

Attach 1

FY2017 AND FY2018 BUDGET

# SAN FRANCISCO ETHICS COMMISSION

OVERVIEW FOR THE BOARD OF SUPERVISORS BUDGET COMMITTEE

JUNE 16, 2016

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# OUR MANDATE

## BROAD RESPONSIBILITIES AND FUNCTIONAL DUTIES

### Campaigns

**campaign finance**  
**public financing**  
**campaign consultants**

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### Lobbying

**contact & expenditure lobbyists**  
**permit consultants**  
**major developers**

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### Ethics

**economic interests (Form 700)**  
**conflicts of interests | contract disclosures**  
**governmental ethics | travel disclosures**

- public disclosure
- advice & education
- policy evaluation & development
- assistance to agencies & employees
  - audits
- investigations & enforcement
- whistleblower retaliation protection

# OUR BLUEPRINT

SECURING & ALIGNING NECESSARY RESOURCES  
TO BETTER MATCH BROAD VOTER MANDATE

## CAPACITY BUILDING FOR:

- Strong and effective policies
- Enhanced practical guidance
- Timely investigations and enforcement
- Organizational efficiencies

# OUR NEW INITIATIVES

Also Recommended in Mayor's Proposed Budget

## TECHNOLOGY

### E-Filing Conversion Project

- Electronic Form 700 for all City filers
- Enhanced campaign finance data
- Online filing of sunshine & ethics certifications
- Electronic gifts of travel reporting

### Enhanced Online Compliance Assistance

- User-focused online experience
- Responsive compliance tools
- Improved, broadened guidance
- Innovative use of technology

*Requested:* COIT Funding FY2017 & FY2018

*Requested:* Mayoral Senior Fellow 2016-2017

# OUR RENEWED PRIORITIES

Also Recommended in Mayor's Proposed Budget

POLICY ▪ COMPLIANCE ▪ ENFORCEMENT

## Strengthened Policy Focus

- Develop new policy on emerging issues
- Evaluate policies and programs
- Ensure laws are strong & workable
- Promote practical understanding of the laws

▪ Requested: 2 Policy Analysts

## Effective Enforcement

- Strengthen enforcement processes
- Proactive enforcement
- Fair & consistent investigations
- Timely case resolution

▪ Requested: 2 Investigators

# Summary of Ethics Commission FY 2017 | 2018 Budget Request

## E-Filing Conversion Project

### Positions

- One IS Business Analyst (1052)
- Convert Staff Assistant (1840) to IS Business Analyst-Assistant (1051)

### Benefits

- ✓ increased number and proportion of timely filings
- ✓ improved timeliness for public access
- ✓ improved methods for public access to disclosure data
- ✓ reduced time processing paper

## Effective Investigations & Enforcement

- Fully fund one existing Sr. Investigator (1823)
- One new Investigator (1822)

- ✓ reduced complaint timeframes
- ✓ increased types of investigations
- ✓ higher proportion of cases pursued for serious violations
- ✓ broader range of serious cases brought for hearing

## Proactive Policy & Compliance Outreach

- One Senior Policy & Compliance Analyst (1823)
- One Policy & Compliance Analyst (1822)

- ✓ increased number & frequency of guidance contacts
- ✓ increased frequency & scope of evaluations for policy and program improvements
- ✓ more timely analysis of emerging issues
- ✓ broadened policy outreach/input

## Administrative Support & Transparency

- Convert two Senior Clerks (1406) to one Management Assistant (1842)
- Reclassify Junior Management Assistant (1840) to a Senior Management Assistant (1844)

- ✓ full and timely records request responses
- ✓ increased timeliness of public materials distribution
- ✓ improved support for stakeholder information needs across all program areas

# Ethics Commission

## Operating Budget & Staffing Levels

FY1995 through FY2016 & as proposed for FY2017

