

FY2017 AND FY2018 BUDGET

SAN FRANCISCO ETHICS COMMISSION

OVERVIEW FOR THE BOARD OF SUPERVISORS BUDGET COMMITTEE

JUNE 16, 2016

www.sfethics.org
415.252.3100



LeeAnn Pelham, Executive Director

Shaista Shaikh, Assistant Deputy Executive Director

Steven Massey, Information Technology Officer

OUR MANDATE

BROAD RESPONSIBILITIES AND FUNCTIONAL DUTIES

Campaigns

campaign finance
public financing
campaign consultants

Lobbying

contact & expenditure lobbyists
permit consultants
major developers

Ethics

economic interests (Form 700)
conflicts of interests | contract disclosures
governmental ethics | travel disclosures

- public disclosure
- advice & education
- policy evaluation & development
- assistance to agencies & employees
 - audits
- investigations & enforcement
- whistleblower retaliation protection

OUR BLUEPRINT

SECURING & ALIGNING NECESSARY RESOURCES
TO BETTER MATCH BROAD VOTER MANDATE

CAPACITY BUILDING FOR:

- Strong and effective policies
- Enhanced practical guidance
- Timely investigations and enforcement
- Organizational efficiencies

OUR NEW INITIATIVES

Also Recommended in Mayor's Proposed Budget

TECHNOLOGY

E-Filing Conversion Project

- Electronic Form 700 for all City filers
- Enhanced campaign finance data
- Online filing of sunshine & ethics certifications
- Electronic gifts of travel reporting

Enhanced Online Compliance Assistance

- User-focused online experience
- Responsive compliance tools
- Improved, broadened guidance
- Innovative use of technology

Requested: COIT Funding FY2017 & FY2018

Requested: Mayoral Senior Fellow 2016-2017

OUR RENEWED PRIORITIES

Also Recommended in Mayor's Proposed Budget

POLICY ▪ COMPLIANCE ▪ ENFORCEMENT

Strengthened Policy Focus

- Develop new policy on emerging issues
- Evaluate policies and programs
- Ensure laws are strong & workable
- Promote practical understanding of the laws

▪ Requested: 2 Policy Analysts

Effective Enforcement

- Strengthen enforcement processes
- Proactive enforcement
- Fair & consistent investigations
- Timely case resolution

▪ Requested: 2 Investigators

Summary of Ethics Commission FY 2017 | 2018 Budget Request

E-Filing Conversion Project

Positions

- One IS Business Analyst (1052)
- Convert Staff Assistant (1840) to IS Business Analyst-Assistant (1051)

Benefits

- ✓ increased number and proportion of timely filings
- ✓ improved timeliness for public access
- ✓ improved methods for public access to disclosure data
- ✓ reduced time processing paper

Effective Investigations & Enforcement

- Fully fund one existing Sr. Investigator (1823)
- One new Investigator (1822)

- ✓ reduced complaint timeframes
- ✓ increased types of investigations
- ✓ higher proportion of cases pursued for serious violations
- ✓ broader range of serious cases brought for hearing

Proactive Policy & Compliance Outreach

- One Senior Policy & Compliance Analyst (1823)
- One Policy & Compliance Analyst (1822)

- ✓ increased number & frequency of guidance contacts
- ✓ increased frequency & scope of evaluations for policy and program improvements
- ✓ more timely analysis of emerging issues
- ✓ broadened policy outreach/input

Administrative Support & Transparency

- Convert two Senior Clerks (1406) to one Management Assistant (1842)
- Reclassify Junior Management Assistant (1840) to a Senior Management Assistant (1844)

- ✓ full and timely records request responses
- ✓ increased timeliness of public materials distribution
- ✓ improved support for stakeholder information needs across all program areas

Ethics Commission

Operating Budget & Staffing Levels

FY1995 through FY2016 & as proposed for FY2017

