



ETHICS COMMISSION

CITY AND COUNTY OF SAN FRANCISCO

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LEEANN PELHAM
EXECUTIVE DIRECTOR

Date: February 13, 2018

To: Members of the Ethics Commission

From: Jessica Blome, Deputy Director, Enforcement & Legal Affairs

Subject: **AGENDA ITEM 8: Enforcement Report for the February 16, 2018 Meeting**

Summary: This report highlights programmatic information and operational updates related to the Enforcement Program.

Action Requested: No action is required by the Commission, as this item is only for informational purposes.

Programmatic Highlights

Since January 12, 2018, the Commission received nine new complaints, and the Executive Director determined that five complaints merited dismissal because Staff found no basis to believe a violation of the law within the Commission's jurisdiction had occurred. The Enforcement & Legal Affairs program opened two new investigations in January and February. In total, 57 complaints remain in preliminary review as of February 9, 2018. Attachment 1 contains additional detail regarding matters under preliminary review. Attachment 2 contains additional detail regarding open investigations. Finally, over the last month, work has continued to resolve open investigations, including settlement negotiations where appropriate.

Update on Enforcement Regulations

On Saturday, January 20, Staff transmitted the Enforcement Regulations, which were adopted by the Commission during its January 19, 2018, meeting, to the Board of Supervisors for review. The Board has sixty days to object to the regulations. Absent any objections, the Regulations will become final and enforceable on March 19, 2018.

Operational Updates/Investigative Caseload Data

Investigative matters under the jurisdiction of the Ethics Commission are treated as formal complaints meriting investigation after the Executive Director's preliminary review finds there is reason to believe a violation of law may have occurred. Once the Executive Director has made that determination, that complaint is logged as a formal complaint. Table 1 summarizes the number of pending formal complaints within the Ethics Commission's jurisdiction that remained pending as of February 9, 2018. As noted above, more detailed information about

the Commission’s enforcement caseload is provided in Attachment 2. Attachment 1 offers a snapshot of the number, age, and general nature of matters in preliminary review as of February 9, 2018.

Table 1 – Summary of Pending Formal Complaints by Type as of February 9, 2018:

Type	Jan. 2018	Feb. 2018
Campaign Finance	31	32
Governmental Ethics	23	23
Lobbyist Ordinance	5	5
Sunshine Ordinance	0	0
Whistleblower Ordinance (Retaliation)	4	5
Total	63	65

Referrals to Bureau of Delinquent Revenues

Table 2 – Summary of the status of accounts that remain after referral by the Ethics Commission to the City’s Bureau of Delinquent Revenues.

Committee/ Filer	ID #	Treasurer/ Responsible Officer	Referral	Original Amount Referred	Last Month’s Balance	Current Balance	Status
Chris Jackson	1347066	Chris Jackson	7/12/13	\$6,601	\$6,601	\$5,100.99	Contacting debtor’s employer to verify employment.
Committee to Elect Norman for Supervisor	14-131112	Jacqueline Norman	5/01/15	\$9,000	\$9,000	\$9,000	Filed abstract in San Francisco Superior Court.
Isabel Urbano	SFO-153993	Isabel Urbano	3/23/16	\$7,000	\$6,850	\$6,850	Debtor non-responsive to making payment arrangements. Sending verification of employment letter to last known employer.
Chris Jackson	22-12119	Chris Jackson	9/26/16	\$6,100	-	\$6,100	Filed abstract in San Francisco Superior Court.
Lynette Sweet	3544713	Lynette Sweet	12/29/16	\$74,408.19	--	\$74,408.19	Debtor filed for bankruptcy. Case assigned number 17-31041.
Total: \$101,459							

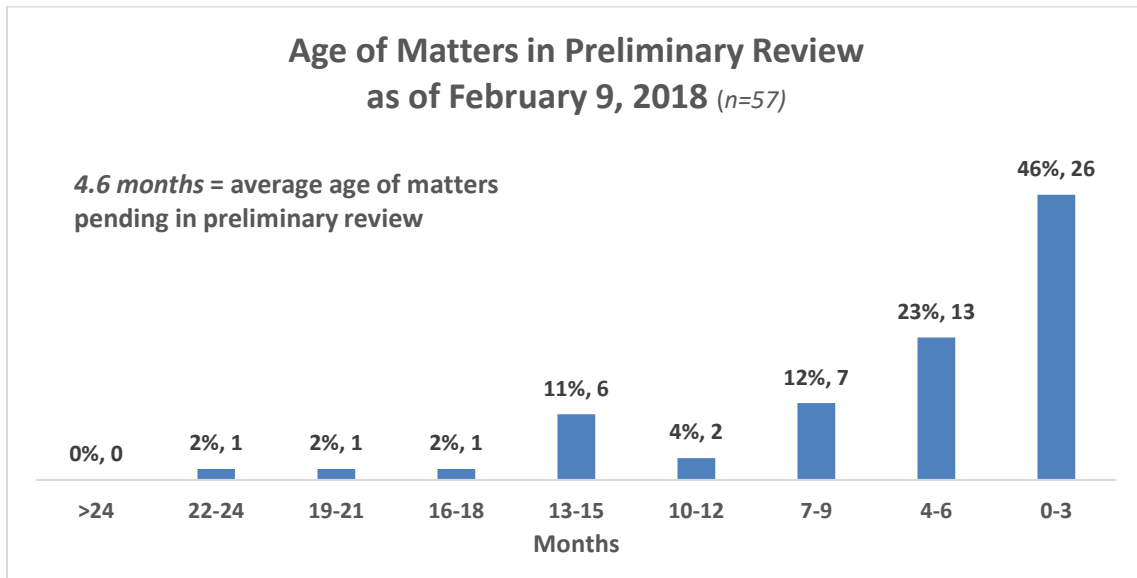
Status of Outstanding Penalty Installment Plans

Table 3 – Summary of penalty installment plans as of February 9, 2018:

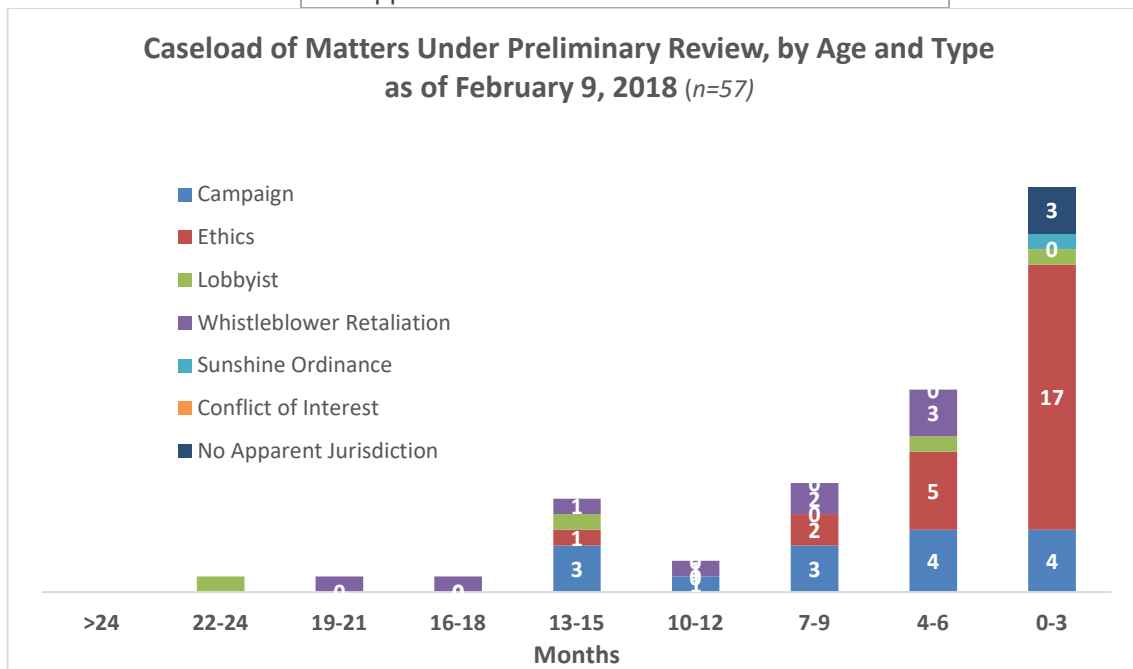
Name	FPPC No.	Total Owed	Payment Amount	Last Received	Outstanding Balance	Current: Yes/No
Kim Shree Maufas	1284567	\$2,575	\$50	01/15/18	\$50	Yes
Kim Shree Maufas	1328923	\$2,000	\$50	01/15/18	\$50	Yes

I look forward to answering any questions you might have at the upcoming Commission meeting.

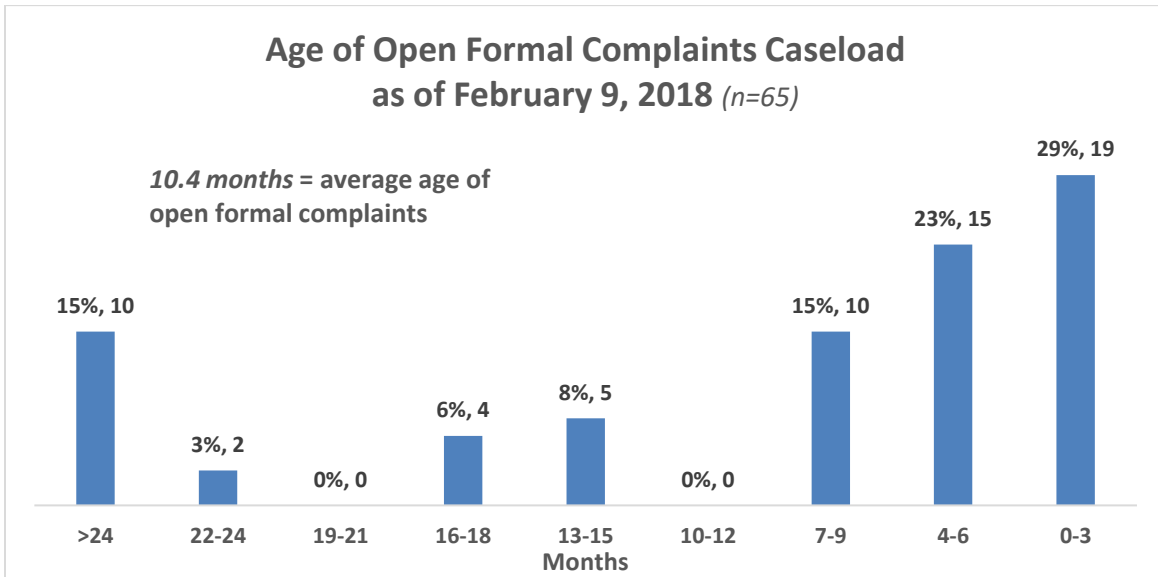
Agenda Item 8, Attachment 1



Type	#	Avg. Age
Campaign	15	6.5
Ethics	26	1.6
Lobbyist	4	11.0
Whistleblower Retaliation	9	9.1
Sunshine Ordinance	1	0.0
No Apparent Jurisdiction	2	0.0



Agenda Item 8, Attachment 2



Type	#	Avg. Age
Campaign	32	7.3
Ethics	23	13.2
Lobbyist	5	16.6
Whistleblower		
Retaliation	5	10.8
Sunshine	0	0.0
No Apparent Jurisdiction	0	0.0

