name
Payee_NamF	Payee's First name
Payee_NamT	Payee's Prefix or Title
Payee_NamS	Payee's Suffix
Payee_Adr1	Address of Payee
Payee_Adr2	Optional 2nd line of Address
Payee_City	Payee City
Payee_State	Payee State
Payee_Zip4	Payee Zip
Expn_Date	N/A (optional date of expenditure)
Amount	Amount of Payment (made by agent/contractor to payee)
Cum_YTD	N/A
Expn_ChkNo	Check Number (optional)
Expn_Code	Expense Code
Expn_Dscr	Purpose of Expense and/or Description / explanation
Agent_NamL	Agent or Individual Contractor's Last Name
Agent_NamF	Agent or Individual Contractor's First Name
Agent_NamT	Agent or Individual Contractor's Prefix or Title
Agent_NamS	Agent or Individual Contractor's Suffix
Cmte_ID	Committee ID # (If [COM RCP] & no ID#, Treas Info Req.)
Tres_NamL	Treasurer Last Name (Req If [COM RCP] & no ID#)
Tres_NamF	Treasurer First Name (Req If [COM RCP] & no ID#)
Tres_NamT	Treasurer Title or Prefix
Tres_NamS	Treasurer Suffix
Tres_Adr1	Treasurer Address Line 1 (Req If [COM RCP] & no ID#)
Tres_Adr2	Treasurer Address Line 2
Tres_City	Treasurer City
Tres_ST	Treasurer State
Tres_ZIP4	Treasurer Zip
Cand_NamL	N/A
Cand_NamF	N/A
Cand_NamT	N/A
Cand_NamS	N/A
Office_Cd	N/A
Office_Dscr	N/A
Juris_Cd	N/A
Juris_Dscr	N/A
Dist_No	N/A
Off_S_H_Cd	N/A
Bal_Name	N/A
Bal_Num	N/A
Bal_Juris	N/A
Sup_Opp_Cd	N/A
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BackRef_TID	Back Reference to a Tran_ID of a "parent" record
G_From_E_F	Back reference from schedule G to E or F

Field Name	Description
XRef_SchNm	Related Item is included on schedules C or H2
XRef_Match	X = related item on other schedule has same Tran_ID
Schedule E	Payments Made
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - State Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Offic_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Payee Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Payee_NamL	Payee's Last name
Payee_NamF	Payee's First name
Payee_NamT	Payee's Prefix or Title
Payee_NamS	Payee's Suffix
Payee_Adr1	Address of Payee
Payee_Adr2	Optional 2nd line of Address
Payee_City	Payee City
Payee_State	Payee State
Payee_Zip4	Payee Zip
Expn_Date	N/A (optional date of expenditure)
Amount	Amount of Payment
Cum_YTD	N/A
Expn_ChkNo	Check Number (optional)
Expn_Code	Expense Code - Values: (Note: CTB (when non-monetary) & IND need explanation & listing on Schedule D.)
Expn_Dscr	Purpose of Expense and/or Description / explanation
Agent_NamL	Agent or Individual Contractor's Last Name
Agent_NamF	Agent or Individual Contractor's First Name
Agent_NamT	Agent or Individual Contractor's Prefix or Title
Agent_NamS	Agent or Individual Contractor's Suffix
Cmte_ID	Committee ID
Tres_NamL	Treasurer's Last Name (Req If [COM RCP] & no ID#)
Tres_NamF	Treasurer's First Name (Req If [COM RCP] & no ID#)
Tres_NamT	Treasurer's Prefix or Title
Tres_NamS	Treasurer's Suffix
Tres_Adr1	Treasurer's Street Address 1 (Req If [COM RCP] & no ID#)
Tres_Adr2	Treasurer's Street Address 2
Tres_City	Treasurer's City
Tres_ST	Treasurer's State
Tres_ZIP4	Treasurer's Zip
Cand_NamL	N/A
Cand_NamF	N/A

Column	Description
Cand_NamT	N/A
Cand_NamS	N/A
Office_Cd	N/A
Offic_Dscr	N/A
Juris_Cd	N/A
Juris_Dscr	N/A
Dist_No	N/A
Off_S_H_Cd	N/A
Bal_Name	N/A
Bal_Num	N/A
Bal_Juris	N/A
Sup_Opp_Cd	N/A
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
G_From_E_F	Back reference from schedule G to E or F
XRef_SchNm	Related item is included on schedules C or H2
XRef_Match	X = related item on other schedule has same Tran_ID
Schedule F	Accrued Expenses (Unpaid Bills)
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - State Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Offic_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Vendor Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org....)
Payee_NamL	Payee's Last name
Payee_NamF	Payee's First name
Payee_NamT	Payee's Prefix or Title
Payee_NamS	Payee's Suffix
Payee_Adr1	Address of Payee
Payee_Adr2	Optional 2nd line of Address
Payee_City	Payee City
Payee_State	Payee State
Payee_Zip4	Payee Zip
Beg_Bal	Outstanding balance at beginning of this period
Amt_Incur	Amount incurred this period
Amt_Paid	Amount paid this period
End_Bal	Outstanding balance at close of this period
Expn_Code	Expense Code - Values: (Note: CTB (when non-monetary) & IND need explanation & listing on Schedule D.)
Expn_Dscr	Purpose of Expense and/or Description / explanation

Column	Description
Cmte_ID	Committee ID
Tres_NamL	Treasurer's Last Name (Req If (COM RCP) & no ID#)
Tres_NamF	Treasurer's First Name (Req If (COM RCP) & no ID#)
Tres_NamT	Treasurer's Prefix or Title
Tres_NamS	Treasurer's Suffix
Tres_Adr1	Treasurer's Street Address 1 (Req If (COM RCP) & no ID#)
Tres_Adr2	Treasurer's Street Address 2
Tres_City	Treasurer's City
Tres_ST	Treasurer's State
Tres_ZIP4	Treasurer's Zip
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
XRef_SchNm	Related item is included on schedules C
XRef_Match	X = related item on other schedule has same Tran_ID
Schedule H	Loans Made to Others
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - State Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Office_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Transaction Entity Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Lndr_NamL	Transaction Entity's Last Name or Business Name
Lndr_NamF	Transaction Entity's First Name
Lndr_NamT	Transaction Entity's Prefix or Title
Lndr_NamS	Transaction Entity's Suffix
Loan_Adr1	Transaction Entity's Address Line 1
Loan_Adr2	Transaction Entity's Address Line 2
Loan_City	Transaction Entity's City
Loan_ST	Transaction Entity's State
Loan_Zip4	Transaction Entity's Zip Code
Loan_Date1	Date Loan Made (Original Date)
Loan_Date2	Date Loan Due
Loan_Amt1	Amount Loaned This Period
Loan_Amt2	Outstanding Balance at Close of this Period
Loan_Amt3	Cumulative Loans to Date (Calendar Year)
Loan_Amt4	Outstanding Balance Beginning this Period
Loan_Rate	Interest Received Rate
Loan_EMP	Employer (If Sched B1, or Sched H)
Loan_OCC	Occupation (If Sched B1, or Sched H)
Loan_Self	Check Box: Self Employed?(Sched B1 & H)

Column	Description
Cmte_ID	N/A
Tres_NamL	N/A
Tres_NamF	N/A
Tres_NamT	N/A
Tres_NamS	N/A
Tres_Adr1	N/A
Tres_Adr2	N/A
Tres_City	N/A
Tres_ST	N/A
Tres_ZIP4	N/A
B2Lender_Name-Inter_name	Intermediary Last Name
Intr_NamF	Intermediary First Name
Intr_NamT	Intermediary Title or Prefix
Intr_NamS	Intermediary Suffix
Intr_Adr1	Intermediary Address Line 1
Intr_Adr2	Intermediary Address Line 2
Intr_City	Intermediary City
Intr_ST	Intermediary State
Intr_ZIP4	Intermediary Zip
Memo_Code	Memo Amount (Date/Amount are Informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
XRef_SchNm	Related Item is Included on Schedules A or E
XRef_Match	X = related item on other schedule has same Tran_ID
Loan_Amt5	Amount Paid this Period
Loan_Amt6	Amount Forgiven this Period
Loan_Amt7	Amount of Interest Received this Period
Loan_Amt8	Original Amount of Loan
Schedule I	Miscellaneous Increases to Cash
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee Including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - Slate Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Offic_Descr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Transaction Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org...)
Tran_NamL	Transaction Entity's Last Name or Business Name
Tran_NamF	Transaction Entity's First Name
Tran_NamT	Transaction Entity's Prefix or Title
Tran_NamS	Transaction Entity's Suffix
Tran_Adr1	Transaction Entity's Address Line 1
Tran_Adr2	Transaction Entity's Address Line 2

Column	Description
Tran_City	Transaction Entity's City
Tran_State	Transaction Entity's State
Tran_Zip4	Transaction Entity's Zip Code
Tran_Emp	Transaction Entity's Employer
Tran_Occ	Transaction Entity's Occupation
Tran_Self	Transaction Entity's self employed status (check box)
Tran_Type	Transaction Type (T=Third Party Repayment, F=Forgiven Loan, R=Returned (Neg. Amt?), I=Intermediary, X=Transfer)
Tran_Date	Transaction Date
Tran_Date1	Transaction Date (if a range)
Tran_Amt1	Transaction Amount
Tran_Amt2	Cumulative Year-To-Date
Tran_Dscr	Transaction Description
Cmte_ID	Committee ID # (if [COM RCP] & no ID#, Treas Info Req.)
Tres_NamL	Treasurer Last Name (Req if [COM RCP] & no ID#)
Tres_NamF	Treasurer First Name (Req if [COM RCP] & no ID#)
Tres_NamT	Treasurer Title or Prefix
Tres_NamS	Treasurer Suffix
Tres_Adr1	Treasurer Address Line 1 (Req if [COM RCP] & no ID#)
Tres_Adr2	Treasurer Address Line 2
Tres_City	Treasurer City
Tres_State	Treasurer State
Tres_Zip	Treasurer Zip
Intr_NamL	Intermediary Last Name
Intr_NamF	Intermediary First Name
Intr_NamT	Intermediary Title or Prefix
Intr_NamS	Intermediary Suffix
Intr_Adr1	Intermediary Address Line 1
Intr_Adr2	Intermediary Address Line 2
Intr_City	Intermediary City
Intr_State	Intermediary State
Intr_Zip4	Intermediary Zip
Intr_Emp	Intermediary Employer
Intr_Occ	Intermediary Occupation
Intr_Self	Intermediary Self Employed? (check box value)
Cand_NamL	N/A
Cand_NamF	N/A
Cand_NamT	N/A
Cand_NamS	N/A
tblDetlTran.Office_Cd	N/A
tblDetlTran.Office_Dscr	N/A
Juris_Cd	N/A
Juris_Dscr	N/A
Dist_No	N/A
Off_S_H_Cd	N/A
Bal_Name	N/A
Bal_Num	N/A
Bal_Juris	N/A
Sup_Opp_Cd	N/A
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
XRef_SchNm	Related Items is included on Schedules 'B2' or 'F'
XRef_Match	Related Item on another schedule has same transaction ID
Loan_Rate	Interest Rate on a Loan
Int_Cmteld	Committee ID for Transfer or Intermediary (required when Tran_type = X)

Column	Description
Summary	Totals from the 460 Summary Page and subtotals from each schedule
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee Including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - Slate Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written In CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover.Office_Cd	Office Sought (Codes in CAL Spec)
tblCover.Office_Descr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.) If a letter then it is a schedule from Form 460; Otherwise indicates FPPC
Form_Type	Form number Summary page line item (If Form Type = FPPC Form # such as 460 then lines from the Summary Page, if Form Type = letters then subtotal lines from each schedule page)
Line_Item	
Amount_A	If Form_Type=F460 then Total this period (From Attached Schedules), if schedule letter value = line item from subtotal on 460 form schedule. If Form Type=other FPPC form, refer to the applicable summary page
Amount_B	If Form_Type=F460 then Calendar Year Total to Date, if schedule letter value = line item from subtotal on 460 form schedule. If Form Type=other FPPC form, refer to the applicable summary page
Amount_C	If Form_Type=F460 then Election Total to Date (No longer in use), if schedule letter value = line item from subtotal on 460 form schedule. If Form Type=other FPPC form, refer to the applicable summary page
497	Contribution Report
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee Including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - Slate Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written In CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Rec_Type	Record Type Value: S497
Form_Type	Schedule Name/ID (Value: F497P1 = Late Contribution Received, F497P2 = Late Contribution Made)
Tran_ID	Transaction ID - permanent value unique to this item
Entity_Cd	Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Enty_NamL	Contributor/Recipient's Last name
Enty_NamF	Contributor/Recipient's First name
Enty_NamT	Contributor/Recipient's Prefix or Title

Column	Description
Enty_NamS	Contributor/Recipient's Suffix
Enty_Adr1	Address of Contributor/Recipient
Enty_Adr2	Address of Contributor/Recipient Optional Line 2
Enty_City	City
Enty_ST	State Code
Enty_Zip4	Zip Code
Ctrib_Emp	Employer
Ctrib_Occ	Occupation
Ctrib_Self	Check Box: Self Employed?
Elec_Date	Date of Election (Required if Late Contribution Made)
Ctrib_Date	Date Item Received/Made (Begin date of date range for Items received)
Date_Thru	End-date of date range for Items received
Amount	Amount Received/Made
Cmte_ID	Committee ID (Required if Entity_CD=CAO RCP)(Absolutely Required on F497P2 when Entity_CD=CAO RCP)
Cand_NamL	Candidate's Last Name
Cand_NamF	Candidate's First Name
Cand_NamT	Candidate's Prefix or Title
Cand_NamS	Candidate's Suffix
Office_Cd	Office Sought (Codes in CAL Spec)
Offic_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Juris_Cd	Office Jurisdiction Code
Juris_Dscr	Office Jurisdiction Description (Required if Juris_CD=(CIT,CTY,LOC,OTH)
Dist_No	Office District Number (Required if Juris_CD=(SEN,ASM,BOE)
Off_S_H_Cd	Office Sought/Held Code: H=Held; S=Sought
Bal_Name	Ballot Measure Name
Bal_Num	Ballot Number or Letter
Bal_Juris	Jurisdiction
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
Rpt_ID_Num	Identifying Report Number on a Late Ctrib/Payment Rpt or an Ind Exp Report (Req. on F496, F497). (This user assigned value is printed in the Report No. and Amended Report No. fields on 496 & 497.)
496	Independent Expenditure Report
Filer_ID	Filer ID (FPCC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee Including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - Slate Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Rec_Type	Record Type Value: S496
Form_Type	Schedule Name/ID Value: F496 = Independent Expenditures Made
Tran_ID	Transaction ID - permanent value unique to this item
Amount	Expenditure Amount
Exp_Date	Expenditure Date (Begin date of date range for items paid)
Date_Thru	End-date of date range for Items paid
Expn_Dscr	Purpose of Expenditure and/or Description

Column	Description
Memo_Code	Memo Amount (Date/Amount are Informational only)
Memo_RefNo	Reference to text contained in a TEXT record
Bal_Name	Ballot Measure Name, Supported or Opposed
Bal_Num	Ballot Measure Number or Letter
Bal_Juris	Ballot Measure Jurisdiction
Sup_Opp_Cd	Support or Opposition of the Ballot Measure or Candidate (Shared Field)
Cand_NamL	Candidate Last Name, Supported or Opposed
Cand_NamF	Candidate First Name
Cand_NamT	Candidate Title or Prefix
Cand_NamS	Candidate Suffix
Office_Cd	Office Sought (Codes in CAL Spec)
Office_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Juris_Cd	Office Jurisdiction Code
Juris_Dscr	Office Jurisdiction Description (Required if Juris_CD={CIT,CTY,LOC,OTH})
Dist_No	Office District Number (Required if Juris_CD={SEN,ASM,BOE})
Rpt_ID_Num	Identifying Report Number on a Late Ctrib/Payment Rpt or an Ind Exp Report (Req. on F496, F497). (This user assigned value is printed in the Report No. and Amended Report No. fields on 496 & 497.) Late contributions of \$100 or more received since the closing date of the last campaign statement (Form 450 or 460) through the date of the independent expenditure.
F496P3-Contributions	
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - Slate Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure)
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
tbiCover.Office_Cd	Office Sought (Codes in CAL Spec)
tbiCover.Office_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Section on Form 496
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Contributor Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Tran_NamL	Transaction Entity's Last Name or Business Name
Tran_NamF	Transaction Entity's First Name
Tran_NamT	Transaction Entity's Prefix or Title
Tran_NamS	Transaction Entity's Suffix
Tran_Adr1	Transaction Entity's Address Line 1
Tran_Adr2	Transaction Entity's Address Line 2
Tran_City	Transaction Entity's City
Tran_State	Transaction Entity's State
Tran_Zip4	Transaction Entity's Zip Code
Tran_Emp	Transaction Entity's Employer
Tran_Occ	Transaction Entity's Occupation
Tran_Self	Transaction Entity's self employed status (check box)

Column	Description
Tran_Type	Transaction Type (T=Third Party Repayment, F=Forgiven Loan, R=Returned (Neg. Amt?), I=Intermediary, X=Transfer)
Tran_Date	Transaction Date
Tran_Date1	Transaction Date (if a range)
Tran_Amt1	Transaction Amount
Tran_Amt2	Cumulative Year-To-Date
Tran_Dscr	Transaction Description
Cmte_ID	Committee ID # (if [COM RCP] & no ID#, Treas Info Req.)
Tres_NamL	Treasurer Last Name (Req if [COM RCP] & no ID#)
Tres_NamF	Treasurer First Name (Req if [COM RCP] & no ID#)
Tres_NamT	Treasurer Title or Prefix
Tres_NamS	Treasurer Suffix
Tres_Adr1	Treasurer Address Line 1 (Req if [COM RCP] & no ID#)
Tres_Adr2	Treasurer Address Line 2
Tres_City	Treasurer City
Tres_State	Treasurer State
Tres_Zip	Treasurer Zip
Intr_NamL	Intermediary Last Name
Intr_NamF	Intermediary First Name
Intr_NamT	Intermediary Title or Prefix
Intr_NamS	Intermediary Suffix
Intr_Adr1	Intermediary Address Line 1
Intr_Adr2	Intermediary Address Line 2
Intr_City	Intermediary City
Intr_State	Intermediary State
Intr_Zip4	Intermediary Zip
Intr_Emp	Intermediary Employer
Intr_Occ	Intermediary Occupation
Intr_Self	Intermediary Self Employed? (check box value)
Cand_NamL	N/A
Cand_NamF	N/A
Cand_NamT	N/A
Cand_NamS	N/A
tblDetlTran.Office_Cd	N/A
tblDetlTran.Office_Dscr	N/A
Juris_Cd	N/A
Juris_Dscr	N/A
Dist_No	N/A
Off_S_H_Cd	N/A
Bal_Name	N/A
Bal_Num	N/A
Bal_Juris	N/A
Sup_Opp_Cd	N/A
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
XRef_SchNm	N/A
XRef_Match	N/A
Loan_Rate	Interest Rate on a Loan
Int_Cmteld	Committee ID for Transfer or Intermediary (required when Tran_type = X)
F465P3-Expenditure	Independent Expenditures Made
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)

Column	Description
	Type of Committee Including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMO - Slate Mailer Organization, BMC - Ballot Measure Committee, MDI - Major
Committee_Type	Donor/Independent Expenditure
Rpt_Date	Date Document Is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover_Office_Cd	Office Sought (Codes in CAL Spec)
tblCover_Offic_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Payee_NamL	Payee's Last name (candidate, committee, or measure affected by expenditure)
Payee_NamF	Payee's First name
Payee_NamT	Payee's Prefix or Title
Payee_NamS	Payee's Suffix
Payee_Adr1	Address of Payee
Payee_Adr2	Optional 2nd line of Address
Payee_City	Payee City
Payee_State	Payee State
Payee_Zip4	Payee Zip
Expn_Date	Date of Expenditure
Amount	Amount of Payment
Cum_YTD	Cumulative / YTD Amt
Expn_ChkNo	Check Number (optional)
Expn_Code	Expense Code
Expn_Dscr	Purpose of Expense and/or Description / explanation
Agent_NamL	Agent or Individual Contractor's Last Name
Agent_NamF	Agent or Individual Contractor's First Name
Agent_NamT	Agent or Individual Contractor's Prefix or Title
Agent_NamS	Agent or Individual Contractor's Suffix
Cmte_ID	N/A
Tres_NamL	N/A
Tres_NamF	N/A
Tres_NamT	N/A
Tres_NamS	N/A
Tres_Adr1	N/A
Tres_Adr2	N/A
Tres_City	N/A
Tres_ST	N/A
Tres_ZIP4	N/A
Cand_NamL	Candidate's Last Name
Cand_NamF	Candidate's First Name
Cand_NamT	Candidate's Prefix or Title
Cand_NamS	Candidate's Suffix
Office_Cd	Office Sought
Office_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Juris_Cd	Office Jurisdiction Code
Juris_Dscr	Office Jurisdiction Description (Required if Juris_CD=(CIT,CTY,LOC,OTH)

Column	Description
Dist_No	Office District Number (Required if Juris_CD=(SEN,ASM,BOE)
Off_S_H_Cd	Office Sought/Held Code: H=Held; S=Sought
Bal_Name	Ballot Measure Name
Bal_Num	Ballot Measure Number or Letter
Bal_Juris	Ballot Measure Jurisdiction
Sup_Opp_Cd	Support or Opposition of the Ballot Measure
Memo_Code	Memo Amount (Date/Amount are Informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
G_From_E_F	Back reference from schedule G to E or F
XRef_SchNm	Related item is included on schedules C or H2
XRef_Match	X = related item on other schedule has same Tran_ID
461P5-Expenditure	Contributions (including Loans, Forgiveness of Loans, and Loan Guarantees) and Expenditures Made
Filer_ID	Filer ID (FPPC or Local)
Filer_NamL	Filer Name (Committee Name)
Report_Num	Report Number (Amendment Sequence Order, 000 Original Report, 001-999 Amendment Report #)
Committee_Type	Type of Committee including (CAO - Candidate/Officeholder, CTL - Controlled Committee, RCP - Recipient Committee, SMD - State Mailer Organization, BMC - Ballot Measure Committee, MDI - Major Donor/Independent Expenditure
Rpt_Date	Date Document is Generated (As Written in CAL Submission)
From_Date	Period Start Date
Thru_Date	Period End Date
Elect_Date	Election Date
tblCover_Office_Cd	Office Sought (Codes in CAL Spec)
tblCover_Offic_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Rec_Type	Record Type Value (Type of data, IE: Cover Page, Expenditure, etc.)
Form_Type	Schedule from Form 460
Tran_ID	Transaction ID # (not necessarily consistent between Original and Amendments)
Entity_Cd	Type of Entity (Individual, Political Party, Small Contributor Committee, Other - e.g. a Bus, Cmte, Org,...)
Payee_NamL	Payee's Last name (candidate, committee, or measure affected by expenditure)
Payee_NamF	Payee's First name
Payee_NamT	Payee's Prefix or Title
Payee_NamS	Payee's Suffix
Payee_Adr1	Address of Payee
Payee_Adr2	Optional 2nd line of Address
Payee_City	Payee City
Payee_State	Payee State
Payee_Zip4	Payee Zip
Expn_Date	Date of Expenditure
Amount	Amount of Payment
Cum_YTD	Cumulative / YTD Amt
Expn_ChkNo	Check Number (optional)
Expn_Code	Expense Code
Expn_Dscr	Purpose of Expense and/or Description / explanation
Agent_NamL	Agent or Individual Contractor's Last Name
Agent_NamF	Agent or Individual Contractor's First Name
Agent_NamT	Agent or Individual Contractor's Prefix or Title
Agent_NamS	Agent or Individual Contractor's Suffix
Cmta_ID	N/A
Tres_NamL	N/A

Column	Description
Tres_NamF	N/A
Tres_NamT	N/A
Tres_NamS	N/A
Tres_Adr1	N/A
Tres_Adr2	N/A
Tres_City	N/A
Tres_ST	N/A
Tres_ZIP4	N/A
Cand_NamL	Candidate's Last Name
Cand_NamF	Candidate's First Name
Cand_NamT	Candidate's Prefix or Title
Cand_NamS	Candidate's Suffix
Office_Cd	Office Sought
Office_Dscr	Office Sought Description (Required if Office_CD is "OTH" Code for Other)
Juris_Cd	Office Jurisdiction Code
Juris_Dscr	Office Jurisdiction Description (Required if Juris_CD=(CIT,CTY,LOC,OTH)
Dist_No	Office District Number (Required if Juris_CD=(SEN,ASM,BOE)
Off_S_H_Cd	Office Sought/Held Code: H=Held; S=Sought
Bal_Name	Ballot Measure Name
Bal_Num	Ballot Measure Number or Letter
Bal_Juris	Ballot Measure Jurisdiction
Sup_Opp_Cd	Support or Opposition of the Ballot Measure
Memo_Code	Memo Amount (Date/Amount are informational only)
Memo_RefNo	Reference to text contained in a TEXT record
BakRef_TID	Back Reference to a Tran_ID of a "parent" record
G_From_E_F	Back reference from schedule G to E or F
XRef_SchNm	Related item is included on schedules C or H2
XRef_Match	X = related item on other schedule has same Tran_ID
EmplBus_CB	Employer/Business info included check-box
Bus_Name	Name of Employer/Business
Bus_Adr1	Employer/Business Street 1
Bus_Adr2	Employer/Business Street 2
Bus_City	Employer/Business City
Bus_ST	Employer/Business State
Bus_ZIP4	Employer/Business ZIP+4
Bus_Inter	Employer/Business Interests
BusAct_CB	Business Activity info included check-box
BusActivity	Business Activity description
Assoc_CB	Association Interests info included check-box
Assoc_Int	Association Interests description
Other_CB	Other Entity Interests info included check-box
Other_Int	Other Entity Interests description

- INTERVIEW -

Mr. Myers: Lee Myers, FPPC.

Ms. Kelly: Margaux Kelly.

Mr. Sutton: Jim Sutton, representing Margaux Kelly.

Ms. West: Galena West, FPPC.

Mr. Myers: And really all we want to talk to you about is, I mean, we subpoenaed some emails from you, and we just want some clarification on who the people involved were, and if you could walk us through a few of them –

Ms. Kelly: Okay.

Mr. Myers: – and get a little back story. So, you worked for Mark Farrell's campaign, correct?

Ms. Kelly: Right.

Mr. Myers: And at what capacity?

Ms. Kelly: I was his day to day person, the campaign manager.

Mr. Myers: Okay, and what did that really entail?

Ms. Kelly: I ran a field program –

Mr. Myers: Okay.

Ms. Kelly: – that was door-to-door contact with voters that we met at community events and we had house parties where we met voters and that kind of thing. I did all of the Supervisor's scheduling. He was a candidate at the time.

Mr. Myers: Yeah.

Ms. Kelly: So, I did his scheduling and yeah, that's the most of it.

Ms. West: That's a lot.

Mr. Myers: Uh-huh. That's a lot.

Ms. Kelly: Yeah, it kept me busy.

Mr. Myers: And so you work for Supervisor Farrell right now, correct?

1 Ms. Kelly: Right.

2 Mr. Myers: And what's your capacity there currently?

3 Ms. Kelly: Most of the legislative aid functions include constituent services,
4 legislative process through the Board, help to write newsletters, that
5 kind of thing.

6 Mr. Myers: Okay and in 2010, Supervisor Aliota-Pier she was determined that
7 she was not going to be able to run again, correct?

8 Ms. Kelly: That happened, yeah, in the year 2010, yeah.

9 Mr. Myers: And so as Mark Farrell's campaign manager, how did that change
10 things for you guys once it was determined that she was not able to
11 run?

12 Ms. Kelly: That we would stay in the race.

13 Mr. Myers: Oh, okay. So, you were in danger of getting out of the race with her
14 still in it. Is that what you're meaning?

15 Ms. Kelly: If she ran, we wouldn't have run.

16 Mr. Myers: Okay.

17 Ms. Kelly: She was an incumbent at the time.

18 Mr. Myers: Okay and so after that, did she endorse a particular candidate,
19 Supervisor Aliota-Pier?

20 Ms. Kelly: Yeah. She endorsed Mark Farrell, yeah.

21 Mr. Myers: For District Two Supervisor?

22 Ms. Kelly: Uh-huh.

23 Mr. Myers: Okay. We brought some emails; mostly they look familiar to you. If
24 you flip to the first half which is Exhibit 1, [REDACTED]
25 [REDACTED]
26 [REDACTED] Liz Briggs is Mark's wife, right?

27 Ms. Kelly: Right.

28 Mr. Myers: Okay.

1 Ms. Kelly: Yeah.

2 Mr. Sutton: They just had their third baby about how long ago?

3 Ms. Kelly: Six days ago.

4 Ms. West: Wow.

5 Mr. Myers: Everything turn out well, I mean, did things go well?

6 Ms. Kelly: Oh, yeah. Yeah, yeah, yeah.

7 Mr. Myers: Good, good to hear.

8 Ms. Kelly: Big kid.

9 Mr. Myers: And so, who is Chris Lee?

10 Ms. Kelly: Chris was Mark's campaign consultant.

11 Mr. Myers: And Catherine Stefani? Is it Stefani or Stephanie (phonetic)?

12 Ms. Kelly: Stefani.

13 Mr. Myers: Stefani, okay.

14 Ms. Kelly: Yeah. Who was she?

15 Mr. Myers: Yes.

16 Ms. Kelly: She was Supervisor Aliota-Pier's legislative aid.

17 Mr. Myers: And Bill Barnes?

18 Ms. Kelly: Supervisor Aliota-Pier's legislative aid.

19 Mr. Myers: Okay, so they're both her legislative aids?

20 Ms. Kelly: Yeah.

21 Mr. Myers: And then it says not attending Schlackman. Who's Schlackman?

22 Ms. Kelly: He is Supervisor Aliota-Pier's campaign consultant.

23 Mr. Myers: Do you recall the nature of that meeting? I know it's two years ago.

24 Ms. Kelly: If it was after she declared that she was not able to run –

25 Mr. Myers: Uh-huh.

26 Ms. Kelly: – then it was probably about her endorsing the Supervisor.

27 Mr. Myers: Okay. Was Mark Farrell at that meeting also? Do you recall?

28 Ms. Kelly: I don't remember that he was there specifically –

1 Mr. Myers: Uh-huh.

2 Ms. Kelly: – I mean, he's listed here, but I don't specifically remember him
3 being there. I don't actually remember the details of the meeting –

4 Mr. Myers: Uh-huh.

5 Ms. Kelly: – so I can't say for sure if he was there.

6 Ms. West: Does the bottom part help refresh your memory? [REDACTED]
7 [REDACTED]
8 [REDACTED]

9 Ms. Kelly: I'm sure this is when a candidate or when an elected official
10 endorses another candidate. They can help with, you know,
11 different aspects of our campaign like, you know, good places to
12 put up signs, that kind of thing.

13 Ms. West: Do you what HP locations stands for?

14 Mr. Kelly: House party.

15 Ms. Sutton: Okay.

16 Mr. Myers: Oh, okay.

17 Ms. West: You're like hand (overlapping).

18 Mr. Myers: Yeah, I was like (overlapping).

19 Mr. Sutton: (Overlapping).

20 Ms. West: I know. I was lost on that one.

21 Mr. Myers: Okay. On Exhibit 2, the second tab.

22 Mr. Sutton: Can I ask one question? Do you mind?

23 Mr. Myers: Sure.

24 Mr. Sutton: Do you even remember whether you were there in this meeting?

25 Ms. Kelly: I don't remember discussing any of these things. I mean, I just
26 don't remember going to a meeting and like talking about like house
27 party locations or where to put the window signs or like any of that.

28 Ms. West: Huh, and this was at Supervisor Aliota-Pier's house. So, I don't

1 know if that helps you remember if you were there or not.

2 Ms. Kelly: I may have been to her house before.

3 Ms. West: Okay.

4 Mr. Sutton: If I can try. I understand why Supervisor Aliota-Pier didn't run for
5 office, right?

6 Ms. West: Uh-huh.

7 Mr. Sutton: Yeah. So, it was this week, right?

8 Ms. West: It was.

9 Mr. Sutton: I mean, you guys know the actual date of when the –

10 Mr. Myers: I thought it was late August.

11 Mr. Sutton: – Court of Appeal decision came down. I mean, it was right then.

12 Mr. Myers: Yeah, it was right then.

13 Ms. West: Right. It was right at this time.

14 Mr. Sutton: And I'm kind of with Margaux that, you know, it's getting her people
15 together.

16 Ms. West: Uh-huh.

17 Mr. Sutton: So, it's talking about how she's going to endorse him, and I don't
18 mind saying, it's a big deal, right –

19 Ms. West: Right, right.

20 Mr. Sutton: – you know, because –

21 Ms. West: Of course.

22 Mr. Sutton: – she hadn't endorsed anyone –

23 Ms. Kelly: Huh-uh.

24 Mr. Sutton: – you know, and I saw the same thing. I said, well, you wouldn't
25 put up window signs that said, I guess you might, saying Aliota-Pier
26 endorsed Farrell. This could have been something separate, right?
27 This is you talking to Mark and Chris and yet you sound like 25
28 emails a day. This could be a separate, like not necessarily why

1 you're bringing them for the meeting. I don't know.

2 Ms. Kelly: I don't remember going over the specifics of this with them ever, so

3 —

4 Ms. West: Okay.

5 Ms. Kelly: — yeah.

6 Mr. Sutton: Yeah, okay.

7 Ms. Kelly: They never ended up helping us in these ways.

8 Ms. West: They meaning Supervisor Aliota-Pier's people?

9 Ms. Kelly: Yeah.

10 Ms. West: Okay.

11 Mr. Sutton: If that makes sense.

12 Ms. Kelly: Yeah. They were lazy.

13 Mr. Sutton: I don't know about that, but that's your job. I mean, that's your job.

14 Yeah, yeah, yeah.

15 Ms. Kelly: Yeah, yeah, yeah. Yeah, yeah.

16 Mr. Sutton: So, you would know, okay.

17 Mr. Myers: Okay. So, on the second tab that's (inaudible). [REDACTED]

18 [REDACTED]

19 [REDACTED]

20 Ms. Kelly: Yeah.

21 Mr. Myers: Okay. [REDACTED]

22 [REDACTED]

23 [REDACTED]

24 [REDACTED]

25 [REDACTED]

26 [REDACTED] So, at that meeting, you don't think that was discussed

27 with the list or anything like that, not to your knowledge?

28 Ms. Kelly: Which?

1 Mr. Myers: At the prior meeting?

2 Ms. Kelly: Which list?

3 Mr. Myers: The list that's referenced here, the getting the list from Stefani.

4 Ms. Kelly: Do I think this list was discussed at that meeting?

5 Mr. Myers: Yes.

6 Ms. Kelly: No. I mean, I don't remember. I mean, I'm sure that meeting was
7 about her endorsement whereas this email is about once she had
8 endorsed Mark, then I know after that she was going to send a
9 fundraising e-blast out with her saying –

10 Ms. West: This is probably about that, the fundraising e-blast.

11 Ms. Kelly: – that –

12 Ms. West: Right, because it's about the fundraising e-blast tags and getting
13 the list from Stefani. Is the list –

14 Mr. Myers: They – I don't –

15 Ms. Kelly: – I'm confused.

16 Mr. Myers: No, no, no. It's that who is she fundraising for? Is she fundraising
17 for Mark? Is she fundraising for maybe some other group?

18 Ms. Kelly: Oh, no. She's fundraising for Mark, the candidate.

19 Ms. West: Right, okay.

20 Mr. Myers: Okay.

21 Ms. West: Thank you.

22 Mr. Sutton: Yeah, yeah, yeah.

23 Ms. West: Yeah.

24 Mr. Sutton: We don't have to be shy about what's going on here, right?

25 Ms. West: Right.

26 Mr. Sutton: Yeah.

27 Ms. West: Okay, and then the list from Stefani, is that a list of her fundraisers,
28 Aliota-Pier's?

1 Ms. Kelly: It's a list of people who have given to Michela in the past.
2 Ms. West: Okay.
3 Ms. Kelly: Yeah.
4 Mr. Sutton: So, Michela hit up all of her prior contributors.
5 Ms. Kelly: Yeah.
6 Mr. Sutton: Said, hey, I just endorsed Mark Farrell –
7 Ms. Kelly: Yeah.
8 Ms. West: Right.
9 Mr. Sutton: – and you should write him a check.
10 Ms. Kelly: Yeah.
11 Mr. Sutton: Yeah, okay.
12 Ms. West: Okay.
13 Ms. Kelly: That's all we've got.
14 Mr. Myers: That makes sense.
15 Mr. Sutton: Yeah.
16 Mr. Myers: Okay. On Exhibit 3. [REDACTED]
17 [REDACTED]
18 [REDACTED]
19 [REDACTED] What would the text be?
20 Ms. Kelly: That I endorsed Mark Farrell and that he's the most qualified
21 person for the position.
22 Mr. Myers: So, Supervisor Allota-Pier's staff actually wrote the endorsement?
23 Am I reading that?
24 Ms. Kelly: No, I mean, it says that they're sending the list to him in an hour. I
25 don't know who wrote the text.
26 Mr. Myers: Okay.
27 Ms. West: We're still talking about the fundraising (inaudible), right?
28 Mr. Sutton: Doesn't matter, and that they wrote the –

1 Ms. Kelly: Oh yeah. No, no, no. I mean, it says they're sending the text, but I
2 just don't know who wrote it.

3 Ms. West: Yeah.

4 Ms. Kelly: I can't say specifically it was Bill or if it was – I don't know.

5 Mr. Myers: Okay.

6 Mr. Sutton: Didn't like 85 copies of her email end up in the –

7 Ms. West: Yeah.

8 Mr. Myers: Yeah.

9 Mr. Sutton: – if I remember. I think, yeah.

10 Ms. Kelly: Yeah, I know that.

11 Mr. Sutton: And you've seen that email.

12 Ms. Kelly: They're everywhere.

13 Mr. Sutton: Yeah, exactly.

14 Ms. Kelly: They're everywhere, yeah.

15 Mr. Sutton: Because then they get forwarded, yeah.

16 Ms. West: We've seen that.

17 Ms. Kelly: It's pretty standard, I mean, like fundraising email formats and
18 (inaudible).

19 Ms. West: Uh-huh.

20 Mr. Myers: Okay. On Exhibit 4. So, [REDACTED]
21 [REDACTED]
22 [REDACTED] So,
23 again, that's the same list that we were referring to in the other.

24 Ms. Kelly: Yeah.

25 Mr. Myers: Okay.

26 Ms. Kelly: Yeah.

27 Mr. Myers: And so, once you guys received that list, did you immediately start
28 using it, calling the people on there, soliciting for contributions?

1 Ms. Kelly: We sent out a fundraising e-blast with their donors. I can't say that
2 Mark actually called any of them. Mark was pretty good at being
3 able to fundraise from his own list.
4 Mr. Myers: Okay. Did you have a question?
5 Ms. West: No.
6 Mr. Myers: Okay. On Exhibit 5. So, [REDACTED]
7 [REDACTED]
8 [REDACTED]
9 [REDACTED]
10 [REDACTED] Catherine
11 Aliota-Pier's scheduler?
12 Ms. Kelly: Right.
13 Mr. Myers: Is that how that worked?
14 Ms. Kelly: Uh-huh.
15 Mr. Myers: And so were these events kind of where she would speak in favor
16 of Mark Farrell? Is that kind of what was going here?
17 Ms. Kelly: Yeah.
18 Mr. Sutton: These are all house parties.
19 Ms. Kelly: These are all house parties, even the ones that are at like Bin 38's
20 a restaurant location. It's a restaurant location –
21 Mr. Sutton: Uh-huh.
22 Ms. Kelly: – but they're just defined under house party. They're just events –
23 Mr. Sutton: Okay.
24 Ms. Kelly: – for voters to come.
25 Ms. West: Uh-huh.
26 Mr. Myers: These are the six? [REDACTED]
27 [REDACTED]
28 [REDACTED]

1 [REDACTED]
2 [REDACTED] What
3 would be the things that Mark would need from Michela?
4 Ms. Kelly: I mean, most of the things that she did was she'd come to events
5 and speak, so it may have been about additional events that he
6 wanted her to go to.
7 Mr. Myers: Okay.
8 Mr. Sutton: Bill asked me to give Margaux the things we needed for Michela,
9 okay.
10 Mr. Myers: Do you recall them meeting often?
11 Ms. Kelly: No rarely. They may have met a couple of times after she
12 endorsed. She's busy.
13 Mr. Myers: Sure.
14 Ms. Kelly: Yeah.
15 Mr. Myers: Exhibit 7. [REDACTED]
16 [REDACTED] First of all, who is Natalie a
17 LeBlanc?
18 Mr. Sutton: Before you do this, make certain. Are these –
19 Ms. West: Has she seen this email before?
20 Mr. Myers: I hope – not, so I'm sorry.
21 Mr. Sutton: – so, this is not an email that you got from us.
22 Mr. Myers: Correct.
23 Mr. Sutton: Okay, okay. So, why don't you –
24 Ms. Kelly: I don't know Natalie. I'd never seen her name before.
25 Mr. Myers: Or Suki Kott?
26 Ms. Kelly: I do know Suki, yeah.
27 Mr. Myers: And who is she?
28 Ms. Kelly: She's an acquaintance –

1 Mr. Myers: Huh.

2 Ms. Kelly: – and she's a District 2 resident and a friend of Catherine's.

3 Mr. Myers: Okay [REDACTED]

4 [REDACTED]

5 [REDACTED] So, you don't know Natalie LeBlanc?

6 Ms. Kelly: Huh-uh.

7 Mr. Myers: But her and Catherine Stefani and Suki Kott and Bill are all talking

8 about pictures of Michela and Mark and below that, fundraising, but

9 you don't know anything about what they would be referring to?

10 Ms. Kelly: I mean, I think the fundraising e-blast that we sent out had a picture

11 of Mark and Michela on it.

12 Mr. Myers: Okay.

13 Mr. Sutton: But you have no reason to think this has –

14 Ms. West: Personal grudge.

15 Mr. Sutton: – anything to do with a fundraising email sent out by the Farrell

16 campaign?

17 Ms. Kelly: No. I don't know Natalie nor have I had any contact with her and so

18 if she's referring to some sort of fundraising, I don't know anything

19 about it.

20 Mr. Sutton: [REDACTED] Yeah. So, this is (inaudible).

21 Mr. Myers: Just because at the bottom, how I came to this and I realize that

22 [REDACTED]

23 Mr. Sutton: Well, this looks like we (inaudible) office in January (inaudible) Mark

24 Farrell. This sounds like that email that we've seen 85 copies of.

25 Mr. Myers: Okay.

26 Mr. Sutton: Right?

27 Ms. Kelly: Yeah. I don't know what that is.

28 Ms. Myers: You know, there could be a chance too that, I mean, we received –

1 Mr. Sutton: Wait, what Margaux's saying that the footer, NG3 mail yahoo com
2 neo launch. What all does that mean? Do you know what that
3 means, what a launch is?
4 Mr. Myers: No.
5 Ms. Kelly: I wonder if that's part of the -- how could that be part of an e-blast
6 though? It's weird that like why would the end of her email be here
7 and then all of a sudden we start with the end of Michela's --
8 Ms. West: Yeah.
9 Ms. Kelly: -- text on her.
10 Mr. Myers: Huh.
11 Ms. Kelly: You know?
12 Mr. Myers: Well, I mean, it could be a thing to where we've been ciphering
13 through hundreds of emails --
14 Ms. Kelly: Yeah, I know.
15 Mr. Myers: -- (inaudible), okay. It's just a question I had (inaudible).
16 Mr. Sutton: So, the big email that there's so many copies of it here that Michela
17 sent out, but that would go in an email blast and wouldn't have your
18 name on it.
19 Ms. Kelly: No.
20 Mr. Sutton: You could have forwarded that to God knows whom for whatever
21 reason, right?
22 Ms. Kelly: Well, and certainly Mark needed to see the text before we sent it
23 out.
24 Ms. West: Uh-huh.
25 Mr. Myers: Okay.
26 Ms. Kelly: So, if I forwarded it to --
27 Mr. Sutton: So, it still could have been a draft?
28 Ms. Kelly: Yeah.

1 Mr. Sutton: Who knows?
2 Mr. Myers: Okay.
3 Ms. Kelly: Yeah.
4 Mr. Sutton: So, then there's that weird thing at the bottom and are you guys
5 certain this is the second page of the same email?
6 Mr. Myers: No, now that I'm looking at, it actually does –
7 Ms. Kelly: But even the font is different.
8 Mr. Sutton: Well, the footer is the same.
9 Mr. Myers: – is the same.
10 Mr. Sutton: The date's the same.
11 Mr. Myers: Yeah, and again, when some people print them off, their emails as
12 you guys probably know –
13 Mr. Sutton: Did you see Mark's emails?
14 Mr. Myers: I know.
15 Mr. Sutton: I've been trying to figure them out because of this –
16 Mr. Myers: – it could be –
17 Ms. West: Yeah.
18 Mr. Sutton: -- email thing, yeah.
19 Ms. West: They were awful (overlapping).
20 Mr. Sutton: And then the attachments he wanted and he kept telling me –
21 Ms. West: Yeah.
22 Mr. Sutton: – he couldn't get them and I'm like, what do you mean you can't get
23 them? It says attachment right there.
24 Ms. West: Yeah.
25 Mr. Sutton: But anyway, so then whatever that is with you, but then this
26 different font email –
27 Mr. Myers: Sure.
28 Mr. Sutton: – you definitely have nothing to do with.

1 Ms. Kelly: No.
2 Mr. Myers: Okay.
3 Ms. Kelly: I've never exchanged an email with Natalie.
4 Ms. West: Okay.
5 Mr. Myers: Okay.
6 Ms. Kelly: Yeah.
7 Mr. Sutton: That you know of.
8 Ms. Kelly: To my knowledge.
9 Ms. West: That would be an attorney speech.
10 Mr. Sutton: Yeah, exactly. They're going to say, well, what about this one right
11 here?
12 Ms. West: Oh, what about this one, yeah?
13 Mr. Sutton: That's only the local ethics commissioners that do those gotchas.
14 Ms. West: Yeah.
15 Mr. Sutton: Yeah. They love to gotchas.
16 Mr. Myers: Okay, on Exhibit 8, and again, this is going to be one that you
17 probably haven't seen before.
18 Ms. Kelly: Okay.
19 Mr. Myers: [REDACTED]
20 [REDACTED] Are you familiar with Winning Directions at all?
21 Ms. Kelly: Yeah. They did the art layout for our door hanger.
22 Ms. West: When you say our, you mean Farrell campaign?
23 Ms. Kelly: The campaign.
24 Mr. Myers: Okay.
25 Ms. Kelly: Very early on in the campaign.
26 Mr. Sutton: I'm sorry. All of that gobbly gook.
27 Mr. Myers: So, when do you think that they stopped working for you guys?
28 Ms. Kelly: They stopped working for us, I don't know the exact date, but we

1 got an email from Winning Directions letting us know that they
2 couldn't be our vendor anymore.

3 Ms. West: Did they say why?

4 Ms. Kelly: Standard email about they, you know, they may or may not be
5 participating in other –

6 Mr. Sutton: You can say it. It's not a bad word.

7 Ms. Kelly: – no, but I'm trying to think of how it's actually phrased. I mean
8 they, you know, may be doing Independent Expenditures that year,
9 so they had to cut off communication with us altogether.

10 Mr. Myers: So, they cut off communication with you, but Michela's still talking
11 about copy for a door hanger. I mean, you said early in the
12 campaign and this one's dated October 21st, so I don't –

13 Ms. Kelly: They didn't print anything for us after they sent us that email. So,
14 we didn't receive anything from Winning Directions after –

15 Ms. West: Okay and you didn't have any communication with Winning
16 Directions after that.

17 Ms. Kelly: No.

18 Mr. Sutton: Do you have the date of that email?

19 Ms. West: This em –

20 Mr. Sutton: No, the one where Winning Directions told them –

21 Ms. West: – we don't have that.

22 Mr. Myers: No. I've never seen that.

23 Ms. West: That wasn't produced for us. So, we don't have that. Really?

24 Mr. Myers: Oh.

25 Ms. West: Oh, you missed it.

26 Mr. Myers: I missed it. I missed it.

27 Ms. West: Not that I'm placing blame, but I'm just saying.

28 Mr. Sutton: Yeah, yeah.

1 Mr. Myers: Nobody's placing blame.
2 Ms. West: I'm not saying anything.
3 Mr. Sutton: No, no. I will say I've seen the email.
4 Ms. West: But you produced that email.
5 Mr. Sutton: We've talked about that email. So, that's my understanding –
6 Ms. West: Okay.
7 Mr. Sutton: – and we'll get it for you, yeah, yeah.
8 Ms. West: Thank you.
9 Mr. Myers: Okay. Go ahead.
10 Mr. Sutton: So, getting back to the same comment earlier when there was that
11 thing about the fundraising list and we confirmed for them that this
12 was Michela sending an email to her list raising money for Farrell –
13 Ms. West: Yeah.
14 Mr. Sutton: – looking at it, actually I can even find the email, this was not your
15 email, and this is not your door hanger.
16 Ms. Kelly: No. We did not receive a door hanger from them after we –
17 Ms. West: Okay, because even though it refers to a Farrell door hanger you're
18 saying is not related to anything you did, the Mark Farrell
19 committee.
20 Ms. Kelly: Not our campaign.
21 Ms. West: Right.
22 Mr. Sutton: You know what? I'm just going to do it because we know we gave
23 this to you.
24 Ms. West: What is that?
25 Mr. Sutton: This is August 19th.
26 Ms. West: Okay.
27 Mr. Sutton: So, this is, I think, helpful.
28 Ms. West: (Overlapping), thank you.

1 Mr. Sutton: (Inaudible).
2 Mr. Myers: Thank you. (Inaudible).
3 Ms. West: Well, he could have.
4 Mr. Myers: I could have very well missed it though. I've been known to
5 (inaudible).
6 Ms. Kelly: It's okay. It'll be helpful to you.
7 Ms. West: Well, there were so many copies made. It could be –
8 Mr. Myers: Yeah, lots of emails.
9 Ms. West: – just stuck in a machine and never seen again. We made so
10 many copies.
11 Mr. Myers: You giving me the benefit of the doubt? You getting soft?
12 Ms. West: I'm trying. You make it difficult.
13 Mr. Sutton: So, who knows whose door hanger this is.
14 Ms. Kelly: It wasn't one that our campaign received.
15 Ms. West: Right. We were just –
16 Mr. Myers: Uh-huh.
17 Ms. Kelly: Yeah.
18 Ms. West: – looking for confirmation that it wasn't yours.
19 Ms. Kelly: Yeah, yeah. No.
20 Mr. Sutton: But as far as you remember, Winning Directions did do a door
21 hanger for the Farrell campaign –
22 Ms. Kelly: Yes.
23 Mr. Sutton: – earlier on.
24 Ms. Kelly: Very early.
25 Ms. West: Then it must be in August, July something.
26 Ms. Kelly: Oh, it was like –
27 Mr. Myers: You said three?
28 Ms. Kelly: – it was probably February of 2010.

1 Ms. West: Okay. So, that was way before the endorsement came out. So,
2 this door hanger should look completely different.

3 Mr. Sutton: Yeah, (Inaudible) too. So, this is the –

4 Ms. Kelly: And that would be on file with the Ethics Commission, right?
5 Because don't you have to do copies of all your door hangers and
6 everything is that correct?

7 Mr. Sutton: Not the campaigns.

8 Ms. West: Just the IEs?

9 Mr. Sutton: The campaigns do mail.

10 Ms. Kelly: I don't know. Maybe if it's over a certain number?

11 Mr. Sutton: No. San Francisco candidates give mail pieces. Independent
12 Expenditures give everything.

13 Ms. West: Okay.

14 Ms. Kelly: We didn't actually mail it.

15 Mr. Sutton: Right. Do you want our door hanger?

16 Ms. West: No. I was just wondering if it was easy –

17 Mr. Sutton: Yeah,

18 Ms. West: – to get (Inaudible).

19 Mr. Sutton: Yeah. Yeah, so here, Tony Fazzio, Winning Directions –

20 Mr. Myers: Uh-huh.

21 Mr. Sutton: – will be working on a series of projects that may result in
22 Independent Expenditures in this area of San Francisco, probably
23 Supervisors races. To maintain the utmost compliance, blah, blah,
24 blah –

25 Ms. West: Uh-huh.

26 Mr. Sutton: -- cease all forms of communications.

27 Ms. West: Yeah. I recall that.

28 Mr. Sutton: And that's (Inaudible) Chris Lee who was the Farrell campaign's

consultant.

Ms. West: Okay, thank you.

Mr. Myers: Exhibit 9, and this one's going to be a new one for you also, the middle of the page. [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Ms. Kelly: What's an interstitial?

Ms. West: I guess that's when they –

Mr. Myers: Yeah.

Ms. West: – take over for 28 hours, a whole page in the Chronicle.

Mr. Myers: Yeah.

Ms. West: I had never heard that term before.

Mr. Myers: Me neither.

Mr. Sutton: What is it?

Ms. West: He puts in parentheses what –

Mr. Myers: Yeah. He puts this in parentheses – I didn't know what it was

1 either.

2 Ms. West: – I guess it's probably like a –

3 Mr. Myers: Whole page ad maybe?

4 Ms. West: Yeah.

5 Mr. Sutton: Oh.

6 Ms. West: Public consultant (overlapping).

7 Ms. Kelly: I've never heard of that part. That's weird.

8 Mr. Sutton: For 28 hours we take over a full page in the Chronicle.

9 Ms. West: Not 24 hours.

10 Mr. Sutton: 28, maybe that's online –

11 Ms. West: Yeah, maybe.

12 Mr. Sutton: – where they have the banner ads running through.

13 Mr. Myers: Could be, and then Michela or Supervisor Aliota-Pier, excuse me,

14 forwards it to Tom Coates. First of all, who's Tom Coates?

15 Ms. West: Are you familiar?

16 Mr. Myers: Are you familiar with Tom Coates?

17 Ms. Kelly: Yeah. He's a supporter of Mark's.

18 Mr. Myers: Was he involved in his campaign?

19 Ms. Kelly: He threw a house party at one point.

20 Mr. Myers: Okay.

21 Ms. Kelly: Yeah.

22 Ms. West: Is that his involvement in the campaign?

23 Ms. Kelly: The house party?

24 Ms. West: Uh-huh.

25 Ms. Kelly: Yeah. He didn't do anything else.

26 Ms. West: Okay.

27 Mr. Myers: Do you know any reason why –

28 Mr. Sutton: I'm sorry to interrupt. Do you know whether he made a

1 contribution? Do you remember?

2 Ms. Kelly: I don't think he ever made a personal one.

3 Mr. Sutton: Okay.

4 Mr. Myers: And do you know why Natalie LeBlanc and Richard Schlackman
5 would be keeping Supervisor Aliota-Pier apprised of how much that
6 they're spending when she's not running for anything? I mean, she
7 seems really involved in the campaign right now yet she's not
8 running for any office.

9 Ms. Kelly: I don't know.

10 Mr. Sutton: I get it.

11 Ms. Kelly: I don't know.

12 Ms. West: Did you have conversations with –

13 Mr. Sutton: So, if I'm being (inaudible), this is the government agency, right,
14 trying to prove their case, yeah.

15 Ms. West: Did you have any conversations with Rich Schlackman during this
16 election period about campaign related, anything having to do with
17 Mark Farrell's campaign or any other campaign?

18 Ms. Kelly: No.

19 Ms. West: Okay. Or anyone that worked for him to your knowledge? I know
20 you say you aren't familiar with Natalie LeBlanc.

21 Ms. Kelly: I actually don't know anybody who works at MSHC Partners.

22 Ms. West: And were you privy to any conversations with Supervisor Aliota-Pier
23 where either you heard second hand or first hand that her
24 involvement in getting Mr. Farrell elected?

25 Ms. Kelly: Was I part of any conversations?

26 Ms. West: Yes, or do you have any knowledge of that?

27 Mr. Sutton: If I can interject; about?

28 Ms. West: About Supervisor Aliota-Pier's involvement in Supervisor Farrell's

1 election or trying to get him elected, if she was privy to any
2 conversations.

3 Mr. Sutton: Right. If I can kind of maybe focus the question to make certain
4 that she doesn't say anything that could be – whatever the date
5 was that she announces that she's not – it's early September when
6 she said, I'm not running and I want you to vote for Mark Farrell.

7 Ms. West: Yes.

8 Mr. Sutton: So, that I think is one thing. Were you involved with Michela –

9 Ms. Kelly: Oh.

10 Mr. Sutton: – or around that?

11 Ms. Kelly: Yeah, I mean, we got together with her to talk about her
12 endorsement for Mark.

13 Ms. West: And after that, was there any further communication with her
14 regarding her support?

15 Ms. Kelly: Her attending events? She came to events.

16 Ms. West: Was that the extent of it?

17 Ms. Kelly: And the fundraising list.

18 Ms. West: Okay and you never spoke to her or any of her representatives
19 about her possibly helping the Independent Expenditure
20 Committee?

21 Ms. Kelly: No.

22 Ms. West: Okay, but you also never heard anything even if you weren't privy
23 to the conversation hearing secondhand that she was involved?

24 Mr. Sutton: I want to make certain that that in a way was a very specific
25 question Galena asked you which is did you know anything –

26 Ms. Kelly: Did I know she was involved?

27 Mr. Sutton: – did you know that Michela – you knew about IEs because it's all
28 on the Ethics Commission website –

1 Ms. Kelly: Right.

2 Mr. Sutton: – and one thing that I kind of tried to –

3 Ms. West: And it's very public.

4 Mr. Sutton: – tell people and obviously campaigns are crazy to try to figure out
5 what the IEs are doing.

6 Ms. Kelly: Yes.

7 Mr. Sutton: So, that's one thing, but did you know that Michela was, and I don't
8 even know, were there other IEs for Farrell besides this one? I
9 don't know, but did you have any knowledge or did you know that
10 Michela was involved in this IE Committee?

11 Ms. Kelly: I don't know if I knew specifically, but she was. We didn't talk about
12 it.

13 Ms. West: Okay.

14 Ms. Kelly: So, yeah.

15 Mr. Sutton: You know what? I'd like you to – what you just said, I think, is very
16 important for them to hear which you haven't had the opportunity to
17 say which is when you said we didn't talk about it. What do you
18 mean?

19 Ms. Kelly: The communication that I had with her and her staff related to
20 events that she was going to attend for Mark and for the fundraising
21 list or anything outside of that like was all we talked about.

22 Ms. West: Okay.

23 Ms. Kelly: Yeah.

24 Ms. West: Okay and you're friends with Catherine Stefani, is that correct?

25 Ms. Kelly: She's my current colleague.

26 Ms. West: Current colleague.

27 Ms. Kelly: Yeah.

28 Ms. West: You didn't know her before when she was working for Supervisor

1 Aliota-Pier?

2 Ms. Kelly: Just as an acquaintance.

3 Ms. West: Okay and so, you wouldn't have had casual conversations with her

4 during that time as well..

5 Ms. Kelly: Well, she was Supervisor Aliota-Pier's scheduler so, I mean, we

6 were cordial to each other.

7 Ms. West: Okay.

8 Ms. Kelly: We weren't strangers.

9 Ms. West: And Bill Barnes?

10 Ms. Kelly: Same relationship.

11 Ms. West: Okay and you worked with Chris Lee on the campaign, and did you

12 ever have conversations with Chris Lee about the Independent

13 Expenditure Committee or Jack Helfand or were privy to

14 conversations?

15 Mr. Sutton: Wait. Conversations with Chris –

16 Ms. West: With Chris.

17 Mr. Sutton: – about IEs.

18 Ms. Kelly: Yeah, I mean, we talked about that they exist –

19 Ms. West: Okay.

20 Ms. Kelly: – in general.

21 Ms. West: But not specifically contacting them or providing guidance.

22 Ms. Kelly: No. I know that we're not allowed to communicate.

23 Ms. West: Okay.

24 Ms. Kelly: Yeah.

25 Ms. West: Do you know Jack Helfand?

26 Ms. Kelly: He's a supporter of Mark's.

27 Ms. West: Okay.

28 Ms. Kelly: Yeah. He threw a fundraiser for Mark early on in the campaign.

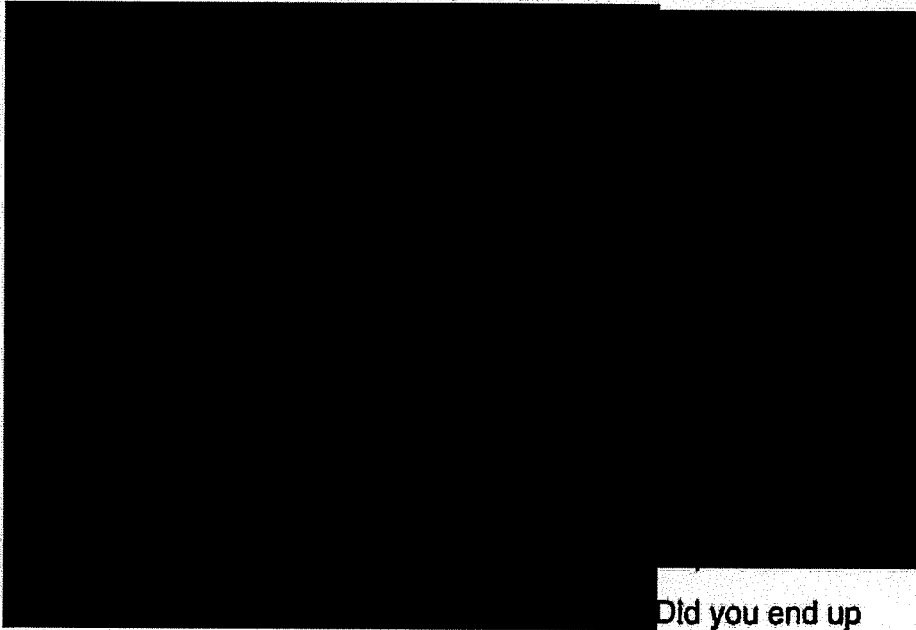
1 Ms. West: Do you know of any conversations he may have had with Chris Lee
2 regarding the Independent Expenditure Committee he set up?
3 Ms. Kelly: I don't know.
4 Ms. West: Okay.
5 Ms. Kelly: Yeah.
6 Ms. West: Don't worry. I don't have anything else. No, I'm just kidding, but it's
7 difficult because there are so many different players.
8 Ms. Kelly: Yeah, yeah.
9 Ms. West: I'm trying to figure out who was talking to whom and what was
10 going on and, you know, a final question. You don't have any
11 personal knowledge of Mark Farrell communicating with Jack
12 Helfand or the Independent Expenditure Committee after its
13 formation or when it was forming?
14 Ms. Kelly: Yeah, I don't know. I don't know that they had communication.
15 Ms. West: Okay. As his scheduler, would you set up all his meetings?
16 Ms. Kelly: Yeah.
17 Ms. West: And so if he had a meeting with Jack Helfand, you would know?
18 Ms. Kelly: Yep.
19 Ms. West: And you can't recall any meeting that they had –
20 Ms. Kelly: Yeah, no.
21 Ms. West: – during this early September time period?
22 Ms. Kelly: I don't remember them ever meeting.
23 Ms. West: Okay.
24 Mr. Sutton: You said that he did an event?
25 Ms. Kelly: He did an event. It was really early. It was very early on in the
26 campaign.
27 Mr. Sutton: What would early be?
28 Ms. Kelly: May.

1 Mr. Sutton: Oh, way early.
2 Ms. West: Oh, earlier, okay.
3 Mr. Myers: Earlier then.
4 Ms. Kelly: Yeah.
5 Ms. West: Okay.
6 Ms. Kelly: It would have been something I scheduled, that I remember, I
7 mean, I remember that about it.
8 Ms. West: I know you said that you had communications with Supervisor
9 Aliota-Pier for her appearances and things like that. Were there a
10 lot of meetings between her and Mark Farrell during this campaign
11 season?
12 Ms. Kelly: I don't know if they actually met after the initial endorsement
13 conversation.
14 Ms. West: Okay.
15 Ms. Kelly: They did not meet regularly.
16 Ms. West: Okay and did you schedule any meetings for Mark Farrell with Rich
17 Schlackman during this time?
18 Ms. Kelly: Huh-uh.
19 Ms. West: Okay, pretty much knew the answer but I have to ask.
20 Ms. Kelly: Yeah.
21 Mr. Myers: Yeah.
22 Ms. West: Okay.
23 Mr. Myers: Yeah.
24 Mr. Sutton: I just want to make certain that there are other emails that you –
25 Ms. West: I don't know what else is in here.
26 Mr. Sutton: – want us to look at it? There's Margaux Kelly. Sorry, not Janet
27 Reilly.
28 Mr. Myers: Not Janet Reilly.

1 Ms. West: Yeah (inaudible). It's around ten.
2 Mr. Myers: Who's Ariel Ungerlaider? I'm sure I butchered that.
3 Ms. Kelly: No, no. That's right. During the campaign, she was an
4 acquaintance. She's a friend.
5 Mr. Myers: I mean, besides the blatantly obvious because that's a competitor,
6 is there any significance to the link?
7 Ms. Kelly: From me sending this to them?
8 Mr. Myers: Uh-huh, I mean, I just noticed because in up top, Catherine sent it
9 to Supervisor Aliota-Pier too.
10 Ms. Kelly: Oh.
11 Mr. Myers: Or how about do you know where the website actually came from, I
12 mean, was that something you guys created or no?
13 Ms. Kelly: No. We definitely didn't create it.
14 Mr. Myers: Sorry, uh-huh.
15 Ms. Kelly: I think somebody told me about it, and so I forwarded it to them. It
16 was on the Internet –
17 Mr. Sutton: Do you remember what it was?
18 Ms. Kelly: – swirling around.
19 Mr. Sutton: No.
20 Mr. Myers: Okay.
21 Ms. Kelly: It was a photo of Supervisor Daly, I think, like peering by in the
22 curtain. I think that's what it was.
23 Ms. West: Why would you send it to these two, Ariel and Catherine?
24 Ms. Kelly: Because it was out there in the universe and I couldn't believe it
25 existed.
26 Ms. West: Did you send it to Catherine because of who she worked for, for
27 Supervisor Aliota-Pier or because you say you don't have a
28 personal relationship with her, so it's – trying to figure it out.

1 Ms. Kelly: Her boss endorsed my boss.
2 Ms. West: Uh-huh.
3 Ms. Kelly: So, the status of what's going on –
4 Ms. West: Okay.
5 Ms. Kelly: – out there.
6 Ms. Myers: Uh-huh.
7 Ms. West: And then Ariel, you sent it to her because you were friends?
8 Ms. Kelly: Yeah, I mean, I think I more sent it them just to – yeah.
9 Ms. West: Okay.
10 Mr. Sutton: It sounds like you're saying that the website was one of these kind
11 of –
12 Ms. West: It was wild.
13 Ms. Kelly: It was funny.
14 Ms. Myers: Yeah, it's just a funny thing.
15 Mr. Sutton: And is it still around?
16 Ms. West: I'm not –
17 Mr. Myers: I tried to type it in and it's not there.
18 Ms. Kelly: Oh, it's not there?
19 Mr. Sutton: It's gone.
20 Ms. Kelly: If my memory serves, it's a very overly stylized photo and it's just –
21 I don't know.
22 Mr. Sutton: So, it was just a photo.
23 Ms. Kelly: It's just a photo.
24 Mr. Sutton: It wasn't like a nasty Janet Reilly hit piece kind of thing?
25 Ms. Kelly: It was something about like that man behind the curtain or
26 something like that.
27 Ms. Myers: Okay, because the man behind the curtain was a mailer too, wasn't
28 it? I believe. Didn't it go out?

1 Ms. West: Uh-huh.
2 Ms. Kelly: Yeah, I don't know.
3 Mr. Myers: Mark Farrell's campaign did not put that mailer out though.
4 Ms. Kelly: No.
5 Ms. West: Oh, okay.
6 Mr. Myers: Yeah.
7 Ms. Kelly: Uh-huh.
8 Mr. Myers: And then that mailer –
9 Mr. Sutton: No.
10 Mr. Myers: – was on the website also, so the people who put the mailer out,
11 could have made the website also?
12 Ms. Kelly: I assume so. It's the same graphic.
13 Mr. Myers: Yeah, okay. On Exhibit 11.
14 Mr. Sutton: Let's do it here.
15 Mr. Myers:
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24 The boss
25 would be –
26 Ms. Kelly: Who, my boss?
27 Mr. Myers: -- yeah.
28 Ms. West: Uh-huh.
Ms. Kelly: Yeah.

1 Mr. Myers: That's Mark Farrell?
2 Ms. Kelly: Yeah.
3 Mr. Myers: 
4
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13 Did you end up
14 going to wine and dinner?
15 Ms. Kelly: Yeah, I was there.
16 Mr. Sutton: Where was it?
17 Ms. Kelly: It was at the place, Parma, in the marina?
18 Mr. Sutton: The marina.
19 Ms. Kelly: Yeah.
20 Mr. Sutton: Uh-huh.
21 Mr. Myers: And was Catherine there?
22 Ms. Kelly: Catherine was there.
23 Mr. Myers: And what about Bill? Did Bill make it?
24 Ms. Kelly: Bill was there, yeah.
25 Mr. Myers: And Rich?
26 Ms. Kelly: Rich, yeah.
27 Mr. Myers: And Ariel and Suki both, everybody made it?
28 Ms. Kelly: I think Suki was there for part of the time. I don't remember if Ariel

1 was there.

2 Ms. West: Who hosted? Who paid for the dinner?

3 Ms. Kelly: I'm sure we all paid. I'm pretty used to paying my own fair share.

4 Mr. Sutton: It's okay if you didn't though.

5 Ms. Kelly: Oh, no. I'm sure we all did. There was quite a few of us.

6 Mr. Sutton: You're sure? I mean, it just sounds to me like Schlackman is

7 hosting.

8 Ms. West: Is being hosted.

9 Mr. Myers: Uh-huh.

10 Ms. West: Yeah, which is the impression that we've gotten –

11 Mr. Myers: Yeah.

12 Ms. West: – from others.

13 Ms. Kelly: He may have. I don't remember it being like common, I'll host you.

14 I didn't get that feeling when I got the invite.

15 Ms. West: Why do you think he was hosting everyone because you said he

16 was –

17 Ms. Kelly: If they were working with him –

18 Ms. West: – they, well, see because they are staff of Supervisor Aliota-Pier,

19 right?

20 Mr. Sutton: Can I ask one question? When was Mark elected?

21 Ms. Kelly: November.

22 Mr. Sutton: You know there was that dumb (inaudible), you know, he wasn't

23 elected for weeks.

24 Ms. Kelly: Hmm.

25 Ms. West: Refresh my memory. It sounds really familiar.

26 Mr. Sutton: That really dumb (overlapping).

27 Ms. Kelly: Oh, because of the (overlapping).

28 Mr. Sutton: Yeah, yeah.

1 Ms. Kelly: 20 days or something.

2 Ms. West: Yeah, because it was a second and the third and – okay, yeah.

3 Mr. Sutton: Exactly, exactly, and then at some point, Reilly did a recount.

4 Ms. West: Uh-huh.

5 Mr. Sutton: So, when he declared and then she sent that horrible email, the
6 worst concession email that –

7 Ms. West: Uh-huh.

8 Mr. Sutton: – you'd ever –

9 Ms. Kelly: Yeah.

10 Ms. West: Really?

11 Mr. Sutton: – yeah, and then when it went –

12 Ms. West: See, those are the things you should give us. At least we have
13 entertaining (overlapping) to read.

14 Mr. Myers: Yeah.

15 Mr. Sutton: Exactly, exactly.

16 Ms. Kelly: That's pretty bad.

17 Mr. Sutton: It was. So, my only point is, and it might or may not be relevant to
18 whatever it is you guys are looking at, but I knew that he was
19 elected even though it's November 19th or even the 23rd.

20 Ms. Kelly: I don't remember what day we sent out email out to say that we had
21 won.

22 Mr. Sutton: Yeah. Did we give that to you?

23 Ms. Kelly: And I don't know what day the election was certified.

24 Ms. West: Do you have that one? Did you get that one?

25 Mr. Myers: I think so.

26 Ms. West: (Overlapping).

27 Mr. Sutton: I don't think we looked at anything after the election.

28 Mr. Myers: Yeah, I think I remember that.

1 Mr. Sutton: Wait, wait, wait. November 14th, last jewelry shows.
2 Mr. Myers: Yeah, I think we're just (overlapping).
3 Ms. Kelly: Oh, that's my communication with Rich inviting me to his wife's
4 jewelry show.
5 Mr. Myers: Did you go with (inaudible)?
6 Ms. Kelly: No, I did not go.
7 Mr. Myers: Get some Christmas presents? No?
8 Ms. West: Come on, you can tell us those earrings are from the jewelry show.
9 Ms. Kelly: No. I didn't go.
10 Mr. Myers: (Overlapping).
11 Mr. Sutton: (Overlapping).
12 Ms. West: Okay.
13 Mr. Sutton: Oh, here we are. Janet was not exactly gracious in her concession.
14 This is November 17th. Okay.
15 Ms. West: So, it must have already happened because --
16 Mr. Sutton: Oh, yeah, yeah, yeah. Here we go. Here we go. It was Janet, who
17 I've known since she worked for Mayor Roden, who is a
18 professional and she intelligent and she's consummate and she ran
19 a phenomenal campaign, no offense, I thought.
20 Mr. Myers: Huh.
21 Mr. Sutton: Her husband's a whole other matter. The email was like, you know,
22 I only lost because of nasty IEs and I only lost because of this and it
23 was --
24 Mr. Myers: Yeah.
25 Ms. West: Hmm.
26 Mr. Sutton: -- yeah, it was weird.
27 Ms. West: Some people don't handle losing well.
28 Mr. Sutton: Yeah, yeah.

1 Ms. West: Especially (inaudible) get your voting, I don't think anybody does.
2 Mr. Sutton: Yes, exactly, and that's fair enough. We know that according to
3 this email at least, that on November 17th, I feel compelled to
4 respond to generalized comments in the recent campaign, on the
5 18th.
6 Ms. West: So, this was after.
7 Mr. Sutton: So, that was after, okay. Good.
8 Ms. West: Okay. Well, what we're curious of is that since Rich Schlackman
9 was not doing any work for the Aliota-Pier campaign at this time
10 and –
11 Mr. Myers: Yeah.
12 Ms. West: – he also wasn't doing any work for the Farrell campaign at this
13 time, why he would host a celebration get together for the staffs of
14 both and if you could help us understand how this party or the tone
15 of the party came about.
16 Ms. Kelly: I think by that time, if Mark had already been elected, we probably
17 were already talking about legislative aids, and so Catherine and I
18 at that time had become friends.
19 Ms. West: Okay.
20 Ms. Kelly: She was potentially going to be my new colleague.
21 Mr. Sutton: Oh. Well, that's interesting.
22 Ms. Kelly: Uh-huh.
23 Ms. West: Okay and then how would Rich Schlackman fit into that?
24 Ms. Kelly: I don't know. Ariel invited me.
25 Ms. West: Okay. Ariel's your friend, so do you know if she knows Richard
26 Schlackman and they're friends?
27 Ms. Kelly: I mean, they know each other.
28 Ms. West: Okay. You see why it's weird because it's Richard Schlackman,

1 who supposedly was the mastermind behind the IE Committee –
2 Mr. Sutton: Yeah.
3 Ms. West: – is all of a sudden hosting a get together for the staff of the two –
4 Mr. Sutton: Yeah.
5 Ms. West: – other campaigns. I mean, it's an odd little celebration.
6 Mr. Sutton: Yeah. I don't want to speak out of school, and I don't want to say
7 anything to get anyone in trouble, and I don't quite know what you
8 guys are like after when it's a post-election party. The one thing
9 that you need to know about Rich, I think, is he's a wine and food
10 guy. So, I have been invited – does he still own the wine bar on
11 Market Street?
12 Ms. Kelly: I don't know –
13 Mr. Sutton: I think it's gone.
14 Ms. Kelly: – but he likes to go to parties.
15 Mr. Sutton: He's a wine guy, right? On of these.
16 Ms. West: And if it's a normal thing, I mean, really. It's just one of those
17 hundreds of things that didn't make sense in the case, I mean, he
18 was being perfectly honest when he was saying, we're just trying to
19 fit together these pieces and understand –
20 Mr. Myers: Sure.
21 Ms. West: – all these emails.
22 Mr. Sutton: So, Schlackman has a bar, owned part of a bar or something –
23 Mr. Myers: Uh-huh.
24 Mr. Sutton: – a wine bar on Market Street, and I remember it now. I couldn't
25 tell you if they were pre-election or post-election and when I got
26 invited, they were big, I mean, there were 50 people there.
27 Ms. West: Hmm.
28 Mr. Sutton: You know, a bunch of political hacks were invited, right?

1 Ms. West: Yeah.

2 Mr. Sutton: You know, I mean, that's –

3 Ms. West: This was cozier than that though.

4 Mr. Sutton: – (overlapping), yeah.

5 Ms. West: This was just a very small group of people. Do you remember any

6 conversations from that evening? Was the campaign talked about

7 at all, the Independent Expenditure campaign?

8 Ms. Kelly: No, I mean, we just generally, you know, talked about that Mark

9 won.

10 Ms. West: Because Janet Reilly said in her concession email, it wasn't a topic

11 of discussion that came up when she's accusing the IEs of basically

12 being the swing of the election? That wasn't something you guys

13 were talking about the next day?

14 Ms. Kelly: We were celebrating.

15 Ms. West: Okay.

16 Ms. Sutton: That was perfectly okay to talk about IEs after the (overlapping).

17 Ms. West: It completely is. However, the information that can be gleaned from

18 those conversations is very helpful in an investigation.

19 Ms. Sutton: No, I get it.

20 Mr. Myers: Okay, and the last one, Exhibit 12. [REDACTED]

21 [REDACTED]

22 Mr. Sutton: David?

23 Mr. Myers: Exactly. [REDACTED]

24 [REDACTED]

25 [REDACTED] So, who is David Lemmond?

26 Ms. Kelly: A supporter of Mark's.

27 Mr. Myers: [REDACTED] it looks like my copy is a

28 little bad, [REDACTED]

1 Ms. Kelly: Yeah.
2 Mr. Myers: [REDACTED]
3 Ms. Kelly: Right.
4 Mr. Myers: [REDACTED]
5 [REDACTED]
6 [REDACTED]
7 [REDACTED]
8 Ms. Kelly: Right.
9 Mr. Myers: Did he give that to Jack Helfand?
10 Ms. Kelly: I don't know that he gave it to him. I remember at the time thinking
11 that that was, I mean, my exclamation points were like, what?
12 Mr. Myers: Sure.
13 Ms. Kelly: Like he shouldn't even be – David should not be talking to me
14 about this.
15 Ms. West: Uh-huh.
16 Mr. Myers: Okay.
17 Ms. West: And why did you forward it Chris?
18 Ms. Kelly: He was my boss.
19 Ms. West: And do you know if Chris had been in contact with Jack Helfand?
20 Ms. Kelly: I don't know what he did with it after I sent it to him.
21 Ms. West: Okay. Do you know from any other time or conversation that Chris
22 had had contact with the Independent Expenditure Committee?
23 Ms. Kelly: From what I remember, it didn't come up.
24 Ms. West: Okay.
25 Ms. Kelly: I mean, Chris didn't talk about it. I mean, that's not something I
26 remember.
27 Ms. West: Were you surprised when he said he was going to forward it to Jack
28 Helfand? You didn't go in there and say, what are you doing?

1 Ms. Kelly: Well, no, I mean, we weren't sitting in front of each other.
2 Specifically, he was at his office and I was at mine.
3 Mr. Myers: Uh-huh.
4 Ms. West: Is that the last you heard of this contribution and contact?
5 Ms. Kelly: Yeah, (overlapping).
6 Ms. West: Are there any other instances like this where people contacted the
7 campaign?
8 Ms. Kelly: This is the only one that I recall that I ever saw.
9 Ms. West: Okay. We told you this would be painless.
10 Ms. Kelly: Yeah.
11 Mr. Sutton: Can I ask you a question?
12 Ms. West: Sure.
13 Mr. Sutton: So, the four exclamation points, I mean, we obviously put a big
14 sticky on this one. What did you think the four exclamation points
15 meant?
16 Ms. West: [REDACTED]
17 Mr. Sutton: Oh, good.
18 Ms. West: – because –
19 Ms. Kelly: It was not excitement.
20 Ms. West: [REDACTED]
21 Mr. Sutton: Yeah, that's how I took it.
22 Mr. Myers: Yeah.
23 Ms. West: The tone of all your emails –
24 Mr. Sutton: Not that we were worried about you (inaudible) yippee.
25 Ms. West: [REDACTED]
26 [REDACTED]
27 Mr. Myers: Sure.
28 Ms. West: [REDACTED]

1 [REDACTED]
2 [REDACTED]
3 Mr. Myers:

Yeah.

4 Ms. West:

Yeah.

5 Mr. Myers:

That's weird, isn't it?

6 Ms. West:

That's the tone that we read it for. [REDACTED]
7 [REDACTED]

8 Ms. Kelly:

Yeah, because there wasn't.

9 Ms. West:

[REDACTED] Oh, great. That Independent Expenditure
10 Committee that I'm trying so hard to avoid even thinking exists is
11 now right in my line of sight.

12 Mr. Myers:

Exactly.

13 Ms. West:

Yeah, I can't imagine. Just to follow-up, are there any other
14 conversations that you can recall that made you question like you
15 did in that email, that made you say, oh, I'm not really sure with
16 regards to the Independent Expenditure Committee?

17 Ms. Kelly:

It wasn't a topic that we talked about so, I mean, it didn't really
18 come up.

19 Ms. West:

So, there's nothing that you can recall?

20 Ms. Kelly:

I mean, we knew it existed.

21 Mr. Sutton:

You know, Margaux, I know that you're doing a great job listening
22 to my advice –

23 Ms. West:

You're still not answering my question.

24 Mr. Sutton:

– (overlapping) more, but this is one time that I want you to tell me
25 what you told me when we first met which is what was, you know,
26 just talk for yourself, what was your personal understanding about,
27 you know, what you could do, what you couldn't do via these IE
28 Committees.

1 Ms. Kelly: I know that we can't have any communication with them.
2 Mr. Myers: Uh - huh.
3 Ms. Kelly: I mean, it's better to not have any communication at all. You
4 specifically are not supposed to be talking about anything relating
5 to the IE. I mean, if run into them on the street and it's like,
6 whatever, right —
7 Ms. West: Uh-huh.
8 Mr. Myer: Uh-huh.
9 Ms. Kelly: — but I know you're not supposed to talk about it.
10 Ms. West: Do you know who is involved in the campaign, just your
11 knowledge?
12 Mr. Sutton: In the IE.
13 Ms. Kelly: In the IE?
14 Ms. West: In the IE campaign, because you say that if you see them on the
15 street, you know, you're not going to talk to them about it. Who
16 was it that you were not communicating with?
17 Ms. Kelly: Once the files were online, I knew we weren't supposed to talk to
18 Jack.
19 Ms. West: Okay.
20 Ms. Kelly: Yeah. So, when David's email came in, Chris was like, I'm going to
21 forward it to him, I was like, ah, that's not good.
22 Ms. West: You're all, don't do that.
23 Ms. Kelly: Yeah, yeah.
24 Ms. West: So, back to my question though, were there any other
25 conversations or any other knowledge that you gained through this
26 election that you had that same reaction where you either
27 witnessed something or heard something that made you go, oh, I
28 don't think that's a great idea with regards to the Independent

Expenditure Committee?

Ms. Kelly: No, because we didn't talk to them.

Ms. West: Okay.

Ms. Kelly: Yeah.

Ms. West: Because sometimes it's not necessarily what you or your, you know

—

Ms. Kelly: Right.

Ms. West: — former staff member does it's that you heard someone else
talking —

Mr. Myers: Uh-huh.

Ms. West: — and you weren't in a conversation about something that they were
doing.

Ms. Kelly: Yeah.

Ms. West: So, that's why I always try to say, you know, within your personal
knowledge of something that you —

Ms. Kelly: Right.

Ms. West: — were privy to.

Ms. Kelly: No, not outside of this.

Ms. West: Okay.

Mr. Sutton: It's not like this campaign we're working with a psycho where the
Jack Helfand, the IE person, walks into campaign headquarters —

Ms. West: Oh, my.

Mr. Sutton: — asks to talk to the manager. Yeah.

Ms. West: About what?

Mr. Sutton: Well, the person that —

Ms. West: The poor kid, he asked for a dollar?

Mr. Sutton: — he or she was talking to didn't know who the person was —

Ms. West: Oh, wow.

1 Mr. Sutton: – so, the campaign (inaudible) walks by and (inaudible) was there.
2 I was like, what are you doing here?
3 Ms. West: Oh, my God.
4 Mr. Sutton: Yeah, and I don't know if the person who they were talking got fired
5 or not.
6 Ms. Kelly: Oh, my God.
7 Ms. West: Oh, that's rough.
8 Mr. Sutton: Yeah, he's stupid.
9 Ms. West: Yes, very.
10 Mr. Sutton: Yeah. So, you didn't say anything like that? (Inaudible).
11 Ms. Kelly: No, no. Huh-uh, no.
12 Ms. West: You and Jack didn't go to dinner and talk about campaign strategy?
13 Ms. Kelly: No.
14 Ms. West: Oh, that's good.
15 Ms. Kelly: No. I only met Jack that one time.
16 Ms. West: You're all, I swear, I swear. Okay. Well, if you think of anything
17 else or if you think of someone that we should talk to, you know,
18 please let us know because obviously we're just trying to talk to
19 everybody and gather information as much as we can –
20 Ms. Kelly: Yeah.
21 Ms. West: – and you have Mr. Myers' contact information?
22 Mr. Sutton: And you do not call them, you can let me –
23 Ms. West: Right, right.
24 Mr. Sutton: Yeah, yeah.
25 Mr. Myers: (Overlapping).
26 Ms. Kelly: (Overlapping) has it.
27 Ms. West: So, you can talk to Jim and make sure that if there's anything that
28 pops into your head later on –

1 Mr. Myers: Yeah.
2 Ms. Kelly: Okay.
3 Ms. West: – feel free to enlighten us.
4 Ms. Kelly: Okay.
5 Mr. Sutton: So, we also make to make certain that Margaux takes this
6 opportunity – you guys did a good job of flagging these emails, now
7 this other one. Anything else come out at you that kind of gave you
8 cause for concern that you didn't understand?
9 Ms. West: No, not with regards to Ms. Kelly, no.
10 Mr. Sutton: Okay.
11 Ms. West: Huh-uh. There's plenty of things that don't quite make sense. The
12 party was the biggest thing. It was really weird that it was these
13 people getting together just a day after and it was just an odd
14 compilation of people, so that was difficult for us to understand, and
15 then when you forwarded that email to Catherine and Ariel, it was
16 like why those two and, you know, there's just so many pieces in
17 these emails that just don't fit together really well. So, that's why
18 the interviews are so important to try and understand what was
19 going on at the time.
20 Mr. Myers: Okay.
21 Mr. Sutton: Can I talk to you guys for two minutes? Can I walk Margaux out?
22 Ms. West: Sure.
23 Mr. Sutton: Okay.
24 Mr. Myers: Sure.
25 Ms. West: Thank you very much.
26 Ms. Kelly: Thank you.
27 Mr. Myers: Thank you. So, I can complete this too. It's 3:30 p.m. (Recording
28 Ends)

- INTERVIEW CONCLUDED -

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- INTERVIEW -

Mr. Myers: Start by giving the time, which October 4, or -- yeah, 4th, excuse me, at 11:35. And if you could just say your name for the recording.

Mr. Helfand: My name is Jack Helfand.

Mr. Myers: I'm Lee Myers at FPPC.

Ms. West: Galina West at FPPC.

Mr. Myers: Okay. Anyway, I'm sorry, so how long have you been working here, sir?

Mr. Helfand: Please call me Jack. I've been here since last year.

Mr. Myers: Last year.

Ms. West: What's the name of the -- I saw the window. What's the name of the business? I didn't catch it.

Mr. Helfand: Vanish Point Capital.

Ms. West: Vanish Point. Okay.

Mr. Myers: And what do your job duties entail here?

Mr. Helfand: I'm an attorney.

Mr. Myers: You're an attorney.

Ms. West: Okay.

Mr. Myers: And have you ever had anything to do with San Francisco politics before?

Mr. Helfand: Never.

Mr. Myers: Never. So the 2010 was first the venture into that?

Mr. Helfand: First and only.

Mr. Myers: First and only. All right. We get that a lot. Well, anyway, do you know Mark Farrell personally?

Mr. Helfand: I was his supervisor at Wilson Sonsini.

Mr. Myers: Oh, okay. And if we can start, how did it come to be that you

1 became involved with (inaudible) voters? Did somebody approach
2 about that, or felt like you wanted -- you could do something for
3 Mark?

4 Mr. Helfand: How did it come to be, I think that I was trying to encourage people
5 to support Mark who were sort of outside of San Francisco, you
6 know, sort of people we had worked with and stuff like that, and just
7 in sort of like, you know, hey, you should support Mark, you know,
8 he's running for San Francisco Supervisor. People were coming
9 back and, you know, I think indicating that there were limits on the
10 amount of -- so we said, well, we'll look into see whether or not
11 there's anything that can be done. So we set up our own group,
12 which is basically how that came to be in existence.

13 Ms. West: When you say we, who do you mean?

14 Mr. Helfand: Me and the -- I mean there wasn't really anyone else in it, except
15 there was some people working for me in it that -- give me a
16 second, the county firm's name is Steve Leibe, does that sound
17 right?

18 Ms. West: Okay. Harry, Harry Leibe, is that right?

19 Mr. Helfand: Harry Leibe. Well, whatever. It's in my --

20 Ms. West: Yeah, yeah.

21 Mr. Helfand: -- email, so I don't even want to guess. You know, they were
22 involved in the actual filing of the paperwork with me.

23 Ms. West: Okay. And you were the only one that was maybe the strategist or
24 the --

25 Mr. Helfand: I mean that is a huge overstatement, you know. In fact, you know, I
26 didn't think it would even amount to anything quite honestly when
27 we started.

28 Ms. West: But deciding who spent the money that would be you, because they

1 were your bookkeepers, right?

2 Mr. Helfand: Well, I don't know exactly how the arrangements worked. My
3 understanding was that the treasurer actually had authority with
4 respect to the committee but, you know, I certainly was, you know,
5 involved in it.

6 Ms. West: Okay. So who made the decisions on expenditures?

7 Mr. Helfand: I certainly was in -- I certainly was involved. I mean, you know, they
8 actually had the money.

9 Ms. West: Right.

10 Mr. Helfand: Money went into them. I never touched it. If they would say to me,
11 you know, could we pay this invoice, I would say, sure.

12 Ms. West: Okay. But who would negotiate the contract with the vendor so that
13 the invoice would come to them?

14 Mr. Helfand: I don't think that there were any like engagement letters or anything
15 like that.

16 Ms. West: Okay. But if you were to do a mailer say, who would actually --

17 Mr. Helfand: They would receive the invoice direct at the --

18 Ms. West: Right, but who would set up the mailer and decide what it was
19 going to say, and who it was going to go to?

20 Mr. Helfand: Who would set up the mailer, whoever was contracted to do -- I
21 mean I certainly don't know who actually produced the mailer, like
22 what printing company, if that's the question.

23 Ms. West: Yeah. I'm trying to figure out who would hire the printing company.

24 Mr. Helfand: It would have been probably the person who was sort of helping us
25 come up with the strategy for, you know, what kind of messaging to
26 put out and stuff like that.

27 Ms. West: So who would that be?

28 Mr. Helfand: Well, I kind of expected you guys to have --

1 Ms. West: Okay.

2 Mr. Helfand: -- more information than me.

3 Ms. West: Okay. I'm just trying to figure it out.

4 Mr. Helfand: But I'm happy -- I'm sure I can figure out the name of the guy if you
5 give me a moment.

6 Ms. West: Okay. Sure.

7 Mr. Helfand: I mean, you know, you're talking about two years ago.

8 Ms. West: Totally understand.

9 Mr. Myers: Sure.

10 Ms. West: I'm sorry, this --

11 Mr. Helfand: You guys clearly must know who the --

12 Ms. West: Right.

13 Mr. Helfand: -- consultant was. Who is that?

14 Ms. West: I know who the consultant was, Richard Schlackman.

15 Mr. Helfand: Yeah.

16 Ms. West: Right.

17 Mr. Helfand: He would have been the person who would have -- I asked you --
18 Yes.

19 Ms. West: That's why I was trying to make sure I understand. It was Richard
20 Schlackman that, like say you would do fundraising, and then he
21 would decide the expenditures?

22 Mr. Helfand: Yeah. I mean I wasn't dealing with the vendors, yes.

23 Ms. West: Okay. Because each committee works differently, so those
24 questions are just kind of trying to flush out how your committee
25 actually worked.

26 Mr. Helfand: Yeah, Rich Schlackman would have been the person who picked
27 out, who printed it, and he who would have told them to invoice the
28 accounts directly.

1 Ms. West: Okay. Well, I think Lee's going to go through the emails with you.
2 Mr. Myers: Yeah, we'll go through the emails.
3 Ms. West: I was just trying get the, you know --
4 Mr. Helfand: I was just trying to figure out the name.
5 Ms. West: No problem. I was just trying to figure out, you know, who was
6 making the decisions for the committee, since you were principle
7 officer, so I was trying to see if there was someone else that was --
8 Mr. Helfand: That's entirely glorified, but okay.
9 Ms. West: We like to give people titles.
10 Mr. Myers: All right. So the first one on the --
11 Mr. Helfand: Sure.
12 Mr. Myers: -- Exhibit 1 --
13 Mr. Helfand: Yeah.
14 Mr. Myers: [REDACTED]
15 [REDACTED] Who's Chris, if you
16 remember?
17 Mr. Helfand: Chris, I don't have a last name for you.
18 Mr. Myers: Okay. So on Exhibit 2 --
19 Mr. Helfand: Yeah.
20 Mr. Myers: [REDACTED] So that's probably
21 the Chris that Mark was --
22 Mr. Helfand: Okay.
23 Mr. Myers: Okay. [REDACTED]
24 [REDACTED]
25 [REDACTED]
26 Mr. Helfand: Okay.
27 Mr. Myers: Did you ask Mark basically how you could go about helping him? Is
28 that how -- and he --

1 Mr. Helfand: No.

2 Mr. Myers: -- (inaudible).

3 Mr. Helfand: No, no. In fact, I think that this certainly wasn't requested by me at
4 anytime. No, I mean I don't recall asking anybody for any help with
5 this.

6 Ms. West: Okay. We talked to Chris, and he was saying that you contacted
7 him and asked him for assistance. Does that sound familiar?

8 Mr. Helfand: It doesn't.

9 Ms. West: Okay. Do you remember speaking to him?

10 Mr. Helfand: No, I really don't. But it looks like I got an email from him.

11 Ms. West: Do you know he worked for the Mark Farrell campaign?

12 Mr. Helfand: I don't think that at this time we had done anything in terms of
13 setting up the independent expenditure committee. But you guys
14 would probably have the timing better than me. There was --

15 Ms. West: I believe this was right before.

16 Mr. Helfand: Was it right before? What date? Do you know what date the
17 expenditure committee got called?

18 Ms. West: Do you have the date?

19 Mr. Myers: It was I think the second week of September.

20 Mr. Helfand: Okay. And so to answer your question, no, I don't remember
21 reaching out to anybody.

22 Ms. West: And you didn't have any discussions with Mark about letting him
23 know you were going to do something to try and help him, separate
24 from his campaign?

25 Mr. Helfand: I might have said something to the extent, I might work on this
26 separately from you, but nothing like, hey, you know, I'm going to
27 coordinate anything for you, or anything like that.

28 Ms. West: Right.

1 Mr. Helfand: No, certainly not.
2 Ms. West: Right. I would hope not.
3 Mr. Myers: All right. So the third exhibit, [REDACTED]
4 [REDACTED] Okay. [REDACTED]
5 [REDACTED]
6 [REDACTED]
7 [REDACTED]
8 [REDACTED]
9 [REDACTED]
10 [REDACTED]
11 [REDACTED]
12 [REDACTED]
13 [REDACTED] Did you ever reach out to Ren
14 Riley?
15 Mr. Helfand: Yeah, I did. I did, and I think I completed Ren -- so my
16 understanding is that Ren didn't participate, and that Ren was part
17 of the group of eight to ten people who we went out to try to get
18 help with. So I definitely reached out to Ren, you know. I
19 obviously, you know, didn't know anything about this
20 communication.
21 Mr. Myers: But Mark knew that you were going around reaching out to people
22 though?
23 Mr. Helfand: I'm sure that he was aware that there was -- I mean this is
24 September 7th, the day -- let me see if I can -- so this -- okay. Is
25 this the 9th, or the 7th? Oh, I see, you Ren wrote (inaudible).
26 Mr. Myers: Yeah, he wrote back (inaudible).
27 Mr. Helfand: No, (inaudible).
28 Ms. West: Did you get Ren's name from Mark as someone --

1 Mr. Helfand: No.

2 Ms. West: -- to possibly reach out to?

3 Mr. Helfand: No, Ren's at a company like my own in Palo Alto.

4 Mr. Myers: On Exhibit 4, [REDACTED]

5 [REDACTED]

6 [REDACTED]

7 [REDACTED]

8 [REDACTED]

9 [REDACTED] What was the list, do you recall?

10 Mr. Helfand: I think that that was just a list of friends of Mark that they thought

11 were friends of mine that I'd be able to talk to.

12 Ms. West: Do you recall if this was about your (inaudible) communication with

13 Chris Lee, or how many times that --

14 Mr. Helfand: I can't ever remember talking to Chris Lee. I mean I see the email.

15 This was before, you know, we started the committee and, you

16 know, I might have put in -- honestly, I can't recall, but I might have

17 said like, do you have somebody's email, or somebody's address,

18 or something like that.

19 Mr. Myers: And he says, we have a consultant on board, almost as if he picked

20 the consultant for you.

21 Mr. Helfand: Hmm. I don't take that from the email, but --

22 Ms. West: What do you think it means?

23 Mr. Helfand: I don't know. I've never ever met with any of the consultants.

24 Ms. West: So a consultant he's referring to wouldn't be Rich Schlackman?

25 Mr. Helfand: It could be. I don't know. I never met with one, and I never heard

26 anything from Chris Lee in terms of who their consultant would be.

27 Ms. West: Can you go through this, how Rich Schlackman got hired for the

28 committee?

1 Mr. Helfand: You know, I really don't know. I can't recall.

2 Ms. West: Okay. Did you have his phone number and possibly contact him?

3 Mr. Helfand: I mean my best recollection is that when I started to do this I started
4 talking to some lawyers in San Francisco, and was basically
5 collecting names of people who could serve as an accountant, who
6 could serve as a consultant, who might be able to make the, you
7 know, mailings and that kind of thing.

8 Ms. West: Okay. And you probably got his name that way?

9 Mr. Helfand: I don't -- I mean honestly, I can't recall exactly. I just remember
10 how this process started was me trying to educate myself on what it
11 was that I was doing.

12 Ms. West: Yeah, there's a lot of rules. So when he sends you this -- when
13 Chris Lee sends you this email saying that the consultant has been
14 pegged, was this surprising to you that --

15 Mr. Helfand: I don't think that --

16 Ms. West: -- they would --

17 Mr. Helfand: Hold on, let's just make sure that we're being clear. I think the
18 email says we have a consultant on board that you will need to
19 meet with.

20 Ms. West: Yes. Do you think you met with this person?

21 Mr. Helfand: I did not meet anybody.

22 Ms. West: Okay.

23 Mr. Helfand: I never met with any person to do with this committee the entire,
24 you know, eight weeks that we were involved in it.

25 Ms. West: Okay. And then he says he has to step out of the picture, and
26 please give him a call. Do you know if you gave him a call?

27 Mr. Helfand: I certainly -- it was in conversation with please give me a call.

28 Ms. West: And then you say I will call you tomorrow morning.

1 Mr. Helfand: Oh, you're saying did I --
2 Ms. West: Did you call him?
3 Mr. Helfand: I'm sorry, I thought you meant Schlackman.
4 Ms. West: (Inaudible).
5 Mr. Helfand: So I definitely spoke with Schlackman. I may very well have
6 followed up with Chris.
7 Ms. West: Okay. And you don't recall that conversation?
8 Mr. Helfand: For sure not.
9 Ms. West: Okay. He says he had you call him in order to tell you never to call
10 him. Does that sound familiar?
11 Mr. Helfand: It doesn't. I don't remember the call. I'm not going to try and --
12 Ms. West: Okay.
13 Mr. Helfand: I mean to --
14 Ms. West: I'm just that --
15 Mr. Helfand: -- fracture anything, I mean honestly --
16 Ms. West: Just seeing if you were following the same (inaudible).
17 Mr. Helfand: Put it this way, had he put it that way, I would have remembered.
18 Ms. West: Yeah, that seemed memorable to me as well.
19 Mr. Helfand: I think he may very well, if we spoke, said to me something to the
20 effect of we can't communication -- we can't have communications
21 or something. That's entirely possible. It seems incredibly unlikely
22 that he said to me don't ever call me.
23 Ms. West: Yeah, yeah. That seemed unlikely to me as well when I was talking
24 to him.
25 Mr. Helfand: Okay.
26 Mr. Myers: Okay. On Exhibit 5, [REDACTED]
27 [REDACTED]
28 [REDACTED]

1 [REDACTED]
2 [REDACTED]
3 Mr. Helfand: Okay.
4 Mr. Myers: So he introduced you to Schlackman, did you --
5 Mr. Helfand: I don't know that he was the person that introduced me, but that's --
6 I mean I'm happy to answer any questions for you guys, but --
7 Ms. West: Right.
8 Mr. Helfand: -- please don't put words in my mouth, I mean --
9 Ms. West: No.
10 Mr. Helfand: -- you're asking me about emails that somebody else sent to me.
11 My recollection of Rick Schlackman becoming involved was not
12 because Chris Lee or anyone else said, you know, hire this person.
13 Ms. West: Okay.
14 Mr. Helfand: So that's my --
15 Mr. Myers: On Exhibit 6, [REDACTED] Who was Natalie
16 LeBlanc?
17 Mr. Helfand: I think that she worked for Rick Schlackman or something to that
18 effect.
19 Mr. Myers: Okay.
20 Mr. Helfand: MSHC partners.
21 Mr. Myers: Okay.
22 Mr. Helfand: Yeah, I think that -- well, she's in -- yeah, yeah. I think that she's
23 Schlackman's partner, I'm pretty sure.
24 Mr. Myers: [REDACTED] I'm just curious,
25 you guys ended up going, what was it, yes on Farrell or something?
26 You dropped the Nolan Riley as I recall.
27 Mr. Helfand: Yeah, I mean I think we were just playing with names at this point.
28 Mr. Myers: Just playing with names at that point.

1 Mr. Helfand: You know, doing our best to try and fit within the -- San Francisco's
2 got a bunch of guidelines around that and stuff, so (inaudible).
3 Ms. West: So would it be fair to say that you were working with Natalie and
4 Richard and --
5 Mr. Helfand: Oh, yeah.
6 Ms. West: -- for the committee, and trying to figure out what you needed to
7 do?
8 Mr. Helfand: Totally.
9 Ms. West: And activities?
10 Mr. Helfand: Yeah.
11 Ms. West: Okay.
12 Mr. Myers: Okay.
13 Ms. West: Who was in charge of fundraising for the committee?
14 Mr. Helfand: Well, I mean it was, you know, its success belies its humble
15 beginnings, I mean it really started as a group of, you know, people
16 who believed in Mark sort of, you know, throwing into the hat. In
17 terms of like, you know, a sort of legal, you know, filing, I think that
18 people would say, since I was the one that filed it, I was like the
19 final, you know, committee, you know. In practice, I'm a complete
20 political novice, and when somebody was, for example, coming up
21 with a committee name, or a political message, I mean, you know,
22 to the extent that I could, I tried to show deference to people who
23 had expertise.
24 Ms. West: And you didn't get paid for this position or anything, right? It was
25 volunteer?
26 Mr. Helfand: Now you're bringing up a very, very sore spot. No, it was
27 completely voluntary.
28 Ms. West: Sorry.

1 Mr. Helfand: No compensation. Actually, to be entirely accurate about that, at
2 the end, shutting down of the fund, there was approximately like
3 \$2,600 left or something like that, and my charge that -- I approved
4 an expense to cover legal cost. It actually had to do with the San
5 Francisco --

6 Ms. West: They fined, right?

7 Mr. Helfand: Yeah.

8 Ms. West: They did a settlement with you or something?

9 Mr. Helfand: They did. They did. So it was, excuse my language, but it was
10 pretty BS. The complaint came from Janet Riley's campaign, filed
11 a letter with your office and with San Francisco. Yours is the one I
12 think that we're following up on today, that the one in San Francisco
13 was an objection to the disclaimer at the bottom of one of the
14 postcards, which was totally illegitimate. I mean substantively we
15 had all of the information that was required by the law, but we didn't
16 use the exact San Francisco language.

17 Ms. West: Oh.

18 Mr. Helfand: So we ended up saying, fine, we're not going to, you know, be in a
19 fight over this, but honestly I felt like that was very, you know,
20 improper. So there was a little bit of legal time that I did negotiate
21 with San Francisco, which I compensated myself for.

22 Ms. West: Yeah, we have the same thing with Slate Mailer Organization.
23 They have specific language they have to use.

24 Mr. Helfand: Honestly, I think it violates Citizens United, but it's a different
25 conversation of course, another time.

26 Mr. Myers: Citizens United. On Exhibit 7, [REDACTED]
27 [REDACTED]

28 Mr. Helfand: Yeah, yeah, this I recognize.

1 Mr. Myers: The same. And those were some --

2 Mr. Helfand: And this right here, the Sutton Law Firm, you know, I think I made
3 reference to the fact of when we were first setting up the
4 committee, you know, we had talked with some lawyers and stuff.
5 This was the law firm that we talked to. Ultimately we ended up not
6 using that law firm.

7 Ms. West: Okay. So they just helped you initially?

8 Mr. Helfand: Yeah, and I'd just point out to you, so when monies were sent to
9 this address, they were then forwarded on to the accounting firm.

10 Ms. West: Okay.

11 Mr. Myers: Okay. That clears up that.

12 Ms. West: What is this, is this a fundraising thing?

13 Mr. Myers: Yeah. And these names are the ones that were brought up by
14 Chris Lee on that list?

15 Mr. Helfand: You said that, not me. No, this list is basically people that I know.
16 There's no person on this list that I didn't know, I don't think, going
17 into this. I mean obviously Schlackman and Natalie were blocked.
18 Those were totally new people that just came on.

19 Mr. Myers: Okay.

20 Mr. Helfand: And I'm sure you guys are seeing this, and we were not, at least
21 from our side of the committee, you know, we were doing our
22 damndest not to have any contact with them at all. In fact, from the
23 date that I started that committee, until well after he had been
24 elected, you know, I personally never had any communications with
25 anybody at the campaign that I was aware of. And I tried, as you
26 can see, to, you know, even anybody we approached to let them
27 know, you know, what we're doing here is not the campaign.

28 Ms. West: Yeah, I think the questions are coming off with the wrong tone. I

1 think what I was trying to ask is if you felt that Chris Lee was trying
2 to influence your committee.

3 Mr. Helfand: I'm such a big -- I'm a big boy. Honestly, you know, I don't put any
4 stock at all into -- Chris Lee had no effect on me. I mean I wasn't
5 doing what Chris Lee wanted. Obviously I wasn't committing
6 myself to this to, you know, help Chris Lee or to do his bidding.

7 Ms. West: But he was contacting you?

8 Mr. Helfand: You know, I think that there was an exchange of the emails that you
9 saw, head of the committee. I mean, you know, I don't the ins and
10 outs of these laws, but I'm perfectly aware that like for example
11 people in the current presidential election have set up expenditure
12 campaigns the day after they terminate employment from the
13 campaign.

14 Ms. West: So true.

15 Mr. Helfand: So I mean I don't -- I can't tell you with like any sort of legal
16 specificity, but as soon as I made the decision to start this thing,
17 and went forward with it, I mean it was, at least on my part,
18 absolutely zero.

19 Ms. West: Right.

20 Mr. Helfand: And tried to communicate the same thing by --

21 Ms. West: Yeah, your part was not what my questions were trying to get
22 towards.

23 Mr. Helfand: I mean I can't -- honestly, I can't throw Chris in the grease, just
24 mostly because I don't know the guy.

25 Ms. West: Right.

26 Mr. Helfand: I don't really remember having any communications, and he
27 certainly wasn't driving the committee in any way.

28 Ms. West: Okay. Go.

1 Mr. Myers: We'll move forward on Exhibit 8. So then just like you said, I mean
2 it does look like you went out of your way to make sure that
3 everybody knows not to have --
4 Mr. Helfand: Yeah.
5 Mr. Myers: -- you know, that they cannot --
6 Mr. Helfand: I mean I don't want to get in trouble.
7 Mr. Myers: Yeah.
8 Ms. West: Yeah.
9 Mr. Myers: No, that's all up and up right there.
10 Ms. West: So what does this one say? Are you telling Ren not to
11 communicate with the committee?
12 Mr. Myers: Yeah.
13 Mr. Helfand: What's that?
14 Ms. West: It looks like you're telling Ren not to communicate with Mark's
15 committee.
16 Mr. Helfand: Yeah, well, it looks like he sent me an email, and then 20 minutes
17 later I sent him an email, don't do that.
18 Ms. West: Is this October? Yeah.
19 Mr. Myers: Yeah. So Exhibit 9, [REDACTED]
20 [REDACTED]
21 [REDACTED]
22 [REDACTED]
23 [REDACTED]
24 [REDACTED]
25 [REDACTED]
26 [REDACTED]
27 [REDACTED]
28 Mr. Helfand: Okay.

1 Mr. Myers: Did he ever tell you how he knew that there was going to be a
2 major contribution?
3 Mr. Helfand: No, but, you know, as I indicated before, I mean this whole episode
4 started like it was going to be, you know, an effort to raise a
5 relatively small amount of money that would have just sent out.
6 And then I think two fairly well to do San Franciscans -- wait a
7 second --
8 Ms. West: I think we know.
9 Mr. Helfand: Deanna Wilsey.
10 Ms. West: Yeah, Wilsey, and --
11 Mr. Myers: I think it was Debbie, right, is that her name, Debbie?
12 Ms. West: I don't know.
13 Mr. Helfand: Something like that.
14 Mr. Myers: Dede or a Debbie, I don't know.
15 Mr. Helfand: And then a real estate investor. These are not the people I run
16 with, but --
17 Ms. West: What was his name?
18 Mr. Helfand: He was the one that made the big investment that --
19 Ms. West: That was the guy, Cotes.
20 Mr. Helfand: Is it Coens, Cotes. Yes, Cotes.
21 Ms. West: Yes.
22 Mr. Helfand: So those people -- I mean for sure when we started the committee,
23 we --
24 Mr. Myers: Yeah.
25 Mr. Helfand: -- did not expect people to, you know, sort of --
26 Mr. Myers: It was kind of more like a grassroots thing for --
27 Mr. Helfand: Yeah.
28 Mr. Myers: -- the people who knew Mark.

1 Mr. Helfand: And then, you know, basically they said, you know, I think that
2 there's -- I think we might have heard something like, you know,
3 people are happy to see you're, you know, you're out there fighting
4 the fight, and there may be some support. And I think that Rich
5 would have been the person more -- for sure more knowledgeable
6 about me than Cotes and, is it Deanna Wilsey? This is --
7 Mr. Myers: I thought it was Debbie.
8 Mr. Helfand: Okay.
9 Mr. Myers: But I'm not totally 100 percent on that either.
10 Mr. Helfand: Ms. Wilsey, she actually called me, inbound call to me, and I think
11 she was just mostly making sure that I was a trustworthy guy before
12 she cut a big check.
13 Ms. West: Oh, because you didn't know her before?
14 Mr. Helfand: I did not know her. I mean I only knew her because she was like
15 some huge museum benefactor in San Francisco. Like I think that
16 she led the fundraising for the De Young Museum.
17 Ms. West: Wow. So did she say --
18 Mr. Helfand: (Inaudible) right now.
19 Ms. West: -- who told her about the committee, or how she got the --
20 Mr. Helfand: Well, we were in the newspaper.
21 Ms. West: Okay.
22 Mr. Helfand: We were in the newspaper.
23 Ms. West: So she didn't say anything about --
24 Mr. Helfand: No.
25 Ms. West: -- (inaudible)?
26 Mr. Helfand: No. She didn't say very much of anything, just, you know, wanted
27 to know who I was and what I was doing.
28 Ms. West: That you're an outstanding guy. How about Cotes, did you ever

1 speak to him?

2 Mr. Helfand: I did not speak with Cotes when he was giving us the money, and
3 never spoke with anybody from his office at all.

4 Ms. West: Okay. Do you know whose contribution Schlackman was referring
5 to on this?

6 Mr. Helfand: I think the only six -- you know, you guys have this at your
7 fingertips, but I think the only six figure check we got was from
8 Cotes.

9 Ms. West: Okay.

10 Mr. Helfand: So I'm guessing that's what he was referring to.

11 Mr. Myers: Okay. I think Exhibit 10 [REDACTED]
12 [REDACTED]

13 Mr. Helfand: Okay.

14 Ms. West: Sorry, I haven't seen where these are at. I'm jumping all around.

15 Mr. Myers: No, no, that is a good (inaudible). And so finally the number 11 --

16 Mr. Helfand: Okay.

17 Mr. Myers: -- Exhibit 11, [REDACTED] Do you know
18 David?

19 Mr. Helfand: Artisan Capital I know. David Lamond, I think David Lamond is an
20 investor at Artisan Capital.

21 Mr. Myers: Okay.

22 Mr. Helfand: I know Artisan Capital, and David is not a personal friend, but
23 maybe somebody whose name I knew about before the committee,
24 but no personal relation.

25 Mr. Myers: Okay. [REDACTED]
26 [REDACTED]
27 [REDACTED]
28 [REDACTED]

1 [REDACTED]
2 Mr. Helfand: Okay.
3 Mr. Myers: [REDACTED]
4 [REDACTED]
5 [REDACTED]
6 [REDACTED]
7 Mr. Helfand: Okay.
8 Mr. Myers: Do you remember --
9 Mr. Helfand: For sure I don't know anything about this, no.
10 Mr. Myers: Okay.
11 Ms. West: Did Chris forward --
12 Mr. Helfand: I don't even know if David Lamond gave me money.
13 Ms. West: Okay.
14 Mr. Myers: Yeah.
15 Mr. Helfand: Did David Lamond give me money?
16 Mr. Myers: You know, I haven't done research on it.
17 Mr. Helfand: Okay. Yeah, I mean for sure I never talked to the guy. I never
18 talked to anybody about it.
19 Ms. West: How early was this?
20 Mr. Myers: This one is --
21 Ms. West: In September. So it was right when the committee was forming
22 when it first was --
23 Mr. Helfand: Yeah, it sounds like when he's saying he's making a contribution,
24 he's saying he made the contribution to --
25 Ms. West: Mark Farrell.
26 Mr. Helfand: -- to Mark Farrell, and then maybe he was wanting to get more. I
27 mean I'm totally speculating. I've never seen his emails.
28 Mr. Myer: Sure.

1 Mr. Helfand: In fact, I'm going back to other email. Let me look and see if
2 Lamond was on this.

3 Ms. West: (Inaudible).

4 Mr. Myers: Yeah.

5 Mr. Helfand: No, I mean he would not have been one of the people that I went
6 out to on October 1st. So I'm thinking that he didn't exist, because
7 had he existed, he would have been on --

8 Ms. West: He would have been on that --

9 Mr. Myers: Sure, sure.

10 Mr. Helfand: -- October.

11 Ms. West: Okay. In the conversations with Schlackman or his associate,
12 Natalie LaBlanc, did the name Supervisor Alioto-Pier come up as
13 someone that was helping with the committee?

14 Mr. Helfand: Wasn't she the person he was running for her.

15 Ms. West: Her (inaudible).

16 Mr. Helfand: She was terming out.

17 Ms. West: Yeah.

18 Mr. Helfand: I don't recall anything about her working for the campaign. I mean I
19 think her name was sort of part of the -- you know, she was sort of
20 the current office sitter, and I think maybe she had given Mark her
21 endorsement.

22 Ms. West: Right. So you never met with her?

23 Mr. Helfand: No.

24 Ms. West: Okay. Or her people I think would be, who was it, Catherine
25 Stefani.

26 Mr. Myers: Yeah, Catherine.

27 Ms. West: Do you know her at all?

28 Mr. Myers: (Inaudible) Stefani.

1 Ms. West: Or Bill Morris.
2 Mr. Myers: Yeah.
3 Ms. West: Okay.
4 Mr. Helfand: I mean, guys, and it's fine. I'm happy to help, but I just, you know --
5 this really started as, you know, half a dozen guys throwing money
6 in the hat, and the only reason that it came to anybody's attention is
7 because Debbie or Deanna Wilsey, and Cotes put in so much
8 money that it --
9 Ms. West: Right. And so just -- not to be redundant, but an expenditure is
10 made from the committee, you would work with Rick Schlackman
11 and his group, or his employees, and that's how the decisions for
12 the committee were made for expenditures? Would that be
13 correct?
14 Mr. Helfand: No, I thought you were saying before would they have come to me
15 with an in -- like let's say for example that we made the decision to
16 send out 10,000 mailers or something like that, I would not have
17 gotten in any way involved in this is the right people to do the
18 printing, this is the right people to do the mailing, or anything like
19 that.
20 Ms. West: Right.
21 Mr. Helfand: That would have been completely handled by the consultants.
22 Where I would have had anything to do in the loop, and this wasn't
23 in every case, but it did happen to my recollection is I would get a
24 proof of like the distribution that was going on. I think, you know,
25 three or four different times I may have had a comment, or a typo,
26 on like one of them in terms of from a contents standpoint. And
27 then it got distributed, invoice would send to the accounting firm,
28 and they would say we got the invoice for the, you know, 10,000

1 mailer, you know, can I pay this, it's \$16,000?

2 Ms. West: Sure.

3 Mr. Helfand: Okay. Yeah. I mean I did not run this like a business by any

4 means. I mean I thought I was just expressing my free speech.

5 Ms. West: Oh, yeah.

6 Mr. Myers: Yeah.

7 Ms. West: Well, so who would come up with the draft and the mailer and send

8 it to you?

9 Mr. Helfand: It would have been the consultants, yeah.

10 Ms. West: Okay. And so who would decide to send the mailer? Like who

11 would decide, hey -- because I think this committee as a whole did

12 mostly mailers, I think, with the money. That would be --

13 Mr. Helfand: I mean I would refer you to the expenditures. I think that there were

14 other expenditures other than --

15 Mr. Myers: Yeah, there's a Facebook page.

16 Mr. Helfand: Yeah, yeah.

17 Ms. West: Right.

18 Mr. Helfand: There was some email.

19 Ms. West: There's electronic.

20 Mr. Myers: All electronic stuff, yeah.

21 Ms. West: Stuff.

22 Mr. Helfand: Okay.

23 Ms. West: Who decided that's what the strategy was going to be for the

24 committee?

25 Mr. Helfand: The consultants.

26 Ms. West: Okay.

27 Mr. Helfand: Yeah. I mean I wasn't in there saying like 18 percent needs to be

28 on internet, you know.

1 Ms. West: Yeah.

2 Mr. Helfand: Twelve needs to be on -- yeah, I mean --

3 Ms. West: And then they would come up with a text, and then you would just
4 kind of say, yeah, that looks great?

5 Mr. Helfand: Exactly.

6 Ms. West: Okay. Was there anyone else involved besides Rick Schlackman
7 and his company?

8 Mr. Helfand: Certainly nobody else I dealt with. I mean I certainly got the
9 impression that there were, you know, other people doing leg work,
10 and that, you know, worked at that company and stuff like that, you
11 know.

12 Ms. West: Okay.

13 Mr. Helfand: I might -- I'm sure that I produced an email, and they might have
14 said something to me like, oh, somebody, or so and so is
15 forwarding the next proof or something like that. But in terms of
16 the, you know, conversations to, you know, affect anything, would
17 have been Schlackman and Natalie from the sort of like design, you
18 know, mine sort of last and final approval on invoices, and the
19 treasurer, you know, basically handling all of the record keeping,
20 filing and that kind of thing.

21 Ms. West: Okay.

22 Mr. Helfand: That was kind of the division of labor.

23 Ms. West: And he never had a conversation with you, and you never heard
24 anything where he was getting input from other people as to how to
25 make those decisions for the committee?

26 Mr. Helfand: No. I mean he never said to me like, this is the person that Chris
27 Lee thinks you should hire or anything like that, no.

28 Ms. West: Right.

1 Mr. Helfand: Certainly not.

2 Ms. West: Right. Or this is the direction the committee should go in, or these
3 are the types of mailers we should send out?

4 Mr. Helfand: Well, he very well was -- I mean I don't own this business, the one
5 we're at here, but I'm able to influence its direction through my
6 actions. I mean he clearly was -- you know, he was given a
7 mission to come up with a, you know, a convincing, you know,
8 mailer, and I wasn't going to get in there and tell him to use a
9 different color and background.

10 Ms. West: Right.

11 Mr. Helfand: So I mean he was clearly, you know, shaping the message, if that's
12 --

13 Ms. West: Yeah, I think my question's more, did he ever give you the
14 impression, or you ever had a conversation with him where he was
15 saying, he was talking to someone else to make these decisions,
16 somebody else was giving him input to decide the course of --

17 Mr. Helfand: No, no.

18 Ms. West: Okay.

19 Mr. Helfand: I mean I think that I -- I'm totally -- the answer to your question is,
20 no, he certainly never had that conversation with me. Two, I would
21 expect him to be, you know, more --

22 Ms. West: More than (inaudible).

23 Mr. Helfand: I mean if he was saying to me, this is what the candidate's
24 committee wants you to put out in terms of message, I would have
25 fired him by myself.

26 Ms. West: Oh, that's good. All right. That helps me a lot. I really --

27 Mr. Helfand: Sure, yeah.

28 Ms. West: -- understand more of the workings of the committee. Did you have

1 any other questions?
2 Mr. Myers: No, I think we've hit on everything.
3 Ms. West: Okay.
4 Mr. Helfand: Awesome. Thank you guys for doing it quickly. Can I hang onto
5 this?
6 Mr. Myers: It's up to you.
7 Ms. West: Actually, it's up to you.
8 Mr. Myers: It's up to me.
9 Ms. West: Can I conclude the interview?
10 Mr. Myers: Yes, I will conclude the interview.
11 Mr. Helfand: You have two copies, right? All right.
12 Mr. Myers: Yes.
13 Mr. Helfand: Signing off?
14 Mr. Myers: I'm signing off, 12:12.
15 Mr. Helfand: Thank you. (Recording Ends)

16 - INTERVIEW CONCLUDED -
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From: [Matthew Alvarez](#)
To: [St.Croix, John](#); [Argumedo, Catherine \(ETH\)](#)
Cc: [James Sutton](#)
Subject: Letter to Chairperson Hur
Date: Tuesday, December 16, 2014 4:01:13 PM
Attachments: [Hur Common Sense Voters Matter.pdf](#)

Mr. St. Croix and Ms. Argumedo,

Attached please find a letter from Mr. Sutton addressed to Chairperson Hur regarding tonight's Commission meeting. Please confirm receipt of this letter.

Please let me know if you have any questions or concerns.

Thank you,

Matthew Alvarez
The Sutton Law Firm
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San Francisco, CA 94108
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PH: 415/732-4502
FX: 415/732-7701

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THE SUTTON LAW FIRM

December 16, 2014

VIA E-MAIL ONLY

Benedict Hur, Esq.
Chairperson
San Francisco Ethics Commission
25 Van Ness Ave., Ste. 220
San Francisco, CA 94102

RE: Common Sense Voters Matter

Dear Chairperson Hur:

We represent Supervisor Mark Farrell and the Mark Farrell for District 2 Supervisor 2010 committee, and submit this letter in connection with your consideration of agenda item #3 involving the Common Sense Voters committee at your meeting this afternoon. We want to provide you with certain information which may not be contained in the FPPC stipulation with Common Sense Voters or other documents. Commission decisions have wide-ranging effects, and it is essential that the Commission receive a full picture of the record.

Most notably, Supervisor Farrell wanted us to emphasize that he takes compliance with all campaign and ethics laws very seriously, and that he has always required his campaign team and his City Hall staff to comply with both the letter and spirit of the City's campaign and ethics laws. As indicated in the FPPC stipulation (which is attached to the agenda), it was not until well after the 2010 election that Supervisor Farrell learned of the interactions between one of his former campaign consultants, Chris Lee, and the Common Sense Voters committee. In fact, it was the FPPC that first informed Supervisor Farrell of Mr. Lee's unauthorized actions. He was very upset to learn about Mr. Lee's actions, which were taken completely without his authorization and outside the scope of his duties as a campaign consultant for his 2010 committee.

Supervisor Farrell fully cooperated with the FPPC during the entire course of its entire 3+ year investigation. He and his campaign team provided dozens of e-mails to the FPPC, responded to numerous inquiries, and was interviewed at length by FPPC investigators. He of course will continue to cooperate with the Ethics Commission and City Attorney's office, and will provide you with any additional information which the Commission or City Attorney's office may need about his 2010 campaign.

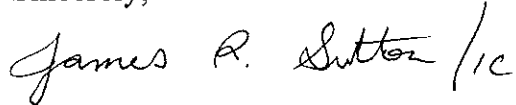
Benedict Hur, Esq.
December 16, 2014
Page 2

The FPPC's investigation concluded that, before the Common Sense Voters committee was officially organized, Mr. Lee had inappropriate interactions with the committee's responsible officer and political consultant.

However, given that Mr. Lee's actions were not authorized by Supervisor Farrell, and were outside the scope of his consultant duties, the FPPC decided that the most appropriate resolution of the matter was the stipulation it reached with the culpable parties.

If you need any additional information from Supervisor Farrell, his campaign team or his 2010 Farrell committee, please do not hesitate to contact us.

Sincerely,

A handwritten signature in black ink that reads "James R. Sutton /lc". The signature is written in a cursive, flowing style.

James R. Sutton

cc: Supervisor Mark Farrell
JRS/lc
#1440.03