

**FORM SFEC-126:  
NOTIFICATION OF CONTRACT APPROVAL**  
(S.F. Campaign and Governmental Conduct Code § 1.126)

**FILED**  
File No. 131031  
**2013 DEC -2 PM 1:17**  
*RECEIVED BY EMAIL*  
**SAN FRANCISCO**  
**ETHICS COMMISSION**

<b>City Elective Officer Information</b> (Please print clearly.)	
Name of City elective officer(s): Members, Board of Supervisors	City elective office(s) held: Members, Board of Supervisors

<b>Contractor Information</b> (Please print clearly.)	
Name of contractor: UNION BANK, N.A.	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
PLEASE SEE ATTACHED LIST OF EXECUTIVE MEMBERS	
Contractor address: Union Bank, N.A., Attn: Manager Community Development Finance [REDACTED] Walnut Creek, California 94596	
Date that contract was approved: November 26, 2013	Amount of contract: UP TO \$22,000,000.00
Describe the nature of the contract that was approved: BOND/NOTE DOCUMENTS BETWEEN THE CITY AND UNION BANK, N.A. THE PROCEEDS FROM THE NOTE WILL BE USED TO PAY FOR REHABILITATION COSTS AT 201 TURK STREET	
Comments:	

This contract was approved by (check applicable):

the City elective officer(s) identified on this form

a board on which the City elective officer(s) serves: San Francisco Board of Supervisors  
Print Name of Board

the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

<b>Filer Information</b> (Please print clearly.)	
Name of filer: Angela Calvillo, Clerk of the Board	Contact telephone number: (415) 554-5184
Address: City Hall, Room 244, 1 Dr. Carlton B. Goodlett Pl., San Francisco, CA 94102	E-mail: Board.of.Supervisors@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer)

Date Signed

11/27/13

Date Signed

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

**President & Chief Executive Officer**

**Masashi Oka**

Masashi Oka is President and Chief Executive Officer of Union Bank and UnionBanCal Corporation; and Senior Managing Executive Officer and Chief Executive Officer for the Americas for The Bank of Tokyo-Mitsubishi, UFJ, Ltd. (BTMU), where he has oversight for BTMU's business in all of the Americas, including Canada and Latin America. Union Bank is a wholly owned subsidiary of BTMU and a member of the Mitsubishi UFJ Financial Group, Inc. (MUFG), one of the world's largest financial organizations. Mr. Oka has served in his current role as President and CEO of Union Bank since July 2010 and BTMU CEO for the Americas since May 2012.

Mr. Oka began his banking career in 1979 with Mitsubishi Bank, Ltd. Since then, he has led a distinguished career with the BTMU family of companies in Tokyo, San Francisco, Los Angeles, and New York, including positions as Managing Executive Officer and Group Head of the Corporate and Investment Banking Group, and General Manager and Global Head of the Syndicated Finance Division for BTMU. Through 2005 to 2008, Mr. Oka served as Director and Vice Chairman of Union Bank and UnionBanCal Corporation, including Chief Risk Officer for Union Bank.

Mr. Oka earned his Bachelor of Law Degree from the University of Tokyo in 1979 and his Master of Law Degree from the University of Michigan Law School in 1986. He is a member of the New York State Bar.

He remains actively involved with several prominent community and industry organizations, serving as Deputy President and Director for the Japanese Chamber of Commerce of Northern California, as an Advisory Council Member of the Asia Foundation, as a Founding Member of the Executive Club of the Alliance Forum Foundation, and as Director for the following organizations: The Clearing House, Shimano American Corporation, Japan Society of Northern California, and Japan Business Association of Southern California. He is also a Trustee of the Asian Art Museum Foundation of San Francisco, serves as Governor to the Japanese American National Museum, and is a member of the Board for the Haas School of Business at the University of California, Berkeley; the San Francisco Opera Association; and the Kyoto Symposium Organization.

**Vice Chairman and Chief Corporate Banking Officer**

**John C. Erickson**

John Erickson was appointed Vice Chairman and Chief Corporate Banking Officer in November 2009. Prior to his appointment he served as Vice Chairman and Chief Risk Officer of UnionBanCal Corporation and Union Bank, N.A. from April 2008 to November 2009. Previously, he served as Senior Executive Vice President and Deputy Chief Risk Officer. He also served as Executive Vice President and head of Commercial Banking and Wealth Management from May 2006 to September 2007. He served as head of Commercial Banking from April 2003 to April 2006. Mr. Erickson joined Union Bank in 1983 after graduating from the University of Southern California. He also received an MBA from the University of Southern California. He is a member of The Living Desert Board.

**Vice Chairman and Chief Risk Officer**

**Mark W. Midkiff**

Mark W. Midkiff was appointed Vice Chairman in April 2010 after serving as Senior Executive Vice President and Chief Risk Officer since November 2009. He joined UnionBanCal Corporation and Union Bank, N.A. in June 2009 as Deputy Chief Credit Officer and became Chief Credit Officer in August of that year. Mr. Midkiff's extensive experience includes a 25-year career with Wachovia Corporation, where served as Chief Risk Officer for the General Banking Group. Prior to that, he had executive responsibilities including leading the Real Estate Risk Group, as well as heading the Commercial Real Estate Group. He is on the board of directors of the Risk Management Association.

**Vice Chairman, Chief Retail Banking Officer**

**Timothy H. Wennes**

Timothy H. Wennes was appointed Vice Chairman in April 2010 after serving as Senior Executive Vice President and Chief Retail Banking Officer since November 2009. He has served as head of Retail Banking for UnionBanCal Corporation and Union Bank, N.A. since July 2008. Prior to joining Union Bank, Mr. Wennes spent five years with Countrywide Bank, serving in a number of capacities including president and chief operating officer and executive vice president of retail banking. Prior to Countrywide, he spent 14 years at Wells Fargo Bank, where he advanced from loan officer to regional president. Mr. Wennes is active in financial services industry associations and nonprofits, including serving on the board of the Consumer Bankers Association, the national board of directors of Operation HOPE, the Board of Regents of California Lutheran University, and the board of Town Hall Los Angeles.

**Vice Chairman and Chief Financial Officer**

**John F. Woods**

John F. Woods has served as Vice Chairman and Chief Financial Officer of UnionBanCal Corporation and Union Bank, N.A., since December 2009. He joined the company in October 2009 as Vice Chairman, Financial Management Group. Most recently, Mr. Woods was Chief Financial Officer of JPMorgan Chase's Home Lending business. From 2005 to 2008, he served as a financial executive at Washington Mutual, Inc. Prior to Washington Mutual, he spent three years at the Federal Home Loan Mortgage Corporation (Freddie Mac). Mr. Woods began his financial career in 1986 with Arthur Andersen in Washington, D.C., where he rose to partner during his 16 years with the firm.

**SEVP, Commercial Banking**

**Robert C. Dawson**

Senior Executive Vice President Robert C. Dawson has served as head of the commercial banking group for Union Bank, N.A. since May 2006. Mr. Dawson joined the bank in 1985 and has previously held executive management positions within corporate capital markets, merchant banking and specialized lending. Mr. Dawson is a member of the Board of Managers, Ketchum YMCA, Los Angeles.

**SEVP, Head of Community Banking**

**Pierre P. Habis**

Pierre P. Habis was appointed Senior Executive Vice President in April 2010 after serving as Executive Vice President of Retail Banking since October 2008. He joined UnionBanCal Corporation and Union Bank, N.A., in September 2008 with more than 20 years of experience in consumer and business banking at Wells Fargo, Bank of America and Countrywide Bank. Mr. Habis heads more than 400 Union Bank branches in California, Oregon and Washington, as well as Union Bank's Business Banking and Priority Banking® groups. He is a member of the California Science Center Foundation's Board of Trustees and serves on the board of counselors for the George L. Argyros School of Business and Economics at Chapman University. Mr. Habis is director-at-large of the American Heart Association's Western States Affiliate board of directors and is also a member of Operation Hope's national board of directors.

**SEVP, General Counsel**

**Morris W. Hirsch**

Senior Executive Vice President Morris W. Hirsch has served as General Counsel of UnionBanCal Corporation and Union Bank, N.A. since July 2008. He served as Senior Vice President and Deputy General Counsel in Union Bank's Legal Division since 1996. He joined a Union Bank predecessor, The Bank of California, in 1989 as Vice President and Senior Counsel. Prior to that, he was a partner at the law firm of Pillsbury, Madison & Sutro in San Francisco. Mr. Hirsch is a member of the Board of Trustees of the World Affairs Council of Northern California.

**SEVP, Chief Information and Operations Officer**

**John Itokazu**

Senior Executive Vice President John Itokazu has served as Chief Information and Operations Officer for UnionBanCal Corporation and Union Bank, N.A. since July 2011. Mr. Itokazu's extensive experience includes a 30-year career with Zions BanCorporation where he most recently served as Chief Information and Operations Officer. Prior to that, he headed treasury management services and directed retail operations for Zions Bank.

**SEVP, Deputy Chief Financial Officer/Chief Liaison Officer**

**Kazuo (Kaz) Koshi**

Senior Executive Vice President Kazuo (Kaz) Koshi was appointed Deputy Chief Financial Officer and Chief Liaison Officer for UnionBanCal Corporation and Union Bank, N.A. in July 2011. Mr. Koshi is a veteran of The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) with more than 25 years of experience in Financial Planning. Prior to his appointment at Union Bank, Mr. Koshi served as general manager of the North American Strategy Office in the BTMU Global Planning Division.

**SEVP, Treasurer**

**Erin Selleck**

Erin Selleck was appointed Senior Executive Vice President and Treasurer for UnionBanCal Corporation and its primary subsidiary, Union Bank, N.A. in 2012, after serving as Executive Vice President and Treasurer since 2008. She is responsible for managing all corporate treasury functions for the bank, including interest rate risk and liquidity risk management, funding, balance sheet management and the bank's investment security portfolio. She also oversees the bank's relationship with rating agencies and investors. In addition to her responsibilities as Treasurer, Ms. Selleck serves as a member of the bank's executive committee, which functions as Union Bank's primary policy-making body. Ms. Selleck joined Union Bank in 2002 as senior vice president and Director of Corporate Treasury Risk Management, was named Treasurer in 2005 and promoted to executive vice president in 2008. She began her career in the financial services industry in 1984 at Bank of America in San Francisco. Ms. Selleck serves on the board of Heal the Bay, an environmental non-profit

based in Santa Monica. She also is on the board of the Organization of Women Executives and the National Association of Corporate Treasurers.

**SEVP, Real Estate Industries**

**J. Michael Stedman**

Senior Executive Vice President J. Michael Stedman has served as head of Real Estate Industries of UnionBanCal Corporation and Union Bank, N.A. since November 2006. He served as Senior Vice President and Senior Credit Officer for Real Estate from February 2003 to November 2006 and was Senior Vice President and Real Estate Loan Center Manager from April 1999 to February 2003. Mr. Stedman is an active member of the Urban Land Institute and the International Council of Shopping Centers. He also serves as a member of the policy advisory board of the Fisher Center for Real Estate and Urban Economics at UC Berkeley.

**SEVP, Chief Human Resources Officer**

**Annemieke van der Werff**

Annemieke van der Werff was appointed Senior Executive Vice President and Chief Human Resources Officer for UnionBanCal Corporation and its primary subsidiary, Union Bank, N.A., in 2012. She is responsible for all aspects of Human Resources, including Talent Management and Development, Compensation, Benefits, Talent Acquisition, Diversity & Inclusion, HR Systems and Planning and Employee Relations. Prior to joining Union Bank, Ms. van der Werff was global head of Human Resources for Global Functions and the Global Retail Bank at HSBC Holdings plc in London. Before joining HSBC, she spent 27 years with the Dutch bank ABN AMRO in various positions, ultimately as Corporate Executive Vice President and Head of Human Resources for the bank's North America Region, based in Chicago. Ms. van der Werff is a member of the Society for Human Resource Management. She is also a member of the Human Resource Management Association of Chicago (HRMAC), where she served on the board for three years and as chair of the 2006 HRMAC Summit.

**SEVP, Independent Risk Monitoring Group**

**John M. Wied**

Senior Executive Vice President John M. Wied has served as Group Head of the Independent Risk Monitoring Group for UnionBanCal Corporation and Union Bank, N.A. since October 2008. Mr. Wied's extensive experience includes a 34-year career with the Office of the Comptroller of the Currency (OCC). During his tenure, he was part of the OCC's Large Bank Group, working predominately with Wells Fargo and Bank of America since 1991.