

**FORM SFEC-126:**  
**NOTIFICATION OF CONTRACT APPROVAL**  
 (S.F. Campaign and Governmental Conduct Code § 1.126)

FILED

2013 OCT -7 PM 4:12

**City Elective Officer Information** (Please print clearly.)

Name of City elective officer(s): Mayor Edwin M. Lee	City elective office(s) held: Mayor, City and County of San Francisco
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BY \_\_\_\_\_

**Contractor Information** (Please print clearly.)

Name of contractor: <b>Morgan Stanley and Co, LLC</b>	
Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary. (1) See Attachment I (2) James P. Gorman, CEO Ruth Porat, CFO Jim Rosenthal, COO (3) None (4) None (5) Morgan Stanley Political Action Committee	
Contractor address:	San Francisco, CA 94104
Date that contract was approved: 10/3/13	Amount of contract: Estimated at \$50,000; actual amount to be determined
Describe the nature of the contract that was approved: Underwriter, Series 2013 Revenue Bonds	
Comments:	

This contract was approved by (check applicable):

- the City elective officer(s) identified on this form (Mayor Edwin M. Lee)
- a board on which the City elective officer(s) serves \_\_\_\_\_  
Print Name of Board
- the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits  
Print Name of Board

**Filer Information** (Please print clearly.)

Name of filer: Jason Elliott, Mayor's Liaison to the Board of Supervisors	Contact telephone number: (415) 554-5105
Address: City Hall, Room 200	E-mail: Jason.elliott@sfgov.org

_____ Signature of City Elective Officer (if submitted by City elective officer)	10/3/13 _____ Date Signed
_____ Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)	_____ Date Signed

## ATTACHMENT I

*Morgan Stanley Board of Directors:*

James P. Gorman, Chairman of the Board and Chief Executive Officer  
Erskine B. Bowles, Director  
Sir Howard J. Davies, Director  
Thomas H. Glocer, Director  
Robert H. Herz, Director  
C. Robert Kidder, Director  
Klaus Kleinfeld, Director  
Donald T Nicolaisen, Director  
Hutham S. Olayan, Director  
James W. Owens, Director  
O Griffith Sexton, Director  
Ryosuke Tamakoshi, Director  
Masaaki Tanaka, Director  
Dr. Laura D. Tyson, Director  
Rayford Wilkins, Jr., Director