

**FORM SFEC-126:**  
**NOTIFICATION OF CONTRACT APPROVAL**  
 (S.F. Campaign and Governmental Conduct Code § 1.126)

2013 OCT -7 PM 4:12

<b>City Elective Officer Information</b> <i>(Please print clearly.)</i>	
Name of City elective officer(s): Mayor Edwin M. Lee	City elective office(s) held: Mayor, City and County of San Francisco

<b>Contractor Information</b> <i>(Please print clearly.)</i>	
Name of contractor: <b>The Bank of New York Mellon Trust Company, N.A.</b>	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
1) Please find a list of our board of directors listed here - <a href="http://www.bnymellon.com/governance/">http://www.bnymellon.com/governance/</a>	
2) The Bank of New York Mellon Trust Company's CEO – Timothy F. Keaney; CFO – Thomas (Todd) Gibbons; COO – Brian G. Rogan	
3) The company does not have any shareholders with 10% or more of its equity.	
4) The Bank of New York Mellon Trust Company will not use sub-contractors for this corporate trust appointment.	
5) The Bank of New York Mellon Trust Company does not sponsor or control any political committee.	
Contractor address:	Los Angeles, CA 90071
Date that contract was approved: 10/3/13	Amount of contract: \$1,500 Annual
Describe the nature of the contract that was approved: Trustee Services	
Comments:	

This contract was approved by (check applicable):

- the City elective officer(s) identified on this form (Mayor Edwin M. Lee)
- a board on which the City elective officer(s) serves \_\_\_\_\_  
Print Name of Board
- the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits  
Print Name of Board

<b>Filer Information</b> <i>(Please print clearly.)</i>	
Name of filer: Jason Elliott, Mayor's Liaison to the Board of Supervisors	Contact telephone number: (415) 554-5105
Address: City Hall, Room 200	E-mail: Jason.elliott@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer)

Date Signed  
10/3/13

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed

## BOARD OF DIRECTORS

- Ruth E. Bruch
- Nicholas M. Donofrio
- Gerald L. Hassell
- Edmund F. "Ted" Kelly
- Richard J. Kogan
- Michael J. Kowalski
- John A. Luke, Jr.
- Mark A. Nordenberg
- Catherine A. Rein
- William C. Richardson
- Samuel C. Scott III
- Wesley W. von Schack

### **Ruth E. Bruch**

Retired Senior Vice President and Chief Information Officer of Kellogg Company  
Director since July 1, 2007; formerly, director of Mellon Financial Corporation since 2003

### **Nicholas M. Donofrio**

Retired Executive Vice President, Innovation and Technology of IBM Corporation  
Director since July 1, 2007; formerly, director of The Bank of New York Company, Inc. since 1999

### **Gerald L. Hassell**

Chairman and Chief Executive Officer of The Bank of New York Mellon Corporation  
Director since July 1, 2007; formerly, director of The Bank of New York Company, Inc. since 1998

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### **Edmund F. "Ted" Kelly**

Chairman of Liberty Mutual Group  
Director since July 1, 2007; formerly, director of Mellon Financial Corporation since 2004

### **Richard J. Kogan**

Retired Chairman, President and Chief Executive Officer of Schering-Plough Corporation  
Director since July 1, 2007; formerly, director of The Bank of New York Company, Inc. since 1996

### **Michael J. Kowalski**

Chairman and Chief Executive Officer of Tiffany & Co.  
Director since July 1, 2007; formerly, director of The Bank of New York Company, Inc. since 2003

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### **John A. Luke, Jr.**

Chairman and Chief Executive Officer of MeadWestvaco Corporation  
Director since July 1, 2007; formerly, director of The Bank of New York Company, Inc. since 1996

### **Mark A. Nordenberg**

Chancellor and Chief Executive Officer of University of Pittsburgh  
Director since July 1, 2007; formerly, director of Mellon Financial Corporation since 1998

### **Catherine A. Rein**

Retired Senior Executive Vice President and Chief Administrative Officer of MetLife, Inc.  
Director since July 1, 2007; formerly, director of The Bank of New York Company, Inc. since 1981

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**William C. Richardson**

President and Chief Executive Officer Emeritus of The W.K. Kellogg Foundation  
Director since July 1, 2007; formerly, director of The Bank of New York Company, Inc. since 1998

**Samuel C. Scott III**

Retired Chairman, President and Chief Executive Officer of Corn Products International, Inc.  
Director since July 1, 2007; formerly, director of The Bank of New York Company, Inc. since 2003

**Wesley W. von Schack**

Retired Chairman, President and Chief Executive Officer of Energy East Corporation  
Director since July 1, 2007; formerly, director of Mellon Financial Corporation since 1989

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