

**FORM SFEC-126:**  
**NOTIFICATION OF CONTRACT APPROVAL**  
 (S.F. Campaign and Governmental Conduct Code § 1.126)

2013 SEP 26 PM 3:55

<b>City Elective Officer Information</b> <i>(Please print clearly.)</i>	
Name of City elective officer(s): Members, Board of Supervisors	City elective office(s) held: Members, Board of Supervisors

<b>Contractor Information</b> <i>(Please print clearly.)</i>
Name of contractor: J.P. Morgan Securities LLC

*Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.*

1) Board of Directors

- John R. Duffy
- Carlos M. Hernandez
- Robert C. Holmes
- John S. Horner
- Patrick C. Kirby
- Michael Minikes
- Gregory G. Quental
- Jason Edwin Sippel
- Barry Sommers
- Jeffrey Urwin

2) Officers

- Barry Sommers (Co-CEO and Co-Chairman)
- Carlos Hernandez (Co-CEO and Co-Chairman)
- James Collins (CFO)
- Patrick Kirby (COO)

3) J.P. Morgan Securities LLC is the investment banking subsidiary of J.P. Morgan Chase & Co., a publicly owned company. There is no individual shareholder that owns more than 20% of J.P. Morgan Chase & Co.

4) J.P. Morgan has not subcontracted any of the work related to the contract

5) There are no political subcommittees sponsored or controlled by J.P. Morgan Securities LLC

Contractor address: San Francisco, CA 94109
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Date that contract was approved: <i>(By the SF Board of Supervisors)</i> September 24, 2013	Amount of contracts: \$ 465,000 (preliminary)
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Describe the nature of the contract that was approved: The approved contract is a Bond Purchase Agreement related to the issuance of the SFMTA's 2013 Revenue Bonds.
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Comments: None
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This contract was approved by (check applicable):

the City elective officer(s) identified on this form

a board on which the City elective officer(s) serves: San Francisco Board of Supervisors

Print Name of Board

the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

<b>Filer Information</b> <i>(Please print clearly.)</i>	
Name of filer: Angela Calvillo, Clerk of the Board	Contact telephone number: ( 415 ) 554-5184
Address: City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	E-mail: Board.of.Supervisors@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer)



Date Signed

9/25/13

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed