

FILED

**FORM SFEC-126:  
NOTIFICATION OF CONTRACT APPROVAL**  
(S.F. Campaign and Governmental Conduct Code § 1.126)

2014 DEC -3 PM 4:02

<b>City Elective Officer Information</b> <i>(Please print clearly.)</i>	
Name of City elective officer(s):  Mayor Edwin M. Lee	City elective office(s) held: <b>SAN FRANCISCO ETHICS COMMISSION</b>  Mayor, City and County of San Francisco

<b>Contractor Information</b> <i>(Please print clearly.)</i>	
Name of contractor: <b>Mercy Housing California 51, a California Limited Partnership</b>	
<i>Please list the names of (1) members of the contractor's board of directors; (2) the contractor's chief executive officer, chief financial officer and chief operating officer; (3) any person who has an ownership of 20 percent or more in the contractor; (4) any subcontractor listed in the bid or contract; and (5) any political committee sponsored or controlled by the contractor. Use additional pages as necessary.</i>	
(1) and (2) – The partnership consists of <b>Mercy Housing California Family Properties (GP)</b> and the tax credit investor, <b>Union Bank of California MUFG (LP)</b> . Please see attached <b>Board of Directors / Officers of the Board and Corporation for Mercy Housing California Family Properties and Union Bank of California MUFG</b> as well as the <b>Board List for Union Bank of California MUFG's parent company Mitsubishi UFJ Financial Group (MUFG)</b> .	
(3), (4) and (5) not applicable	
Contractor address:	<b>San Francisco, CA 94103</b>
Date that contract was approved: <i>12/03/2014</i>	Amount of contract: <b>\$14,555,049.00</b>
Describe the nature of the contract that was approved: <b>Loan funds to be used for the development of 67 new affordable, family-rental housing units in the South of Market neighborhood.</b>	
Comments:	

This contract was approved by (check applicable):

the City elective officer(s) identified on this form (Mayor Edwin M. Lee)

a board on which the City elective officer(s) serves \_\_\_\_\_  
Print Name of Board

the board of a state agency (Health Authority, Housing Authority Commission, Industrial Development Authority Board, Parking Authority, Redevelopment Agency Commission, Relocation Appeals Board, Treasure Island Development Authority) on which an appointee of the City elective officer(s) identified on this form sits

Print Name of Board

<b>Filer Information</b> <i>(Please print clearly.)</i>	
Name of filer: Nicole Wheaton, Director of Legislative and Government Affairs	Contact telephone number: ( 415 ) 554-7940
Address: City Hall, Room 200	E-mail: nicole.wheaton@sfgov.org

Signature of City Elective Officer (if submitted by City elective officer)

Date Signed

*12/03/2014*

Signature of Board Secretary or Clerk (if submitted by Board Secretary or Clerk)

Date Signed

# BOARD OF DIRECTORS MERCY HOUSING CALIFORNIA FAMILY PROPERTIES

Year 2014

Member:  
Mercy Housing California.

CURRENT BOARD & TERM	OFFICERS	MEMBER(S)
1) Val Agostino (VCh)                      05-19	<i>President</i>	Mercy Housing California
2) <b>Jane Graf</b> (Ch)                              07-15	D. Shoemaker	
3) Amy Bayley                                      07-15	<i>Vice President</i>	
4) Barbara Gualco                                13-18	J. Graf	
5) Chris Burckhardt                              14-19	V. Agostino	
	E. Holder	
	B. Gualco	
	S. Daues	
	B. Phillips	
	S. Spears	
	M. Clayton	
	B. Saab	
	<i>J. Dolin</i>	
	Treasurer	
	V. Dodds	
	Secretary	
	J. Rosenblum	
	Asst. Secretary	
	A. Bayley	

## Mercy Housing California Family Properties - Officers of the Board and Corporation

### Current Board

<p><b>Jane Graf - Chair</b>  <b>Mercy Housing Inc.</b>  <span style="background-color: black; color: black;">[REDACTED]</span>  <b>San Francisco, CA 94103</b>  <b>Bus: 415-355-7146</b>  <b>Fax: 415-355-7101</b>  <b>E-Mail: <a href="mailto:jgraf@mercyhousing.org">jgraf@mercyhousing.org</a></b></p>	<p><b>Valerie Agostino – Vice Chair</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing California</b>  <span style="background-color: black; color: black;">[REDACTED]</span>  <b>San Francisco, CA 94103</b>  <b>Bus: 415-355-7150</b>  <b>Fax: 415-355-7101</b>  <b>E-mail: <a href="mailto:agostino@mercyhousing.org">agostino@mercyhousing.org</a></b></p>

<p><b>Barbara Gualco</b>  <b>Mercy Housing California</b>  ██████████  San Francisco, CA 94103  Bus: 415-355-7148  Fax: 415-355-7101  E-mail: <a href="mailto:bgualco@mercyhousing.org">bgualco@mercyhousing.org</a></p>	<p><b>Amy Bayley, RSM</b>  <b>Mercy Housing California</b>  ██████████  San Francisco, CA 94103  Bus: 415-355-7148  Fax: 415-355-7101  E-mail: <a href="mailto:abayley@mercyhousing.org">abayley@mercyhousing.org</a></p>
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<p><b>Chris Burckhardt</b>  <b>Mercy Housing, Inc.</b>  ██████████  Denver, CO 80202  Bus: 303-830-6621  Fax: 303-830-3301  E-mail: <a href="mailto:cburckhardt@mercyhousing.org">cburckhardt@mercyhousing.org</a></p>
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**Officers of the Board and Corporation**

<p><b>Jane Graf</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing Inc.</b>  ██████████  San Francisco, CA 94103  Bus: 415-355-7146  Fax: 415-355-7101  E-Mail: <a href="mailto:jgraf@mercyhousing.org">jgraf@mercyhousing.org</a></p>	<p><b>Doug Shoemaker</b>  <b>President of the Corporation</b>  <b>Mercy Housing California</b>  ██████████  San Francisco, CA 94103  Bus: 415-355-7151  Fax: 415-355-7101  E-mail: <a href="mailto:dshoemaker@mercyhousing.org">dshoemaker@mercyhousing.org</a></p>
<p><b>Steve Spears</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing, Inc.</b>  ██████████  Denver, CO 80202  Bus: 303-830-6621  Fax: 303-830-3301  E-mail: <a href="mailto:sspears@mercyhousing.org">sspears@mercyhousing.org</a></p>	<p><b>Melissa Clayton</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing, Inc.</b>  ██████████  Denver, CO 80202  Bus: 303-830-6621  Fax: 303-830-3301  E-mail: <a href="mailto:mclayton@mercyhousing.org">mclayton@mercyhousing.org</a></p>
<p><b>Bruce Saab</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing, Inc.</b>  ██████████  Denver, CO 80202  Bus: 303-830-6621  Fax: 303-830-3301  E-mail: <a href="mailto:bsaab@mercyhousing.org">bsaab@mercyhousing.org</a></p>	<p><b>Jennifer Dolin</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing California</b>  ██████████  San Francisco, CA 94103  Bus: 415-355-7148  Fax: 415-355-7101  E-mail: <a href="mailto:jdolin@mercyhousing.org">jdolin@mercyhousing.org</a></p>

<p><b>Valerie Agostino</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing California</b></p> <p>San Francisco, CA 94103  Bus: 415-355-7150  Fax: 415-355-7101  E-mail: <a href="mailto:agostino@mercyhousing.org">agostino@mercyhousing.org</a></p>	<p><b>Stephen Daues</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing California</b></p> <p>West Sacramento, CA 95691  Bus: 916-414-4440  Fax: 916-414-4490  E-mail: <a href="mailto:gsparks@mercyhousing.org">gsparks@mercyhousing.org</a></p>
<p><b>Ben Phillips</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing California</b></p> <p>Los Angeles, CA 90015  Bus: 213-743-5821  Fax: 213-743-5828  E-mail: <a href="mailto:bphillips@mercyhousing.org">bphillips@mercyhousing.org</a></p>	<p><b>Barbara Gualco</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing California</b></p> <p>San Francisco, CA 94103  Bus: 415-355-7148  Fax: 415-355-7101  E-mail: <a href="mailto:bgualco@mercyhousing.org">bgualco@mercyhousing.org</a></p>
<p><b>Ed Holder</b>  <b>Vice President of the Corporation</b>  <b>Mercy Housing California</b></p> <p>Los Angeles, CA 90015  Bus: 213-743-5830  Fax: 213-743-5828  E-mail: <a href="mailto:eholder@mercyhousing.org">eholder@mercyhousing.org</a></p>	<p><b>Amy Bayley, RSM</b>  <b>Asst. Secretary of the Corporation</b>  <b>Mercy Housing California</b></p> <p>San Francisco, CA 94103  Bus: 415-355-7148  Fax: 415-355-7101  E-mail: <a href="mailto:abayley@mercyhousing.org">abayley@mercyhousing.org</a></p>
<p><b>Vince Dodds</b>  <b>Treasurer of the Corporation</b>  <b>Mercy Housing, Inc.</b></p> <p>Denver, CO 80202  Bus: 303-830-6621  Fax: 303-830-3301  E-mail: <a href="mailto:vdodds@mercyhousing.org">vdodds@mercyhousing.org</a></p>	<p><b>Joe Rosenblum</b>  <b>Secretary of the Corporation</b>  <b>Mercy Housing, Inc.</b></p> <p>Denver, CO 80202  Bus: 303-830-6621  Fax: 303-830-3301  E-mail: <a href="mailto:jrosenblum@mercyhousing.org">jrosenblum@mercyhousing.org</a></p>

**Union Bank of California MUFJ's Parent Company Board of Directors**  
**(Mitsubishi UFJ Financial Group)**

Kiyoshi Sono - Chairman

Tatsuo Wakabayashi - Deputy Chairman and Chief Audit Officer

President, Mitsubishi - UFJ Trust and Banking Corporation

Nobuyuki Hirano - President & CEO

President, Bank of Tokyo-Mitsubishi UFJ

Masaaki Tanaka - Deputy President

Taihei Yuki - Senior Managing Director and Chief Financial Officer

Akihiko Kagawa - Senior Managing Director and Chief Compliance and Risk Officer

Tadashi Kuroda - Managing Director and Chief Planning Officer

Takashi Nagaoka - President & CEO, Mitsubishi UFJ Securities Holdings Company

President & CEO, Mitsubishi UFJ Morgan Stanley Securities Company

Junichi Okamoto - Group Head of Integrated Trust Assets Business Group

Deputy President, Mitsubishi UFJ Trust and Banking Corporation