

FORM SFEC-126:
NOTIFICATION OF CONTRACT APPROVAL
 (S.F. Campaign and Governmental Conduct Code § 1.126)

SAN FRANCISCO
 ETHICS COMMISSION

City Elective Officer Information (Please print clearly.)	
Name of City elective officer(s): Members, Board of Supervisors	City elective office(s) held: Members, Board of Supervisors

Contractor Information (Please print clearly.)	
Name of contractor: Morgan Stanley and Co, LLC	
Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.	
(1) See Attachment I (2) James P. Gorman, CEO Ruth Porat, CFO Jim Rosenthal, COO (3) None (4) None (5) Morgan Stanley Political Action Committee	
Contractor address: Morgan Stanley <div style="background-color: black; width: 150px; height: 15px; margin: 5px 0;"></div> San Francisco, CA 94104	
Date that contract was approved: (By the SF Board of Supervisors) September 24, 2013	Amount of contracts: Estimated at \$50,000; actual amount to be determined
Describe the nature of the contract that was approved: Underwriter, Series 2013 Revenue Bonds	
Comments: N/A	

This contract was approved by (check applicable):

the City elective officer(s) identified on this form

a board on which the City elective officer(s) serves: San Francisco Board of Supervisors
Print Name of Board

the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

Filer Information (Please print clearly.)	
Name of filer: Angela Calvillo, Clerk of the Board	Contact telephone number: (415) 554-5184
Address: City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	E-mail: Board.of.Supervisors@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer)

Date Signed

9/25/13

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed

ATTACHMENT I

Morgan Stanley Board of Directors:

James P. Gorman, Chairman of the Board and Chief Executive Officer
Erskine B. Bowles, Director
Sir Howard J. Davies, Director
Thomas H. Glocer, Director
Robert H. Herz, Director
C. Robert Kidder, Director
Klaus Kleinfeld, Director
Donald T Nicolaisen, Director
Hutham S. Olayan, Director
James W. Owens, Director
O Griffith Sexton, Director
Ryosuke Tamakoshi, Director
Masaaki Tanaka, Director
Dr. Laura D. Tyson, Director
Rayford Wilkins, Jr., Director