

**FORM SFEC-126:
NOTIFICATION OF CONTRACT APPROVAL
(S.F. Campaign and Governmental Conduct Code § 1.126)**

FILED
2013 OCT -7 PM 4:12

City Elective Officer Information <i>(Please print clearly.)</i>	
Name of City elective officer(s): Mayor Edwin M. Lee	City elective office(s) held: Mayor, City and County of San Francisco

SAN FRANCISCO
ETHICS COMMISSION
BY _____

Contractor Information <i>(Please print clearly.)</i>	
Name of contractor: RBC Capital Markets, LLC ("RBCCM")	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
1) Board of Directors: W. Geoffrey Beattie, David F. Denison, The Hon. Paule Gauthier, Richard L. George, Timothy J. Hearn, Alice D. Laberge, Jacques Lamarre, Brandt C. Louie, Michael H. McCain, Heather Munroe-Blum, Gordon M. Nixon, David P. O'Brien, J. Pedro Reinhard, Thomas A. Renyi, Edward Sonshine, Kathleen P. Taylor, Bridget A. van Kralingen, Victor L. Young	
2) Doug McGregor, Chairman and Co-CEO, Mark Standish, President and Co-CEO, Mark Hughes, Chief Operating Officer	
3) Person with Ownership of 20% or more: None	
4) Subcontractors in the bid or contract: None	
5) Any political committee sponsored or controlled by the contractor: None	
Contractor address: RBC Capital Markets, LLC	San Francisco, CA 94111 105
Date that contract was approved: 10/3/13	Amount of contract: \$ To be determined
Describe the nature of the contract that was approved: Bond Underwriting Services for Revenue Bonds, Series 2013	
Comments: RBC Capital Markets, LLC ("RBCCM") is an indirect, wholly-owned subsidiary of Royal Bank of Canada. The Board of Directors and ownership information provided correspond to Royal Bank of Canada.	

This contract was approved by (check applicable):

- the City elective officer(s) identified on this form (Mayor Edwin M. Lee)
- a board on which the City elective officer(s) serves _____
Print Name of Board
- the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

Filer Information <i>(Please print clearly.)</i>	
Name of filer: Jason Elliott, Mayor's Liaison to the Board of Supervisors	Contact telephone number: (415) 554-5105
Address: City Hall, Room 200	E-mail: Jason.elliott@sfgov.org

_____ Signature of City Elective Officer (if submitted by City elective officer)	10/3/13 Date Signed
_____ Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)	_____ Date Signed