

FORM SFEC-126:
NOTIFICATION OF CONTRACT APPROVAL
 (S.F. Campaign and Governmental Conduct Code § 1.126)

2013 OCT -7 PM 4:12
 SAN FRANCISCO
 ELECTIONS COMMISSION

City Elective Officer Information <i>(Please print clearly.)</i>	
Name of City elective officer(s): Mayor Edwin M. Lee	City elective office(s) held: Mayor, City and County of San Francisco

Contractor Information <i>(Please print clearly.)</i>	
Name of contractor: J.P. Morgan Securities LLC	
Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary. 1) Board of Directors – John Duffy, Carlos Hernandez, Robert Holmes, John Horner, Patrick Kirby, Michael Minikes, Gregory Quental, Jason Edwin Sippel, Barry Sommers, Jeffrey Urwin 2) Officers – Barry Sommers, Co-CEO and Co-Chairman; Carlos Hernandez, Co-CEO and Co-Chairman; James Collins, CFO; Patrick Kirby, COO 3) JP Morgan Securities LLC is the investment banking subsidiary of JP Morgan Chase & Co – no individual shareholder owns more than 20% 4) JP Morgan has not subcontracted any of the work related to the contract 5) There are no political subcommittees sponsored or controlled by J.P. Morgan Securities LLC	
Contractor address:	
Date that contract was approved: 10/3/13	Amount of contract: \$465,000
Describe the nature of the contract that was approved: The approved contract is a Bond Purchase Agreement related to the issuance of SFMTA's 2013 Revenue bonds	
Comments:	

This contract was approved by (check applicable):

- the City elective officer(s) identified on this form (Mayor Edwin M. Lee)
- a board on which the City elective officer(s) serves _____
Print Name of Board
- the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits _____
Print Name of Board

Filer Information <i>(Please print clearly.)</i>	
Name of filer: Jason Elliott, Mayor's Liaison to the Board of Supervisors	Contact telephone number: (415) 554-5105
Address: City Hall, Room 200	E-mail: Jason.elliott@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer) _____ Date Signed 10/3/13

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed