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CITY AND COUNTY OF SAN FRANCISCO

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Date: October 12, 2016

To: Members of the Ethics Commission

From: Jarrod Flores and Pat Petersen, Education and Compliance Division

Subject: **AGENDA ITEM 4 – Education & Compliance Report for the October 17, 2016
Commission Meeting**

Summary

This report provides programmatic and operational information related to programs within the Commission's Education and Compliance division.

Action Requested

No action is required by the Commission, as this item is for informational purposes only.

Organizational and Staff News

With our recent staff re-organization and creation of new programmatic divisions, some programmatic and operational updates that have typically appeared as part of the Executive Director's Report will now appear here as a separate informational report from the Education and Compliance division. This division is the primary point of contact for all public disclosure, filing assistance, compliance guidance and education for a range of programs administered by the Ethics Commission. This division assists the public, city officers and employees, lobbyists, permit consultants, major developers, and others with their filing obligations and compliance with the City's ethics, campaign finance, and lobbying laws.

We would particularly like to thank division staff members Special Projects Assistant Johnny Hosey and our part-time Staff Assistants, Frances McEvoy and Jack Cannice, who have been instrumental in administering the Campaign Finance program in particular, and in assisting the public and regulated community during this busy election season.

Campaign Finance

On September 29, 2016, candidates and committees filed their required pre-election statements, disclosing all campaign activity covering through September 24. This latest data can be viewed on the Commission's Campaign Finance Dashboard pages at www.sfethics.org. Staff have prepared an updated *Election '16* handout to highlight some notable campaign figures based on these latest campaign filings. A copy of the new *Election '16* report appears as Attachment 1.

Staff continue to review all the filings and data to promote full compliance with state and local laws. The next campaign filing deadline is October 27, covering the period September 25, 2016 through October 22, 2016.

Statement of Economic Interests (Form 700) Disclosure Program

We understand that a total of 67 of 69 departments have submitted their 2016 biennial Conflict of Interest Code updates to the Office of the Board of Supervisors as of the date of this report. Departmental updates require review and approval by the Board of Supervisors. Action is expected over the next month or so to ensure the Code is updated by the end of this calendar year. Staff have been continuing to meet with representatives from various departments, bargaining units, and the Department of Human Resources to outline our continued plans to collaborate throughout 2017 with departments. Our goal is to continue to provide support to departments in reviewing their designated Form 700 filers and those filers' disclosure categories as we begin to ramp up toward electronic filing for all filers in 2018.

On October 6, 2016, for example, staff from our office and the Department of Human Resources Employee Relations Unit met with representatives of SEIU 1021 to answer their questions, and to discuss the Commission's Form 700 work and the biennial review process. Staff had the opportunity to respond to the representatives' questions, including who is required to file a Form 700, how a designated filer is identified, and what data will be available to the public electronically.

A follow-up to our August 15, 2016 meeting with representatives of the San Francisco Management Executives Association, IFPTE Local 21, and the Police Officers Association was held on October 12, 2016. Representatives from SEIU 1021 requested the opportunity to join the discussion with the other unions, and also attended. A subsequent meeting is being planned for a date prior to the Board of Supervisors' approval of the biennial updates.

Lobbying Program

Contact Lobbyists are required to register with the Ethics Commission once the thresholds in Section 2.105 of the Lobbyist Ordinance are met. As of October 12, 2016, there were 180 registered lobbyists, up from 174 in mid-September. There have been no new reports since the September 15, 2016 filing. The next activity report (covering the month of September) is due October 17, 2016.

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Info | Election' 16

October 12, 2016

Among the San Francisco Ethics Commission's Charter-mandated responsibilities is the duty "[t]o advocate understanding of the charter and City ordinances related to campaign finance...and the roles of elected and other public officials, City institutions and the City electoral process."

Charter Sec.3-699.11(10)

Did you know...?

**\$29.1
million**

...has been raised as of October 11, 2016 by Ballot Measure

Committees to support or oppose 24 local measures on the November ballot.

...candidates for City elective office have reported raising

\$2.2 million for their campaigns as of September 24, 2016 - a 41% increase from figures reported through June 30th.

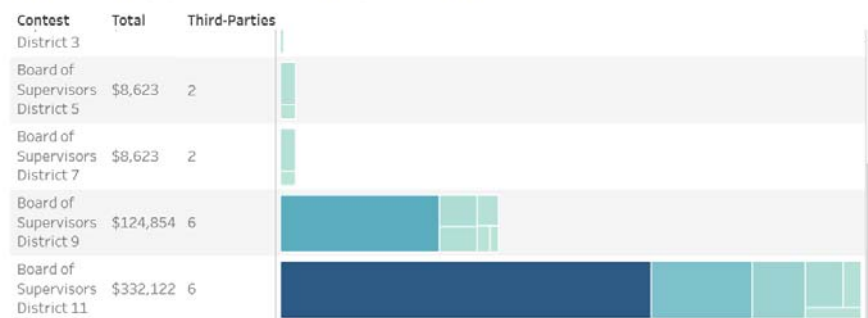
The next Pre-Election deadline is October 27th for committees to report campaign activities covering through October 22, 2016. Latest info will be summarized on Ethics Commission Campaign Finance Dashboards at www.sfethics.org.

Campaign Finance Dashboards:

Third- Party Expenditures

Third-Party Expenditures

The following bar graph summarizes the independent expenditures made in support or opposition of candidates and who made the expenditure. Hover over a bar to reveal the payer. Click a bar to reveal expenditure details. Mouse over the candidate or contest header and click the - or + to roll up expenditures. The data was last updated October 11, 2016.



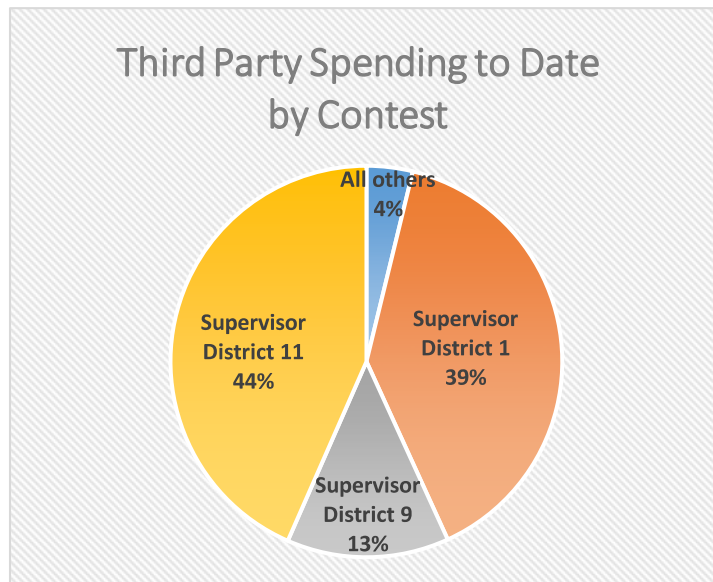
**Find out
who is spending the most
to influence candidate
elections.**

Ethics Commission Campaign Finance Dashboards show the latest third-party activities affecting City candidates. Track races or candidates receiving the most in third-party spending. Data shown is current through October 11.

Did you know...?

>97%

... of the roughly \$962,000 in **third-party spending** affecting City candidates has been made to support candidates.



83%

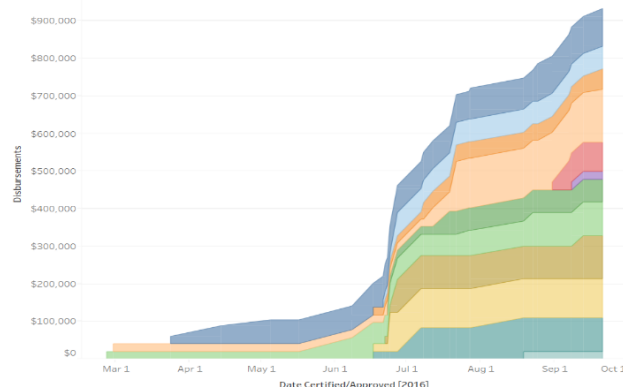
of reported third-party spending to date has affected candidates for Supervisor in Districts 1 and 11. Another 13% affected candidates in District 9.

81%

of all reported third-party spending to date occurred in the two-week period from September 26 to October 11.

Running Total of Public Funds Disbursed to Candidates

The graph provides a running total of the public funds disbursed to candidates who have been certified as eligible to receive public funds. The data was last updated September 22, 2016.



BOS District
All

Publicly-financed candidates in the November election have raised more than \$617,000 in qualifying funds from San Francisco residents so far. They have been certified to receive \$1,190,156 in public funds to date.

View a running total of public funds disbursements to qualified candidates at www.sfethics.org.

A Fan of Transparency and Accountability? There's still time for *you* to help!

In addition to public campaign reporting, disclaimer requirements for campaign communications provide public transparency for campaign accountability. As the election season moves into its final stretch between now and November, the Ethics Commission invites the public's assistance to

promote full compliance with the laws. Voters who receive campaign materials in connection with the November election should feel free to send copies of those materials to the Commission, along with a notation about the date it was received. Documents can be scanned and emailed to ethics.commission@sfgov.org, or mailed to us at 25 Van Ness Ave., Suite 220, San Francisco, CA 94102.

support
100%
compliance!