

ATTACHMENT 1



Ethics Commission City and County of San Francisco

Minutes – September 13, 2010

Minutes of the Regular Meeting of The San Francisco Ethics Commission September 13, 2010 Room 408, City Hall 1 Dr. Carlton B. Goodlett Place San Francisco, CA 94102

I. Call to order and roll call.

Vice-Chairperson Harriman called the meeting to order at 5:32 PM. Chairperson Studley and Commissioner Ward were excused from the meeting.

COMMISSION MEMBERS PRESENT: Susan Harriman, Vice-Chairperson; Eileen Hansen, Commissioner; Benedict Y. Hur, Commissioner.

STAFF PRESENT: John St. Croix, Executive Director; Mabel Ng, Deputy Director; Shaista Shaikh, Assistant Deputy Director; Catherine Argumedo, Investigator/Legal Analyst.

OFFICE OF THE CITY ATTORNEY: Mollie Lee, Deputy City Attorney.

OTHERS PRESENT: Edward Donaldson; Matt Drake; Kristine Enea; and other unidentified members of the public.

MATERIALS DISTRIBUTED:

- Staff memorandum re: Appeal to the Ethics Commission of the Executive Director's Decision Not to Certify Candidates as Eligible to Receive Public Financing, dated September 9, 2010;
- Draft Minutes of the August 9, 2010 Regular Meeting of the San Francisco Ethics Commission;
- Draft Minutes of the August 26, 2010 Special Meeting of the San Francisco Ethics Commission;
- Executive Director's Report to the Ethics Commission for the Meeting of September 13, 2010.

II. Public comment on matters appearing or not appearing on the agenda that are within the jurisdiction of the Ethics Commission.

Kristine Enea stated that she is a candidate for Supervisor in District 10. She stated her interest in having a competitive race and asked the Commission to approve Edward Donaldson for public financing.

III. Consideration of Candidate Appeals – a number of candidates for Supervisor have been denied participation in the Public Finance program because staff determined that they did not meet participation requirements.

Commissioner Harriman stated that the Executive Director had denied applications of both Matt Drake and Edward Donaldson for failure to file statements by the August 6, 2010 deadline. She asked to hear from both candidates.

Edward Donaldson's Appeal

Edward Donaldson stated that he is a candidate for District 10 Supervisor. He stated that he had filed the intent to participate form and did so at the time he presented his initial papers at the Ethics Commission. Commissioner Harriman asked when he filed those documents. Mr. Donaldson stated that he filed the documents on January 4, 2010. He stated that he has been a strong advocate for public financing. He stated that he had a clean money campaign on Facebook. He stated his understanding that 13 people had applied for public financing in District 10. He stated that it was ironic that he was advocating for public financing and then was told he did not file the appropriate documents. He stated that he was certain he completed the form and filed it. He stated that he made a mistake in not keeping a copy of what he had filed. He stated that he assumed the Commission would be a good steward of the filings. He stated that there is a possibility that someone made a mistake. He stated that he had received a phone call from the Department of Elections about withdrawing his candidacy. He stated that the person from the Department of Elections made a mistake and perhaps this is the case with his form at the Ethics Commission. He again stated that he filed the form on January 4, 2010.

Commissioner Harriman asked Mr. Donaldson what he filed on that date. He stated he filed the Intent to File in paper form. Mr. Donaldson stated that he was unsure whether he kept a copy of the form he filed. He stated that his office is being renovated and that he has looked for the filing.

Assistant Deputy Director Shaikh stated that she spoke with Mr. Donaldson and that he had told her he filed the statement of participation earlier in the year. Ms. Shaikh stated that the Commission had no record of that filing. She stated that he had told her that he had a date-stamped copy and that his copy was in storage. She stated that she had advised him to look for the copy, but to file this appeal in case he was unable to find the copy.

Mr. Donaldson stated that he was unsure whether he has a copy of the filing. Commissioner Harriman asked how Mr. Donaldson was certain of the date he filed it. He stated that he had been an advocate of public financing and, when he was presented with the form, he signed it and filed it then. Ms. Shaikh stated that he had told her that he filed the Statement of Participation when he filed his Form 501. Ms. Shaikh stated that he filed Form 501 in February 2010. Ms. Shaikh stated that she checked with the Department of Elections and Mr. Donaldson had filed a Declaration of Intent with them on January 4, 2010. Ms. Shaikh stated that the Declaration of Intention to solicit or accept contributions is a different form from the Statement of Participation in the Public Finance program.

Ms. Shaikh also addressed an e-mail Mr. Donaldson mentioned about a training. She stated that staff had used an old e-mail and included him when he had already attended the training. Mr. Donaldson stated that, although the e-mail is not relevant to this appeal, a mistake was made and the form may have been lost due to human error.

Commissioner Hur asked Mr. Donaldson when he first learned that his application was denied. Mr. Donaldson stated that he was informed on September 2, 2010. Mr. Donaldson acknowledged that he signed a Statement of Participation on August 24, 2010, when he submitted the rest of his public financing application. Commissioner Harriman stated that the Commission needed to determine whether or not the form was filed timely, by the August 6, 2010 deadline.

Executive Director St. Croix stated that it was within the realm of possibility that staff error could have caused a form to be lost. He stated, however, that it was not likely. He stated that he could not change his recommendation without documentation provided by the Committee. Ms. Shaikh agreed.

Commissioner Hur asked whether there was a rule as to how early one could file the document. Ms. Shaikh stated that the law did not provide for that; the law required that the form be filed by the 88th day before the election.

Commissioner Hansen asked if there was a system in place that records information, such as when people file forms. Ms. Shaikh stated that there is a campaign log. She stated that staff enters the name of the form received, along with the date filed and date due; staff also attaches the form in pdf format. She stated that this information is available to the public. Commissioner Hansen asked whether there was any discussion about this filing when Mr. Donaldson filed the other documents on August 24, 2010. Ms. Shaikh stated that staff stamped and accepted the forms, but the auditors did not review the documents. She stated that the auditors noticed at a later date that the statement of participation was not filed by August 6, 2010.

Commissioner Hansen agreed with Commissioner Harriman regarding the decision depending on the date he filed the form. Mr. Donaldson stated that he was adamant about filing the form and that he could look for the form again.

Commissioner Hansen stated that the Commission has every interest in trying to provide public financing to candidates, but that the Commission has deadlines. She stated that the Commission needed to be fair to all candidates and did not see a way to change a deadline. Mr. Donaldson asked the Commission to take into consideration that human error is possible in this case. Commissioner Hur stated that he was sympathetic and that it sounds like Mr. Donaldson is a worthy candidate for District 10. He stated that it would be difficult to make an exception to the clear rule. Mr. Donaldson stated that he had filed every other required document to that point. Commissioner Harriman stated that she agreed with the other Commissioners – the Commission could not have one set of rules for one candidate and one set for another. She stated that the Commission's opinion would change if he had proof of filing the document.

Matt Drake's Appeal

Mr. Drake stated that he could not find a piece of paper that is date-stamped. He stated that his situation was very similar to Mr. Donaldson's case. He stated that he is confident that he filed the document. He stated that he filed it, but did not have a copy. He stated that he noticed that it had not been filed and so he filed it again. He stated that it was not a substantive issue, as he had raised the money. Commissioner Harriman stated that the Commission was going to enforce the law as it is written.

Ms. Shaikh stated that Mr. Drake spoke with one of the auditors, who sent the rejection letter. She stated that he had never indicated that he had previously filed the form and that he said it was only two weeks late. Mr. Drake stated that he had asked the auditor whether he was able to make substantive arguments for this appeal and he was told that he could only submit a notice of his appeal with no substantive arguments (or paper filings). Commissioner Harriman stated that this was the first time Mr. Drake had mentioned filing the form before August 2010. Mr. Drake stated that he is making the same argument as Mr. Donaldson. He also stated that he does not know when he filed it.

Commissioner Harriman stated that the Commission was not going to reverse the Executive Director's decision for him to look for a copy of the filing. She stated that staff has not determined whether he would qualify for public financing, but that if he or Mr. Donaldson found the paper, then the Commission would meet again quickly. She stated that staff would review their submissions, only after that time.

Public Comment:

Kristine Enea, candidate for District 10 Supervisor, provided information about the Form 142(a).

IV. Minutes of the Commission's regular meeting of August 9, 2010 and special meeting of August 26, 2010.

Motion 10-09-13-1 (Hansen/Hur): Moved, seconded and agreed (3-0) that the Commission approve the minutes of the August 9, 2010 regular meeting and August 26, 2010 special meeting, without discussion.

Public Comment:

None.

V. Executive Director's Report.

Executive Director St. Croix stated that staff has made progress on drafting amendments to the Campaign Consultant Ordinance. He stated that a draft has been prepared and that Interested Persons' meetings have been scheduled in Room 408 for November 18, 2010 (5 to 7 PM) and November 29, 2010 (noon to 2 PM). He stated that the draft amendments would be presented to the Commission at the December 2010 meeting, with the target of getting the item on the November 2011 ballot.

Mr. St. Croix stated that the Mayor's Budget office has taken 1% of every department's budget and put it on reserve. The Commission may no longer be able to hire temporary staff for the election cycle.

Commissioner Hansen asked about item 3C, regarding BDR collections. She stated that one current candidate is on this list and asked to know what policies, if any, were in place about informing the public of which candidates have outstanding fines. Mr. St. Croix stated that a section of people in arrears may not be legally possible, but all information is currently available to the public. Commissioner Harriman suggested asking one of the Deputy City Attorneys about the Commission's ability to limit candidates from running for office if they had outstanding fines. DCA Lee stated that the Commission needs to avoid engaging in political activity and so it needs to be clear that the Commission is not targeting specific candidates.

VI. Items for future meetings.

Commissioner Harriman suggested adding the previous topic (candidates with outstanding fines) onto the list for future meetings. She noted that the agendas were full for the October and November 2010

meetings. Mr. St. Croix stated that staff could look into adding something on the Commission's website or other common outlets.

Commissioner Harriman asked the Commissioners to contact Chairperson Studley if they had any other items for future meetings.

VII. Public Comment on matters appearing or not appearing on the agenda that are within the jurisdiction of the Ethics Commission.

None.

VIII. Adjournment.

Motion 10-09-13-2 (Hur/Hansen): Moved, seconded and passed (3-0) that the Commission adjourn.

Public Comment:

None.

Meeting adjourned at 6:29 PM.

Respectfully submitted,

Catherine Argumedo

Was this page helpful?

[Provide Feedback](#)

Scan with a QR reader to access page:



<https://sfethics.org/ethics/2010/10/minutes-september-13-2010.html>

ATTACHMENT 2



Ethics Commission City and County of San Francisco

Public Financing Program

San Francisco's partial public financing for candidates for Mayor or the Board of Supervisors helps defray the costs of election campaigns. Under the program, candidates certified as eligible to receive public financing may receive up to the following amounts in public funds: \$975,000 (Non-Incumbent Mayoral Candidates), \$962,500 (Incumbent Mayoral Candidates), \$155,000 (Non-Incumbent Supervisorial Candidates) or \$152,500 (Incumbent Supervisorial Candidates).

Among other things, to receive public funds, candidates must demonstrate that they have raised a certain amount of contributions from individuals who reside in San Francisco. For more information on the rules and requirements of the public financing program (including the rules discussed below), read the Supplement for Candidates for Mayor or the Supplement for Candidates for the Board of Supervisors.

- **Supplement for Candidates for Mayor Seeking Public Funding**
[<https://sfethics.org/wp-content/uploads/2018/01/Supplemental-Guide-Mayor-June-2018-Election-.pdf>] (for the June 5, 2018 election) Public Financing Information for June 2018 Mayoral Candidates
- **Supplemental Guide for Board of Supervisor Candidates Seeking Public Funding**
[<https://sfethics.org/wp-content/uploads/2018/01/Supplemental-Guide-for-Candidates-for-the-Board-of-Supervisors-2018.pdf>]
(for the June and November 2018 elections)
- **Information for Candidates Seeking Public Financing for Board of Supervisors in District 8 for June 2018 and November 2018 Elections** [<https://sfethics.org/ethics/2017/05/information-for-candidates-seeking-public-financing-for-board-of-supervisors-in-district-8-for-june-2018-and-november-2018-elections.html>] Public Financing Information for June 2018 Supervisorial Candidates

Apply for public financing

Candidates interested in seeking public funds must electronically file the Statement of Participation or Non-Participation (**SFEC Form 142a** [<https://sfethics.org/compliance/campaigns/register-for-a-campaign-committee-electronic-filing-account>]) no later than the deadline for filing nomination papers, indicating an intent to participate in the program. Thereafter, candidates must electronically

file a Qualifying Request for public funds, which includes a list of all qualifying contributions that can be matched with public funds. Supporting documents must show that the contributions exist and that the contributors are individuals who are residents of San Francisco. Candidates certified as eligible to receive public funds may also electronically file a Matching Request(s) for additional public funds.

To qualify for public funding, a candidate for the Board of Supervisors must demonstrate that he or she has raised at least \$10,000 in contribution amounts ranging from \$10 to \$100 from at least 100 San Francisco residents within 18 months prior to the election and no later than the 71st day before the election (an incumbent Supervisorial candidate must raise at least \$15,000 in contributions from at least 150 San Francisco residents).

A candidate for Mayor must demonstrate that he or she has raised at least \$50,000 in contribution amounts ranging from \$10 to \$100 from at least 500 San Francisco residents by the 70th day before the election (an incumbent Mayoral candidate must raise at least \$75,000 in contributions from at least 750 San Francisco residents).

If certified as eligible to receive public funds, a candidate for the Board of Supervisors will receive an initial public funds grant of \$20,000 and a candidate for Mayor will receive \$100,000. Candidates may receive additional public funds based on the private contributions they raise. As shown in the table below, the maximum amount of public funds that a candidate for Board of Supervisors may receive is \$155,000 for non-incumbent candidates and \$152,500 for incumbent candidates.

	Private Funds Raised by Non-Incumbents	Matching Public Funds	Private Funds Raised by Incumbents	Matching Public Funds
Initial	\$10,000	\$20,000	\$15,000	\$20,000
1:2	\$50,000	\$100,000	\$50,000	\$100,000
1:1	\$35,000	\$35,000	\$32,500	\$32,500
Total	\$95,000	\$155,000	\$97,500	\$152,500
Total Public and Private Funds	\$250,000		\$250,000	

As shown in the table below, candidates for Mayor can receive up to \$975,000 (non-incumbent candidates) or \$962,500 (incumbent candidates).

	Private Funds Raised by Non-Incumbents	Matching Public Funds	Private Funds Raised by Incumbents	Matching Public Funds
Initial	\$50,000	\$100,000	\$75,000	\$100,000
1:2	\$425,000	\$850,000	\$425,000	\$850,000
1:1	\$25,000	\$25,000	\$12,500	\$12,500
Total	\$500,000	\$975,000	\$512,500	\$962,500
Total Public and Private Funds	\$1,475,000		\$1,475,000	

Any person who wishes to receive written notification from the Ethics Commission that the Commission has certified a candidate for Mayor or the Board of Supervisors as eligible to receive public funds should complete SFEC Form 152c. The Ethics Commission will also post on its website a listing of each candidate who has been certified to receive public funds, and their disbursements to date.

- **SFEC Form 152(c) – Request to Receive Notice of the Ethics Commission’s Certification of a Candidate’s Eligibility to Receive Public Funds**

[https://sfethics.org/wp-content/uploads/2015/06/sfec_152c.pdf]

24-hour notification requirements

Candidates for the Board of Supervisors must electronically file the Threshold Form within 24 hours of receiving, spending or having \$10,000 or more and candidates for Mayor must electronically file within 24 hours of receiving, spending or having \$50,000 or more. In a race where at least one candidate receives public funds, all candidates for that race must electronically file the Threshold Form within 24 hours of reaching the \$100,000 threshold (Board of Supervisors races) or \$1,000,000 threshold (Mayoral races). Thereafter, candidates must electronically file the Threshold Form within 24 hours of each time they spend or receive an additional \$10,000 (supervisory candidates) or an additional \$50,000 (mayoral candidates).

- **E-file Threshold Form** [<https://sfethics.org/compliance/campaigns/register-for-a-campaign-committee-electronic-filing-account>]

Any person (including committees other than candidate committees) who makes independent expenditures, member communications or electioneering communications of \$1,000 or more per candidate during the 90 days preceding an election must file a report with the Ethics Commission within 24 hours of each time the \$1,000 threshold is reached.

- **Information for persons making independent expenditures**
[<https://sfethics.org/compliance/campaigns/contributors/contributor-third-party-reporting-requirements>]

Individual expenditure ceiling

Candidates who seek public funds must agree to limit their spending to the amount of their Individual Expenditure Ceiling (IEC). The IEC for supervisorial candidates starts at \$250,000 and may be raised in increments of \$10,000. The IEC for mayoral candidates starts at \$1,475,000 and may be raised in increments of \$100,000. The Ethics Commission may raise a candidate's IEC to the sum of the highest level of Total Supportive Funds among that candidate's opponents and the Total Opposition Spending against that candidate.

When the Ethics Commission raises a candidate's IEC, the Commission notifies affected candidates and posts this information to its website.

- **Check the status of the individual expenditure ceiling**
[\[https://sfethics.org/disclosures/campaign-finance-disclosure/expenditure-ceilings-and-third-party-spending\]](https://sfethics.org/disclosures/campaign-finance-disclosure/expenditure-ceilings-and-third-party-spending)

Any candidate for Mayor or the Board of Supervisors seeking the same office as a candidate identified in a communication may object to the Executive Director's determination of whether a communication reported on filings required under section 1.161, 1.162 or 1.163 actually supports or opposes a candidate or is neutral. Such requests must be filed within one business day from the date the Executive Director makes his or her determination.

- **Form SFEC 143 – Requests by Candidates for Independent Determination of Filings Required Under Sections 1.161(b), 162(b) and 1.163 of S.F. Campaign Finance Reform Ordinance**
[\[https://sfethics.org/wp-content/uploads/2016/09/form_sfec-143_req-for-ind-determination-by-ed-1.pdf\]](https://sfethics.org/wp-content/uploads/2016/09/form_sfec-143_req-for-ind-determination-by-ed-1.pdf)

Campaign Contingency Account

Candidates for City Elective office must establish one bank account for the committee (all contributions must be deposited into and all expenditures must be made from this bank account). This bank account is termed "Campaign Contribution Trust Account" ("CCTA") and is the primary campaign bank account. The CCTA must be established at a bank located in San Francisco.

As explained above, a publicly funded candidate must abide by his or her IEC. Furthermore, such candidate must also abide by his or her Trust Account Limit ("TAL"). The TAL is the amount of funds in the CCTA such that the expenditure of this amount would cause a candidate to reach but not exceed his or her IEC. If a candidate has reached his or her TAL, he or she may not deposit additional funds into the CCTA. However, the candidate may open a Campaign Contingency Account ("CCA") and deposit contributions into the CCA in anticipation of his or her IEC being raised. Funds deposited in a CCTA would not be deemed to exceed the TAL if they are transferred from the CCTA to the CCA within two business days of depositing those contributions in the CCTA.

A candidate may not deposit any funds into the CCA if the amount of funds in the CCTA is less than the TAL. The candidate may not make any expenditures from the CCA. When a candidate's IEC is raised, funds from the CCA may be transferred to the CCTA to allow the candidate to reach, but not exceed, his or her TAL.

Within ten days of establishment of the CCA, the candidate must file SFEC Form 108 with the Ethics Commission. If there are any funds remaining in the CCA after the election (you reached your TAL and

were not able to transfer these funds to your CCTA), the candidate must turn over these funds to the City. Within ten days after the election, a candidate who elected to establish a CCA must submit a money order, certified check or other written instrument prepared by the financial institution, made payable to the Ethics Commission for an amount equal to the amount of funds in his/her campaign contingency account, and submit it to the Ethics Commission along with Form SFEC 108.

- **Form SFEC 108 – Campaign Contingency Account**
[<https://sfethics.org/compliance/campaigns/register-for-a-campaign-committee-electronic-filing-account>]

Running the campaign >

Was this page helpful?

Provide Feedback

Scan with a QR reader to access page:



<https://sfethics.org/compliance/campaigns/candidates/public-financing-program>

ATTACHMENT 3

SUPPLEMENTAL GUIDE FOR BOARD OF SUPERVISOR CANDIDATES SEEKING PUBLIC FUNDING IN THE JUNE AND/OR NOVEMBER 2018 ELECTION



SAN FRANCISCO ETHICS COMMISSION

25 VAN NESS AVENUE, SUITE 220
SAN FRANCISCO, CALIFORNIA 94102
TELEPHONE: 415.252.3100 FACSIMILE: 415.252.3112
WEB-SITE: <https://sfethics.org>
EMAIL: ethics.commission@sfgov.org

This guide is intended to assist candidates in the process of applying for public financing. Candidates should use it as a supplement to the **Candidates' Guide for San Francisco City Elective Office**, which details requirements of **all candidates for City elective office**.

JUNE 2017

Contents

I.	INTRODUCTION	4
	WHAT IS THE PUBLIC FINANCING PROGRAM?	4
II.	WHAT DO YOU NEED TO KNOW ABOUT PUBLIC FINANCING?.....	4
	GETTING STARTED WITH PUBLIC FINANCING	4
	24-HOUR NOTIFICATION UPON REACHING CERTAIN THRESHOLDS.....	5
	WHAT ARE YOUR RESPONSIBILITIES IF YOU AGREE TO PARTICIPATE IN PUBLIC FINANCING?	5
	IS THERE AN AMOUNT OF MONEY YOU MUST RAISE TO QUALIFY?	6
III.	SUBMITTING A QUALIFYING REQUEST	6
	WHAT IS A QUALIFYING CONTRIBUTION?	6
	WHAT IF A CONTRIBUTOR DONATES LESS THAN \$10?	7
	SUPPORTING DOCUMENTATION	7
	WHAT METHODS OF PAYMENT ARE “CASH”?	8
	CONTRIBUTOR CARDS	8
	WHAT IF A CONTRIBUTOR GIVES YOU \$100 IN CASH?	9
	HOW CAN YOU PROVE SAN FRANCISCO RESIDENCY?	9
	ONE CHECK FROM TWO ACCOUNTHOLDERS	10
	CHECKS DRAWN ON BUSINESS ACCOUNTS ARE NOT MATCHED	10
IV.	REVIEW OF APPLICATION	10
	WHAT HAPPENS IF YOU ARE CERTIFIED?	10
	PAYMENT IS NOT FINAL DETERMINATION	11
	WHAT HAPPENS IF YOU ARE NOT CERTIFIED?	11
	WHAT IF YOU WITHDRAW OR FAIL TO QUALIFY TO HAVE YOUR NAME ON THE BALLOT?	11
V.	DISBURSEMENT OF PUBLIC FUNDS	11
	WHEN WILL YOU RECEIVE PUBLIC FUNDS IF YOU ARE CERTIFIED?.....	11
	HOW CAN YOU OBTAIN PUBLIC FUNDS?	11
	HOW MUCH PUBLIC FUNDING WILL YOU RECEIVE INITIALLY?	12
VI.	SUBMITTING A MATCHING REQUEST	12
	CAN YOU RECEIVE MORE FUNDS AFTER THE INITIAL \$20,000 PAYMENT?	12
	WHAT IS A MATCHING CONTRIBUTION?	12

	HOW DO YOU MAKE A CLAIM FOR ADDITIONAL PUBLIC FUNDS?	13
	IS THERE A MINIMUM AMOUNT OF MATCHING FUNDS REQUIRED TO MAKE A CLAIM FOR ADDITIONAL PUBLIC FUNDS?	13
	HOW OFTEN MAY YOU SUBMIT A CLAIM?	13
	WHEN IS THE LAST DAY YOU MAY REQUEST MATCHING FUNDS?	13
VII.	UNDERSTANDING INDIVIDUAL EXPENDITURE CEILINGS	13
	INDIVIDUAL EXPENDITURE CEILING (IEC)	13
	WHAT HAPPENS IF YOU EXCEED YOUR IEC?	15
VIII.	DO YOU NEED A CAMPAIGN CONTINGENCY ACCOUNT (CCA)?	15
IX.	USES OF PUBLIC FUNDS	16
	WHAT IS A QUALIFIED CAMPAIGN EXPENDITURE?	16
X.	RECORDKEEPING	16
XI.	AFTER THE ELECTION	17
	THE AUDIT	17
	WHAT HAPPENS IF YOU HAVE FUNDS REMAINING IN YOUR CAMPAIGN CONTINGENCY ACCOUNT?	17
	WHAT HAPPENS IF YOU HAVE FUNDS REMAINING IN YOUR CAMPAIGN CONTRIBUTION TRUST ACCOUNT?	18
XII.	CONCLUSION.....	18

I. Introduction

This guide summarizes State and local laws applicable to candidates for the San Francisco Board of Supervisors who would like to participate in San Francisco's public financing program. It is intended to answer the most frequently asked questions about these laws, and therefore is necessarily general. Any specific questions regarding these laws should be directed to the San Francisco Ethics Commission ("the Commission") at (415) 252-3100 or ethics.commission@sfgov.org. In addition, candidates should consult the Commission's [Candidates' Guide for City Elective Office](#) and [FPPC Manual 2](#), which are available at the office of the Ethics Commission and online.

Please be aware that additional requirements and restrictions may apply. To the extent this guide conflicts with State or local law, the law controls.



The dates/deadlines listed in this supplemental guide apply to the June and November 2018 elections.

Agency Contact Information:

Although the Ethics Commission may administer and enforce several of the laws described in this guide, the Commission does not have authority to interpret or enforce all of these or related laws. In addition to the Commission, agencies that administer and enforce laws regulating candidates and elections include the California Secretary of State (SOS), the California Fair Political Practices Commission (FPPC), and the San Francisco Department of Elections. They may be contacted as follows:

Secretary of State	www.sos.ca.gov	(916) 653-6814
FPPC	www.fppc.ca.gov	(866) 275-3772
SF Dept of Elections	www.sfelections.org	(415) 554-4375

What is the public financing program?

In November 2000, the voters of San Francisco adopted Proposition O, the Fair Elections Ordinance. Among other things, Proposition O amended the San Francisco Campaign Finance Reform Ordinance ("CFRO"), San Francisco Campaign and Governmental Conduct Code ("S.F. C&GC Code") section 1.100 *et seq.*, to provide for partial public financing for candidates for the

Board of Supervisors to help defray the costs of election campaigns. Recent amendments have made substantive changes to the provisions of the program. Under the program, candidates certified as eligible to receive public financing may receive up to a maximum of \$155,000 (Non-Incumbent Candidates) or \$152,500 (Incumbent Candidates).

II. What Do You Need to Know About Public Financing?

Getting Started with Public Financing

- ☐ **Step 1: Know what you're signing up for**
Read and understand the applicable laws related to San Francisco's public financing program. This Supplemental Guide will provide an overview, but candidates are also responsible for reading applicable sections of CFRO and Ethics Commission regulations pertaining to CFRO sections. These laws can be found in the "[Laws](#)" section of the Commission's [web-site](#). You are also responsible for filing forms required by all candidates (regardless of participation in public financing program). See the [Candidates' Guide for City Elective Office](#).
- ☐ **Step 2: Decide to participate or not participate**
File a [Statement of Participation or Non-Participation](#) form no later than the deadline for filing nomination papers. The [Checklist for Candidates for City Elective Office](#) provides election-specific deadline dates). After reviewing the rules of the program, indicate whether you wish to participate by checking the appropriate box. The forms for the public financing program must be submitted **electronically**. You may use the Commission's electronic filing system or use a private software vendor that provides electronic filing for the public financing program.
- ☐ **Step 3: Establish a Good Recordkeeping System**
Candidates and treasurers, including those who receive public funding, are responsible for maintaining detailed records, pursuant to California Government Code ("Cal Gov't Code") Section [84104](#) (*see also* 2 Cal. Code of Regs. [§ 18401](#)). All publicly financed candidates will be audited. Detailed records are essential to the audit and to assist the committee in the submission of accurate and complete applications for public funds.

ATTACHMENT 4

From: [Hosey, Johnny](#)
To: [Massey, Steven \(ETH\)](#); [Pelham, Leeann \(ETH\)](#); [Thaikkendiyil, Gayathri \(ETH\)](#); [Ford, Patrick \(ETH\)](#)
Subject: FW: Reminder: November 6, 2018 Election - Statement of Participation or Non-participation in Public Financing Program due June 12, 2018
Date: Wednesday, June 13, 2018 1:37:09 PM

Fyi: Here's what was sent to all BOS candidates and their treasurers on 6/4.

Johnny R. Hosey

Engagement & Compliance Assistant
San Francisco Ethics Commission
(415) 252-3100
sfethics.org | johnny.hosey@sfgov.org

PLEASE NOTE THAT NOTHING IN THIS E-MAIL IS INTENDED TO CONSTITUTE A WRITTEN FORMAL OPINION OF THE SAN FRANCISCO ETHICS COMMISSION, AND THE RECIPIENT MAY NOT RELY ON THIS E-MAIL AS A DEFENSE IN ANY ENFORCEMENT PROCEEDING.

From: Ethics Commission, (ETH)
Sent: Monday, June 4, 2018 4:47 PM
Subject: Reminder: November 6, 2018 Election - Statement of Participation or Non-participation in Public Financing Program due June 12, 2018

Dear Candidate for City Elective Office,

Pursuant to [San Francisco Campaign and Governmental Conduct Code Section 1.142\(a\)](#) each candidate for the Board of Supervisors must sign and file a **Statement of Participation or Non-Participation in the Public Financing Program**. Each candidate must file this statement to indicate whether he or she intends to participate in the program. The statement must be filed electronically with the Ethics Commission **no later than the deadline for filing nomination papers (June 12, 2018) for the November 6, 2018 election, and may not be amended after that deadline.**

Please file this statement through the [Ethics Commission's e-filing system](#) by the deadline. If you have already filed this form, please disregard this notice.

To learn more about the public financing program, visit the [Ethics Commission's website](#). If you have any questions or need assistance, please contact the Engagement and Compliance team at the Ethics Commission at ethics.commission@sfgov.org or 415-252-3100. We welcome your questions and will be happy to assist you.

Regards,

Johnny R. Hosey

Engagement & Compliance Assistant
San Francisco Ethics Commission
(415) 252-3100
sfethics.org | johnny.hosey@sfgov.org

ATTACHMENT 5

From: [Ethics Commission, \(ETH\)](#)
Subject: Extension Notice - Districts 4 and 8 November election public financing participation deadline
Date: Wednesday, June 13, 2018 4:56:37 PM

Dear Candidate for City Elective Office,

Pursuant to [San Francisco Campaign and Governmental Conduct Code Section 1.142\(a\)](#) each candidate for the Board of Supervisors must sign and file a **Statement of Participation or Non-Participation in the Public Financing Program**. Each candidate must file this statement to indicate whether he or she intends to participate in the program. The statement must be filed electronically with the Ethics Commission no later than the deadline for filing nomination papers. The deadline for candidates running in the November 6, 2018 election was June 12, 2018. However, the Department of Elections announced today that the deadline for filing nomination papers for candidates running for Supervisor in District 4 and in District 8 has been extended to Monday June 18, 2018.

Consequently, the deadline for District 4 and District 8 candidates to file their Statement of Participation or Non-Participation is also extended to June 18, 2018.

Statements must be filed through the [Ethics Commission's e-filing system](#) by the deadline. If you have already filed this form, please disregard this notice.

To learn more about the public financing program, visit the [Ethics Commission's website](#). If you have any questions or need assistance, please contact the Engagement and Compliance team at the Ethics Commission at ethics.commission@sfgov.org or 415-252-3100. We welcome your questions and will be happy to assist you.

Regards,

Johnny R. Hosey
Engagement & Compliance Assistant
San Francisco Ethics Commission
(415) 252-3100
sfethics.org | johnny.hosey@sfgov.org

To stop receiving messages from [ETH-Staff](#) group, [stop following it](#).

ATTACHMENT 6



ETHICS COMMISSION

CITY AND COUNTY OF SAN FRANCISCO

DAINA CHIU
CHAIR

June 13, 2018

QUENTIN L. KOPP
VICE-CHAIR

Sonja Trauss
Sonja Trauss for Supervisor 2018
603 Natoma Street, #305
San Francisco, CA 94103

PAUL A. RENNE
COMMISSIONER

Re: Final Determination of Ineligibility to Receive Public Funds under San Francisco Campaign and Governmental Conduct Code §1.100 et seq.

YVONNE LEE
COMMISSIONER

Dear Ms. Trauss:

NOREEN AMBROSE
COMMISSIONER

Under Section 1.142(a) of the San Francisco Campaign & Governmental Conduct Code (the "Code"), all candidates for the Board of Supervisors must sign and file a Statement of Participation or Non-Participation in the public financing program with the Ethics Commission no later than the deadline for filing nomination papers. That statement indicates whether a candidate intends to participate in the public financing program and is required to be filed in order for a candidate to be eligible for public financing. The law does not provide for extensions of this filing obligation, and the Statement may not be amended after the deadline for filing nomination papers.

LEEANN PELHAM
EXECUTIVE DIRECTOR

This letter serves as notice that the Ethics Commission did not receive from you a Form SFEC-142(a) – Statement of Participation or Non-Participation in the Public Financing Program by yesterday's deadline for filing nomination papers. Your campaign for the November 2018 Supervisorial election, therefore, is ineligible to receive public funds, and our May 3, 2018 determination of your Committee's ineligibility is now final.

Code Section 1.142(g) provides that candidates may appeal the Executive Director's final determination to the Ethics Commission. To do so, the candidate must deliver the written appeal to the Ethics Commission within five days of the date of notification of the Executive Director's determination, in this case, by the close of business on Monday June 18, 2018.

If you have any questions about this letter or the process or requirements related to qualifying for public funds in your campaign, please feel free to contact our office at (415) 252-3100.

Sincerely,

LeeAnn Pelham
Executive Director

cc: Shawnda Deane, Treasurer

ATTACHMENT 7

ATTACHMENT 8(a)

SONJA

TRAUSS FOR SUPERVISOR

Date: Monday, June 15, 2018

LeeAnn Pelham
City and County of San Francisco Ethics Commission
25 Van Ness Ave, Suite 220
San Francisco, CA 94102
Also via email.

Re: Sonja Trauss for Supervisor 2018

Dear Director Pelham,

I am writing in response to your letter to me dated June 13, 2018, which stated that you had determined that my campaign is ineligible to receive public funds.

Per Campaign and Governmental Conduct Code Section 1.142(g), this email serves as my written appeal to the full Ethics Commission of that determination.

My appeal is based on my campaign's clear indication of its intent to participate in the public financing program and our substantial compliance with the requirements of the Code, among other factors.

I intend to provide further information and documentation to you in support of my appeal not later than Friday, July 13, 2018, so that the Commission may consider my appeal at its regularly scheduled meeting on Friday, July 20, 2018.

Please confirm receipt of this email. Also, if you object to my timeline for submitting supporting information and documentation, please let me know by the close of business today.

Please also feel free to contact me directly with any questions.

CC: Jesse Mainardi

Paid for by Sonja Trauss for Supervisor 2018.
603 Natoma Street, #305, San Francisco, CA 94103.
Financial disclosures are available at sfethics.org. FPPC # 1397772

ATTACHMENT 8(b)



July 13, 2018

VIA EMAIL ONLY

Chair Daina Chiu and
Commissioners Kopp, Renne, Lee and Ambrose
San Francisco Ethics Commission
25 Van Ness Ave, Suite 220
San Francisco, CA 94102

Re: Sonja Trauss Appeal of Executive Director's Final Public Financing Determination

Dear Chair Chiu and Commissioners:

This firm represents Sonja Trauss, a candidate for District 6 Supervisor in the election scheduled for November 6, 2018. This letter supplements Ms. Trauss's written appeal of the Executive Director's Final Determination that she is ineligible for public financing.

Ms. Trauss respectfully requests that the Ethics Commission ("Commission") rule in favor of her appeal and allow her to establish her eligibility for public financing by the usual deadline of August 28, 2018, like other District 6 candidates.

Introduction

The issue in this appeal is whether Ms. Trauss will be allowed to prove her eligibility to receive public funds, or will be denied that opportunity because she filed a "Statement of Participation or Non-Participation" (Form 142a), a basic form that candidates to use to state that they will participate in the program, two days late.

The Commission should rule in favor of Ms. Trauss, and allow her the opportunity to prove her public financing eligibility, for four reasons.

First, Ms. Trauss clearly demonstrated her intent to participate in the public financing program through her repeated submissions of "Qualifying Requests" for public financing and her campaign's related communications with Commission staff starting in February 2018.

Second, Ms. Trauss is ready to re-submit her Qualifying Request and is confident that she will be certified as eligible to receive public financing because, based on Commission staff feedback, she believes she has obtained and can submit required contributor information.

Third, allowing Ms. Trauss to prove her eligibility to receive public funds is consistent with the goals of the program in that it will ensure that as many candidates as possible are able to participate and will be able to best communicate their views to the public.

Finally, granting Ms. Trauss's appeal will result in limited (if any) public harm and will avoid unfairly penalizing Ms. Trauss for an administrative mistake by her professional treasurer.

Legal Background

San Francisco's public financing program was established to provide funds to candidates for Mayor and the Board of Supervisors who demonstrate a certain level of public support and meet other requirements. Non-incumbent supervisorial candidates certified as eligible to receive public financing may receive up to \$155,000 from the City. (*See generally* SF C&GC Code §§ 1.138, 1.140, 1.142.)

The goals of the public financing program are found in section 1.1.00 of the City's Campaign Finance Reform Ordinance, Campaign and Governmental Conduct Code section 1.100 et seq. ("CFRO"), and include to:

- Ensure that all individuals and interest groups in our city have a fair opportunity to participate in elective and governmental processes;
- Allow candidates and officeholders to spend a smaller proportion of their time on fundraising and a greater proportion of their time dealing with issues of importance to their constituents' community; and
- Ensure that serious candidates are able to raise enough money to communicate their views and positions adequately to the public, thereby promoting public discussion of the important issues involved in political campaigns;

To qualify for public financing, supervisorial candidate must submit two filings to the Ethics Commission: (1) a "Statement of Participation or Non-Participation" (Form 142a) in the program and (2) a "Qualifying Request." (SF C&GC Code § 1.142(a),(b).)

Form 142a is the more basic of the two required filings. It is an electronic form that simply indicates whether a candidate intends to participate in the public financing program. It must be filed no later than the deadline for filing nomination papers which, for the November 6, 2018 election, was June 12, 2018. (SF C&GC Code § 1.142(a),(b); SF ME code § 205.)

By contrast, the Qualifying Request is a much more substantial and demanding filing. The filing, which is submitted electronically with appropriate supporting documentation, must be submitted to the Ethics Commission no later than the 70th day before the election. The filing serves two functions.

First, it demonstrates that the candidate will meet certain requirements for receiving public funds from the City, including agreeing to prove that submitted contributions are eligible

to qualify the candidate, limit the candidate's own contributions and loans to \$5,000, participate in at least three debates, etc. (SF C&GC Code §§ 1.140, 1.142.)

Second, the Qualifying Request identifies "qualifying contributions" totaling \$10,000 from at least 100 contributors. These contributions must be accompanied by supporting documentation that demonstrates that the contributor is a San Francisco resident. Only the first \$100 of any contribution counts as a qualifying contribution, although the remainder may later be matched once the candidate is certified as eligible. (SF C&GC Code §§ 1.140, 1.142.)

Upon receiving a Qualifying Request, the Executive Director must determine whether the submitting candidate is eligible to receive public financing. If the Executive Director declines to certify a candidate as eligible to receive public financing, the candidate may appeal the Executive Director's final determination to the Commission by delivering a written appeal within five days of Executive Director's final determination. (SF C&GC Code § 1.142(c),(e).)

Factual Background

Sonja Trauss is a candidate for District 6 Supervisor in the November 6, 2018 election who opened her campaign committee in July 2017 and who filed her nomination papers on June 1, 2018. In July 2017, Ms. Trauss engaged Deane & Co. as her professional treasurer to help establish her campaign committee, comply with campaign recordkeeping and reporting requirements, and prepare and file her public financing submissions.

Ms. Trauss has since proven herself a strong candidate in a competitive District 6 race that includes at least two main competitors. She is a strong fundraiser and she has garnered the endorsements of a number of public officials and community leaders.

Ms. Trauss has always intended to participate in the City's public financing program and her first Qualifying Request was submitted on February 9, 2018, the third day that filing a Qualifying Request was possible. Over the course of the next four months, Deane & Co. re-submitted the Qualifying Request five times on Ms. Trauss's behalf (including once shortly after the original submission to correct technical issues).

These Qualifying Requests were rejected. But three submissions were reviewed in full by Commission staff, with their responses for each delivered a few weeks later. In each, Commission staff requested additional information under its increasingly rigorous standard of review. Examples include proof a contributor's full formal name, the name of a self-employed contributor's business, additional proof of residency in commercial areas, etc. In response to one request, Commission staff indicated that more information was needed for previously approved contributions.

Ms. Trauss and her team worked hard to respond to the issues identified in each denial. During this time, Deane & Co. had multiple email and phone communications with Commission staff regarding documentation requirements, procedures for uploading records, dates for

resubmitting a Qualifying Request, etc. (An abbreviated timeline of submissions and contacts is attached.) As of May 30, 2018, Ms. Trauss's Qualifying Request only needed additional information for *seven* contributions.

Ms. Trauss subsequently filed her most recent Qualifying Request on June 6, 2018, but it was later rejected by Commission staff because Ms. Trauss failed to file her Form 142a by June 12, 2018. On June 13, 2018, the Executive Director notified Ms. Trauss of her final determination that she was ineligible to participate in the public financing program because of the missed deadline. Ms. Trauss filed her Form 142a the next day and timely delivered a written appeal of the Executive Director's decision on Friday, June 15, 2018.

The failure to timely file the Form 142a was a simple mistake by Deane & Co. Submitting a Form 142a is typically facilitated by professional treasurers as part of their engagement by a candidate, but Deane & Co. incorrectly thought that Ms. Trauss had already filed the form before the first Qualifying Request was submitted. Deane & Co. was further led to believe that everything was in order when Ms. Trauss's Qualifying Requests were accepted, fully reviewed, and, ultimately, denied on other grounds by Commission staff.

Indeed, at no point prior to the Executive Director's letter of June 13, 2018 did Commission staff bring to Ms. Trauss's or Deane & Co.'s attention that she had failed to file Form 142a. To the contrary, in certain denials to the Qualifying Requests, Commission staff wrote that they were not "able at this time to certify [her] to receive public financing" but that Ms. Trauss was able to "continue to submit qualifying requests as necessary to establish [her] eligibility" so long it was within five business days of any denial.

At one point, Commission staff, unprompted, told Ms. Trauss that she would be unable to participate in the program because she had outstanding late fees. This was resolved – only some of the fees were actually outstanding and were subsequently paid – but it also represented a missed opportunity for mentioning the Form 142a.

Ms. Trauss has always submitted approximately \$10,000 in qualifying contributions from about 100 contributors in her requests, but believes that she has at least 100 more that she can submit that would also qualify. If necessary, she intends to submit some or all of these contributions in her next request to ensure that she qualifies for public financing.

Argument

Although she failed to timely file her Form 142a, the Commission should rule in favor of Ms. Trauss's appeal and allow her to establish her eligibility to receive public funds because she has clearly demonstrated her intent to participate in the program, *has in fact already been participating*, and is otherwise about to qualify for public financing. These and other reasons are more fully set forth below.

1. Ms. Trauss clearly indicated her intent to participate in the public financing program via her repeated Qualifying Requests and communications with Commission staff starting in February 2018.

Form 142a provides notice of whether a candidate will participate in the public financing program. That is its purpose, although a Qualifying Request itself, with its declarations of meeting program requirements and its document submissions, would seem to adequately provide such notice. Perhaps Form 142a gives the Commission time to staff and otherwise prepare for administering the program.

In any case, to the extent Commission staff needed an indication of Ms. Trauss's intentions by June 12, 2018, they certainly got it. Her intention to participate was made clear through six submissions of a Qualifying Request over the course of four months, which were accepted and reviewed by Commission staff. Her intent was further made clear by Deane & Co.'s multiple communications on her behalf with Commission staff about documentation requirements for the program, procedures for uploading records, etc.

Notably, at no point before June 13, 2018 did Commission staff bring to Ms. Trauss's or Deane & Co.'s attention that she had failed to file Form 142a. Nor did they refuse to review Ms. Trauss's Qualifying Requests for this reason. In other words, Ms. Trauss's intent to participate in the public financing program was deemed apparent by Commission staff.

In short, Ms. Trauss made her intent to participate in the public financing program clear *by actually participating in the program*. Put another way, the purpose of Form 142a was achieved because her intent to participate was manifest in her ongoing interactions with Commission staff.

2. If permitted to participate, Ms. Trauss will ultimately be certified as eligible to receive public financing because she will submit the required documentation.

As referenced above, a great deal of work has already gone into Ms. Trauss's Qualifying Requests, on the part of both Commission staff and the Trauss campaign. Commission staff has reviewed and provided feedback on three Qualifying Request submissions, each including information and documentation for 100 contributions. These requests were prepared by Deane & Co. with the help of Ms. Trauss and campaign workers.

The failure to file the Form 142a was a simple mistake by Deane & Co., who incorrectly thought that Ms. Trauss had filed the form before the first Qualifying Request was submitted. Deane & Co. was also led to believe that everything was in order when Ms. Trauss's Qualifying Requests were fully reviewed and denied on other grounds.

The most recent Commission feedback on contributors came in late May, when Commission staff, after stating that earlier approved contributors in fact needed additional information, also indicated that Ms. Trauss needed further documentation for *only seven* of the

100 contributions she had submitted. The campaign has addressed those issues, and has submitted additional contributions for the Qualifying Request. The campaign also intends, if necessary, to submit other San Francisco contributions that could help qualify Ms. Trauss.

In other words, Ms. Trauss is confident that she will be deemed eligible to participate in the public financing program, if given the chance.

3. Allowing Ms. Trauss to attempt to establish her eligibility will further the goals of the public financing program.

As set forth in CFRO section 1.100, the goals of the City's public financing program include guaranteeing that all individuals in the City have a fair opportunity to participate in campaigns for office, allowing candidates to spend more time with constituents and less time fundraising, and ensuring that serious candidates can raise enough money to communicate their views and positions adequately to the public. (SF C&GC Code § 1.100(b)(2),(5),(6).)

Allowing Ms. Trauss to participate in the public financing program would further these stated goals. She is a strong candidate, having raised approximately \$160,000 and having garnered the endorsements of a number of public officials and community leaders. Public financing will help her to spread her message and ideas to a wide electorate in District 6.

However, she would be at a distinct disadvantage if other District 6 candidates were able to participate in the public financing system and she was not. She would certainly need to spend significantly more time fundraising in order to keep up with her competitors, and even then, her ability to have her message heard would be compromised by the up to \$155,000 in public funds to which her competitors would have access.

4. Granting Ms. Trauss's appeal will result in limited (if any) public harm and will avoid penalizing Ms. Trauss for a simple mistake by her professional treasurer.

It is difficult to see any downside to allowing Ms. Trauss to establish her eligibility to participate in the public financing program. In this regard, the Commission need not fear proceeding down a "slippery slope" by granting Ms. Trauss's appeal. The facts in this case are unique. In particular, this is the case of a candidate who *has already been participating in the program and is about to qualify*, but has failed to timely file a simple, non-substantive form.

On the other hand, denying Ms. Trauss's appeal would seem to elevate form over substance and would penalize both her and the public for what is essentially an administrative mistake by her professional treasurer, upon whom Ms. Trauss justifiably relied to help her file her public financing submissions in compliance with the law.

5. Granting relief in this instance would be a valid and equitable exercise of the Commission's discretionary authority.

The Commission has broad authority and discretion to reconsider and vacate the Executive Director's final determination of ineligibility. That discretion is inherent in the appellate authority granted to it by CFRO Section 142(g), which provides for the Commission's review of the Executive Director's decisions. That appellate authority recognizes that there are instances where, as here, it is appropriate for the Commission to grant equitable relief.

There is also recent precedent for the Commission's use of its discretionary authority in public financing matters. On April 20, 2018, the Commission agreed to allow then-Mayoral candidate Angela Alioto to submit additional supporting documentation in support of an earlier Qualifying Request after the regulatory deadline had passed. Although the candidate and her staff had evidently misconstrued the applicable rule, the Commission ruled in Ms. Alioto's favor given the underlying purposes of the public financing program.

Conclusion

Ms. Trauss should not be denied the ability to receive public financing program simply because of an administrative error, particularly when she signaled her intent to participate in the program and is otherwise substantially complying with the program's requirements.


Instead, in the interests of fairness and advancing the goals of the public financing program, the Commission should exercise its discretionary authority to allow Ms. Trauss to continue her attempts to establish her eligibility to receive public funds.

To be clear, Ms. Trauss is not asking at this time for a determination of eligibility to receive public funds. She is simply asking for the opportunity to *prove* her eligibility prior to the normal submission deadline of August 28, 2018, just like other District 6 candidates. She is confident that she will be able to do so.

Therefore, Ms. Trauss respectfully requests that the Commission: (1) vacate the Executive Director's Final Determination of Ineligibility; (2) direct Commission staff to review Ms. Trauss's most recently filed Qualifying Request as if she had timely filed her Form 142a; and (3) allow Ms. Trauss to submit Qualifying and Matching Requests so that she may seek certification of eligibility for public financing and, if deemed eligible, receive public funds.

Thank you for your consideration of this appeal. I will attend the Commission's meeting on July 20, 2018 and will be able to answer any questions you may have.

Very truly yours,

A large black rectangular redaction box covering the signature of Jesse Mainardi.

Jesse Mainardi

Appeal by Sonja Trauss of Executive Director's Final Determination of Ineligibility

Timeline of Events

Date	Action	Comment
July 24, 2017	Ms. Trauss files a copy of her Statement of Organization (Form 410) with the Ethics Commission.	This establishes Ms. Trauss's campaign committee, "Sonja Trauss for Supervisor 2018."
Feb. 6, 2018	First day a candidate for Supervisor may submit a "Qualifying Request."	See SF C&GC Code sec. 1.142(b).
Feb. 9, 2018	Deane & Co. submits Ms. Trauss's Qualifying Request.	The Qualifying Request identifies 100 contributors who made a total of \$29,375 in contributions, and requests that \$10,000 are deemed to be qualifying contributions.
Feb. 13, 2018	Deane & Co. re-submits Ms. Trauss's Qualifying Request.	The Qualifying Request was re-submitted to address a formatting issue.
Feb. 22, 2018	Ethics Commission staff denies certification of eligibility, but says re-submission is an option.	Ethics Commission staff indicates that additional information is still needed for <u>32</u> of 100 contributions.
Feb. 27, 2018	Deane & Co. re-submits Ms. Trauss's Qualifying Request.	The Qualifying Request identifies the same contributors as the initial request, but with additional, requested information.
Mar. 21, 2018	Ethics Commission staff denies certification of eligibility.	Ethics Commission staff indicates that additional information is still needed for <u>14</u> of 100 contributions. Also, staff provides notice that Ms. Trauss may not qualify based on outstanding late fees.
Mar. 29, 2018	Deane & Co. re-submits Ms. Trauss's Qualifying Request.	The Qualifying Request identifies the same contributors as the initial request, but with additional, requested information.
Apr. 16, 2018	Ethics Commission staff withdraws late fees for committee for which Ms. Trauss was treasurer.	These fees assessed in error. With payment of another small fee, the issue in March 21 letter from Ethics Commission staff is resolved.

Date	Action	Comment
Apr. 27, 2018	Ethics Commission staff denies certification of eligibility.	Ethics Commission staff states that Ms. Trauss's March 29 request was a day late, but also states that Ms. Trauss may re-submit her Qualifying Request before the 70 th day before the election so long as she indicates her intent to withdraw her earlier Qualifying Request.
May 3, 2018	Deane & Co. re-submits Ms. Trauss's Qualifying Request.	The Qualifying Request identifies the same contributors as initial request, but with additional, requested information.
May 30, 2018	Ethics Commission staff denies certification based on documentation submitted, but says re-submission is an option.	Ethics Commission staff states that previously-approved contributions are, upon further review, in fact not approved. Staff also indicates that additional information is still needed for <u>7</u> of 100 contributions.
June 6, 2018	Deane & Co. re-submits Ms. Trauss's Qualifying Request.	This submission of the Qualifying Request identifies four additional contributors.
June 13, 2018	Executive Director denies certification based on documentation submitted, and indicates denial is final.	The denial is based on Ms. Trauss's failure to file SFEC Form 142(a) by the deadline for nomination papers, June 12, 2018.
June 14, 2018	Ms. Trauss's SFEC Form 142(a), indicating Ms. Trauss's intention to participate in the city's public financing system, is submitted.	
June 15, 2018	Ms. Trauss files her written appeal of the Executive Director's final determination within 5 business days.	See SF C&GC Code sec. 1.142(g).

* * * * *

Declaration of Sonja Trauss

I, Sonja Trauss, hereby declare:

1. I have knowledge of the facts contained herein and if called to testify could competently do so.

2. I am a candidate for District Six Supervisor in the November 6, 2018 election, having filed my nomination papers on June 1, 2018. I am currently endorsed by a number of prominent elected officials and community leaders, including Senator Scott Wiener and Assemblymember David Chiu.

3. Deane & Company has acted as my professional treasurer since July 2017 and I have relied on the company's professional expertise to ensure that my campaign and I are complying with all campaign recordkeeping and reporting rules as well as with all public financing requirements. This includes any requirements to establish my eligibility to obtain public funds, such as helping to file the SFEC Form 142a.

4. Participating in the city's public financing program has always been an important part of my campaign plan and doing so will allow me to best communicate my ideas to the District Six community. If I were not able to participate in the program, I would be at a disadvantage in the campaign and I would certainly need to spend more time fundraising in order to keep up with my competitors.

5. I have been disappointed by the Determinations of Ineligibility we have received from the Executive Director as my staff and I have been working hard to understand and provide the documentation that is required to prove that a contribution is "qualifying" for purposes of the public financing program and, in particular, to prove a contributor's residency. Although the Ethics Commission provides written guidance, we have found that staff will sometimes request more than one form of documentation.

6. Our Qualifying Requests to date have included at least \$10,000 in qualifying contributions from at least 100 contributors, but I believe that that our campaign has least another 100 contributors that would also help qualify our campaign for public financing. If permitted to do so, I will submit some or all of these contributions in my next request if it is necessary to ensure that I qualify for public financing.

I declare under penalty of perjury under the laws of the City and County of San Francisco that the foregoing is true and correct.

Dated: July 12, 2018



Declaration of Shawnda Deane

I, Shawnda Deane, hereby declare:

1. I have knowledge of the facts contained herein and if called to testify could competently do so.
2. I am an owner and the President of Deane & Company, which provides political reporting and treasury services to candidates and campaign committees throughout the State of California. The company is located in Sacramento. Although we represent campaign committees active in San Francisco, we have represented only one other client seeking public financing in the city and that was at least four years ago.
3. In July 2017, Deane & Company was engaged by Sonja Trauss and "Sonja Trauss for Supervisor 2018" to provide professional treasury services, including complying with campaign recordkeeping and reporting requirements, and helping to prepare and file her public financing submissions. I am the campaign's treasurer.
4. Using information obtained from her campaign, Deane & Company filed Qualifying Requests for public financing with the San Francisco Ethics Commission on behalf of Ms. Trauss on the following dates: (1) February 9, 2018; (2) February 13, 2018; (3) February 27, 2018; (4) March 29, 2018; (5) May 3, 2018; and (6) June 6, 2018. True and correct copies of those Qualifying Request submissions are attached as Exhibit A.
5. Deane & Company was the main point of contact with the Ethics Commission regarding the Qualifying Request submissions. Starting in February 2018, my staff and I had multiple email and phone communications with Ethics Commission staff regarding Ms. Trauss's Qualifying Requests, staff's reasons for denying those requests, and various related issues including procedures for uploading records and resubmission dates. True and correct copies of certain of those communications as well as the Executive Director's Determinations of Ineligibility are attached in Exhibit B.
6. Our firm incorrectly assumed that Ms. Trauss had filed her "Statement of Participation or Non-Participation" in the public financing program (SFEC Form 142a) and therefore did not file the form on her behalf until June 14, 2018. Our failure to assist in timely filing the form did not come to our attention in part because Ethics Commission staff was reviewing the Qualifying Requests, which we took as an indication that everything was in order. At no time prior to June 13, 2018 did Ethics Commission staff point out that Ms. Trauss had failed to file the SFEC Form 142a.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Dated: July 12, 2018

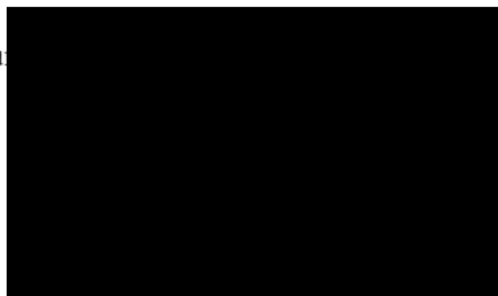


Exhibit A
to
Shawnda Deane Declaration

San Francisco Ethics Commission
 25 Van Ness, Suite 220
 San Francisco, CA 94102
 Phone: (415) 252-3100
 Fax: (415) 252-3112
 Email: ethics.commission@sfgov.org
 Web: www.sfethics.org



For SFEC use

E-Filed
 02/09/2018
 16:56:01
 Filing ID:
 168665181

**QUALIFYING REQUEST:
 DECLARATION FOR PUBLIC FUNDS BY CANDIDATES FOR
 THE OFFICE OF MAYOR OR THE BOARD OF SUPERVISORS**
 (S.F. Campaign and Governmental Conduct Code § 1.142(b))

Note: Each candidate who seeks public funds must complete and return this form to the Ethics Commission. The candidate may do so starting nine (9) months before the date of the election, but no later than the 70th day before the election. To be eligible, each candidate must have executed and filed at the Ethics Commission a Statement of Participation or Non-Participation indicating an intent to participate in the public financing program. In addition, each candidate must comply with the requirements set forth in S.F. Campaign and Governmental Conduct Code section 1.140.

PART I. FILER INFORMATION		
Name of Candidate Sonja Trauss	Office (include District No.) 6	Date of Election 11/06/2018
Name of Campaign Committee Sonja Trauss for Supervisor 2018	FPPC ID No. 1397772	Candidate Email Trauss2018@deaneandcompany.com
Candidate Mailing Address San Francisco CA 94103	Candidate Telephone (916) 285-5733	
Name of Treasurer Shawnda Deane	Treasurer Email Trauss2018@deaneandcompany.com	
Treasurer Mailing Address Sacramento CA 95815	Treasurer Telephone (916) 285-5733	

PART II. AUTHORIZATION
<p><i>Please list names of persons authorized to pick-up payments on behalf of the campaign committee. Payments made by check to the committee must be picked up by the candidate or candidate's authorized representative at the Ethics Commission office during regular business hours (Mon.-Fri., 8 a.m. - 5 p.m.). At the time of picking up payment checks, the candidate/candidate's authorized representative must present identification and sign a form acknowledging receipt of payment.</i></p> <p>1. <u>Sonja Trauss</u> 2. <u>Shawnda Deane</u></p>

PART III. CANDIDATE'S DECLARATION

I, Sonja Trauss hereby declare that the following information is true and correct:
(Name of candidate)

All Candidates

- I am seeking election to the Board of Supervisors, and I am eligible to hold the office if elected.
(Office of Mayor or Board of Supervisors)
- I agree to comply with all conditions of and requirements for the receipt and use of public funds as set forth in section 1.140 of the Campaign Finance Reform Ordinance (S.F. Campaign and Governmental Conduct Code § 1.100 et. seq., or CFRO) and any regulations adopted thereunder, and agree to submit to any reasonable audits or reviews of my committee deemed appropriate by the San Francisco Ethics Commission.
- I have filed the Statement of Participation or Non-Participation, on which I indicated my intent to participate in the public financing program.
- I will not make any payments to a contractor or vendor in return for the contractor or vendor making a campaign contribution to my campaign or make more than a total of 50 payments, other than the return of a contribution, to contractors or vendors that have made contributions to my campaign.
- I will not accept any loans from anyone other than myself and I will not loan or donate, in total, more than \$5,000 of my own funds to my campaign.
- I agree to participate in at least three debates with my opponents.
- I have paid any and all known outstanding fines owed to the City by me and any of my campaign committees.
- I have filed any and all outstanding statements, reports, or forms owed to the City by me or any of my campaign committees.
- There is no finding by a court within the past five years that I knowingly, willfully, or intentionally violated the CFRO, any provisions in the S.F. Campaign and Governmental Conduct Code, or the campaign finance provisions of the Political Reform Act.
- I understand that after the 70th day prior to the date of the election, I cannot withdraw this Declaration for Public Funds. I agree to comply with the requirements of the CFRO even if I decide not to accept public funds or even if I decide to repay public funds received from the Election Campaign Fund.
- I understand that as candidate, I bear the burden of proving that each contribution that I rely upon to establish eligibility is a qualifying contribution and that expenditures made with public funds are for qualified campaign expenditures only.

Candidates for Office of Mayor

- I have received \$50,000 in qualifying contributions from at least 500 residents of San Francisco (Non-Incumbent) or I have received \$75,000 in qualifying contributions from at least 750 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$50,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$1,475,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

Candidates for Board of Supervisors

- I have received \$10,000 in qualifying contributions from at least 100 residents of San Francisco (Non-Incumbent) or I have received \$15,000 in qualifying contributions from at least 150 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document.
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$10,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$250,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

I declare under penalty of perjury that I have read and understand the requirements of the public financing program as set out in the CFRO. I also declare under penalty of perjury that to the best of my knowledge, information and belief, the information I provide in this form and all supporting documentation is true and correct.

Sonja Trauss

02/09/2018

Candidate's Signature

Date

¹ A "qualifying contribution" for the is a contribution of not less than \$10 and not more than \$100 that is made by an individual who is a resident of San Francisco and that complies with all requirements of the Ordinance Qualifying contributions do not include loans, contributions that are received more than 18 months before the date of the election or contributions made by the candidate or the candidate's immediate family S F Campaign and Governmental Conduct Code § 1 104(w)

QUALIFYING CONTRIBUTIONS – SUPPORTING DOCUMENTATION

Each candidate must submit the following documentation in order to be considered for public funds:

- **Qualifying Contributions List** (see below).
- Evidence of each contribution received, such as electronic copies of contributor checks, contributor cards and credit card authorizations. (These documents must be arranged in batches according to deposit batch number. The committee's record-keeper should assign a batch number to each deposit.)
- Electronic copies of itemized deposit slips, deposit receipts and evidence of S.F. residency.

Any claim form that is not accompanied by these documents will not be considered for payment.

QUALIFYING CONTRIBUTIONS LIST

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
1	Fingal-Surma	Daniel B		94114 San Francisco	Software Engineer	Google, Inc	Check	07/22/2017	08/07/2017	080717-TK1	500 00	100 00
2	Huey	Kyle Scott		94117 San Francisco	Co-Founder	Pernosco	Check	07/20/2017	08/07/2017	080717-TK1	500 00	100 00
3	Del Valle	Danielle M		94127 San Francisco	Student	n/a	Check	11/16/2017	12/14/2017	121417-FM1	500 00	100 00
4	Hilal	Michael A		94109 San Francisco	Director of Monetization	Hipmunk	Check	11/15/2017	12/14/2017	121417-FM1	150 00	100 00
5	Koch	Stephen R		94123 San Francisco	Real Estate	Stephen Koch	Check	11/22/2017	12/14/2017	121417-FM1	250 00	100 00
6	Livingston	Dan T		94108 San Francisco	Engineer	Tesla	Check	11/09/2017	12/14/2017	121417-FM1	150 00	100 00
7	Broockman	David		94113 San Francisco	Professor	Stanford	Check	07/22/2017	07/26/2017	DE072617-DC1	500 00	100 00
8	Buss	Steven		94110 San Francisco	Software Engineer	Google, Inc	Check	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
9	Cole	Travis		94103 San Francisco	Not Employed	n/a	Check	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
10	Engardio	Joel		94132 San Francisco	Marketing	Firebrand Communications	Check	07/23/2017	07/26/2017	DE072617-DC1	100 00	100 00
11	Fingal-Surma	Laura		94114 San Francisco	Designer	Urbn Adventures	Check	07/17/2017	07/26/2017	DE072617-DC1	500 00	100 00
12	Kwik	Huey		94117 San Francisco	Not Employed	n/a	Check	07/23/2017	08/02/2017	DE080217-DC1	500 00	100 00
13	Carneiro	Nick		94118 San Francisco	Software Engineer	Nick Carneiro	Check	08/02/2017	08/09/2017	DE080917-DC1	200 00	100 00
14	Melli-Huber	Francisco		94131 San Francisco	Engineering Manager	Apple, Inc	Check	08/11/2017	08/16/2017	DE081617-DC1	100 00	100 00
15	Kennedy	Patrick		94103 San Francisco	Real Estate	Panoramic	Check	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
Subtotals											5,450 00	1,500 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
16	Matei	Robert		94110 San Francisco	Product Manager	Quora	Check	08/19/2017	08/23/2017	DE082317-DC1	100 00	100 00
17	Matlof-Kennedy	Julie		94123 San Francisco	Teacher	Stanford Law School	Check	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
18	English	Mark		94133 San Francisco	Architect	Mark English	Check	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
19	Friedman	Jared		94117 San Francisco	Partner	Y Combinator	Check	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
20	Ghaffary	Michael		94114 San Francisco	Venture Capital Investor	Social Capital	Check	08/22/2017	08/30/2017	DE083017-DC2	150 00	100 00
21	Kennedy	Alex		94123 San Francisco	Director	Silicon Valley Urban Debate League	Check	08/22/2017	08/30/2017	DE083017-DC2	500 00	100 00
22	Lowe	Travis Luther		94103 San Francisco	Vice President of Public Policy	Yelp	Check	08/21/2017	08/30/2017	DE083017-DC2	500 00	100 00
23	Agid	Bruce		94158 San Francisco	Managing Director of Business Development	Energy Experts International	Check	08/30/2017	09/06/2017	DE090617-DC1	250 00	100 00
24	Caufield	Frank		94111 San Francisco	Retired	n/a	Check	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
25	Conway	Christopher		94123 San Francisco	Venture Capital	SV Angel	Check	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
26	Conway	Danny		94123 San Francisco	Producer	Danny Conway	Check	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
27	Kinsfather	David		94131 San Francisco	Software Developer	Amazon	Check	09/03/2017	09/06/2017	DE090617-DC1	100 00	100 00
28	Cantwell	Robert		94110 San Francisco	Chief Financial Officer	Everlane	Check	09/07/2017	09/13/2017	DE091317-DC2	100 00	100 00
29	David	Todd		94114 San Francisco	Executive Director	San Francisco Housing Action Coalition	Check	09/05/2017	09/13/2017	DE091317-DC2	200 00	100 00
30	Dishotsky	Jonathan		94115 San Francisco	Chief Executive Officer	Starcity	Check	09/08/2017	09/13/2017	DE091317-DC2	500 00	100 00
31	Friedman	Nat		94123 San Francisco	Vice President	Microsoft	Check	09/05/2017	09/13/2017	DE091317-DC2	500 00	100 00
32	Condon	Kelly		94127 San Francisco	Residential Design	Kelly Condon	Check	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
33	Diab	Omar		94110 San Francisco	Not Employed	n/a	Check	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
34	Goldman	Erik		94102 San Francisco	Software Engineer	Vanta, LLC	Check	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
35	Goldstein	Adam		94114 San Francisco	Co-Founder/Chief Executive Officer	Hipmunk	Check	09/19/2017	09/27/2017	DE092717-BH2	500 00	100 00
36	Heinritz	Max		94102 San Francisco	Softwaren Engineer	Flexport	Check	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
										Subtotals	7,600 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
37	Mellin	Joe		94118 San Francisco	Product Management	Microsoft	Check	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
38	Ballinger	Keith		94103 San Francisco	Director of Project Management	Microsoft	Check	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
39	Bond	Amy		94103 San Francisco	Chief Executive Officer/Founder	San Francisco Pole & Dance	Check	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
40	Harper	Jackson		94116 San Francisco	Software Engineer	Juvo Mobile	Check	09/29/2017	10/04/2017	DE100417-BH3	500 00	100 00
41	Janicki	Ian		94114 San Francisco	Product Manager	Katerra	Check	09/29/2017	10/04/2017	DE100417-BH3	150 00	100 00
42	Kremer	Michael		94110 San Francisco	Associate	Cypress Creek Renewables	Check	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
43	La	Jimmy		94116 San Francisco	Project Lead	TIBCO Software	Check	09/28/2017	10/04/2017	DE100417-BH3	100 00	100 00
44	Liang	Mark		94105 San Francisco	Attorney	O'Melveny & Myers LLP	Check	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
45	Martin	Regan		94131 San Francisco	Architect	WRNS Studio	Check	09/25/2017	10/04/2017	DE100417-BH3	100 00	100 00
46	Berkman	Jacob		94117 San Francisco	Software Developer	Weebly Inc	Check	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
47	Gil	Elad		94114 San Francisco	Genetics	Color	Check	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
48	Goff	Michael		94134 San Francisco	Energy Analyst	The Breakthrough Institute	Check	10/07/2017	10/11/2017	DE101117-DC1	100 00	100 00
49	Mah	Jessica		94104 San Francisco	Chief Executive Officer	nDinero	Check	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
50	McDonnell	John		94102 San Francisco	Data Scientist	Stitch Fix	Check	10/01/2017	10/11/2017	DE101117-DC1	500 00	100 00
51	Camp	Daniel		94114 San Francisco	Software Engineer	Salesforce	Check	10/15/2017	10/18/2017	DE101817-VG1	500 00	100 00
52	Hirschfeld	Thomas		94110 San Francisco	Software Engineer	Uber Technologies	Check	10/15/2017	10/18/2017	DE101817-VG1	200 00	100 00
53	Lehman	Karl		94122 San Francisco	Content Services Association	Axioma	Check	10/13/2017	10/18/2017	DE101817-VG1	100 00	100 00
54	Marks	Benjamin		94115 San Francisco	Software Engineer	Google, Inc	Check	10/15/2017	10/18/2017	DE101817-VG1	100 00	100 00
55	Bosmeny	Tyler		94103 San Francisco	Founder	Clever	Check	10/20/2017	10/25/2017	DE102517-TG1	200 00	100 00
56	Glaser	Stuart		94110 San Francisco	Roboticist	General Motors	Check	10/15/2017	10/25/2017	DE102517-TG1	100 00	100 00
57	Levin	Philip		94102 San Francisco	Technology	Philip Levin	Check	10/20/2017	10/25/2017	DE102517-TG1	250 00	100 00
										Subtotals	5,700 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
58	Lumpkin	JD		94105 San Francisco	Managing Principal	Cushman & Wakefield	Check	10/17/2017	10/25/2017	DE102517-TG1	500 00	100 00
59	Blume	Michael		94112 San Francisco	Programmer	LendUp	Check	10/25/2017	11/01/2017	DE110117-DC1	100 00	100 00
60	Costa-Roberts	Justin		94117 San Francisco	Software Engineer	Arista Networks	Check	10/28/2017	11/01/2017	DE110117-DC1	100 00	100 00
61	DiCato	David		94158 San Francisco	Senior Software Engineer	LinkedIn	Check	10/27/2017	11/01/2017	DE110117-DC1	500 00	100 00
62	Hupp	Adam		94114 San Francisco	Engineer	Facebook	Check	10/25/2017	11/01/2017	DE110117-DC1	250 00	100 00
63	Lee	Rebecca		94133 San Francisco	Project Manager	Southern California Edison	Check	10/23/2017	11/01/2017	DE110117-DC1	100 00	100 00
64	Baron	Lee David		94110 San Francisco	Software Engineer	Mozilla	Check	11/02/2017	11/08/2017	DE110817-RC1	200 00	100 00
65	Elliston	Amos		94114 San Francisco	Chief Technology Officer	Flexport	Check	10/31/2017	11/08/2017	DE110817-RC1	500 00	100 00
66	Greze	Jean-Denis		94103 San Francisco	Vice President of Engineering	Plaid Incorporation	Check	11/05/2017	11/08/2017	DE110817-RC1	100 00	100 00
67	Hall	Phillip		94103 San Francisco	Finance	Flexport	Check	10/30/2017	11/08/2017	DE110817-RC1	250 00	100 00
68	Magdovitz	Joseph		94117 San Francisco	Not Employed	n/a	Check	11/01/2017	11/08/2017	DE110817-RC1	100 00	100 00
69	Kimerling	Daniel		94110 San Francisco	Venture Capital	Deciens Capital	Check	11/09/2017	11/15/2017	DE111517-SC1	500 00	100 00
70	Korver	Brian		94117 San Francisco	Software Engineer	Apple, Inc	Check	11/11/2017	11/15/2017	DE111517-SC1	500 00	100 00
71	Driscoll	James		94117 San Francisco	Privacy Program Manager	Google, Inc	Check	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
72	Espinoza	Kalah		94123 San Francisco	Vice President	Colliers International	Check	11/15/2017	11/22/2017	DE112217-SC2	100 00	100 00
73	Hawken	Brittan		94111 San Francisco	Broker	Jones Lang LaSalle, Inc	Check	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
74	Kaluza	Richard		94123 San Francisco	Realtor	Compass Real Estate	Check	11/14/2017	11/22/2017	DE112217-SC2	100 00	100 00
75	Koomen	Peter		94109 San Francisco	Cofounder	Optimizely	Check	11/13/2017	11/22/2017	DE112217-SC2	250 00	100 00
76	Mayo	Brandi		94109 San Francisco	Real Estate	Brandi Mayo	Check	11/15/2017	11/22/2017	DE112217-SC2	500 00	100 00
77	Ducker	Michael		94115 San Francisco	Engineer	Pinch	Check	12/02/2017	12/06/2017	DE120617-FM2	200 00	100 00
78	Alsdorf	Paul		94131 San Francisco	Attorney	McKesson	Check	12/23/2017	12/27/2017	DE122717-CT2	100 00	100 00
										Subtotals	5,150 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
79	Altman	Sam		94110 San Francisco	President	Y Combinator	Check	12/21/2017	12/27/2017	DE122717-CT2	500 00	100 00
80	Brezina	Matthew		94114 San Francisco	Investor	Matthew Brezina	Check	12/22/2017	12/27/2017	DE122717-CT2	250 00	100 00
81	Catlin	Micah		94110 San Francisco	Software Engineer	Looker	Check	12/18/2017	12/27/2017	DE122717-CT2	500 00	100 00
82	Chen	Michael		94109 San Francisco	Data Engineer	Facebook	Check	12/22/2017	12/27/2017	DE122717-CT2	175 00	100 00
83	Donham	Jake		94122 San Francisco	Computer Programmer	Twitter, Inc	Check	12/19/2017	12/27/2017	DE122717-CT2	500 00	100 00
84	Evans	David		94131 San Francisco	Director	Pacific Biosciences	Check	12/18/2017	12/27/2017	DE122717-CT2	100 00	100 00
85	Federman	Dan		94117 San Francisco	Software Engineer	Airbnb	Check	12/19/2017	12/27/2017	DE122717-CT2	200 00	100 00
86	Goldman	John		94103 San Francisco	Architect	Goldman Architects	Check	12/22/2017	12/27/2017	DE122717-CT2	500 00	100 00
87	Grover	Daniel		94109 San Francisco	Product Manager	Facebook	Check	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
88	Hodges	Jeff		94103 San Francisco	Software Engineer	Jeff Hodges	Check	12/18/2017	12/27/2017	DE122717-CT2	250 00	100 00
89	Krall	Evan		94110 San Francisco	Site Reliability Engineer	Yelp	Check	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
90	Krisiloff	Matthew		94110 San Francisco	Director	YC Research	Check	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
91	Little	Cody		94107 San Francisco	Strategy Manager	Juul Labs	Check	12/24/2017	12/27/2017	DE122717-CT2	100 00	100 00
92	Lockwood	Michael		94112 San Francisco	Analysts	Provident Funding	Check	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
93	Clark	Alan		94114 San Francisco	Researcher	Facebook	Check	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
94	Clark	Laura		94114 San Francisco	Executive Director	YIMBY Action	Check	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
95	Conkling	Will		94110 San Francisco	Business Development	Google	Check	12/28/2017	12/31/2017	DE123117-HS1	100 00	100 00
96	Donde	Yehuda		94117 San Francisco	Attorney	Morrison & Foerster	Check	12/25/2017	12/31/2017	DE123117-HS1	100 00	100 00
97	Freedman	Kevin		94123 San Francisco	Founder	NextPhase Partners	Check	12/28/2017	12/31/2017	DE123117-HS1	250 00	100 00
98	Fruchtman	Robert		94117 San Francisco	Software Engineer	Reddit	Check	12/26/2017	12/31/2017	DE123117-HS1	200 00	100 00
99	Hazel	Steven		94117 San Francisco	Technologist	Sauce Labs Inc	Check	12/25/2017	12/31/2017	DE123117-HS1	200 00	100 00
										Subtotals	5,325 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
100	Leventhal	Cliff		94107 San Francisco	Retired	n/a	Check	12/28/2017	12/31/2017	DE123117-HS1	150 00	100 00
										Subtotals	150 00	100 00
										Grand Totals	29,375 00	10,000 00

San Francisco Ethics Commission
 25 Van Ness, Suite 220
 San Francisco, CA 94102
 Phone: (415) 252-3100
 Fax: (415) 252-3112
 Email: ethics.commission@sfgov.org
 Web: www.sfethics.org



For SFEC use

E-Filed
 02/13/2018
 09:43:58
 Filing ID:
 168703367

QUALIFYING REQUEST:
DECLARATION FOR PUBLIC FUNDS BY CANDIDATES FOR
THE OFFICE OF MAYOR OR THE BOARD OF SUPERVISORS
 (S.F. Campaign and Governmental Conduct Code § 1.142(b))

Note: Each candidate who seeks public funds must complete and return this form to the Ethics Commission. The candidate may do so starting nine (9) months before the date of the election, but no later than the 70th day before the election. To be eligible, each candidate must have executed and filed at the Ethics Commission a Statement of Participation or Non-Participation indicating an intent to participate in the public financing program. In addition, each candidate must comply with the requirements set forth in S.F. Campaign and Governmental Conduct Code section 1.140.

PART I. FILER INFORMATION		
Name of Candidate Sonja Trauss	Office (include District No.) 6	Date of Election 11/06/2018
Name of Campaign Committee Sonja Trauss for Supervisor 2018	FPPC ID No. 1397772	Candidate Email Trauss2018@deaneandcompany.com
Candidate Mailing Address San Francisco CA 94103	Candidate Telephone (916) 285-5733	
Name of Treasurer Shawnda Deane	Treasurer Email Trauss2018@deaneandcompany.com	
Treasurer Mailing Address Sacramento CA 95815	Treasurer Telephone (916) 285-5733	

PART II. AUTHORIZATION
<p><i>Please list names of persons authorized to pick-up payments on behalf of the campaign committee. Payments made by check to the committee must be picked up by the candidate or candidate's authorized representative at the Ethics Commission office during regular business hours (Mon.-Fri., 8 a.m. - 5 p.m.). At the time of picking up payment checks, the candidate/candidate's authorized representative must present identification and sign a form acknowledging receipt of payment.</i></p> <p>1. <u>Sonja Trauss</u> 2. <u>Shawnda Deane</u></p>

PART III. CANDIDATE'S DECLARATION

I, Sonja Trauss hereby declare that the following information is true and correct:
(Name of candidate)

All Candidates

- I am seeking election to the Board of Supervisors, and I am eligible to hold the office if elected.
(Office of Mayor or Board of Supervisors)
- I agree to comply with all conditions of and requirements for the receipt and use of public funds as set forth in section 1.140 of the Campaign Finance Reform Ordinance (S.F. Campaign and Governmental Conduct Code § 1.100 et. seq., or CFRO) and any regulations adopted thereunder, and agree to submit to any reasonable audits or reviews of my committee deemed appropriate by the San Francisco Ethics Commission.
- I have filed the Statement of Participation or Non-Participation, on which I indicated my intent to participate in the public financing program.
- I will not make any payments to a contractor or vendor in return for the contractor or vendor making a campaign contribution to my campaign or make more than a total of 50 payments, other than the return of a contribution, to contractors or vendors that have made contributions to my campaign.
- I will not accept any loans from anyone other than myself and I will not loan or donate, in total, more than \$5,000 of my own funds to my campaign.
- I agree to participate in at least three debates with my opponents.
- I have paid any and all known outstanding fines owed to the City by me and any of my campaign committees.
- I have filed any and all outstanding statements, reports, or forms owed to the City by me or any of my campaign committees.
- There is no finding by a court within the past five years that I knowingly, willfully, or intentionally violated the CFRO, any provisions in the S.F. Campaign and Governmental Conduct Code, or the campaign finance provisions of the Political Reform Act.
- I understand that after the 70th day prior to the date of the election, I cannot withdraw this Declaration for Public Funds. I agree to comply with the requirements of the CFRO even if I decide not to accept public funds or even if I decide to repay public funds received from the Election Campaign Fund.
- I understand that as candidate, I bear the burden of proving that each contribution that I rely upon to establish eligibility is a qualifying contribution and that expenditures made with public funds are for qualified campaign expenditures only.

Candidates for Office of Mayor

- I have received \$50,000 in qualifying contributions from at least 500 residents of San Francisco (Non-Incumbent) or I have received \$75,000 in qualifying contributions from at least 750 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$50,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$1,475,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

Candidates for Board of Supervisors

- I have received \$10,000 in qualifying contributions from at least 100 residents of San Francisco (Non-Incumbent) or I have received \$15,000 in qualifying contributions from at least 150 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document.
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$10,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$250,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

I declare under penalty of perjury that I have read and understand the requirements of the public financing program as set out in the CFRO. I also declare under penalty of perjury that to the best of my knowledge, information and belief, the information I provide in this form and all supporting documentation is true and correct.

Sonja Trauss

Candidate's Signature

02/12/2018

Date

¹ A "qualifying contribution" for the is a contribution of not less than \$10 and not more than \$100 that is made by an individual who is a resident of San Francisco and that complies with all requirements of the Ordinance Qualifying contributions do not include loans, contributions that are received more than 18 months before the date of the election or contributions made by the candidate or the candidate's immediate family S F Campaign and Governmental Conduct Code § 1 104(w)

QUALIFYING CONTRIBUTIONS – SUPPORTING DOCUMENTATION

Each candidate must submit the following documentation in order to be considered for public funds:

- **Qualifying Contributions List** (see below).
- Evidence of each contribution received, such as electronic copies of contributor checks, contributor cards and credit card authorizations. (These documents must be arranged in batches according to deposit batch number. The committee's record-keeper should assign a batch number to each deposit.)
- Electronic copies of itemized deposit slips, deposit receipts and evidence of S.F. residency.

Any claim form that is not accompanied by these documents will not be considered for payment.

QUALIFYING CONTRIBUTIONS LIST

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
1	Fingal-Surma	Daniel B		94114 San Francisco	Software Engineer	Google, Inc	Check	07/22/2017	08/07/2017	080717-TK1	500 00	100 00
2	Huey	Kyle Scott		94117 San Francisco	Co-Founder	Pernosco	Check	07/20/2017	08/07/2017	080717-TK1	500 00	100 00
3	Del Valle	Danielle M		94127 San Francisco	Student	n/a	Check	11/16/2017	12/14/2017	121417-FM1	500 00	100 00
4	Hilal	Michael A		94109 San Francisco	Director of Monetization	Hipmunk	Check	11/15/2017	12/14/2017	121417-FM1	150 00	100 00
5	Koch	Stephen R		94123 San Francisco	Real Estate	Stephen Koch	Check	11/22/2017	12/14/2017	121417-FM1	250 00	100 00
6	Livingston	Dan T		94108 San Francisco	Engineer	Tesla	Check	11/09/2017	12/14/2017	121417-FM1	150 00	100 00
7	Broockman	David		94113 San Francisco	Professor	Stanford	Electronic	07/22/2017	07/26/2017	DE072617-DC1	500 00	100 00
8	Buss	Steven		94110 San Francisco	Software Engineer	Google, Inc	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
9	Cole	Travis		94103 San Francisco	Not Employed	n/a	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
10	Engardio	Joel		94132 San Francisco	Marketing	Firebrand Communications	Electronic	07/23/2017	07/26/2017	DE072617-DC1	100 00	100 00
11	Fingal-Surma	Laura		94114 San Francisco	Designer	Urbn Adventures	Electronic	07/17/2017	07/26/2017	DE072617-DC1	500 00	100 00
12	Kwik	Huey		94117 San Francisco	Not Employed	n/a	Electronic	07/23/2017	08/02/2017	DE080217-DC1	500 00	100 00
13	Carneiro	Nick		94118 San Francisco	Software Engineer	Nick Carneiro	Electronic	08/02/2017	08/09/2017	DE080917-DC1	200 00	100 00
14	Melli-Huber	Francisco		94131 San Francisco	Engineering Manager	Apple, Inc	Electronic	08/11/2017	08/16/2017	DE081617-DC1	100 00	100 00
15	Kennedy	Patrick		94103 San Francisco	Real Estate	Panoramic	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
Subtotals											5,450 00	1,500 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
16	Matei	Robert		94110 San Francisco	Product Manager	Quora	Electronic	08/19/2017	08/23/2017	DE082317-DC1	100 00	100 00
17	Matlof-Kennedy	Julie		94123 San Francisco	Teacher	Stanford Law School	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
18	English	Mark		94133 San Francisco	Architect	Mark English	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
19	Friedman	Jared		94117 San Francisco	Partner	Y Combinator	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
20	Ghaffary	Michael		94114 San Francisco	Venture Capital Investor	Social Capital	Electronic	08/22/2017	08/30/2017	DE083017-DC2	150 00	100 00
21	Kennedy	Alex		94123 San Francisco	Director	Silicon Valley Urban Debate League	Electronic	08/22/2017	08/30/2017	DE083017-DC2	500 00	100 00
22	Lowe	Travis Luther		94103 San Francisco	Vice President of Public Policy	Yelp	Electronic	08/21/2017	08/30/2017	DE083017-DC2	500 00	100 00
23	Agid	Bruce		94158 San Francisco	Managing Director of Business Development	Energy Experts International	Electronic	08/30/2017	09/06/2017	DE090617-DC1	250 00	100 00
24	Caufield	Frank		94111 San Francisco	Retired	n/a	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
25	Conway	Christopher		94123 San Francisco	Venture Capital	SV Angel	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
26	Conway	Danny		94123 San Francisco	Producer	Danny Conway	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
27	Kinsfather	David		94131 San Francisco	Software Developer	Amazon	Electronic	09/03/2017	09/06/2017	DE090617-DC1	100 00	100 00
28	Cantwell	Robert		94110 San Francisco	Chief Financial Officer	Everlane	Electronic	09/07/2017	09/13/2017	DE091317-DC2	100 00	100 00
29	David	Todd		94114 San Francisco	Executive Director	San Francisco Housing Action Coalition	Electronic	09/05/2017	09/13/2017	DE091317-DC2	200 00	100 00
30	Dishotsky	Jonathan		94115 San Francisco	Chief Executive Officer	Starcity	Electronic	09/08/2017	09/13/2017	DE091317-DC2	500 00	100 00
31	Friedman	Nat		94123 San Francisco	Vice President	Microsoft	Electronic	09/05/2017	09/13/2017	DE091317-DC2	500 00	100 00
32	Condon	Kelly		94127 San Francisco	Residential Design	Kelly Condon	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
33	Diab	Omar		94110 San Francisco	Not Employed	n/a	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
34	Goldman	Erik		94102 San Francisco	Software Engineer	Vanta, LLC	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
35	Goldstein	Adam		94114 San Francisco	Co-Founder/Chief Executive Officer	Hipmunk	Electronic	09/19/2017	09/27/2017	DE092717-BH2	500 00	100 00
36	Heinritz	Max		94102 San Francisco	Software Engineer	Flexport	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
										Subtotals	7,600 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
37	Mellin	Joe		94118 San Francisco	Product Management	Microsoft	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
38	Ballinger	Keith		94103 San Francisco	Director of Project Management	Microsoft	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
39	Bond	Amy		94103 San Francisco	Chief Executive Officer/Founder	San Francisco Pole & Dance	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
40	Harper	Jackson		94116 San Francisco	Software Engineer	Juvo Mobile	Electronic	09/29/2017	10/04/2017	DE100417-BH3	500 00	100 00
41	Janicki	Ian		94114 San Francisco	Product Manager	Katerra	Electronic	09/29/2017	10/04/2017	DE100417-BH3	150 00	100 00
42	Kremer	Michael		94110 San Francisco	Associate	Cypress Creek Renewables	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
43	La	Jimmy		94116 San Francisco	Project Lead	FIBCO Software	Electronic	09/28/2017	10/04/2017	DE100417-BH3	100 00	100 00
44	Liang	Mark		94105 San Francisco	Attorney	O'Melveny & Myers LLP	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
45	Martin	Regan		94131 San Francisco	Architect	WRNS Studio	Electronic	09/25/2017	10/04/2017	DE100417-BH3	100 00	100 00
46	Berkman	Jacob		94117 San Francisco	Software Developer	Weebly Inc	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
47	Gil	Elad		94114 San Francisco	Genetics	Color	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
48	Goff	Michael		94134 San Francisco	Energy Analyst	The Breakthrough Institute	Electronic	10/07/2017	10/11/2017	DE101117-DC1	100 00	100 00
49	Mah	Jessica		94104 San Francisco	Chief Executive Officer	nDinero	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
50	McDonnell	John		94102 San Francisco	Data Scientist	Stitch Fix	Electronic	10/01/2017	10/11/2017	DE101117-DC1	500 00	100 00
51	Camp	Daniel		94114 San Francisco	Software Engineer	Salesforce	Electronic	10/15/2017	10/18/2017	DE101817-VG1	500 00	100 00
52	Hirschfeld	Thomas		94110 San Francisco	Software Engineer	Uber Technologies	Electronic	10/15/2017	10/18/2017	DE101817-VG1	200 00	100 00
53	Lehman	Karl		94122 San Francisco	Content Services Association	Axioma	Electronic	10/13/2017	10/18/2017	DE101817-VG1	100 00	100 00
54	Marks	Benjamin		94115 San Francisco	Software Engineer	Google, Inc	Electronic	10/15/2017	10/18/2017	DE101817-VG1	100 00	100 00
55	Bosmeny	Tyler		94103 San Francisco	Founder	Clever	Electronic	10/20/2017	10/25/2017	DE102517-TG1	200 00	100 00
56	Glaser	Stuart		94110 San Francisco	Roboticist	General Motors	Electronic	10/15/2017	10/25/2017	DE102517-TG1	100 00	100 00
57	Levin	Philip		94102 San Francisco	Technology	Philip Levin	Electronic	10/20/2017	10/25/2017	DE102517-TG1	250 00	100 00
										Subtotals	5,700 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
58	Lumpkin	JD		94105 San Francisco	Managing Principal	Cushman & Wakefield	Electronic	10/17/2017	10/25/2017	DE102517-TG1	500 00	100 00
59	Blume	Michael		94112 San Francisco	Programmer	LendUp	Electronic	10/25/2017	11/01/2017	DE110117-DC1	100 00	100 00
60	Costa-Roberts	Justin		94117 San Francisco	Software Engineer	Arista Networks	Electronic	10/28/2017	11/01/2017	DE110117-DC1	100 00	100 00
61	DiCato	David		94158 San Francisco	Senior Software Engineer	LinkedIn	Electronic	10/27/2017	11/01/2017	DE110117-DC1	500 00	100 00
62	Hupp	Adam		94114 San Francisco	Engineer	Facebook	Electronic	10/25/2017	11/01/2017	DE110117-DC1	250 00	100 00
63	Lee	Rebecca		94133 San Francisco	Project Manager	Southern California Edison	Electronic	10/23/2017	11/01/2017	DE110117-DC1	100 00	100 00
64	Baron	Lee David		94110 San Francisco	Software Engineer	Mozilla	Electronic	11/02/2017	11/08/2017	DE110817-RC1	200 00	100 00
65	Elliston	Amos		94114 San Francisco	Chief Technology Officer	Flexport	Electronic	10/31/2017	11/08/2017	DE110817-RC1	500 00	100 00
66	Greze	Jean-Denis		94103 San Francisco	Vice President of Engineering	Plaid Incorporation	Electronic	11/05/2017	11/08/2017	DE110817-RC1	100 00	100 00
67	Hall	Phillip		94103 San Francisco	Finance	Flexport	Electronic	10/30/2017	11/08/2017	DE110817-RC1	250 00	100 00
68	Magdovitz	Joseph		94117 San Francisco	Not Employed	n/a	Electronic	11/01/2017	11/08/2017	DE110817-RC1	100 00	100 00
69	Kimerling	Daniel		94110 San Francisco	Venture Capital	Deciens Capital	Electronic	11/09/2017	11/15/2017	DE111517-SC1	500 00	100 00
70	Korver	Brian		94117 San Francisco	Software Engineer	Apple, Inc	Electronic	11/11/2017	11/15/2017	DE111517-SC1	500 00	100 00
71	Driscoll	James		94117 San Francisco	Privacy Program Manager	Google, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
72	Espinoza	Kalah		94123 San Francisco	Vice President	Colliers International	Electronic	11/15/2017	11/22/2017	DE112217-SC2	100 00	100 00
73	Hawken	Brittan		94111 San Francisco	Broker	Jones Lang LaSalle, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
74	Kaluza	Richard		94123 San Francisco	Realtor	Compass Real Estate	Electronic	11/14/2017	11/22/2017	DE112217-SC2	100 00	100 00
75	Koomen	Peter		94109 San Francisco	Cofounder	Optimizely	Electronic	11/13/2017	11/22/2017	DE112217-SC2	250 00	100 00
76	Mayo	Brandi		94109 San Francisco	Real Estate	Brandi Mayo	Electronic	11/15/2017	11/22/2017	DE112217-SC2	500 00	100 00
77	Ducker	Michael		94115 San Francisco	Engineer	Pinch	Electronic	12/02/2017	12/06/2017	DE120617-FM2	200 00	100 00
78	Alsdorf	Paul		94131 San Francisco	Attorney	McKesson	Electronic	12/23/2017	12/27/2017	DE122717-CT2	100 00	100 00
										Subtotals	5,150 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
79	Altman	Sam		94110 San Francisco	President	Y Combinator	Electronic	12/21/2017	12/27/2017	DE122717-CT2	500 00	100 00
80	Brezina	Matthew		94114 San Francisco	Investor	Matthew Brezina	Electronic	12/22/2017	12/27/2017	DE122717-CT2	250 00	100 00
81	Catlin	Micah		94110 San Francisco	Software Engineer	Looker	Electronic	12/18/2017	12/27/2017	DE122717-CT2	500 00	100 00
82	Chen	Michael		94109 San Francisco	Data Engineer	Facebook	Electronic	12/22/2017	12/27/2017	DE122717-CT2	175 00	100 00
83	Donham	Jake		94122 San Francisco	Computer Programmer	Twitter, Inc	Electronic	12/19/2017	12/27/2017	DE122717-CT2	500 00	100 00
84	Evans	David		94131 San Francisco	Director	Pacific Biosciences	Electronic	12/18/2017	12/27/2017	DE122717-CT2	100 00	100 00
85	Federman	Dan		94117 San Francisco	Software Engineer	Airbnb	Electronic	12/19/2017	12/27/2017	DE122717-CT2	200 00	100 00
86	Goldman	John		94103 San Francisco	Architect	Goldman Architects	Electronic	12/22/2017	12/27/2017	DE122717-CT2	500 00	100 00
87	Grover	Daniel		94109 San Francisco	Product Manager	Facebook	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
88	Hodges	Jeff		94103 San Francisco	Software Engineer	Jeff Hodges	Electronic	12/18/2017	12/27/2017	DE122717-CT2	250 00	100 00
89	Krall	Evan		94110 San Francisco	Site Reliability Engineer	Yelp	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
90	Krisiloff	Matthew		94110 San Francisco	Director	YC Research	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
91	Little	Cody		94107 San Francisco	Strategy Manager	Juul Labs	Electronic	12/24/2017	12/27/2017	DE122717-CT2	100 00	100 00
92	Lockwood	Michael		94112 San Francisco	Analysts	Provident Funding	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
93	Clark	Alan		94114 San Francisco	Researcher	Facebook	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
94	Clark	Laura		94114 San Francisco	Executive Director	YIMBY Action	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
95	Conkling	Will		94110 San Francisco	Business Development	Google	Electronic	12/28/2017	12/31/2017	DE123117-HS1	100 00	100 00
96	Donde	Yehuda		94117 San Francisco	Attorney	Morrison & Foerster	Electronic	12/25/2017	12/31/2017	DE123117-HS1	100 00	100 00
97	Freedman	Kevin		94123 San Francisco	Founder	NextPhase Partners	Electronic	12/28/2017	12/31/2017	DE123117-HS1	250 00	100 00
98	Fruchtman	Robert		94117 San Francisco	Software Engineer	Reddit	Electronic	12/26/2017	12/31/2017	DE123117-HS1	200 00	100 00
99	Hazel	Steven		94117 San Francisco	Technologist	Sauce Labs Inc	Electronic	12/25/2017	12/31/2017	DE123117-HS1	200 00	100 00
										Subtotals	5,325 00	2,100 00

100	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified	
	Leventhal	Cliff		94107 San Francisco	Retired	n/a	Electronic	12/28/2017	12/31/2017	DE123117-HS1	150 00	100 00	
											Subtotals	150 00	100 00
											Grand Totals	29,375 00	10,000 00

San Francisco Ethics Commission
25 Van Ness, Suite 220
San Francisco, CA 94102
Phone: (415) 252-3100
Fax: (415) 252-3112
Email: ethics.commission@sfgov.org
Web: www.sfethics.org



For SFEC use

E-Filed
02/27/2018
17:53:27

Filing ID:
169139211

QUALIFYING REQUEST:
DECLARATION FOR PUBLIC FUNDS BY CANDIDATES FOR
THE OFFICE OF MAYOR OR THE BOARD OF SUPERVISORS
(S.F. Campaign and Governmental Conduct Code § 1.142(b))

Note: Each candidate who seeks public funds must complete and return this form to the Ethics Commission. The candidate may do so starting nine (9) months before the date of the election, but no later than the 70th day before the election. To be eligible, each candidate must have executed and filed at the Ethics Commission a Statement of Participation or Non-Participation indicating an intent to participate in the public financing program. In addition, each candidate must comply with the requirements set forth in S.F. Campaign and Governmental Conduct Code section 1.140.

PART I. FILER INFORMATION		
Name of Candidate Sonja Trauss	Office (include District No.) 6	Date of Election 11/06/2018
Name of Campaign Committee Sonja Trauss for Supervisor 2018	FPPC ID No. 1397772	Candidate Email Trauss2018@deaneandcompany.com
Candidate Mailing Address San Francisco CA 94103	Candidate Telephone (916) 285-5733	
Name of Treasurer Shawnda Deane	Treasurer Email Trauss2018@deaneandcompany.com	
Treasurer Mailing Address Sacramento CA 95815	Treasurer Telephone (916) 285-5733	

PART II. AUTHORIZATION	
<i>Please list names of persons authorized to pick-up payments on behalf of the campaign committee. Payments made by check to the committee must be picked up by the candidate or candidate's authorized representative at the Ethics Commission office during regular business hours (Mon.-Fri., 8 a.m. - 5 p.m.). At the time of picking up payment checks, the candidate/candidate's authorized representative must present identification and sign a form acknowledging receipt of payment.</i>	
1. <u>Sonja Trauss</u>	2. <u>Shawnda Deane</u>

PART III. CANDIDATE'S DECLARATION

I, Sonja Trauss hereby declare that the following information is true and correct:
(Name of candidate)

All Candidates

- I am seeking election to the Board of Supervisors, and I am eligible to hold the office if elected.
(Office of Mayor or Board of Supervisors)
- I agree to comply with all conditions of and requirements for the receipt and use of public funds as set forth in section 1.140 of the Campaign Finance Reform Ordinance (S.F. Campaign and Governmental Conduct Code § 1.100 et. seq., or CFRO) and any regulations adopted thereunder, and agree to submit to any reasonable audits or reviews of my committee deemed appropriate by the San Francisco Ethics Commission.
- I have filed the Statement of Participation or Non-Participation, on which I indicated my intent to participate in the public financing program.
- I will not make any payments to a contractor or vendor in return for the contractor or vendor making a campaign contribution to my campaign or make more than a total of 50 payments, other than the return of a contribution, to contractors or vendors that have made contributions to my campaign.
- I will not accept any loans from anyone other than myself and I will not loan or donate, in total, more than \$5,000 of my own funds to my campaign.
- I agree to participate in at least three debates with my opponents.
- I have paid any and all known outstanding fines owed to the City by me and any of my campaign committees.
- I have filed any and all outstanding statements, reports, or forms owed to the City by me or any of my campaign committees.
- There is no finding by a court within the past five years that I knowingly, willfully, or intentionally violated the CFRO, any provisions in the S.F. Campaign and Governmental Conduct Code, or the campaign finance provisions of the Political Reform Act.
- I understand that after the 70th day prior to the date of the election, I cannot withdraw this Declaration for Public Funds. I agree to comply with the requirements of the CFRO even if I decide not to accept public funds or even if I decide to repay public funds received from the Election Campaign Fund.
- I understand that as candidate, I bear the burden of proving that each contribution that I rely upon to establish eligibility is a qualifying contribution and that expenditures made with public funds are for qualified campaign expenditures only.

Candidates for Office of Mayor

- I have received \$50,000 in qualifying contributions from at least 500 residents of San Francisco (Non-Incumbent) or I have received \$75,000 in qualifying contributions from at least 750 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$50,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$1,475,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

Candidates for Board of Supervisors

- I have received \$10,000 in qualifying contributions from at least 100 residents of San Francisco (Non-Incumbent) or I have received \$15,000 in qualifying contributions from at least 150 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document.
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$10,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$250,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

I declare under penalty of perjury that I have read and understand the requirements of the public financing program as set out in the CFRO. I also declare under penalty of perjury that to the best of my knowledge, information and belief, the information I provide in this form and all supporting documentation is true and correct.

Sonja Trauss

02/27/2018

Candidate's Signature

Date

¹ A "qualifying contribution" for the is a contribution of not less than \$10 and not more than \$100 that is made by an individual who is a resident of San Francisco and that complies with all requirements of the Ordinance Qualifying contributions do not include loans, contributions that are received more than 18 months before the date of the election or contributions made by the candidate or the candidate's immediate family S F Campaign and Governmental Conduct Code § 1 104(w)

QUALIFYING CONTRIBUTIONS – SUPPORTING DOCUMENTATION

Each candidate must submit the following documentation in order to be considered for public funds:

- **Qualifying Contributions List** (see below).
- Evidence of each contribution received, such as electronic copies of contributor checks, contributor cards and credit card authorizations. (These documents must be arranged in batches according to deposit batch number. The committee's record-keeper should assign a batch number to each deposit.)
- Electronic copies of itemized deposit slips, deposit receipts and evidence of S.F. residency.

Any claim form that is not accompanied by these documents will not be considered for payment.

QUALIFYING CONTRIBUTIONS LIST

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
1	Fingal-Surma	Daniel B		94114 San Francisco	Software Engineer	Google, Inc	Check	07/22/2017	08/07/2017	080717-TK1	500 00	100 00
2	Huey	Kyle Scott		94117 San Francisco	Co-Founder	Pernosco	Check	07/20/2017	08/07/2017	080717-TK1	500 00	100 00
3	Del Valle	Danielle M		94127 San Francisco	Student	n/a	Check	11/16/2017	12/14/2017	121417-FM1	500 00	100 00
4	Hilal	Michael A		94109 San Francisco	Director of Monetization	Hipmunk	Check	11/15/2017	12/14/2017	121417-FM1	150 00	100 00
5	Koch	Stephen R		94123 San Francisco	Real Estate	Stephen Koch	Check	11/22/2017	12/14/2017	121417-FM1	250 00	100 00
6	Livingston	Dan T		94108 San Francisco	Engineer	Tesla	Check	11/09/2017	12/14/2017	121417-FM1	150 00	100 00
7	Broockman	David		94113 San Francisco	Professor	Stanford	Electronic	07/22/2017	07/26/2017	DE072617-DC1	500 00	100 00
8	Buss	Steven		94110 San Francisco	Software Engineer	Google, Inc	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
9	Cole	Travis		94103 San Francisco	Not Employed	n/a	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
10	Engardio	Joel		94132 San Francisco	Marketing	Firebrand Communications	Electronic	07/23/2017	07/26/2017	DE072617-DC1	100 00	100 00
11	Fingal-Surma	Laura		94114 San Francisco	Designer	Urbn Adventures	Electronic	07/17/2017	07/26/2017	DE072617-DC1	500 00	100 00
12	Kwik	Huey		94117 San Francisco	Not Employed	n/a	Electronic	07/23/2017	08/02/2017	DE080217-DC1	500 00	100 00
13	Carneiro	Nick		94118 San Francisco	Software Engineer	WizeHire	Electronic	08/02/2017	08/09/2017	DE080917-DC1	200 00	100 00
14	Melli-Huber	Francisco		94131 San Francisco	Engineering Manager	Apple, Inc	Electronic	08/11/2017	08/16/2017	DE081617-DC1	100 00	100 00
15	Kennedy	Patrick		94103 San Francisco	Real Estate	Panoramic	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
Subtotals											5,450 00	1,500 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
16	Matei	Robert		94110 San Francisco	Product Manager	Quora	Electronic	08/19/2017	08/23/2017	DE082317-DC1	100 00	100 00
17	Matlof-Kennedy	Julie		94123 San Francisco	Teacher	Stanford Law School	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
18	English	Mark		94133 San Francisco	Architect	Mark English Architects	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
19	Friedman	Jared		94117 San Francisco	Partner	Y Combinator	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
20	Ghaffary	Michael		94114 San Francisco	Venture Capital Investor	Social Capital	Electronic	08/22/2017	08/30/2017	DE083017-DC2	150 00	100 00
21	Kennedy	Alex		94123 San Francisco	Director	Silicon Valley Urban Debate League	Electronic	08/22/2017	08/30/2017	DE083017-DC2	500 00	100 00
22	Lowe	Travis Luther		94103 San Francisco	Vice President of Public Policy	Yelp	Electronic	08/21/2017	08/30/2017	DE083017-DC2	500 00	100 00
23	Agid	Bruce		94158 San Francisco	Managing Director of Business Development	Energy Experts International	Electronic	08/30/2017	09/06/2017	DE090617-DC1	250 00	100 00
24	Caufield	Frank		94111 San Francisco	Retired	n/a	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
25	Conway	Christopher		94123 San Francisco	Venture Capital	SV Angel	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
26	Conway	Danny		94123 San Francisco	Partner	Techfellows Fund	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
27	Kinsfather	David		94131 San Francisco	Software Developer	Amazon	Electronic	09/03/2017	09/06/2017	DE090617-DC1	100 00	100 00
28	Cantwell	Robert		94110 San Francisco	Chief Financial Officer	Everlane	Electronic	09/07/2017	09/13/2017	DE091317-DC2	100 00	100 00
29	David	Todd		94114 San Francisco	Executive Director	San Francisco Housing Action Coalition	Electronic	09/05/2017	09/13/2017	DE091317-DC2	200 00	100 00
30	Dishotsky	Jonathan		94115 San Francisco	Chief Executive Officer	Starcity	Electronic	09/08/2017	09/13/2017	DE091317-DC2	500 00	100 00
31	Friedman	Nat		94123 San Francisco	Vice President	Microsoft	Electronic	09/05/2017	09/13/2017	DE091317-DC2	500 00	100 00
32	Condon	Kelly		94127 San Francisco	Residential Designer	Condon Designs	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
33	Diab	Omar		94110 San Francisco	Not Employed	n/a	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
34	Goldman	Erik		94102 San Francisco	Software Engineer	Vanta, LLC	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
35	Goldstein	Adam		94114 San Francisco	Co-Founder/Chief Executive Officer	Hipmunk	Electronic	09/19/2017	09/27/2017	DE092717-BH2	500 00	100 00
36	Heinritz	Max		94102 San Francisco	Software Engineer	Flexport	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
										Subtotals	7,600 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
37	Mellin	Joe		94118 San Francisco	Product Management	Microsoft	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
38	Ballinger	Keith		94103 San Francisco	Director of Project Management	Microsoft	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
39	Bond	Amy		94103 San Francisco	Chief Executive Officer/Founder	San Francisco Pole & Dance	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
40	Harper	Jackson		94116 San Francisco	Software Engineer	Juvo Mobile	Electronic	09/29/2017	10/04/2017	DE100417-BH3	500 00	100 00
41	Janicki	Ian		94114 San Francisco	Product Manager	Katerra	Electronic	09/29/2017	10/04/2017	DE100417-BH3	150 00	100 00
42	Kremer	Michael		94110 San Francisco	Associate	Cypress Creek Renewables	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
43	La	Jimmy		94116 San Francisco	Project Lead	FIBCO Software	Electronic	09/28/2017	10/04/2017	DE100417-BH3	100 00	100 00
44	Liang	Mark		94105 San Francisco	Attorney	O'Melveny & Myers LLP	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
45	Martin	Regan		94131 San Francisco	Architect	WRNS Studio	Electronic	09/25/2017	10/04/2017	DE100417-BH3	100 00	100 00
46	Berkman	Jacob		94117 San Francisco	Software Developer	Weebly Inc	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
47	Gil	Elad		94114 San Francisco	Genetics	Color	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
48	Goff	Michael		94134 San Francisco	Energy Analyst	The Breakthrough Institute	Electronic	10/07/2017	10/11/2017	DE101117-DC1	100 00	100 00
49	Mah	Jessica		94104 San Francisco	Chief Executive Officer	nDinero	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
50	McDonnell	John		94102 San Francisco	Data Scientist	Stitch Fix	Electronic	10/01/2017	10/11/2017	DE101117-DC1	500 00	100 00
51	Camp	Daniel		94114 San Francisco	Software Engineer	Salesforce	Electronic	10/15/2017	10/18/2017	DE101817-VG1	500 00	100 00
52	Hirschfeld	Thomas		94110 San Francisco	Software Engineer	Uber Technologies	Electronic	10/15/2017	10/18/2017	DE101817-VG1	200 00	100 00
53	Lehman	Karl		94122 San Francisco	Content Services Association	Axioma	Electronic	10/13/2017	10/18/2017	DE101817-VG1	100 00	100 00
54	Marks	Benjamin		94115 San Francisco	Software Engineer	Google, Inc	Electronic	10/15/2017	10/18/2017	DE101817-VG1	100 00	100 00
55	Bosmeny	Tyler		94103 San Francisco	Founder	Clever	Electronic	10/20/2017	10/25/2017	DE102517-TG1	200 00	100 00
56	Glaser	Stuart		94110 San Francisco	Roboticist	General Motors	Electronic	10/15/2017	10/25/2017	DE102517-TG1	100 00	100 00
57	Levin	Philip		94102 San Francisco	Technology	Philip Levin	Electronic	10/20/2017	10/25/2017	DE102517-TG1	250 00	100 00
										Subtotals	5,700 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
58	Lumpkin	JD		94105 San Francisco	Managing Principal	Cushman & Wakefield	Electronic	10/17/2017	10/25/2017	DE102517-TG1	500 00	100 00
59	Blume	Michael		94112 San Francisco	Programmer	LendUp	Electronic	10/25/2017	11/01/2017	DE110117-DC1	100 00	100 00
60	Costa-Roberts	Justin		94117 San Francisco	Software Engineer	Arista Networks	Electronic	10/28/2017	11/01/2017	DE110117-DC1	100 00	100 00
61	DiCato	David		94158 San Francisco	Senior Software Engineer	LinkedIn	Electronic	10/27/2017	11/01/2017	DE110117-DC1	500 00	100 00
62	Hupp	Adam		94114 San Francisco	Engineer	Facebook	Electronic	10/25/2017	11/01/2017	DE110117-DC1	250 00	100 00
63	Lee	Rebecca		94133 San Francisco	Project Manager	Southern California Edison	Electronic	10/23/2017	11/01/2017	DE110117-DC1	100 00	100 00
64	Baron	Lee David		94110 San Francisco	Software Engineer	Mozilla	Electronic	11/02/2017	11/08/2017	DE110817-RC1	200 00	100 00
65	Elliston	Amos		94114 San Francisco	Chief Technology Officer	Flexport	Electronic	10/31/2017	11/08/2017	DE110817-RC1	500 00	100 00
66	Greze	Jean-Denis		94103 San Francisco	Vice President of Engineering	Plaid Incorporation	Electronic	11/05/2017	11/08/2017	DE110817-RC1	100 00	100 00
67	Hall	Phillip		94103 San Francisco	Finance	Flexport	Electronic	10/30/2017	11/08/2017	DE110817-RC1	250 00	100 00
68	Magdovitz	Joseph		94117 San Francisco	Not Employed	n/a	Electronic	11/01/2017	11/08/2017	DE110817-RC1	100 00	100 00
69	Kimerling	Daniel		94110 San Francisco	Venture Capital	Deciens Capital	Electronic	11/09/2017	11/15/2017	DE111517-SC1	500 00	100 00
70	Korver	Brian		94117 San Francisco	Software Engineer	Apple, Inc	Electronic	11/11/2017	11/15/2017	DE111517-SC1	500 00	100 00
71	Driscoll	James		94117 San Francisco	Privacy Program Manager	Google, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
72	Espinoza	Kalah		94123 San Francisco	Vice President	Colliers International	Electronic	11/15/2017	11/22/2017	DE112217-SC2	100 00	100 00
73	Hawken	Brittan		94111 San Francisco	Broker	Jones Lang LaSalle, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
74	Kaluza	Richard		94123 San Francisco	Realtor	Compass Real Estate	Electronic	11/14/2017	11/22/2017	DE112217-SC2	100 00	100 00
75	Koomen	Peter		94109 San Francisco	Cofounder	Optimizely	Electronic	11/13/2017	11/22/2017	DE112217-SC2	250 00	100 00
76	Mayo	Brandi		94109 San Francisco	Real Estate	Brandi Mayo	Electronic	11/15/2017	11/22/2017	DE112217-SC2	500 00	100 00
77	Ducker	Michael		94115 San Francisco	Engineer	Pinch	Electronic	12/02/2017	12/06/2017	DE120617-FM2	200 00	100 00
78	Alsdorf	Paul		94131 San Francisco	Attorney	McKesson	Electronic	12/23/2017	12/27/2017	DE122717-CT2	100 00	100 00
										Subtotals	5,150 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
79	Altman	Sam		94110 San Francisco	President	Y Combinator	Electronic	12/21/2017	12/27/2017	DE122717-CT2	500 00	100 00
80	Brezina	Matthew		94114 San Francisco	Investor	Matthew Brezina	Electronic	12/22/2017	12/27/2017	DE122717-CT2	250 00	100 00
81	Catlin	Micah		94110 San Francisco	Software Engineer	Looker	Electronic	12/18/2017	12/27/2017	DE122717-CT2	500 00	100 00
82	Chen	Michael		94109 San Francisco	Data Engineer	Facebook	Electronic	12/22/2017	12/27/2017	DE122717-CT2	175 00	100 00
83	Donham	Jake		94122 San Francisco	Computer Programmer	Twitter, Inc	Electronic	12/19/2017	12/27/2017	DE122717-CT2	500 00	100 00
84	Evans	David		94131 San Francisco	Director	Pacific Biosciences	Electronic	12/18/2017	12/27/2017	DE122717-CT2	100 00	100 00
85	Federman	Dan		94117 San Francisco	Software Engineer	Airbnb	Electronic	12/19/2017	12/27/2017	DE122717-CT2	200 00	100 00
86	Goldman	John		94103 San Francisco	Architect	Goldman Architects	Electronic	12/22/2017	12/27/2017	DE122717-CT2	500 00	100 00
87	Grover	Daniel		94109 San Francisco	Product Manager	Facebook	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
88	Hodges	Jeff		94103 San Francisco	Software Engineer	Darkish Green	Electronic	12/18/2017	12/27/2017	DE122717-CT2	250 00	100 00
89	Krall	Evan		94110 San Francisco	Site Reliability Engineer	Yelp	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
90	Krisiloff	Matthew		94110 San Francisco	Director	YC Research	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
91	Little	Cody		94107 San Francisco	Strategy Manager	Juul Labs	Electronic	12/24/2017	12/27/2017	DE122717-CT2	100 00	100 00
92	Lockwood	Michael		94112 San Francisco	Analysts	Provident Funding	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
93	Clark	Alan		94114 San Francisco	Researcher	Facebook	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
94	Clark	Laura		94114 San Francisco	Executive Director	YIMBY Action	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
95	Conkling	Will		94110 San Francisco	Business Development	Google	Electronic	12/28/2017	12/31/2017	DE123117-HS1	100 00	100 00
96	Donde	Yehuda		94117 San Francisco	Attorney	Morrison & Foerster	Electronic	12/25/2017	12/31/2017	DE123117-HS1	100 00	100 00
97	Freedman	Kevin		94123 San Francisco	Founder	NextPhase Partners	Electronic	12/28/2017	12/31/2017	DE123117-HS1	250 00	100 00
98	Fruchtman	Robert		94117 San Francisco	Software Engineer	Reddit	Electronic	12/26/2017	12/31/2017	DE123117-HS1	200 00	100 00
99	Hazel	Steven		94117 San Francisco	Technologist	Sauce Labs Inc	Electronic	12/25/2017	12/31/2017	DE123117-HS1	200 00	100 00
										Subtotals	5,325 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
100	Leventhal	Cliff		94107 San Francisco	Retired	n/a	Electronic	12/28/2017	12/31/2017	DE123117-HS1	150 00	100 00
										Subtotals	150 00	100 00
										Grand Totals	29,375 00	10,000 00

San Francisco Ethics Commission
 25 Van Ness, Suite 220
 San Francisco, CA 94102
 Phone: (415) 252-3100
 Fax: (415) 252-3112
 Email: ethics.commission@sfgov.org
 Web: www.sfethics.org



For SFEC use

E-Filed
 03/29/2018
 15:53:23
 Filing ID:
 170456026

**QUALIFYING REQUEST:
 DECLARATION FOR PUBLIC FUNDS BY CANDIDATES FOR
 THE OFFICE OF MAYOR OR THE BOARD OF SUPERVISORS**
 (S.F. Campaign and Governmental Conduct Code § 1.142(b))

Note: Each candidate who seeks public funds must complete and return this form to the Ethics Commission. The candidate may do so starting nine (9) months before the date of the election, but no later than the 70th day before the election. To be eligible, each candidate must have executed and filed at the Ethics Commission a Statement of Participation or Non-Participation indicating an intent to participate in the public financing program. In addition, each candidate must comply with the requirements set forth in S.F. Campaign and Governmental Conduct Code section 1.140.

PART I. FILER INFORMATION		
Name of Candidate Sonja Trauss	Office (include District No.) 6	Date of Election 11/06/2018
Name of Campaign Committee Sonja Trauss for Supervisor 2018	FPPC ID No. 1397772	Candidate Email Trauss2018@deaneandcompany.com
Candidate Mailing Address San Francisco CA 94103	Candidate Telephone (916) 285-5733	
Name of Treasurer Shawnda Deane	Treasurer Email Trauss2018@deaneandcompany.com	
Treasurer Mailing Address Sacramento CA 95815	Treasurer Telephone (916) 285-5733	

PART II. AUTHORIZATION
<p><i>Please list names of persons authorized to pick-up payments on behalf of the campaign committee. Payments made by check to the committee must be picked up by the candidate or candidate's authorized representative at the Ethics Commission office during regular business hours (Mon.-Fri., 8 a.m. - 5 p.m.). At the time of picking up payment checks, the candidate/candidate's authorized representative must present identification and sign a form acknowledging receipt of payment.</i></p> <p>1. <u>Sonja Trauss</u> 2. <u>Shawnda Deane</u></p>

PART III. CANDIDATE'S DECLARATION

I, Sonja Trauss hereby declare that the following information is true and correct:
(Name of candidate)

All Candidates

- I am seeking election to the Board of Supervisors, and I am eligible to hold the office if elected.
(Office of Mayor or Board of Supervisors)
- I agree to comply with all conditions of and requirements for the receipt and use of public funds as set forth in section 1.140 of the Campaign Finance Reform Ordinance (S.F. Campaign and Governmental Conduct Code § 1.100 et. seq., or CFRO) and any regulations adopted thereunder, and agree to submit to any reasonable audits or reviews of my committee deemed appropriate by the San Francisco Ethics Commission.
- I have filed the Statement of Participation or Non-Participation, on which I indicated my intent to participate in the public financing program.
- I will not make any payments to a contractor or vendor in return for the contractor or vendor making a campaign contribution to my campaign or make more than a total of 50 payments, other than the return of a contribution, to contractors or vendors that have made contributions to my campaign.
- I will not accept any loans from anyone other than myself and I will not loan or donate, in total, more than \$5,000 of my own funds to my campaign.
- I agree to participate in at least three debates with my opponents.
- I have paid any and all known outstanding fines owed to the City by me and any of my campaign committees.
- I have filed any and all outstanding statements, reports, or forms owed to the City by me or any of my campaign committees.
- There is no finding by a court within the past five years that I knowingly, willfully, or intentionally violated the CFRO, any provisions in the S.F. Campaign and Governmental Conduct Code, or the campaign finance provisions of the Political Reform Act.
- I understand that after the 70th day prior to the date of the election, I cannot withdraw this Declaration for Public Funds. I agree to comply with the requirements of the CFRO even if I decide not to accept public funds or even if I decide to repay public funds received from the Election Campaign Fund.
- I understand that as candidate, I bear the burden of proving that each contribution that I rely upon to establish eligibility is a qualifying contribution and that expenditures made with public funds are for qualified campaign expenditures only.

Candidates for Office of Mayor

- I have received \$50,000 in qualifying contributions from at least 500 residents of San Francisco (Non-Incumbent) or I have received \$75,000 in qualifying contributions from at least 750 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$50,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$1,475,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

Candidates for Board of Supervisors

- I have received \$10,000 in qualifying contributions from at least 100 residents of San Francisco (Non-Incumbent) or I have received \$15,000 in qualifying contributions from at least 150 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document.
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$10,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$250,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

I declare under penalty of perjury that I have read and understand the requirements of the public financing program as set out in the CFRO. I also declare under penalty of perjury that to the best of my knowledge, information and belief, the information I provide in this form and all supporting documentation is true and correct.

Sonja Trauss

03/29/2018

Candidate's Signature

Date

¹ A "qualifying contribution" for the is a contribution of not less than \$10 and not more than \$100 that is made by an individual who is a resident of San Francisco and that complies with all requirements of the Ordinance Qualifying contributions do not include loans, contributions that are received more than 18 months before the date of the election or contributions made by the candidate or the candidate's immediate family S F Campaign and Governmental Conduct Code § 1 104(w)

QUALIFYING CONTRIBUTIONS – SUPPORTING DOCUMENTATION

Each candidate must submit the following documentation in order to be considered for public funds:

- **Qualifying Contributions List** (see below).
- Evidence of each contribution received, such as electronic copies of contributor checks, contributor cards and credit card authorizations. (These documents must be arranged in batches according to deposit batch number. The committee's record-keeper should assign a batch number to each deposit.)
- Electronic copies of itemized deposit slips, deposit receipts and evidence of S.F. residency.

Any claim form that is not accompanied by these documents will not be considered for payment.

QUALIFYING CONTRIBUTIONS LIST

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
1	Fingal-Surma	Daniel B		94114 San Francisco	Software Engineer	Google, Inc	Check	07/22/2017	08/07/2017	080717-TK1	500 00	100 00
2	Huey	Kyle Scott		94117 San Francisco	Co-Founder	Pernosco	Check	07/20/2017	08/07/2017	080717-TK1	500 00	100 00
3	Del Valle	Danielle M		94127 San Francisco	Student	n/a	Check	11/16/2017	12/14/2017	121417-FM1	500 00	100 00
4	Hilal	Michael A		94109 San Francisco	Director of Monetization	Hipmunk	Check	11/15/2017	12/14/2017	121417-FM1	150 00	100 00
5	Koch	Stephen R		94123 San Francisco	Principal	Resource Real Estate	Check	11/22/2017	12/14/2017	121417-FM1	250 00	100 00
6	Livingston	Dan T		94108 San Francisco	Engineer	Tesla	Check	11/09/2017	12/14/2017	121417-FM1	150 00	100 00
7	Broockman	David		94113 San Francisco	Professor	Stanford	Electronic	07/22/2017	07/26/2017	DE072617-DC1	500 00	100 00
8	Buss	Steven		94110 San Francisco	Software Engineer	Google, Inc	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
9	Cole	Travis		94103 San Francisco	Not Employed	n/a	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
10	Engardio	Joel		94132 San Francisco	Marketing Manager	Firebrand Communications	Electronic	07/23/2017	07/26/2017	DE072617-DC1	100 00	100 00
11	Fingal-Surma	Laura		94114 San Francisco	Designer	Urbn Adventures	Electronic	07/17/2017	07/26/2017	DE072617-DC1	500 00	100 00
12	Kwik	Huey		94117 San Francisco	Not Employed	n/a	Electronic	07/23/2017	08/02/2017	DE080217-DC1	500 00	100 00
13	Carneiro	Nick		94118 San Francisco	Software Engineer	WizeHire	Electronic	08/02/2017	08/09/2017	DE080917-DC1	200 00	100 00
14	Melli-Huber	Francisco		94131 San Francisco	Engineering Manager	Apple, Inc	Electronic	08/11/2017	08/16/2017	DE081617-DC1	100 00	100 00
15	Kennedy	Patrick		94103 San Francisco	Owner	Panoramic Real Estate	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
Subtotals											5,450 00	1,500 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
16	Matei	Robert		94110 San Francisco	Product Manager	Quora	Electronic	08/19/2017	08/23/2017	DE082317-DC1	100 00	100 00
17	Matlof-Kennedy	Julie		94123 San Francisco	Teacher	Stanford Law School	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
18	English	Mark		94133 San Francisco	Architect	Mark English Architects	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
19	Friedman	Jared		94117 San Francisco	Partner	Y Combinator	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
20	Ghaffary	Michael		94114 San Francisco	Venture Capital Investor	Social Capital	Electronic	08/22/2017	08/30/2017	DE083017-DC2	150 00	100 00
21	Kennedy	Alex		94123 San Francisco	Director	Silicon Valley Urban Debate League	Electronic	08/22/2017	08/30/2017	DE083017-DC2	500 00	100 00
22	Lowe	Travis Luther		94103 San Francisco	Vice President of Public Policy	Yelp	Electronic	08/21/2017	08/30/2017	DE083017-DC2	500 00	100 00
23	Agid	Bruce		94158 San Francisco	Managing Director of Business Development	Energy Experts International	Electronic	08/30/2017	09/06/2017	DE090617-DC1	250 00	100 00
24	Caufield	Frank		94123 San Francisco	Retired	n/a	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
25	Conway	Christopher		94123 San Francisco	Partner	SV Angel	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
26	Conway	Danny		94123 San Francisco	Partner	Techfellows Fund	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
27	Kinsfather	David		94131 San Francisco	Software Developer	Amazon	Electronic	09/03/2017	09/06/2017	DE090617-DC1	100 00	100 00
28	Cantwell	Robert		94110 San Francisco	Chief Financial Officer	Everlane	Electronic	09/07/2017	09/13/2017	DE091317-DC2	100 00	100 00
29	David	Todd		94114 San Francisco	Executive Director	San Francisco Housing Action Coalition	Electronic	09/05/2017	09/13/2017	DE091317-DC2	200 00	100 00
30	Dishotsky	Jonathan		94115 San Francisco	Chief Executive Officer	Starcity	Electronic	09/08/2017	09/13/2017	DE091317-DC2	500 00	100 00
31	Friedman	Nat		94123 San Francisco	Vice President	Microsoft	Electronic	09/05/2017	09/13/2017	DE091317-DC2	500 00	100 00
32	Condon	Kelly		94127 San Francisco	Residential Designer	Condon Designs	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
33	Diab	Omar		94110 San Francisco	Not Employed	n/a	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
34	Goldman	Erik		94102 San Francisco	Software Engineer	Vanta, LLC	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
35	Goldstein	Adam		94114 San Francisco	Co-Founder/Chief Executive Officer	Hipmunk	Electronic	09/19/2017	09/27/2017	DE092717-BH2	500 00	100 00
36	Heinritz	Max		94102 San Francisco	Software Engineer	Flexport	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
										Subtotals	7,600 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
37	Mellin	Joe		94118 San Francisco	Product Management	Microsoft	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
38	Ballinger	Keith		94103 San Francisco	Director of Project Management	Microsoft	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
39	Bond	Amy		94103 San Francisco	Chief Executive Officer/Founder	San Francisco Pole & Dance	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
40	Harper	Jackson		94116 San Francisco	Software Engineer	Juvo Mobile	Electronic	09/29/2017	10/04/2017	DE100417-BH3	500 00	100 00
41	Janicki	Ian		94114 San Francisco	Product Manager	Katerra	Electronic	09/29/2017	10/04/2017	DE100417-BH3	150 00	100 00
42	Kremer	Michael		94110 San Francisco	Associate	Cypress Creek Renewables	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
43	La	Jimmy		94116 San Francisco	Project Lead	FIBCO Software	Electronic	09/28/2017	10/04/2017	DE100417-BH3	100 00	100 00
44	Liang	Mark		94102 San Francisco	Attorney	O'Melveny & Myers LLP	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
45	Martin	Regan		94131 San Francisco	Architect	WRNS Studio	Electronic	09/25/2017	10/04/2017	DE100417-BH3	100 00	100 00
46	Berkman	Jacob		94117 San Francisco	Software Developer	Weebly Inc	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
47	Gil	Elad		94114 San Francisco	Co-Founder	Color Genomics	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
48	Goff	Michael		94134 San Francisco	Energy Analyst	The Breakthrough Institute	Electronic	10/07/2017	10/11/2017	DE101117-DC1	100 00	100 00
49	Mah	Jessica		94104 San Francisco	Chief Executive Officer	nDinero	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
50	McDonnell	John		94102 San Francisco	Data Scientist	Stitch Fix	Electronic	10/01/2017	10/11/2017	DE101117-DC1	500 00	100 00
51	Camp	Daniel		94114 San Francisco	Software Engineer	Salesforce	Electronic	10/15/2017	10/18/2017	DE101817-VG1	500 00	100 00
52	Hirschfeld	Thomas		94110 San Francisco	Software Engineer	Uber Technologies	Electronic	10/15/2017	10/18/2017	DE101817-VG1	200 00	100 00
53	Lehman	Karl		94122 San Francisco	Content Services Association	Axioma	Electronic	10/13/2017	10/18/2017	DE101817-VG1	100 00	100 00
54	Marks	Benjamin		94115 San Francisco	Software Engineer	Google, Inc	Electronic	10/15/2017	10/18/2017	DE101817-VG1	100 00	100 00
55	Bosmeny	Tyler		94103 San Francisco	Founder	Clever	Electronic	10/20/2017	10/25/2017	DE102517-TG1	200 00	100 00
56	Glaser	Stuart		94110 San Francisco	Roboticist	General Motors	Electronic	10/15/2017	10/25/2017	DE102517-TG1	100 00	100 00
57	Levin	Philip		94102 San Francisco	Manager	Facebook	Electronic	10/20/2017	10/25/2017	DE102517-TG1	250 00	100 00
										Subtotals	5,700 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
58	Lumpkin	JD		94105 San Francisco	Managing Principal	Cushman & Wakefield	Electronic	10/17/2017	10/25/2017	DE102517-TG1	500 00	100 00
59	Blume	Michael		94112 San Francisco	Programmer	LendUp	Electronic	10/25/2017	11/01/2017	DE110117-DC1	100 00	100 00
60	Costa-Roberts	Justin		94117 San Francisco	Software Engineer	Arista Networks	Electronic	10/28/2017	11/01/2017	DE110117-DC1	100 00	100 00
61	DiCato	David		94158 San Francisco	Senior Software Engineer	LinkedIn	Electronic	10/27/2017	11/01/2017	DE110117-DC1	500 00	100 00
62	Hupp	Adam		94114 San Francisco	Engineer	Facebook	Electronic	10/25/2017	11/01/2017	DE110117-DC1	250 00	100 00
63	Lee	Rebecca		94133 San Francisco	Project Manager	Southern California Edison	Electronic	10/23/2017	11/01/2017	DE110117-DC1	100 00	100 00
64	Baron	Lee David		94110 San Francisco	Software Engineer	Mozilla	Electronic	11/02/2017	11/08/2017	DE110817-RC1	200 00	100 00
65	Elliston	Amos		94114 San Francisco	Chief Technology Officer	Flexport	Electronic	10/31/2017	11/08/2017	DE110817-RC1	500 00	100 00
66	Greze	Jean-Denis		94103 San Francisco	Vice President of Engineering	Plaid Incorporation	Electronic	11/05/2017	11/08/2017	DE110817-RC1	100 00	100 00
67	Hall	Phillip		94103 San Francisco	Vice President of Finance	Flexport	Electronic	10/30/2017	11/08/2017	DE110817-RC1	250 00	100 00
68	Magdovitz	Joseph		94117 San Francisco	Not Employed	n/a	Electronic	11/01/2017	11/08/2017	DE110817-RC1	100 00	100 00
69	Kimerling	Daniel		94110 San Francisco	Co-Founder	Deciens Capital	Electronic	11/09/2017	11/15/2017	DE111517-SC1	500 00	100 00
70	Korver	Brian		94117 San Francisco	Software Engineer	Apple, Inc	Electronic	11/11/2017	11/15/2017	DE111517-SC1	500 00	100 00
71	Driscoll	James		94117 San Francisco	Privacy Program Manager	Google, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
72	Espinoza	Kalah		94123 San Francisco	Vice President	Colliers International	Electronic	11/15/2017	11/22/2017	DE112217-SC2	100 00	100 00
73	Hawken	Brittan		94111 San Francisco	Broker	Jones Lang LaSalle, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
74	Kaluza	Richard		94123 San Francisco	Realtor	Compass Real Estate	Electronic	11/14/2017	11/22/2017	DE112217-SC2	100 00	100 00
75	Koomen	Peter		94109 San Francisco	Cofounder	Optimizely	Electronic	11/13/2017	11/22/2017	DE112217-SC2	250 00	100 00
76	Mayo	Brandi		94109 San Francisco	Real Estate	Self Employed/Same	Electronic	11/15/2017	11/22/2017	DE112217-SC2	500 00	100 00
77	Ducker	Michael		94115 San Francisco	Engineer	Pinch	Electronic	12/02/2017	12/06/2017	DE120617-FM2	200 00	100 00
78	Alsdorf	Paul		94131 San Francisco	Attorney	McKesson	Electronic	12/23/2017	12/27/2017	DE122717-CT2	100 00	100 00
										Subtotals	5,150 00	2,100 00

San Francisco Ethics Commission
 25 Van Ness, Suite 220
 San Francisco, CA 94102
 Phone: (415) 252-3100
 Fax: (415) 252-3112
 Email: ethics.commission@sfgov.org
 Web: www.sfethics.org



For SFEC use

E-Filed
 05/03/2018
 17:27:30
 Filing ID:
 171368124

QUALIFYING REQUEST:
DECLARATION FOR PUBLIC FUNDS BY CANDIDATES FOR
THE OFFICE OF MAYOR OR THE BOARD OF SUPERVISORS
 (S.F. Campaign and Governmental Conduct Code § 1.142(b))

Note: Each candidate who seeks public funds must complete and return this form to the Ethics Commission. The candidate may do so starting nine (9) months before the date of the election, but no later than the 70th day before the election. To be eligible, each candidate must have executed and filed at the Ethics Commission a Statement of Participation or Non-Participation indicating an intent to participate in the public financing program. In addition, each candidate must comply with the requirements set forth in S.F. Campaign and Governmental Conduct Code section 1.140.

PART I. FILER INFORMATION		
Name of Candidate Sonja Trauss	Office (include District No.) BOS District 6	Date of Election 11/06/2018
Name of Campaign Committee Sonja Trauss for Supervisor 2018	FPPC ID No. 1397772	Candidate Email Trauss2018@deaneandcompany.com
Candidate Mailing Address San Francisco CA 94103	Candidate Telephone (916) 285-5733	
Name of Treasurer Shawnda Deane	Treasurer Email Trauss2018@deaneandcompany.com	
Treasurer Mailing Address Sacramento CA 95815	Treasurer Telephone (916) 285-5733	

PART II. AUTHORIZATION
<p><i>Please list names of persons authorized to pick-up payments on behalf of the campaign committee. Payments made by check to the committee must be picked up by the candidate or candidate's authorized representative at the Ethics Commission office during regular business hours (Mon.-Fri., 8 a.m. - 5 p.m.). At the time of picking up payment checks, the candidate/candidate's authorized representative must present identification and sign a form acknowledging receipt of payment.</i></p> <p>1. <u>Sonja Trauss</u> 2. <u>Shawnda Deane</u></p>

PART III. CANDIDATE'S DECLARATION

I, Sonja Trauss hereby declare that the following information is true and correct:
(Name of candidate)

All Candidates

- I am seeking election to the Board of Supervisors, and I am eligible to hold the office if elected.
(Office of Mayor or Board of Supervisors)
- I agree to comply with all conditions of and requirements for the receipt and use of public funds as set forth in section 1.140 of the Campaign Finance Reform Ordinance (S.F. Campaign and Governmental Conduct Code § 1.100 et. seq., or CFRO) and any regulations adopted thereunder, and agree to submit to any reasonable audits or reviews of my committee deemed appropriate by the San Francisco Ethics Commission.
- I have filed the Statement of Participation or Non-Participation, on which I indicated my intent to participate in the public financing program.
- I will not make any payments to a contractor or vendor in return for the contractor or vendor making a campaign contribution to my campaign or make more than a total of 50 payments, other than the return of a contribution, to contractors or vendors that have made contributions to my campaign.
- I will not accept any loans from anyone other than myself and I will not loan or donate, in total, more than \$5,000 of my own funds to my campaign.
- I agree to participate in at least three debates with my opponents.
- I have paid any and all known outstanding fines owed to the City by me and any of my campaign committees.
- I have filed any and all outstanding statements, reports, or forms owed to the City by me or any of my campaign committees.
- There is no finding by a court within the past five years that I knowingly, willfully, or intentionally violated the CFRO, any provisions in the S.F. Campaign and Governmental Conduct Code, or the campaign finance provisions of the Political Reform Act.
- I understand that after the 70th day prior to the date of the election, I cannot withdraw this Declaration for Public Funds. I agree to comply with the requirements of the CFRO even if I decide not to accept public funds or even if I decide to repay public funds received from the Election Campaign Fund.
- I understand that as candidate, I bear the burden of proving that each contribution that I rely upon to establish eligibility is a qualifying contribution and that expenditures made with public funds are for qualified campaign expenditures only.

Candidates for Office of Mayor

- I have received \$50,000 in qualifying contributions from at least 500 residents of San Francisco (Non-Incumbent) or I have received \$75,000 in qualifying contributions from at least 750 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$50,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$1,475,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

Candidates for Board of Supervisors

- I have received \$10,000 in qualifying contributions from at least 100 residents of San Francisco (Non-Incumbent) or I have received \$15,000 in qualifying contributions from at least 150 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document.
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$10,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$250,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

I declare under penalty of perjury that I have read and understand the requirements of the public financing program as set out in the CFRO. I also declare under penalty of perjury that to the best of my knowledge, information and belief, the information I provide in this form and all supporting documentation is true and correct.

Sonja Trauss

Candidate's Signature

05/03/2018

Date

¹ A "qualifying contribution" for the is a contribution of not less than \$10 and not more than \$100 that is made by an individual who is a resident of San Francisco and that complies with all requirements of the Ordinance Qualifying contributions do not include loans, contributions that are received more than 18 months before the date of the election or contributions made by the candidate or the candidate's immediate family S F Campaign and Governmental Conduct Code § 1 104(w)

QUALIFYING CONTRIBUTIONS – SUPPORTING DOCUMENTATION

Each candidate must submit the following documentation in order to be considered for public funds:

- **Qualifying Contributions List** (see below).
- Evidence of each contribution received, such as electronic copies of contributor checks, contributor cards and credit card authorizations. (These documents must be arranged in batches according to deposit batch number. The committee's record-keeper should assign a batch number to each deposit.)
- Electronic copies of itemized deposit slips, deposit receipts and evidence of S.F. residency.

Any claim form that is not accompanied by these documents will not be considered for payment.

QUALIFYING CONTRIBUTIONS LIST

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
1	Fingal-Surma	Daniel B		94114 San Francisco	Software Engineer	Google, Inc	Check	07/22/2017	08/07/2017	080717-TK1	500 00	100 00
2	Huey	Kyle Scott		94117 San Francisco	Co-Founder	Pernosco	Check	07/20/2017	08/07/2017	080717-TK1	500 00	100 00
3	Del Valle	Danielle M		94127 San Francisco	Student	n/a	Check	11/16/2017	12/14/2017	121417-FM1	500 00	100 00
4	Hilal	Michael A		94109 San Francisco	Director of Monetization	Hipmunk	Check	11/15/2017	12/14/2017	121417-FM1	150 00	100 00
5	Koch	Stephen R		94123 San Francisco	Principal	Resource Real Estate	Check	11/22/2017	12/14/2017	121417-FM1	250 00	100 00
6	Livingston	Dan T		94108 San Francisco	Engineer	Tesla	Check	11/09/2017	12/14/2017	121417-FM1	150 00	100 00
7	Broockman	David		94113 San Francisco	Professor	Stanford	Electronic	07/22/2017	07/26/2017	DE072617-DC1	500 00	100 00
8	Buss	Steven		94110 San Francisco	Software Engineer	Google, Inc	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
9	Cole	Travis		94103 San Francisco	Not Employed	n/a	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
10	Engardio	Joel		94132 San Francisco	Marketing Manager	Firebrand Communications	Electronic	07/23/2017	07/26/2017	DE072617-DC1	100 00	100 00
11	Fingal-Surma	Laura		94114 San Francisco	Designer	Urbn Adventures	Electronic	07/17/2017	07/26/2017	DE072617-DC1	500 00	100 00
12	Kwik	Huey		94117 San Francisco	Not Employed	n/a	Electronic	07/23/2017	08/02/2017	DE080217-DC1	500 00	100 00
13	Carneiro	Nick		94118 San Francisco	Software Engineer	WizeHire	Electronic	08/02/2017	08/09/2017	DE080917-DC1	200 00	100 00
14	Melli-Huber	Francisco		94131 San Francisco	Engineering Manager	Apple, Inc	Electronic	08/11/2017	08/16/2017	DE081617-DC1	100 00	100 00
15	Kennedy	Patrick		94103 San Francisco	Owner	Panoramic Real Estate	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
Subtotals											5,450 00	1,500 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
16	Matei	Robert		94110 San Francisco	Product Manager	Quora	Electronic	08/19/2017	08/23/2017	DE082317-DC1	100 00	100 00
17	Matlof-Kennedy	Julie		94123 San Francisco	Teacher	Stanford Law School	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
18	English	Mark		94133 San Francisco	Architect	Mark English Architects	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
19	Friedman	Jared		94117 San Francisco	Partner	Y Combinator	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
20	Ghaffary	Michael		94114 San Francisco	Venture Capital Investor	Social Capital	Electronic	08/22/2017	08/30/2017	DE083017-DC2	150 00	100 00
21	Kennedy	Alex		94123 San Francisco	Director	Silicon Valley Urban Debate League	Electronic	08/22/2017	08/30/2017	DE083017-DC2	500 00	100 00
22	Lowe	Travis Luther		94103 San Francisco	Vice President of Public Policy	Yelp	Electronic	08/21/2017	08/30/2017	DE083017-DC2	500 00	100 00
23	Agid	Bruce		94158 San Francisco	Managing Director of Business Development	Energy Experts International	Electronic	08/30/2017	09/06/2017	DE090617-DC1	250 00	100 00
24	Caufield	Frank		94123 San Francisco	Retired	n/a	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
25	Conway	Christopher		94123 San Francisco	Partner	SV Angel	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
26	Conway	Danny		94123 San Francisco	Partner	Techfellows Fund	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
27	Kinsfather	David		94131 San Francisco	Software Engineer	Amazon	Electronic	09/03/2017	09/06/2017	DE090617-DC1	100 00	100 00
28	Cantwell	Robert		94110 San Francisco	Chief Financial Officer	Everlane	Electronic	09/07/2017	09/13/2017	DE091317-DC2	100 00	100 00
29	David	Todd		94114 San Francisco	Executive Director	San Francisco Housing Action Coalition	Electronic	09/05/2017	09/13/2017	DE091317-DC2	200 00	100 00
30	Dishotsky	Jonathan		94115 San Francisco	Chief Executive Officer	Starcity	Electronic	09/08/2017	09/13/2017	DE091317-DC2	500 00	100 00
31	Friedman	Nat		94123 San Francisco	Vice President	Microsoft	Electronic	09/05/2017	09/13/2017	DE091317-DC2	500 00	100 00
32	Condon	Kelly		94127 San Francisco	Residential Designer	Condon Designs	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
33	Diab	Omar		94110 San Francisco	Not Employed	n/a	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
34	Goldman	Erik		94102 San Francisco	Software Engineer	Vanta, LLC	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
35	Goldstein	Adam		94114 San Francisco	Co-Founder/Chief Executive Officer	Hipmunk	Electronic	09/19/2017	09/27/2017	DE092717-BH2	500 00	100 00
36	Heinritz	Max		94102 San Francisco	Softwaren Engineer	Flexport	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
										Subtotals	7,600 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
37	Mellin	Joe		94118 San Francisco	Product Management	Microsoft	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
38	Ballinger	Keith		94103 San Francisco	Director of Project Management	Microsoft	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
39	Bond	Amy		94103 San Francisco	Chief Executive Officer/Founder	San Francisco Pole & Dance	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
40	Harper	Jackson		94116 San Francisco	Software Engineer	Juvo Mobile	Electronic	09/29/2017	10/04/2017	DE100417-BH3	500 00	100 00
41	Janicki	Ian		94114 San Francisco	Product Manager	Katerra	Electronic	09/29/2017	10/04/2017	DE100417-BH3	150 00	100 00
42	Kremer	Michael		94110 San Francisco	Associate	Cypress Creek Renewables	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
43	La	Jimmy		94116 San Francisco	Project Lead	FIBCO Software	Electronic	09/28/2017	10/04/2017	DE100417-BH3	100 00	100 00
44	Liang	Mark		94102 San Francisco	Attorney	O'Melveny & Myers LLP	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
45	Martin	Regan		94131 San Francisco	Architect	WRNS Studio	Electronic	09/25/2017	10/04/2017	DE100417-BH3	100 00	100 00
46	Berkman	Jacob		94117 San Francisco	Software Developer	Weebly Inc	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
47	Gil	Elad		94114 San Francisco	Co-Founder	Color Genomics	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
48	Goff	Michael		94134 San Francisco	Energy Analyst	The Breakthrough Institute	Electronic	10/07/2017	10/11/2017	DE101117-DC1	100 00	100 00
49	Mah	Jessica		94104 San Francisco	Chief Executive Officer	nDinero	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
50	McDonnell	John		94102 San Francisco	Data Scientist	Stitch Fix	Electronic	10/01/2017	10/11/2017	DE101117-DC1	500 00	100 00
51	Camp	Daniel		94114 San Francisco	Software Engineer	Salesforce	Electronic	10/15/2017	10/18/2017	DE101817-VG1	500 00	100 00
52	Hirschfeld	Thomas		94110 San Francisco	Software Engineer	Uber Technologies	Electronic	10/15/2017	10/18/2017	DE101817-VG1	200 00	100 00
53	Lehman	Karl		94122 San Francisco	Content Services Association	Axioma	Electronic	10/13/2017	10/18/2017	DE101817-VG1	100 00	100 00
54	Marks	Benjamin		94115 San Francisco	Software Engineer	Google, Inc	Electronic	10/15/2017	10/18/2017	DE101817-VG1	100 00	100 00
55	Bosmeny	Tyler		94103 San Francisco	Founder	Clever	Electronic	10/20/2017	10/25/2017	DE102517-TG1	200 00	100 00
56	Glaser	Stuart		94110 San Francisco	Roboticist	General Motors	Electronic	10/15/2017	10/25/2017	DE102517-TG1	100 00	100 00
57	Levin	Philip		94102 San Francisco	Manager	Facebook	Electronic	10/20/2017	10/25/2017	DE102517-TG1	250 00	100 00
										Subtotals	5,700 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
58	Lumpkin	JD		94105 San Francisco	Managing Principal	Cushman & Wakefield	Electronic	10/17/2017	10/25/2017	DE102517-TG1	500 00	100 00
59	Blume	Michael		94112 San Francisco	Programmer	LendUp	Electronic	10/25/2017	11/01/2017	DE110117-DC1	100 00	100 00
60	Costa-Roberts	Justin		94117 San Francisco	Software Engineer	Arista Networks	Electronic	10/28/2017	11/01/2017	DE110117-DC1	100 00	100 00
61	DiCato	David		94158 San Francisco	Senior Software Engineer	LinkedIn	Electronic	10/27/2017	11/01/2017	DE110117-DC1	500 00	100 00
62	Hupp	Adam		94114 San Francisco	Engineer	Facebook	Electronic	10/25/2017	11/01/2017	DE110117-DC1	250 00	100 00
63	Lee	Rebecca		94133 San Francisco	Project Manager	Southern California Edison	Electronic	10/23/2017	11/01/2017	DE110117-DC1	100 00	100 00
64	Baron	Lee David		94110 San Francisco	Software Engineer	Mozilla	Electronic	11/02/2017	11/08/2017	DE110817-RC1	200 00	100 00
65	Elliston	Amos		94114 San Francisco	Chief Technology Officer	Flexport	Electronic	10/31/2017	11/08/2017	DE110817-RC1	500 00	100 00
66	Greze	Jean-Denis		94103 San Francisco	Vice President of Engineering	Plaid Incorporation	Electronic	11/05/2017	11/08/2017	DE110817-RC1	100 00	100 00
67	Hall	Phillip		94103 San Francisco	Vice President of Finance	Flexport	Electronic	10/30/2017	11/08/2017	DE110817-RC1	250 00	100 00
68	Magdovitz	Joseph		94117 San Francisco	Not Employed	n/a	Electronic	11/01/2017	11/08/2017	DE110817-RC1	100 00	100 00
69	Kimerling	Daniel		94110 San Francisco	Co-Founder	Deciens Capital	Electronic	11/09/2017	11/15/2017	DE111517-SC1	500 00	100 00
70	Korver	Brian		94117 San Francisco	Software Engineer	Apple, Inc	Electronic	11/11/2017	11/15/2017	DE111517-SC1	500 00	100 00
71	Driscoll	James		94117 San Francisco	Privacy Program Manager	Google, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
72	Espinoza	Kalah		94123 San Francisco	Vice President	Colliers International	Electronic	11/15/2017	11/22/2017	DE112217-SC2	100 00	100 00
73	Hawken	Brittan		94111 San Francisco	Broker	Jones Lang LaSalle, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
74	Kaluza	Richard		94123 San Francisco	Realtor	Compass Real Estate	Electronic	11/14/2017	11/22/2017	DE112217-SC2	100 00	100 00
75	Koomen	Peter		94109 San Francisco	Cofounder	Optimizely	Electronic	11/13/2017	11/22/2017	DE112217-SC2	250 00	100 00
76	Mayo	Brandi		94109 San Francisco	Real Estate	Self Employed/Same	Electronic	11/15/2017	11/22/2017	DE112217-SC2	500 00	100 00
77	Ducker	Michael		94115 San Francisco	Engineer	Pinch	Electronic	12/02/2017	12/06/2017	DE120617-FM2	200 00	100 00
78	Alsdorf	Paul		94131 San Francisco	Attorney	McKesson	Electronic	12/23/2017	12/27/2017	DE122717-CT2	100 00	100 00
										Subtotals	5,150 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
79	Altman	Sam		94110 San Francisco	President	Y Combinator	Electronic	12/21/2017	12/27/2017	DE122717-CT2	500 00	100 00
80	Brezina	Matthew		94114 San Francisco	Investor	Matthew Brezina	Electronic	12/22/2017	12/27/2017	DE122717-CT2	250 00	100 00
81	Catlin	Micah		94110 San Francisco	Software Engineer	Looker	Electronic	12/18/2017	12/27/2017	DE122717-CT2	500 00	100 00
82	Chen	Michael		94109 San Francisco	Data Engineer	Facebook	Electronic	12/22/2017	12/27/2017	DE122717-CT2	175 00	100 00
83	Donham	Jake		94122 San Francisco	Computer Programmer	Twitter, Inc	Electronic	12/19/2017	12/27/2017	DE122717-CT2	500 00	100 00
84	Evans	David		94131 San Francisco	Director	Pacific Biosciences	Electronic	12/18/2017	12/27/2017	DE122717-CT2	100 00	100 00
85	Federman	Dan		94117 San Francisco	Software Engineer	Airbnb	Electronic	12/19/2017	12/27/2017	DE122717-CT2	200 00	100 00
86	Goldman	John		94103 San Francisco	Architect	Goldman Architects	Electronic	12/22/2017	12/27/2017	DE122717-CT2	500 00	100 00
87	Grover	Daniel		94109 San Francisco	Product Manager	Facebook	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
88	Hodges	Jeff		94103 San Francisco	Software Engineer	Darkish Green	Electronic	12/18/2017	12/27/2017	DE122717-CT2	250 00	100 00
89	Krall	Evan		94110 San Francisco	Site Reliability Engineer	Yelp	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
90	Krisiloff	Matthew		94117 San Francisco	Director	YC Research	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
91	Little	Cody		94107 San Francisco	Strategy Manager	Juul Labs	Electronic	12/24/2017	12/27/2017	DE122717-CT2	100 00	100 00
92	Lockwood	Michael		94112 San Francisco	Analysts	Provident Funding	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
93	Clark	Alan		94114 San Francisco	Researcher	Facebook	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
94	Clark	Laura		94114 San Francisco	Executive Director	YIMBY Action	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
95	Conkling	Will		94110 San Francisco	Business Development	Google	Electronic	12/28/2017	12/31/2017	DE123117-HS1	100 00	100 00
96	Donde	Yehuda		94117 San Francisco	Attorney	Morrison & Foerster	Electronic	12/25/2017	12/31/2017	DE123117-HS1	100 00	100 00
97	Freedman	Kevin		94123 San Francisco	Founder	NextPhase Partners	Electronic	12/28/2017	12/31/2017	DE123117-HS1	250 00	100 00
98	Fruchtman	Robert		94117 San Francisco	Software Engineer	Reddit	Electronic	12/26/2017	12/31/2017	DE123117-HS1	200 00	100 00
99	Hazel	Steven		94117 San Francisco	Technologist	Sauce Labs Inc	Electronic	12/25/2017	12/31/2017	DE123117-HS1	200 00	100 00
										Subtotals	5,325 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
100	Leventhal	Cliff		94107 San Francisco	Retired	n/a	Electronic	12/28/2017	12/31/2017	DE123117-HS1	150 00	100 00
										Subtotals	150 00	100 00
										Grand Totals	29,375 00	10,000 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
79	Altman	Sam		94110 San Francisco	President	Y Combinator	Electronic	12/21/2017	12/27/2017	DE122717-CT2	500 00	100 00
80	Brezina	Matthew		94114 San Francisco	Investor	Matthew Brezina	Electronic	12/22/2017	12/27/2017	DE122717-CT2	250 00	100 00
81	Catlin	Micah		94110 San Francisco	Software Engineer	Looker	Electronic	12/18/2017	12/27/2017	DE122717-CT2	500 00	100 00
82	Chen	Michael		94109 San Francisco	Data Engineer	Facebook	Electronic	12/22/2017	12/27/2017	DE122717-CT2	175 00	100 00
83	Donham	Jake		94122 San Francisco	Computer Programmer	Twitter, Inc	Electronic	12/19/2017	12/27/2017	DE122717-CT2	500 00	100 00
84	Evans	David		94131 San Francisco	Director	Pacific Biosciences	Electronic	12/18/2017	12/27/2017	DE122717-CT2	100 00	100 00
85	Federman	Dan		94117 San Francisco	Software Engineer	Airbnb	Electronic	12/19/2017	12/27/2017	DE122717-CT2	200 00	100 00
86	Goldman	John		94103 San Francisco	Architect	Goldman Architects	Electronic	12/22/2017	12/27/2017	DE122717-CT2	500 00	100 00
87	Grover	Daniel		94109 San Francisco	Product Manager	Facebook	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
88	Hodges	Jeff		94103 San Francisco	Software Engineer	Darkish Green	Electronic	12/18/2017	12/27/2017	DE122717-CT2	250 00	100 00
89	Krall	Evan		94110 San Francisco	Site Reliability Engineer	Yelp	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
90	Krisiloff	Matthew		94117 San Francisco	Director	YC Research	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
91	Little	Cody		94107 San Francisco	Strategy Manager	Juul Labs	Electronic	12/24/2017	12/27/2017	DE122717-CT2	100 00	100 00
92	Lockwood	Michael		94112 San Francisco	Analysts	Provident Funding	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
93	Clark	Alan		94114 San Francisco	Researcher	Facebook	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
94	Clark	Laura		94114 San Francisco	Executive Director	YIMBY Action	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
95	Conkling	Will		94110 San Francisco	Business Development	Google	Electronic	12/28/2017	12/31/2017	DE123117-HS1	100 00	100 00
96	Donde	Yehuda		94117 San Francisco	Attorney	Morrison & Foerster	Electronic	12/25/2017	12/31/2017	DE123117-HS1	100 00	100 00
97	Freedman	Kevin		94123 San Francisco	Founder	NextPhase Partners	Electronic	12/28/2017	12/31/2017	DE123117-HS1	250 00	100 00
98	Fruchtman	Robert		94117 San Francisco	Software Engineer	Reddit	Electronic	12/26/2017	12/31/2017	DE123117-HS1	200 00	100 00
99	Hazel	Steven		94117 San Francisco	Technologist	Sauce Labs Inc	Electronic	12/25/2017	12/31/2017	DE123117-HS1	200 00	100 00
										Subtotals	5,325 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
100	Leventhal	Cliff		94107 San Francisco	Retired	n/a	Electronic	12/28/2017	12/31/2017	DE123117-HS1	150 00	100 00
										Subtotals	150 00	100 00
										Grand Totals	29,375 00	10,000 00

San Francisco Ethics Commission
 25 Van Ness, Suite 220
 San Francisco, CA 94102
 Phone: (415) 252-3100
 Fax: (415) 252-3112
 Email: ethics.commission@sfgov.org
 Web: www.sfethics.org



For SFEC use

E-Filed
 06/06/2018
 16:27:08
 Filing ID:
 172029538

**QUALIFYING REQUEST:
 DECLARATION FOR PUBLIC FUNDS BY CANDIDATES FOR
 THE OFFICE OF MAYOR OR THE BOARD OF SUPERVISORS**
 (S.F. Campaign and Governmental Conduct Code § 1.142(b))

Note: Each candidate who seeks public funds must complete and return this form to the Ethics Commission. The candidate may do so starting nine (9) months before the date of the election, but no later than the 70th day before the election. To be eligible, each candidate must have executed and filed at the Ethics Commission a Statement of Participation or Non-Participation indicating an intent to participate in the public financing program. In addition, each candidate must comply with the requirements set forth in S.F. Campaign and Governmental Conduct Code section 1.140.

PART I. FILER INFORMATION		
Name of Candidate Sonja Trauss	Office (include District No.) BOS District 6	Date of Election 11/06/2018
Name of Campaign Committee Sonja Trauss for Supervisor 2018	FPPC ID No. 1397772	Candidate Email Trauss2018@deaneandcompany.com
Candidate Mailing Address San Francisco CA 94103	Candidate Telephone (916) 285-5733	
Name of Treasurer Shawnda Deane	Treasurer Email Trauss2018@deaneandcompany.com	
Treasurer Mailing Address Sacramento CA 95815	Treasurer Telephone (916) 285-5733	

PART II. AUTHORIZATION
<p><i>Please list names of persons authorized to pick-up payments on behalf of the campaign committee. Payments made by check to the committee must be picked up by the candidate or candidate's authorized representative at the Ethics Commission office during regular business hours (Mon.-Fri., 8 a.m. - 5 p.m.). At the time of picking up payment checks, the candidate/candidate's authorized representative must present identification and sign a form acknowledging receipt of payment.</i></p> <p>1. <u>Sonja Trauss</u> 2. <u>Shawnda Deane</u></p>

PART III. CANDIDATE'S DECLARATION

I, Sonja Trauss hereby declare that the following information is true and correct:
(Name of candidate)

All Candidates

- I am seeking election to the Board of Supervisors, and I am eligible to hold the office if elected.
(Office of Mayor or Board of Supervisors)
- I agree to comply with all conditions of and requirements for the receipt and use of public funds as set forth in section 1.140 of the Campaign Finance Reform Ordinance (S.F. Campaign and Governmental Conduct Code § 1.100 et. seq., or CFRO) and any regulations adopted thereunder, and agree to submit to any reasonable audits or reviews of my committee deemed appropriate by the San Francisco Ethics Commission.
- I have filed the Statement of Participation or Non-Participation, on which I indicated my intent to participate in the public financing program.
- I will not make any payments to a contractor or vendor in return for the contractor or vendor making a campaign contribution to my campaign or make more than a total of 50 payments, other than the return of a contribution, to contractors or vendors that have made contributions to my campaign.
- I will not accept any loans from anyone other than myself and I will not loan or donate, in total, more than \$5,000 of my own funds to my campaign.
- I agree to participate in at least three debates with my opponents.
- I have paid any and all known outstanding fines owed to the City by me and any of my campaign committees.
- I have filed any and all outstanding statements, reports, or forms owed to the City by me or any of my campaign committees.
- There is no finding by a court within the past five years that I knowingly, willfully, or intentionally violated the CFRO, any provisions in the S.F. Campaign and Governmental Conduct Code, or the campaign finance provisions of the Political Reform Act.
- I understand that after the 70th day prior to the date of the election, I cannot withdraw this Declaration for Public Funds. I agree to comply with the requirements of the CFRO even if I decide not to accept public funds or even if I decide to repay public funds received from the Election Campaign Fund.
- I understand that as candidate, I bear the burden of proving that each contribution that I rely upon to establish eligibility is a qualifying contribution and that expenditures made with public funds are for qualified campaign expenditures only.

Candidates for Office of Mayor

- I have received \$50,000 in qualifying contributions from at least 500 residents of San Francisco (Non-Incumbent) or I have received \$75,000 in qualifying contributions from at least 750 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$50,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$1,475,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

Candidates for Board of Supervisors

- I have received \$10,000 in qualifying contributions from at least 100 residents of San Francisco (Non-Incumbent) or I have received \$15,000 in qualifying contributions from at least 150 residents of San Francisco (Incumbent), and I have listed all my qualifying contributions¹ in this document.
- I understand that in order to receive public funds, I must be opposed by a candidate who has either established eligibility to receive public funds, or who has received contributions or made expenditures which total \$10,000 or more.
- I agree to comply with my Individual Expenditure Ceiling of \$250,000, or as adjusted under Section 1.143 by the Executive Director of the Ethics Commission.

I declare under penalty of perjury that I have read and understand the requirements of the public financing program as set out in the CFRO. I also declare under penalty of perjury that to the best of my knowledge, information and belief, the information I provide in this form and all supporting documentation is true and correct.

Sonja Trauss

Candidate's Signature

06/06/2018

Date

¹ A "qualifying contribution" for the is a contribution of not less than \$10 and not more than \$100 that is made by an individual who is a resident of San Francisco and that complies with all requirements of the Ordinance Qualifying contributions do not include loans, contributions that are received more than 18 months before the date of the election or contributions made by the candidate or the candidate's immediate family S F Campaign and Governmental Conduct Code § 1 104(w)

QUALIFYING CONTRIBUTIONS – SUPPORTING DOCUMENTATION

Each candidate must submit the following documentation in order to be considered for public funds:

- **Qualifying Contributions List** (see below).
- Evidence of each contribution received, such as electronic copies of contributor checks, contributor cards and credit card authorizations. (These documents must be arranged in batches according to deposit batch number. The committee's record-keeper should assign a batch number to each deposit.)
- Electronic copies of itemized deposit slips, deposit receipts and evidence of S.F. residency.

Any claim form that is not accompanied by these documents will not be considered for payment.

QUALIFYING CONTRIBUTIONS LIST

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
1	Fingal-Surma	Daniel B		94114 San Francisco	Software Engineer	Google, Inc	Check	07/22/2017	08/07/2017	080717-TK1	500 00	100 00
2	Huey	Kyle Scott		94117 San Francisco	Co-Founder	Pernosco	Check	07/20/2017	08/07/2017	080717-TK1	500 00	100 00
3	Del Valle	Danielle M		94127 San Francisco	Student	n/a	Check	11/16/2017	12/14/2017	121417-FM1	500 00	100 00
4	Hilal	Michael A		94109 San Francisco	Director of Monetization	Hipmunk	Check	11/15/2017	12/14/2017	121417-FM1	150 00	100 00
5	Koch	Stephen R		94123 San Francisco	Principal	Resource Real Estate	Check	11/22/2017	12/14/2017	121417-FM1	250 00	100 00
6	Livingston	Daniel T		94108 San Francisco	Engineer	Tesla	Check	11/09/2017	12/14/2017	121417-FM1	150 00	100 00
7	Broockman	David		94113 San Francisco	Professor	Stanford	Electronic	07/22/2017	07/26/2017	DE072617-DC1	500 00	100 00
8	Buss	Steven		94110 San Francisco	Software Engineer	Google, Inc	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
9	Cole	Travis		94103 San Francisco	Not Employed	n/a	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500 00	100 00
10	Engardio	Joel		94132 San Francisco	Marketing Manager	Firebrand Communications	Electronic	07/23/2017	07/26/2017	DE072617-DC1	100 00	100 00
11	Fingal-Surma	Laura		94114 San Francisco	Designer	Urbn Adventures	Electronic	07/17/2017	07/26/2017	DE072617-DC1	500 00	100 00
12	Kwik	Huey		94117 San Francisco	Not Employed	n/a	Electronic	07/23/2017	08/02/2017	DE080217-DC1	500 00	100 00
13	Carneiro	Nick		94118 San Francisco	Software Engineer	WizeHire	Electronic	08/02/2017	08/09/2017	DE080917-DC1	200 00	100 00
14	Melli-Huber	Francisco		94131 San Francisco	Engineering Manager	Apple, Inc	Electronic	08/11/2017	08/16/2017	DE081617-DC1	100 00	100 00
15	Kennedy	Patrick		94103 San Francisco	Owner	Panoramic Real Estate	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
Subtotals											5,450 00	1,500 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
16	Matei	Robert		94110 San Francisco	Product Manager	Quora	Electronic	08/19/2017	08/23/2017	DE082317-DC1	100 00	100 00
17	Matlof-Kennedy	Julie		94123 San Francisco	Teacher	Stanford Law School	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500 00	100 00
18	English	Mark		94111 San Francisco	Architect	Mark English Architects	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
19	Friedman	Jared		94117 San Francisco	Partner	Y Combinator	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500 00	100 00
20	Ghaffary	Michael		94114 San Francisco	Venture Capital Investor	Social Capital	Electronic	08/22/2017	08/30/2017	DE083017-DC2	150 00	100 00
21	Kennedy	Alex		94123 San Francisco	Director	Silicon Valley Urban Debate League	Electronic	08/22/2017	08/30/2017	DE083017-DC2	500 00	100 00
22	Lowe	Travis Luther		94103 San Francisco	Vice President of Public Policy	Yelp	Electronic	08/21/2017	08/30/2017	DE083017-DC2	500 00	100 00
23	Agid	Bruce		94158 San Francisco	Managing Director of Business Development	Energy Experts International	Electronic	08/30/2017	09/06/2017	DE090617-DC1	250 00	100 00
24	Caufield	Frank		94123 San Francisco	Retired	n/a	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
25	Conway	Christopher		94123 San Francisco	Partner	SV Angel	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
26	Conway	Danny		94123 San Francisco	Partner	Techfellows Fund	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500 00	100 00
27	Kinsfather	David		94131 San Francisco	Software Engineer	Amazon	Electronic	09/03/2017	09/06/2017	DE090617-DC1	100 00	100 00
28	Cantwell	Robert		94110 San Francisco	Chief Financial Officer	Everlane	Electronic	09/07/2017	09/13/2017	DE091317-DC2	100 00	100 00
29	David	Todd		94114 San Francisco	Executive Director	San Francisco Housing Action Coalition	Electronic	09/05/2017	09/13/2017	DE091317-DC2	200 00	100 00
30	Dishotsky	Jonathan		94115 San Francisco	Chief Executive Officer	Starcity	Electronic	09/08/2017	09/13/2017	DE091317-DC2	500 00	100 00
31	Friedman	Nat		94123 San Francisco	Vice President	Microsoft	Electronic	09/05/2017	09/13/2017	DE091317-DC2	500 00	100 00
32	Condon	Kelly		94127 San Francisco	Residential Designer	Condon Designs	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
33	Diab	Omar		94110 San Francisco	Not Employed	n/a	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
34	Goldman	Erik		94102 San Francisco	Software Engineer	Vanta, LLC	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
35	Goldstein	Adam		94114 San Francisco	Co-Founder/Chief Executive Officer	Hipmunk	Electronic	09/19/2017	09/27/2017	DE092717-BH2	500 00	100 00
36	Heinritz	Max		94102 San Francisco	Softwaren Engineer	Flexport	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500 00	100 00
										Subtotals	7,600 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
37	Mellin	Joe		94118 San Francisco	Product Management	Microsoft	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100 00	100 00
38	Ballinger	Keith		94103 San Francisco	Director of Project Management	Microsoft	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
39	Bond	Amy		94103 San Francisco	Chief Executive Officer/Founder	San Francisco Pole & Dance	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500 00	100 00
40	Harper	Jackson Robert		94116 San Francisco	Software Engineer	Juvo Mobile	Electronic	09/29/2017	10/04/2017	DE100417-BH3	500 00	100 00
41	Janicki	Ian		94114 San Francisco	Product Manager	Katerra	Electronic	09/29/2017	10/04/2017	DE100417-BH3	150 00	100 00
42	Kremer	Michael		94110 San Francisco	Associate	Cypress Creek Renewables	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
43	La	Jimmy		94116 San Francisco	Project Lead	TIBCO Software	Electronic	09/28/2017	10/04/2017	DE100417-BH3	100 00	100 00
44	Liang	Mark		94102 San Francisco	Attorney	O'Melveny & Myers LLP	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100 00	100 00
45	Martin	Regan		94131 San Francisco	Architect	WRNS Studio	Electronic	09/25/2017	10/04/2017	DE100417-BH3	100 00	100 00
46	Schatz	Stephanie		94123 San Francisco	GM Application Development Microsoft	Microsoft	Check	09/29/2017	10/04/2017	DE100417-BH3	500 00	100 00
47	Berkman	Jacob		94117 San Francisco	Software Developer	Weebly Inc	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
48	Gil	Elad		94114 San Francisco	Co-Founder	Color Genomics	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
49	Goff	Michael		94134 San Francisco	Energy Analyst	The Breakthrough Institute	Electronic	10/07/2017	10/11/2017	DE101117-DC1	100 00	100 00
50	Mah	Jessica		94104 San Francisco	Chief Executive Officer	nDinero	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500 00	100 00
51	McDonnell	John		94102 San Francisco	Data Scientist	Stitch Fix	Electronic	10/01/2017	10/11/2017	DE101117-DC1	500 00	100 00
52	Camp	Daniel		94114 San Francisco	Software Engineer	Salesforce	Electronic	10/15/2017	10/18/2017	DE101817-VG1	500 00	100 00
53	Hirschfeld	Thomas		94110 San Francisco	Software Engineer	Uber Technologies	Electronic	10/15/2017	10/18/2017	DE101817-VG1	200 00	100 00
54	Lehman	Karl		94122 San Francisco	Content Services Association	Axioma	Electronic	10/13/2017	10/18/2017	DE101817-VG1	100 00	100 00
55	Marks	Benjamin		94115 San Francisco	Software Engineer	Google, Inc	Electronic	10/15/2017	10/18/2017	DE101817-VG1	100 00	100 00
56	Saitowitz	Stanley		94103 San Francisco	Architect	Stanley Saitowitz/Natoma Architects, Inc	Check	10/11/2017	10/18/2017	DE101817-VG1	500 00	100 00
57	Bosmeny	Tyler		94103 San Francisco	Founder	Clever	Electronic	10/20/2017	10/25/2017	DE102517-TG1	200 00	100 00
										Subtotals	6,350 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
58	Glaser	Stuart		94110 San Francisco	Robotacist	General Motors	Electronic	10/15/2017	10/25/2017	DE102517-TG1	100 00	100 00
59	Levin	Philip		94102 San Francisco	Manager	Facebook	Electronic	10/20/2017	10/25/2017	DE102517-TG1	250 00	100 00
60	Lumpkin	Jonathan Deyo		94133 San Francisco	Managing Principal	Cushman & Wakefield	Electronic	10/17/2017	10/25/2017	DE102517-TG1	500 00	100 00
61	Schlackman	Richard		94108 San Francisco	Political Consultant	RMS Associates	Check	10/16/2017	10/25/2017	DE102517-TG1	250 00	100 00
62	Blume	Michael		94112 San Francisco	Programmer	LendUp	Electronic	10/25/2017	11/01/2017	DE110117-DC1	100 00	100 00
63	Costa-Roberts	Justin		94117 San Francisco	Software Engineer	Arista Networks	Electronic	10/28/2017	11/01/2017	DE110117-DC1	100 00	100 00
64	DiCato	David		94158 San Francisco	Senior Software Engineer	LinkedIn	Electronic	10/27/2017	11/01/2017	DE110117-DC1	500 00	100 00
65	Hupp	Adam		94114 San Francisco	Engineer	Facebook	Electronic	10/25/2017	11/01/2017	DE110117-DC1	250 00	100 00
66	Lee	Rebecca		94133 San Francisco	Project Manager	Southern California Edison	Electronic	10/23/2017	11/01/2017	DE110117-DC1	100 00	100 00
67	Baron	Lee David		94110 San Francisco	Software Engineer	Mozilla	Electronic	11/02/2017	11/08/2017	DE110817-RC1	200 00	100 00
68	Elliston	Amos		94114 San Francisco	Chief Technology Officer	Flexport	Electronic	10/31/2017	11/08/2017	DE110817-RC1	500 00	100 00
69	Greze	Jean-Denis		94103 San Francisco	Vice President of Engineering	Plaid Incoporation	Electronic	11/05/2017	11/08/2017	DE110817-RC1	100 00	100 00
70	Hall	Phillip		94103 San Francisco	Vice President of Finance	Flexport	Electronic	10/30/2017	11/08/2017	DE110817-RC1	250 00	100 00
71	Magdovitz	Joseph		94117 San Francisco	Not Employed	n/a	Electronic	11/01/2017	11/08/2017	DE110817-RC1	100 00	100 00
72	Kimerling	Daniel		94110 San Francisco	Co-Founder	Deciens Capital	Electronic	11/09/2017	11/15/2017	DE111517-SC1	500 00	100 00
73	Korver	Brian		94117 San Francisco	Software Engineer	Apple, Inc	Electronic	11/11/2017	11/15/2017	DE111517-SC1	500 00	100 00
74	Driscoll	James		94117 San Francisco	Privacy Program Manager	Google, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
75	Espinoza	Kalah		94123 San Francisco	Vice President	Colliers International	Electronic	11/15/2017	11/22/2017	DE112217-SC2	100 00	100 00
76	Hawken	Brittan		94111 San Francisco	Broker	Jones Lang LaSalle, Inc	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100 00	100 00
77	Kaluza	Richard		94123 San Francisco	Realtor	Compass Real Estate	Electronic	11/14/2017	11/22/2017	DE112217-SC2	100 00	100 00
78	Koomen	Peter		94109 San Francisco	Cofounder	Optimizely	Electronic	11/13/2017	11/22/2017	DE112217-SC2	250 00	100 00
										Subtotals	4,950 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified
79	Mayo	Brandi		94109 San Francisco	Real Estate Agent	Paragon Real Estate	Electronic	11/15/2017	11/22/2017	DE112217-SC2	500 00	100 00
80	Sagan	Loring		94102 San Francisco	Principal, Director of Investments	BUILD	Check	11/21/2017	11/29/2017	DE112917-VS2	500 00	100 00
81	Ducker	Michael		94115 San Francisco	Engineer	Pinch	Electronic	12/02/2017	12/06/2017	DE120617-FM2	200 00	100 00
82	Alsdorf	Paul		94131 San Francisco	Attorney	McKesson	Electronic	12/23/2017	12/27/2017	DE122717-CT2	100 00	100 00
83	Altman	Sam		94110 San Francisco	President	Y Combinator	Electronic	12/21/2017	12/27/2017	DE122717-CT2	500 00	100 00
84	Brezina	Matthew		94114 San Francisco	Chief Executive Officer	Sincerely	Electronic	12/22/2017	12/27/2017	DE122717-CT2	250 00	100 00
85	Catlin	Micah		94110 San Francisco	Software Engineer	Looker	Electronic	12/18/2017	12/27/2017	DE122717-CT2	500 00	100 00
86	Chen	Michael		94109 San Francisco	Data Engineer	Facebook	Electronic	12/22/2017	12/27/2017	DE122717-CT2	175 00	100 00
87	Donham	Jake		94122 San Francisco	Computer Programmer	Twitter, Inc	Electronic	12/19/2017	12/27/2017	DE122717-CT2	500 00	100 00
88	Evans	David		94131 San Francisco	Director	Pacific Biosciences	Electronic	12/18/2017	12/27/2017	DE122717-CT2	100 00	100 00
89	Federman	Dan		94117 San Francisco	Software Engineer	Airbnb	Electronic	12/19/2017	12/27/2017	DE122717-CT2	200 00	100 00
90	Goldman	John Alan		94103 San Francisco	Architect	Goldman Architects	Electronic	12/22/2017	12/27/2017	DE122717-CT2	500 00	100 00
91	Grover	Daniel		94109 San Francisco	Product Manager	Facebook	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
92	Hodges	Jeff		94103 San Francisco	Software Engineer	Darkish Green	Electronic	12/18/2017	12/27/2017	DE122717-CT2	250 00	100 00
93	Krall	Evan		94110 San Francisco	Site Reliability Engineer	Yelp	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
94	Krisiloff	Matthew		94117 San Francisco	Director	YC Research	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100 00	100 00
95	Little	Cody		94107 San Francisco	Strategy Manager	Juul Labs	Electronic	12/24/2017	12/27/2017	DE122717-CT2	100 00	100 00
96	Lockwood	Michael		94112 San Francisco	Analysts	Provident Funding	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100 00	100 00
97	Clark	Alan		94114 San Francisco	Researcher	Facebook	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
98	Clark	Laura		94114 San Francisco	Executive Director	YIMBY Action	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500 00	100 00
99	Conkling	Will		94110 San Francisco	Business Development	Google	Electronic	12/28/2017	12/31/2017	DE123117-HS1	100 00	100 00
										Subtotals	5,875 00	2,100 00

	Last Name	First Name	Building #, Street, Apt.	Zip Code, City	Occupation	Employer	Payment Method	Date Received	Date Deposited	Deposit Batch #	Amount of Contribution	Amount Requested to be Qualified	
100	Donde	Yehuda		94117 San Francisco	Attorney	Morrison & Foerster	Electronic	12/25/2017	12/31/2017	DE123117-HS1	100 00	100 00	
101	Freedman	Kevin		94123 San Francisco	Founder	NextPhase Partners	Electronic	12/28/2017	12/31/2017	DE123117-HS1	250 00	100 00	
102	Fruchtman	Robert		94117 San Francisco	Software Engineer	Reddit	Electronic	12/26/2017	12/31/2017	DE123117-HS1	200 00	100 00	
103	Hazel	Steven		94117 San Francisco	Technologist	Sauce Labs Inc	Electronic	12/25/2017	12/31/2017	DE123117-HS1	200 00	100 00	
104	Leventhal	Cliff		94107 San Francisco	Retired	n/a	Electronic	12/28/2017	12/31/2017	DE123117-HS1	150 00	100 00	
											Subtotals	900 00	500 00
											Grand Totals	31,125 00	10,400 00

Exhibit B
to
Shawnda Deane Declaration

Kim Lutz

From: Kim Lutz
Sent: Thursday, March 08, 2018 11:54 AM
To: Li, Amy (ETH)
Cc: _ShannonTeam
Subject: RE: Determination of Ineligibility to Receive Public Funds

Thank you Amy.

The office will be closed Monday, May 28th in Observance of Memorial Day

Kim Lutz
Deane & Company
[REDACTED]

Phone (916) 285-5733
Fax (916) 333-1344
[REDACTED]



From: Li, Amy (ETH) <amy.li@sfgov.org>
Sent: Thursday, March 08, 2018 11:22 AM
To: Kim Lutz <[REDACTED]>
Cc: _ShannonTeam <[REDACTED]>
Subject: RE: Determination of Ineligibility to Receive Public Funds

Hello Kim,
Thank you for the follow-up.
We are still working on the qualifying request for Sonja Trauss for Supervisor 2018.
The Ethics Commission determines whether to certify a candidate no later than 30 days after the candidate submits the documents required under sections 1.142(a) and 1.142(b).

Thank you,
Amy

From: Kim Lutz [mailto:kim@deaneandcompany.com]
Sent: Thursday, March 8, 2018 9:13 AM
To: Li, Amy (ETH) <amy.li@sfgov.org>
Cc: _ShannonTeam <[REDACTED]>
Subject: RE: Determination of Ineligibility to Receive Public Funds

Hi Amy,

I wanted to check in on the status of the qualifying request for Sonja Trauss for Supervisor 2018. Do you have an anticipated timeframe for reviewing the resubmitted request?

Thank you for your help.

The office will be closed Monday, May 28th in Observance of Memorial Day

Kim Lutz
Deane & Company

Phone (916) 285-5733
Fax (916) 333-1344



From: Kim Lutz
Sent: Tuesday, February 27, 2018 5:56 PM
To: 'Li, Amy (ETH)' <amy.li@sfgov.org>
Cc: _ShannonTeam <ShannonTeam@deaneandcompany.com>
Subject: RE: Determination of Ineligibility to Receive Public Funds

Hi Amy,

I just wanted to let you know I am resubmitting the Qualifying Request now. To provide the Voter ID #'s, we were missing 11 of the Democracy Engine confirmation emails. We went online to Democracy Engine though and printed out the page that reflects their contribution from their site for these 11 donors and wrote the Voter ID #'s on those print outs. Please let us know if there is anything else you need once you review the additional items provided.

Thank you for all of your help through this process.

The office will be closed Monday, May 28th in Observance of Memorial Day

Kim Lutz
Deane & Company

Phone (916) 285-5733
Fax (916) 333-1344



From: Li, Amy (ETH) [mailto:amy.li@sfgov.org]
Sent: Friday, February 23, 2018 2:07 PM
To: Kim Lutz <kim@deaneandcompany.com>
Subject: RE: Determination of Ineligibility to Receive Public Funds

Yes.

From: Kim Lutz [mailto:kim@deaneandcompany.com]
Sent: Thursday, February 22, 2018 4:29 PM
To: Li, Amy (ETH) <amy.li@sfgov.org>; Trauss2018 <[REDACTED]>
Subject: RE: Determination of Ineligibility to Receive Public Funds

Hi Amy,

I have one last question. Will we just resubmit the qualifying request once we have updated/uploaded all of the additional items needed?

Thank you.

The office will be closed Monday, May 28th in Observance of Memorial Day

Kim Lutz
Deane & Company
1787 Tribute Road, Suite K
Sacramento, CA 95815
Phone (916) 285-5733
Fax (916) 333-1344
kim@deaneandcompany.com



From: Li, Amy (ETH) [mailto:amy.li@sfgov.org]
Sent: Thursday, February 22, 2018 3:04 PM
To: Kim Lutz <[REDACTED]>; Trauss2018 <[REDACTED]>
Subject: RE: Determination of Ineligibility to Receive Public Funds

Hi Kim,

You provide us with contributors' voter ID numbers. A physical certification is not necessary.
Please provide all required information via NetFile.

Thank you,
Amy

From: Kim Lutz [mailto:kim@deaneandcompany.com]

Sent: Thursday, February 22, 2018 2:47 PM

To: Li, Amy (ETH) <amy.li@sfgov.org>; Trauss2018 <[REDACTED]>

Subject: RE: Determination of Ineligibility to Receive Public Funds

Thank you Amy. If we are providing the current voter registration record for the individuals for proof of residency, do we just need to send you the their Voter ID# or do you need a physical certification of registration document?

Thank you.

The office will be closed Monday, May 28th in Observance of Memorial Day

Kim Lutz
Deane & Company
[REDACTED]

Phone (916) 285-5733

Fax (916) 333-1344
[REDACTED]



From: Li, Amy (ETH) [mailto:amy.li@sfgov.org]

Sent: Thursday, February 22, 2018 1:21 PM

To: Trauss2018 <[REDACTED]>

Subject: Determination of Ineligibility to Receive Public Funds

Dear Ms. Trauss,

The Ethics Commission has received and reviewed your February 13, 2018, Qualifying Request for Public Funds for the Board of Supervisors election on November 6, 2018. Based on the supporting documentation provided in your request, we are not able at this time to certify you to receive public financing.

Please refer to the attached spreadsheet for a list of contributions that qualified and that did not qualify, and the reasons for such determination.

This determination will remain final unless you provide additional supporting materials and/or additional contributions within a timeframe established under the law. Based on SFC&GC section 1.142(f) (copy attached), you have five business days from the date of this notification to resubmit your Qualifying Request for further review, along with any additional supporting material and/or additional contributions. If we make a final determination that you have not yet established eligibility after reviewing any supporting material you submit in response to this notice, we will notify you to that effect. Please note, however, that you may continue to

submit qualifying requests as necessary to establish your eligibility. In addition, any final determination that you are ineligible for public funds may be appealed to the Ethics Commission.

If you have any questions about this letter or the process or requirements related to qualifying for public funds in your campaign, please contact the Ethics Commission staff.

Sincerely,

LeeAnn Pelham
Executive Director

cc: Shanda Deane, Treasurer
Enclosures

Thank you,
Amy Li
Campaign Finance Auditor
Ethics Commission
City and County of San Francisco
25 Van Ness Avenue, Suite 220
San Francisco, CA 94102
415-252-3100





ETHICS COMMISSION CITY AND COUNTY OF SAN FRANCISCO

PETER KEANE
CHAIRPERSON

February 22, 2018

DAINA CHIU
VICE-CHAIRPERSON

Sonja Trauss
Sonia Trauss for Supervisor 2018
1718 Tribute Road, Suite K
Sacramento, CA 95815

PAULA A. RENNE
COMMISSIONER

Re: Determination of Ineligibility to Receive Public Funds under San Francisco Campaign and Governmental Conduct ("SFC&GC") Code §1.100 *et seq.* based on February 13, 2018 Qualifying Request

QUENTIN L. KOPP
COMMISSIONER

Dear Ms. Trauss,

YVONNE LEE
COMMISSIONER

The Ethics Commission has received and reviewed your February 13, 2018, Qualifying Request for Public Funds for the Board of Supervisors election on November 6, 2018. Based on the supporting documentation provided in your request, we are not able at this time to certify you to receive public financing.

LEEANN PELHAM
EXECUTIVE DIRECTOR

Please refer to the attached spreadsheet for a list of contributions that qualified and that did not qualify, and the reasons for such determination.

This determination will remain final unless you provide additional supporting materials and/or additional contributions within a timeframe established under the law. Based on SFC&GC section 1.142(f) (copy attached), you have five business days from the date of this notification to resubmit your Qualifying Request for further review, along with any additional supporting material and/or additional contributions. If we make a final determination that you have not yet established eligibility after reviewing any supporting material you submit in response to this notice, we will notify you to that effect. Please note, however, that you may continue to submit qualifying requests as necessary to establish your eligibility. In addition, any final determination that you are ineligible for public funds may be appealed to the Ethics Commission.

If you have any questions about this letter or the process or requirements related to qualifying for public funds in your campaign, please contact the Ethics Commission staff.

Sincerely,

LeeAnn Pelham
Executive Director

cc: Shanda Deane, Treasurer
Enclosures

Candidate Name: Sonja Trauss; Committee: Sonja Trauss for Supervisor SFEC Qualifying Contributions List

Entry No.	Contributor's last name	Contributor's first name	Building number, street, apt.	City	Zip code	Contributors of \$100 or more (cumulatively): Contributor's occupation	Contributors of \$100 or more (cumulatively): Contributor's employer (if self-employed, name of contributor's business)	Method of payment (cash, check, etc.)	Date contribution received	Date contribution deposited	Deposit batch number	Amount of contribution	Amount of qualifying contribution (not less than \$10 and not more than \$100)	FOR SFEC USE			
														Payment Ratio	Approved Amount in	Enter Amount of au	Notes
1	Fingal-Surma	Daniel B.	1146 Castro Street	San Francisco	94114	Software Engineer	Google, Inc.	Check	07/22/2017	08/07/2017	080717-TK1	500.00	100.00			follow up	Please provide a copy of the deposit slip.
2	Huey	Kyle Scott	499 Steiner Street, #5	San Francisco	94117	Co-Founder	Pernasco	Check	07/20/2017	08/07/2017	080717-TK1	500.00	100.00			follow up	Please provide a copy of the deposit slip.
3	Del Valle	Danielle M.	160 Wawona Street	San Francisco	94127	Student	n/a	Check	11/16/2017	12/14/2017	121417-FM1	500.00	100.00			follow up	Please provide a copy of the deposit slip.
4	Hilal	Michael A.	631 O'Farrell Street, Apt. 306	San Francisco	94109	Director of Monetization	Hipmunk	Check	11/15/2017	12/14/2017	121417-FM1	150.00	100.00			follow up	Please provide a copy of the deposit slip.
5	Koch	Stephen R.	2545 Baker Street	San Francisco	94123	Real Estate	Stephen Koch	Check	11/22/2017	12/14/2017	121417-FM1	250.00	100.00			follow up	Please provide a copy of the deposit slip.
6	Livingston	Dan T.	640 Mason Street, Apt. 608	San Francisco	94108	Engineer	Tesla	Check	11/09/2017	12/14/2017	121417-FM1	150.00	100.00			follow up	Please provide a copy of the check and the deposit slip.
7	Broockman	David	2299 Market Street, Apt. 204	San Francisco	94113	Professor	Stanford	Electronic	07/22/2017	07/26/2017	DE072617-DC1	500.00	100.00			follow up	The AVS code indicates that the street address matches, but 5 digit postal code does not match. Please provide supporting documentation to prove contributor's S.F. residency.
8	Busa	Steven	1248 Hampshire Street, #A	San Francisco	94110	Software Engineer	Google, Inc.	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500.00	100.00			100.00	
9	Cole	Travis	170 Russ Street	San Francisco	94103	Not Employed	n/a	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500.00	100.00			100.00	
10	Engardio	Joel	1000 Eucalyptus Drive	San Francisco	94132	Marketing	Firebrand Communications	Electronic	07/23/2017	07/26/2017	DE072617-DC1	100.00	100.00			100.00	
11	Fingal-Surma	Laura	1146 Castro Street	San Francisco	94114	Designer	Urbn Adventures	Electronic	07/17/2017	07/26/2017	DE072617-DC1	500.00	100.00			100.00	
12	Kwik	Huey	988 Fulton Street, Apt. 341	San Francisco	94117	Not Employed	n/a	Electronic	07/23/2017	08/02/2017	DE080217-DC1	500.00	100.00			100.00	
13	Carneiro	Nick	242 Cabrillo Street	San Francisco	94118	Software Engineer	Nick Carneiro	Electronic	08/02/2017	08/09/2017	DE080917-DC1	200.00	100.00			follow up	Please provide the self-employed contributor's business name.
14	Melli-Huber	Francisco	1538 Diamond Street	San Francisco	94131	Engineering Manager	Apple, Inc.	Electronic	08/11/2017	08/16/2017	DE081617-DC1	100.00	100.00			100.00	
15	Kennedy	Patrick	1321 Mission Street	San Francisco	94103	Real Estate	Panoramic	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500.00	100.00			follow up	The address listed here is a business address. Please provide supporting documentation to prove contributor's S.F. residency.
16	Matei	Robert	199 Capp Street	San Francisco	94110	Product Manager	Quora	Electronic	08/19/2017	08/23/2017	DE082317-DC1	100.00	100.00			follow up	The address listed here is a business address. Please provide supporting documentation to prove contributor's S.F. residency.
17	Matlof-Kennedy	Julie	2848 Steiner Street	San Francisco	94123	Teacher	Stanford Law School	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500.00	100.00			follow up	The AVS code indicates that street address and postal code do not match, or Card member's name, street address and postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.
18	English	Mark	523 Francisco Street	San Francisco	94133	Architect	Mark English	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500.00	100.00			follow up	Please provide the self-employed contributor's business name.
19	Friedman	Jared	5 Alma Street, Apt. 2	San Francisco	94117	Partner	Y Combinator	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500.00	100.00			100.00	
20	Ghaffary	Michael	4104 24th Street, #990	San Francisco	94114	Venture Capital Investor	Social Capital	Electronic	08/22/2017	08/30/2017	DE083017-DC2	150.00	100.00			follow up	This is a UPS store address. Please provide the contributor's resident address and supporting documentation to prove contributor's S.F. residency.
21	Kennedy	Alex	3848 Steiner Street	San Francisco	94123	Director	Silicon Valley Urban Debate League	Electronic	08/22/2017	08/30/2017	DE083017-DC2	500.00	100.00			follow up	The AVS code indicates that street address and postal code do not match, or Card member's name, street address and postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.
22	Lowe	Travis Luther	29 Capp Street, Apt. 2	San Francisco	94103	Vice President of Public Policy	Yelp	Electronic	08/21/2017	08/30/2017	DE083017-DC2	500.00	100.00			follow up	The AVS code indicates that street address and postal code do not match, or Card member's name, street address and postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.
23	Agid	Bruce	300 Berry Street, Unit 1301	San Francisco	94158	Managing Director of Business Developm	Energy Experts International	Electronic	08/30/2017	09/06/2017	DE090617-DC1	250.00	100.00			100.00	
24	Caufield	Frank	4 Embarcadero Circle, Suite 1400	San Francisco	94111	Retired	n/a	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500.00	100.00			follow up	The address listed here is a business address. Please provide supporting documentation to prove S.F. residency.
25	Conway	Christopher	765 Market Street, Apt. 25G	San Francisco	94123	Venture Capital	SV Angel	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500.00	100.00			100.00	
26	Conway	Danny	3150 Buchanan Street	San Francisco	94123	Producer	Danny Conway	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500.00	100.00			follow up	Please provide contributor's employer information.
27	Kinsfather	David	972 Cheney Street	San Francisco	94131	Software Developer	Amazon	Electronic	09/03/2017	09/06/2017	DE090617-DC1	100.00	100.00			100.00	
28	Cantwell	Robert	62 Putnam Street	San Francisco	94110	Chief Financial Officer	Everlane	Electronic	09/07/2017	09/13/2017	DE091317-DC2	100.00	100.00			100.00	
29	David	Todd	384 Eureka Street	San Francisco	94114	Executive Director	San Francisco Housing Action Coalition	Electronic	09/05/2017	09/13/2017	DE091317-DC2	200.00	100.00			100.00	
30	Dishotsky	Jonathan	1904 Baker Street	San Francisco	94115	Chief Executive Officer	Starcity	Electronic	09/08/2017	09/13/2017	DE091317-DC2	500.00	100.00			100.00	
31	Friedman	Nat	148 Alhambra Street	San Francisco	94123	Vice President	Microsoft	Electronic	09/05/2017	09/13/2017	DE091317-DC2	500.00	100.00			100.00	
32	Condon	Kelly	443 Joost Avenue	San Francisco	94127	Residential Design	Kelly Condon	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100.00	100.00			follow up	Please provide the self-employed contributor's business name.
33	Diab	Omar	730 San Jose Avenue	San Francisco	94110	Not Employed	n/a	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100.00	100.00			100.00	
34	Goldman	Erik	388 Fulton Street, Apt. 11	San Francisco	94102	Software Engineer	Vanta, LLC	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500.00	100.00			100.00	
35	Goldstein	Adam	75 Sharon Street	San Francisco	94114	Co-Founder/Chief Executive Officer	Hipmunk	Electronic	09/19/2017	09/27/2017	DE092717-BH2	500.00	100.00			100.00	
36	Heinritz	Max	83 McAllister Street	San Francisco	94102	Software Engineer	Flexport	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500.00	100.00			100.00	
37	Mellin	Joe	215 Clement Street	San Francisco	94118	Product Management	Microsoft	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100.00	100.00			100.00	
38	Ballinger	Keith	33 8th Street, Apt. 1902	San Francisco	94103	Director of Project Management	Microsoft	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500.00	100.00			100.00	
39	Bond	Amy	33 8th Street, #1902	San Francisco	94103	Chief Executive Officer/Founder	San Francisco Pole & Dance	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500.00	100.00			follow up	The AVS Code indicates partial match - street address does not match, but 5-digit postal code matches. Please provide supporting documentation to prove contributor's S.F. residency.
40	Harper	Jackson	2632 45th Avenue	San Francisco	94116	Software Engineer	Juvo Mobile	Electronic	09/29/2017	10/04/2017	DE100417-BH3	500.00	100.00			follow up	The AVS code indicates that no match - Street address and postal code do not match or Card member's name, street address and postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.
41	Janicki	Ian	506 Church Street	San Francisco	94114	Product Manager	Katerra	Electronic	09/29/2017	10/04/2017	DE100417-BH3	150.00	100.00			100.00	

42	Kremer	Michael	763 Capp Street	San Francisco	94110	Associate	Cypress Creek Renewables	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100.00	100.00				follow up	The AVS code indicates that street address and postal code do not match or Card member's name, street address and postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.
43	La	Jimmy	1933 48th Avenue	San Francisco	94116	Project Lead	TIBCO Software	Electronic	09/28/2017	10/04/2017	DE100417-BH3	100.00	100.00				100.00	
44	Liang	Mark	880 Mission Street, Apt. 25P	San Francisco	94105	Attorney	O'Melveny & Myers LLP	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100.00	100.00				follow up	The AVS code indicates that street address does not match, but 5-digit postal code matches. Please provide supporting documentation to prove contributor's S.F. residency.
45	Martin	Regan	888 Corbett Avenue	San Francisco	94131	Architect	WRNS Studio	Electronic	09/25/2017	10/04/2017	DE100417-BH3	100.00	100.00				100.00	
46	Berkman	Jacob	529 Baker Street	San Francisco	94117	Software Developer	Weebly Inc.	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500.00	100.00				100.00	
47	Gil	Elad	229 Jersey Street	San Francisco	94114	Genetics	Color	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500.00	100.00				100.00	
48	Goff	Michael	16 Holyoke Street	San Francisco	94134	Energy Analyst	The Breakthrough Institute	Electronic	10/07/2017	10/11/2017	DE101117-DC1	100.00	100.00				100.00	
49	Mah	Jessica	333 Bush Street, #3805	San Francisco	94104	Chief Executive Officer	mDinero	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500.00	100.00				100.00	
50	McDonnell	John	400 Page Street, #305	San Francisco	94102	Data Scientist	Slitch Fix	Electronic	10/01/2017	10/11/2017	DE101117-DC1	500.00	100.00				100.00	
51	Camp	Daniel	3867A 17th Street	San Francisco	94114	Software Engineer	Salesforce	Electronic	10/15/2017	10/18/2017	DE101817-VG1	500.00	100.00				100.00	
52	Hirschfeld	Thomas	2888 23rd Street	San Francisco	94110	Software Engineer	Uber Technologies	Electronic	10/15/2017	10/18/2017	DE101817-VG1	200.00	100.00				100.00	
53	Lehman	Karl	1793 7th Avenue, #3	San Francisco	94122	Content Services Association	Axioma	Electronic	10/13/2017	10/18/2017	DE101817-VG1	100.00	100.00				100.00	
54	Marks	Benjamin	1395 Golden Gate Avenue, Apt. 603	San Francisco	94115	Software Engineer	Google, Inc.	Electronic	10/15/2017	10/18/2017	DE101817-VG1	100.00	100.00				follow up	The AVS code indicates that street address does not match, but 5-digit postal code matches. Please provide supporting documentation to prove contributor's S.F. residency.
55	Bosmeny	Tyler	1263 Mission Street	San Francisco	94103	Founder	Clever	Electronic	10/20/2017	10/25/2017	DE102517-TG1	200.00	100.00				follow up	This is a business address. Please provide supporting documentation to prove S.F. residency.
56	Glaser	Stuart	566 South Van Ness Avenue, Apt. 6	San Francisco	94110	Robotician	General Motors	Electronic	10/15/2017	10/25/2017	DE102517-TG1	100.00	100.00				100.00	
57	Levin	Philip	191 Haight Street	San Francisco	94102	Technology	Philip Levin	Electronic	10/20/2017	10/25/2017	DE102517-TG1	250.00	100.00				100.00	
58	Lumpkin	JD	425 Market Street, Suite 2300	San Francisco	94105	Managing Principal	Cushman & Wakefield	Electronic	10/17/2017	10/25/2017	DE102517-TG1	500.00	100.00				follow up	The AVS code indicates that street address and postal code do not match or Card member's name, street address and postal code do not match. Please provide supporting documentation to prove S.F. residency.
59	Blume	Michael	75 Brighton Avenue	San Francisco	94112	Programmer	LendUp	Electronic	10/25/2017	11/01/2017	DE110117-DC1	100.00	100.00				100.00	
60	Costa-Roberts	Justin	270 Parnassus Avenue	San Francisco	94117	Software Engineer	Anata Networks	Electronic	10/28/2017	11/01/2017	DE110117-DC1	100.00	100.00				100.00	
61	D'Ceato	David	301 King Street, Unit 900	San Francisco	94158	Senior Software Engineer	LinkedIn	Electronic	10/27/2017	11/01/2017	DE110117-DC1	500.00	100.00				100.00	
62	Huys	Adam	551 Eureka Street	San Francisco	94114	Engineer	Facebook	Electronic	10/25/2017	11/01/2017	DE110117-DC1	250.00	100.00				100.00	
63	Lee	Rebecca	1420 Taylor Street, Apt. B	San Francisco	94133	Project Manager	Southern California Edison	Electronic	10/23/2017	11/01/2017	DE110117-DC1	100.00	100.00				100.00	
64	Baron	Lee David	1040 Dolores Street, Apt. 203	San Francisco	94110	Software Engineer	Mozilla	Electronic	11/02/2017	11/08/2017	DE110817-RC1	200.00	100.00				100.00	
65	Elliston	Amos	484 Alvarado Street	San Francisco	94114	Chief Technology Officer	Flexport	Electronic	10/31/2017	11/08/2017	DE110817-RC1	500.00	100.00				100.00	
66	Greze	Jean-Denis	38 Dolores Street, Apt. 608	San Francisco	94103	Vice President of Engineering	Flair Incorporation	Electronic	11/05/2017	11/08/2017	DE110817-RC1	100.00	100.00				100.00	
67	Hall	Phillip	415 Tehama Street	San Francisco	94103	Finance	Flexport	Electronic	10/30/2017	11/08/2017	DE110817-RC1	250.00	100.00				100.00	
68	Magdovitz	Joseph	340 Baker Street, Apt. 3	San Francisco	94117	Not Employed	n/a	Electronic	11/01/2017	11/08/2017	DE110817-RC1	100.00	100.00				100.00	
69	Kimberling	Daniel	2957 Cesar Chavez Street	San Francisco	94110	Venture Capital	Deciens Capital	Electronic	11/09/2017	11/15/2017	DE111517-SC1	500.00	100.00				100.00	
70	Korver	Brian	1290 Grove Street	San Francisco	94117	Software Engineer	Apple, Inc.	Electronic	11/11/2017	11/15/2017	DE111517-SC1	500.00	100.00				100.00	
71	Driscoll	James	1161 Stanyan Street, #2	San Francisco	94117	Privacy Program Manager	Google, Inc.	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100.00	100.00				100.00	
72	Espinoza	Kalah	3250 Webster Street	San Francisco	94123	Vice President	Colliers International	Electronic	11/15/2017	11/22/2017	DE112217-SC2	100.00	100.00				100.00	
73	Hawken	Brittan	2919 Pacific Avenue, #9	San Francisco	94111	Broker	Jones Lang LaSalle, Inc.	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100.00	100.00				follow up	The AVS code indicates that street address matches, but 5-digit postal code and 9-digit postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.
74	Kaluzka	Richard	1541 Filbert Street, #5	San Francisco	94123	Realtor	Compass Real Estate	Electronic	11/14/2017	11/22/2017	DE112217-SC2	100.00	100.00				100.00	
75	Koomen	Peter	827 North Point Street, #3	San Francisco	94109	Co-founder	Optimizely	Electronic	11/13/2017	11/22/2017	DE112217-SC2	250.00	100.00				100.00	
76	Mayo	Brandi	1299 Lombard Street, Apt. 53	San Francisco	94109	Real Estate	Brandi Mayo	Electronic	11/15/2017	11/22/2017	DE112217-SC2	500.00	100.00				100.00	
77	Ducker	Michael	1949 McAllister Street	San Francisco	94115	Engineer	Pinch	Electronic	12/02/2017	12/08/2017	DE120817-FM2	200.00	100.00				100.00	
78	Aldorf	Paul	442 Gold Mine Drive	San Francisco	94131	Attorney	McKesson	Electronic	12/23/2017	12/27/2017	DE122717-CT2	100.00	100.00				100.00	
79	Altman	Sam	29 Dorland Street	San Francisco	94110	President	Y Combinator	Electronic	12/21/2017	12/27/2017	DE122717-CT2	500.00	100.00				100.00	
80	Brezina	Matthew	51 Ford Street	San Francisco	94114	Investor	Matthew Brezina	Electronic	12/22/2017	12/27/2017	DE122717-CT2	250.00	100.00				follow up	The AVS code indicates that street address does not match, but 5-digit postal code matches. Please provide supporting documentation to prove contributor's S.F. residency.
81	Catin	Micah	357 San Jose Avenue	San Francisco	94110	Software Engineer	Looker	Electronic	12/18/2017	12/27/2017	DE122717-CT2	500.00	100.00				100.00	
82	Chen	Michael	1688 Pine Street, Unit W1004	San Francisco	94109	Data Engineer	Facebook	Electronic	12/22/2017	12/27/2017	DE122717-CT2	175.00	100.00				100.00	
83	Donham	Jake	318 Moraga Street	San Francisco	94122	Computer Programmer	Twitter, Inc.	Electronic	12/19/2017	12/27/2017	DE122717-CT2	500.00	100.00				100.00	
84	Evans	David	775 Chenery Street	San Francisco	94131	Director	Pacific Biosciences	Electronic	12/18/2017	12/27/2017	DE122717-CT2	100.00	100.00				100.00	
85	Federman	Dan	1353 Page Street	San Francisco	94117	Software Engineer	Airbnb	Electronic	12/19/2017	12/27/2017	DE122717-CT2	200.00	100.00				100.00	
86	Goldman	John	172 Russ Street	San Francisco	94103	Architect	Goldman Architects	Electronic	12/22/2017	12/27/2017	DE122717-CT2	500.00	100.00				100.00	
87	Grover	Daniel	750 O'Farrell Street, Suite 106	San Francisco	94109	Product Manager	Facebook	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100.00	100.00				100.00	
88	Hodges	Jeff	200 Guerrero Street, Apt. 201	San Francisco	94103	Software Engineer	Jeff Hodges	Electronic	12/18/2017	12/27/2017	DE122717-CT2	250.00	100.00				follow up	Please provide the self-employed contributor's business name.
89	Krail	Evan	202 Virginia Avenue	San Francisco	94110	Site Reliability Engineer	Yelp	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100.00	100.00				100.00	
90	Krisloff	Matthew	181 Liberty Street, Apt. 4	San Francisco	94110	Director	YC Research	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100.00	100.00				follow up	The AVS code indicates that street address and postal code do not match or card member's name, street address and postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.
91	Little	Cody	1122 Tennessee Street, Apt. 1	San Francisco	94107	Strategy Manager	Juul Labs	Electronic	12/24/2017	12/27/2017	DE122717-CT2	100.00	100.00				100.00	
92	Lockwood	Michael	1146 Alemany Blvd.	San Francisco	94112	Analysts	Provident Funding	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100.00	100.00				follow up	The AVS code indicates that street address and postal code do not match or card member's name, street address and postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.
93	Clark	Alan	39 Boardman Place, Apt. 105	San Francisco	94114	Researcher	Facebook	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500.00	100.00				follow up	The AVS code indicates that street address matches, but 5-digit postal code and 9-digit postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.
94	Clark	Laura	39 Boardman Place, Apt. 105	San Francisco	94114	Executive Director	YIMBY Action	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500.00	100.00				follow up	The AVS code indicates that street address matches, but 5-digit postal code and 9-digit postal code do not match. Please provide supporting documentation to prove contributor's S.F. residency.

95	Conkling	Will	325 Montcalm Street	San Francisco	94110	Business Development	Google	Electronic	12/28/2017	12/31/2017	DE123117-HS1	100.00	100.00			100.00	
96	Donde	Yehuda	334 Frederick Street, Apt. 3	San Francisco	94117	Attorney	Morrison & Foerster	Electronic	12/25/2017	12/31/2017	DE123117-HS1	100.00	100.00			100.00	
97	Freedman	Kevin	3028 Laguna Street	San Francisco	94123	Founder	NextPhase Partners	Electronic	12/28/2017	12/31/2017	DE123117-HS1	250.00	100.00			100.00	
98	Fruchtman	Robert	700 Broderick Street, Apt. 1	San Francisco	94117	Software Engineer	Reddit	Electronic	12/26/2017	12/31/2017	DE123117-HS1	200.00	100.00			100.00	
99	Hazel	Steven	2125 Hayes Street, #5	San Francisco	94117	Technologist	Sauce Labs Inc.	Electronic	12/25/2017	12/31/2017	DE123117-HS1	200.00	100.00			100.00	
100	Leventhal	Cliff	831 Folsom Street, #4D	San Francisco	94107	Retired	n/a	Electronic	12/28/2017	12/31/2017	DE123117-HS1	150.00	100.00			100.00	
Totals												29375	10000		0	6800	

Kim Lutz

From: Hodge, Robert (ETH) <robert.hodge@sfgov.org>
Sent: Friday, March 23, 2018 5:39 PM
To: Kim Lutz; Trauss2018
Subject: RE: Sonja Trauss Qualifying Request 02.27.18

Kim,

That is correct. A candidate must resolve any outstanding late filing fees or penalties, in addition to meeting all other public financing requirements, before they can be certified as eligible for public financing. Section 1.140 of the San Francisco Campaign and Government Conduct Code, as well as Regulation 1.140-2, detail specific requirements for a candidate to qualify for public financing.

A candidate's Qualifying Request can still be submitted and reviewed even if there are outstanding fines. However, the Executive Director will not be able to certify until the fees/penalties have been resolved and are no longer outstanding.

I have reached out to our Enforcement Division and asked the Fines Collection Officer, Ernestine Braxton, to contact you. She will have the most current information regarding outstanding fines owed.

Robb Hodge
Campaign Finance Auditor
San Francisco Ethics Commission
Sfethics.org | robert.hodge@sfgov.org

From: Kim Lutz <kim@deaneandcompany.com>
Sent: Friday, March 23, 2018 9:04 AM
To: Hodge, Robert (ETH) <Robert.hodge@sfgov.org>; Trauss2018 [REDACTED]
Subject: RE: Sonja Trauss Qualifying Request 02.27.18

Hi Robert,

To confirm, if we provide you with the information needed on the spreadsheet, there will still be the issue of the fines from past committees preventing the qualifying request from being accepted? Will the fines need to be paid before we can resend the qualifying request?

Also, is it possible to get something with the amounts still owed on the other committees?

Thank you for your help.

The office will be closed Monday, May 28th in Observance of Memorial Day

Kim Lutz
Deane & Company
1787 Tribute Road, Suite K
Sacramento, CA 95815
Phone (916) 285-5733
Fax (916) 333-1344



From: Hodge, Robert (ETH) <robert.hodge@sfgov.org>
Sent: Wednesday, March 21, 2018 6:11 PM
To: Trauss2018 <[REDACTED]>
Subject: Sonja Trauss Qualifying Request 02.27.18

Attached please find the Ethics Commission's notification regarding your Qualifying Request for the November election submitted on 02/27/18. The accompanying spreadsheet is a list of contributions that qualified and that did not, and our reasoning for these determinations.

Please contact our office with any questions you may have.

Robb Hodge
Campaign Finance Auditor
San Francisco Ethics Commission
25 Van Ness Avenue, Suite 220, San Francisco, CA 94102
Telephone: 415.252.3100
Sfethics.org | robert.hodge@sfgov.org





ETHICS COMMISSION CITY AND COUNTY OF SAN FRANCISCO

March 21, 2018

DAINA CHIU
VICE-CHAIRPERSON

Sonja Trauss
Sonia Trauss for Supervisor 2018
603 Natoma Street, #305
San Francisco, CA 94103

PAUL A. RENNE
COMMISSIONER

QUENTIN L. KOPP
COMMISSIONER

Re: Determination of Ineligibility to Receive Public Funds under San Francisco Campaign and Governmental Conduct ("SFC&GC") Code §1.100 *et seq.* based on February 27, 2018 Qualifying Request

YVONNE LEE
COMMISSIONER

Dear Ms. Trauss,

(VACANT)
COMMISSIONER

The Ethics Commission has received and reviewed your February 27, 2018, Qualifying Request for Public Funds for the Board of Supervisors election on November 6, 2018. Based on the supporting documentation provided in your request, we are not able at this time to certify you to receive public financing. We have attached a spreadsheet for your reference that provides a list of contributions that qualified and that did not qualify, and the reasons for such determination.

LEEANN PELHAM
EXECUTIVE DIRECTOR

As you may recall, the San Francisco Campaign and Governmental Conduct Code (the "Code") provides a voluntary system of public financing for Mayoral and Supervisorial candidates who qualify as eligible to receive public funds, and sets forth a number of requirements that a candidate for one of these offices must satisfy to be eligible to receive public funds under the program. One such requirement appears at Code section 1.140(a), which reads:

To be eligible to receive public financing of campaign expenses under this Chapter, a candidate must ... (3) [h]ave paid any outstanding late fines or penalties, owed to the City by the candidate or any of the candidate's previous campaign committees, which were imposed for violations of this Code or the campaign finance provisions of the California Political Reform Act (Government Code Sections 84100-85704), provided that the Ethics Commission had notified the candidate of such fines or penalties by the time of certification.

Regulation 1.140-1 of the Code defines a candidate's previous campaign committee as "any campaign committee controlled by the candidate or committee for which the candidate served as treasurer or assistant treasurer."

As part of our standard pre-eligibility review process, we have also determined from Ethics Commission records that you served as Treasurer for two committees, SF Bay Area Renters Political Action Committee and Yes in My Backyard Political Action Committee, which were assessed late fees per Code section 1.170(d) in connection with late campaign statement filings. As of today, our records indicate no payment has been received for these fees. This letter is provided as a public financing program courtesy notice to inform you that this late fee remains outstanding within the meaning of Code section 1.140(a)(3).

We encourage you to remit the assessed late fee penalty as soon as possible so that any further assessment and determination regarding your eligibility for public financing can proceed without delay. If you have any questions about this letter or the process or requirements related to qualifying for public funds in your campaign, please feel free to contact our office at (415) 252-3100.

Sincerely,



LeeAnn Pelham
Executive Director

cc: Shawnda Deane, Treasurer
Enclosures

Candidate Name: Sonja Trauss; Committee: Sonja Trauss for Supervisor SFEC Qualifying Contributions List

Entry No.	Contributor's last name	Contributor's first name	Building number, street, apt.	City	Zip code	Contributors of \$100 or more (cumulatively): Contributor's occupation	Contributors of \$100 or more (cumulatively): Contributor's employer (if self-employed, name of contributor's business)	Method of payment (cash, check, etc.)	Date contribution received	Date contribution deposited	Deposit batch number	Amount of contribution	Amount of qualifying contribution (not less than \$10 and not more than \$100)	FOR SFEC USE			
														Payment Ratio	Approved Amount	Enter Amount of qual	Notes
1	Fingal-Surma	Daniel B.	1148 Castro Street	San Francisco	94114	Software Engineer	Google, Inc.	Check	07/22/2017	08/07/2017	080717-TK1	500.00	100.00			100.00	
2	Huey	Kyle Scott	499 Steiner Street, #5	San Francisco	94117	Co-Founder	Pernasco	Check	07/20/2017	08/07/2017	080717-TK1	500.00	100.00			100.00	
3	Del Valle	Danielle M.	160 Wawona Street	San Francisco	94127	Student	n/a	Check	11/16/2017	12/14/2017	121417-FM1	500.00	100.00			100.00	
4	Hilal	Michael A.	631 O'Farrell Street, Apt. 306	San Francisco	94109	Director of Monetization	Hipmunk	Check	11/15/2017	12/14/2017	121417-FM1	150.00	100.00			100.00	
5	Koch	Stephen R.	2545 Baker Street	San Francisco	94123	Real Estate	Stephen Koch	Check	11/22/2017	12/14/2017	121417-FM1	250.00	100.00			follow up	Please clarify the contributor's specific occupation and employer information. If the contributor is self-employed, please list the name of the entity that is on the contributor's paycheck. If there is no business name, please enter "self-employed/same" in the employer field.
6	Livingston	Dan T.	640 Mason Street, Apt. 608	San Francisco	94108	Engineer	Tesla	Check	11/09/2017	12/14/2017	121417-FM1	150.00	100.00			100.00	
7	Broockman	David	2299 Market Street, Apt. 204	San Francisco	94113	Professor	Stanford	Electronic	07/22/2017	07/26/2017	DE072617-DC1	500.00	100.00			100.00	
8	Buss	Steven	1248 Hampshire Street, #A	San Francisco	94110	Software Engineer	Google, Inc.	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500.00	100.00			100.00	
9	Cole	Travis	170 Russ Street	San Francisco	94103	Not Employed	n/a	Electronic	07/23/2017	07/26/2017	DE072617-DC1	500.00	100.00			100.00	
10	Engardio	Joel	1000 Eucalyptus Drive	San Francisco	94132	Marketing	Firebrand Communications	Electronic	07/23/2017	07/26/2017	DE072617-DC1	100.00	100.00			follow up	Please clarify the contributor's specific occupation.
11	Fingal-Surma	Laura	1146 Castro Street	San Francisco	94114	Designer	Urban Adventures	Electronic	07/17/2017	07/26/2017	DE072617-DC1	500.00	100.00			100.00	
12	Kwik	Huey	988 Fulton Street, Apt. 341	San Francisco	94117	Not Employed	n/a	Electronic	07/23/2017	08/02/2017	DE080217-DC1	500.00	100.00			100.00	
13	Carreiro	Nick	242 Cabrillo Street	San Francisco	94118	Software Engineer	WizeHire	Electronic	08/02/2017	08/09/2017	DE080917-DC1	200.00	100.00			100.00	
14	Melli-Huber	Francisco	1538 Diamond Street	San Francisco	94131	Engineering Manager	Apple, Inc.	Electronic	08/11/2017	08/16/2017	DE081617-DC1	100.00	100.00			100.00	
15	Kennedy	Patrick	1321 Mission Street	San Francisco	94103	Real Estate	Panoramic	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500.00	100.00			follow up	Please clarify the contributor's specific occupation.
16	Matel	Robert	199 Capp Street	San Francisco	94110	Product Manager	Quora	Electronic	08/19/2017	08/23/2017	DE082317-DC1	100.00	100.00			100.00	
17	Matlot-Kennedy	Julie	2848 Steiner Street	San Francisco	94123	Teacher	Stanford Law School	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500.00	100.00			100.00	
18	English	Mark	523 Francisco Street	San Francisco	94133	Architect	Mark English Architects	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500.00	100.00			100.00	
19	Friedman	Jared	5 Alma Street, Apt. 2	San Francisco	94117	Partner	Y Combinator	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500.00	100.00			100.00	
20	Ghaffary	Michael	4104 24th Street, #990	San Francisco	94114	Venture Capital Investor	Social Capital	Electronic	08/22/2017	08/30/2017	DE083017-DC2	150.00	100.00			100.00	
21	Kennedy	Alex	3848 Steiner Street	San Francisco	94123	Director	Silicon Valley Urban Debate League	Electronic	08/22/2017	08/30/2017	DE083017-DC2	500.00	100.00			follow up	Address listed in supporting documentation does not match address listed on application.
22	Lowe	Travis Luther	29 Capp Street, Apt. 2	San Francisco	94103	Vice President of Public Policy	Yelp	Electronic	08/21/2017	08/30/2017	DE083017-DC2	500.00	100.00			100.00	
23	Agid	Bruce	300 Berry Street, Unit 1301	San Francisco	94158	Managing Director of Business Development	Energy Experts International	Electronic	08/30/2017	09/06/2017	DE090617-DC1	250.00	100.00			100.00	
24	Caufield	Frank	4 Embarcadero Circle, Suite 1400	San Francisco	94111	Retired	n/a	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500.00	100.00			follow up	Address listed in supporting documentation does not match address listed on application.
25	Conway	Christopher	765 Market Street, Apt. 25G	San Francisco	94123	Venture Capital	SV Angel	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500.00	100.00			follow up	Please clarify the contributor's specific occupation.
26	Conway	Danny	3150 Buchanan Street	San Francisco	94123	Partner	Techfellow Fund	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500.00	100.00			100.00	
27	Kinsfather	David	972 Cheney Street	San Francisco	94131	Software Developer	Amazon	Electronic	09/03/2017	09/06/2017	DE090617-DC1	100.00	100.00			100.00	
28	Cantwell	Robert	62 Putnam Street	San Francisco	94110	Chief Financial Officer	Everlane	Electronic	09/07/2017	09/13/2017	DE091317-DC2	100.00	100.00			100.00	
29	David	Todd	384 Eureka Street	San Francisco	94114	Executive Director	San Francisco Housing Action Coalition	Electronic	09/05/2017	09/13/2017	DE091317-DC2	200.00	100.00			100.00	
30	Dishotsky	Jonathan	1904 Baker Street	San Francisco	94115	Chief Executive Officer	Starcity	Electronic	09/08/2017	09/13/2017	DE091317-DC2	500.00	100.00			100.00	
31	Friedman	Nat	148 Alhambra Street	San Francisco	94123	Vice President	Microsoft	Electronic	09/05/2017	09/13/2017	DE091317-DC2	500.00	100.00			100.00	
32	Condon	Kelly	443 Joost Avenue	San Francisco	94127	Residential Designer	Condon Designs	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100.00	100.00			100.00	
33	Diab	Omar	730 San Jose Avenue	San Francisco	94110	Not Employed	n/a	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100.00	100.00			100.00	
34	Goldman	Erik	388 Fulton Street, Apt. 11	San Francisco	94102	Software Engineer	Vanta, LLC	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500.00	100.00			100.00	
35	Goldstein	Adam	75 Sharon Street	San Francisco	94114	Co-Founder/Chief Executive Officer	Hipmunk	Electronic	09/19/2017	09/27/2017	DE092717-BH2	500.00	100.00			100.00	
36	Heinritz	Max	83 McAllister Street	San Francisco	94102	Software Engineer	Flexport	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500.00	100.00			100.00	
37	Mellin	Joe	215 Clement Street	San Francisco	94118	Product Management	Microsoft	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100.00	100.00			100.00	
38	Ballinger	Keith	33 8th Street, Apt. 1902	San Francisco	94103	Director of Project Management	Microsoft	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500.00	100.00			100.00	
39	Bond	Amy	33 8th Street, #1902	San Francisco	94103	Chief Executive Officer/Founder	San Francisco Pole & Dance	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500.00	100.00			100.00	
40	Harper	Jackson	2632 45th Avenue	San Francisco	94116	Software Engineer	Juvo Mobile	Electronic	09/29/2017	10/04/2017	DE100417-BH3	500.00	100.00			100.00	
41	Janicki	Ian	506 Church Street	San Francisco	94114	Product Manager	Katerra	Electronic	09/29/2017	10/04/2017	DE100417-BH3	150.00	100.00			100.00	
42	Kremer	Michael	763 Capp Street	San Francisco	94110	Associate	Cypress Creek Renewables	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100.00	100.00			100.00	
43	La	Jimmy	1933 48th Avenue	San Francisco	94116	Project Lead	TIBCO Software	Electronic	09/28/2017	10/04/2017	DE100417-BH3	100.00	100.00			100.00	
44	Liang	Mark	680 Mission Street, Apt. 25P	San Francisco	94105	Attorney	O'Melveny & Myers LLP	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100.00	100.00			follow up	Address listed in supporting documentation does not match address listed on application.
45	Martin	Regan	888 Corbett Avenue	San Francisco	94131	Architect	WRNS Studio	Electronic	09/25/2017	10/04/2017	DE100417-BH3	100.00	100.00			100.00	
46	Berkman	Jacob	529 Baker Street	San Francisco	94117	Software Developer	Weebly Inc.	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500.00	100.00			100.00	
47	Gil	Elad	229 Jersey Street	San Francisco	94114	Genetics	Color	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500.00	100.00			follow up	Please clarify the contributor's specific occupation and employer information.
48	Goff	Michael	16 Holyoke Street	San Francisco	94134	Energy Analyst	The Breakthrough Institute	Electronic	10/07/2017	10/11/2017	DE101117-DC1	100.00	100.00			100.00	
49	Mah	Jessica	333 Bush Street, #3805	San Francisco	94104	Chief Executive Officer	inDinero	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500.00	100.00			100.00	
50	McDonnell	John	400 Page Street, #305	San Francisco	94102	Data Scientist	Stitch Fix	Electronic	10/01/2017	10/11/2017	DE101117-DC1	500.00	100.00			100.00	
51	Camp	Daniel	3867A 17th Street	San Francisco	94114	Software Engineer	Salesforce	Electronic	10/15/2017	10/18/2017	DE101817-VG1	500.00	100.00			100.00	
52	Hirschfeld	Thomas	2888 23rd Street	San Francisco	94110	Software Engineer	Uber Technologies	Electronic	10/15/2017	10/18/2017	DE101817-VG1	200.00	100.00			100.00	
53	Lehman	Karl	1793 7th Avenue, #3	San Francisco	94122	Content Services Association	Axioma	Electronic	10/13/2017	10/18/2017	DE101817-VG1	100.00	100.00			100.00	
54	Marks	Benjamin	1395 Golden Gate Avenue, Apt. 603	San Francisco	94115	Software Engineer	Google, Inc.	Electronic	10/16/2017	10/18/2017	DE101817-VG1	100.00	100.00			100.00	
55	Bosmeny	Tyler	1263 Mission Street	San Francisco	94103	Founder	Clever	Electronic	10/20/2017	10/25/2017	DE102517-TG1	200.00	100.00			100.00	
56	Glaser	Stuart	566 South Van Ness Avenue, Apt. 6	San Francisco	94110	Robotist	General Motors	Electronic	10/16/2017	10/25/2017	DE102517-TG1	100.00	100.00			100.00	
57	Levin	Philip	191 Haight Street	San Francisco	94102	Technology	Philip Levin	Electronic	10/20/2017	10/25/2017	DE102517-TG1	250.00	100.00			follow up	Please clarify the contributor's specific occupation and employer information. If the contributor is self-employed, please list the name of the entity that is on the contributor's paycheck. If there is no business name, please enter "self-employed/same" in the employer field.
58	Lumpkin	JD	425 Market Street, Suite 2300	San Francisco	94105	Managing Principal	Cushman & Wakefield	Electronic	10/17/2017	10/25/2017	DE102517-TG1	500.00	100.00			100.00	
59	Blume	Michael	75 Brighton Avenue	San Francisco	94112	Programmer	LendUp	Electronic	10/25/2017	11/01/2017	DE110117-DC1	100.00	100.00			100.00	
60	Costa-Roberts	Justin	270 Parnassus Avenue	San Francisco	94117	Software Engineer	Arista Networks	Electronic	10/28/2017	11/01/2017	DE110117-DC1	100.00	100.00			100.00	

61	DiCato	David	301 King Street, Unit 900	San Francisco	94158	Senior Software Engineer	Linkedin	Electronic	10/27/2017	11/01/2017	DE110117-DC1	500.00	100.00			100.00	
62	Hupp	Adam	551 Eureka Street	San Francisco	94114	Engineer	Facebook	Electronic	10/25/2017	11/01/2017	DE110117-DC1	250.00	100.00			100.00	
63	Lee	Rebecca	1420 Taylor Street, Apt. B	San Francisco	94133	Project Manager	Southern California Edison	Electronic	10/23/2017	11/01/2017	DE110117-DC1	100.00	100.00			100.00	
64	Baron	Lee David	1040 Dolores Street, Apt. 203	San Francisco	94110	Software Engineer	Mozilla	Electronic	11/02/2017	11/08/2017	DE110817-RC1	200.00	100.00			100.00	
65	Elliston	Amos	484 Alvarado Street	San Francisco	94114	Chief Technology Officer	Flexport	Electronic	10/31/2017	11/08/2017	DE110817-RC1	500.00	100.00			100.00	
66	Greze	Jean-Denis	38 Dolores Street, Apt. 608	San Francisco	94103	Vice President of Engineering	Plaid Incorporation	Electronic	11/05/2017	11/08/2017	DE110817-RC1	100.00	100.00			100.00	
67	Hall	Phillip	415 Tehama Street	San Francisco	94103	Finance	Flexport	Electronic	10/30/2017	11/08/2017	DE110817-RC1	250.00	100.00			100.00	follow up Please clarify the contributor's specific occupation
68	Magdovitz	Joseph	340 Baker Street, Apt. 3	San Francisco	94117	Not Employed	n/a	Electronic	11/01/2017	11/08/2017	DE110817-RC1	100.00	100.00			100.00	
69	Kimerling	Daniel	2957 Cesar Chavez Street	San Francisco	94110	Venture Capital	Deciens Capital	Electronic	11/09/2017	11/15/2017	DE111517-SC1	500.00	100.00			100.00	follow up Please clarify the contributor's specific occupation
70	Korver	Brian	1290 Grove Street	San Francisco	94117	Software Engineer	Apple, Inc.	Electronic	11/11/2017	11/15/2017	DE111517-SC1	500.00	100.00			100.00	
71	Driscoll	James	1161 Stanyan Street, #2	San Francisco	94117	Privacy Program Manager	Google, Inc.	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100.00	100.00			100.00	
72	Espinosa	Kalah	3250 Webster Street	San Francisco	94123	Vice President	Colliers International	Electronic	11/15/2017	11/22/2017	DE112217-SC2	100.00	100.00			100.00	
73	Hawken	Brittan	2919 Pacific Avenue, #9	San Francisco	94111	Broker	Jones Lang LaSalle, Inc.	Electronic	11/13/2017	11/22/2017	DE112217-SC2	100.00	100.00			100.00	
74	Kaluza	Richard	1541 Filbert Street, #5	San Francisco	94123	Realtor	Compass Real Estate	Electronic	11/14/2017	11/22/2017	DE112217-SC2	100.00	100.00			100.00	
75	Koomen	Peter	827 North Point Street, #3	San Francisco	94109	Cofounder	Optimizely	Electronic	11/13/2017	11/22/2017	DE112217-SC2	250.00	100.00			100.00	
76	Mayo	Brandi	1299 Lombard Street, Apt. 53	San Francisco	94109	Real Estate	Brandi Mayo	Electronic	11/15/2017	11/22/2017	DE112217-SC2	500.00	100.00			100.00	follow up Please clarify the contributor's specific occupation and employer information. If the contributor is self-employed, please list the name of the entity that is on the contributor's paycheck. If there is no business name, please enter "self-employed/same" in the employer field.
77	Ducker	Michael	1949 Mcallister Street	San Francisco	94115	Engineer	Pinch	Electronic	12/02/2017	12/06/2017	DE120617-FM2	200.00	100.00			100.00	
78	Alsdorf	Paul	442 Gold Mine Drive	San Francisco	94131	Attorney	McKesson	Electronic	12/23/2017	12/27/2017	DE122717-CT2	100.00	100.00			100.00	
79	Altman	Sam	29 Dorland Street	San Francisco	94110	President	Y Combinator	Electronic	12/21/2017	12/27/2017	DE122717-CT2	500.00	100.00			100.00	
80	Brezina	Matthew	51 Ford Street	San Francisco	94114	Investor	Matthew Brezina	Electronic	12/22/2017	12/27/2017	DE122717-CT2	250.00	100.00			100.00	follow up Address listed in supporting documentation does not match address listed on application
81	Catlin	Micah	357 San Jose Avenue	San Francisco	94110	Software Engineer	Looker	Electronic	12/18/2017	12/27/2017	DE122717-CT2	500.00	100.00			100.00	
82	Chen	Michael	1688 Pine Street, Unit W1004	San Francisco	94109	Data Engineer	Facebook	Electronic	12/22/2017	12/27/2017	DE122717-CT2	175.00	100.00			100.00	
83	Donham	Jake	318 Moraga Street	San Francisco	94122	Computer Programmer	Twitter, Inc.	Electronic	12/19/2017	12/27/2017	DE122717-CT2	500.00	100.00			100.00	
84	Evans	David	775 Chenery Street	San Francisco	94131	Director	Pacific Biosciences	Electronic	12/18/2017	12/27/2017	DE122717-CT2	100.00	100.00			100.00	
85	Federman	Dan	1353 Page Street	San Francisco	94117	Software Engineer	Airbnb	Electronic	12/19/2017	12/27/2017	DE122717-CT2	200.00	100.00			100.00	
86	Goldman	John	172 Russ Street	San Francisco	94103	Architect	Goldman Architects	Electronic	12/22/2017	12/27/2017	DE122717-CT2	500.00	100.00			100.00	
87	Grover	Daniel	750 O'Farrell Street, Suite 106	San Francisco	94109	Product Manager	Facebook	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100.00	100.00			100.00	
88	Hodges	Jeff	200 Guerrero Street, Apt. 201	San Francisco	94103	Software Engineer	Darkish Green	Electronic	12/18/2017	12/27/2017	DE122717-CT2	250.00	100.00			100.00	
89	Krali	Evan	202 Virginia Avenue	San Francisco	94110	Site Reliability Engineer	Yelp	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100.00	100.00			100.00	
90	Krisloff	Matthew	181 Liberty Street, Apt. 4	San Francisco	94110	Director	YC Research	Electronic	12/21/2017	12/27/2017	DE122717-CT2	100.00	100.00			100.00	follow up Address listed in supporting documentation does not match address listed on application
91	Little	Cody	1122 Tennessee Street, Apt. 1	San Francisco	94107	Strategy Manager	Juul Labs	Electronic	12/24/2017	12/27/2017	DE122717-CT2	100.00	100.00			100.00	
92	Lockwood	Michael	1146 Alemany Blvd.	San Francisco	94112	Analysts	Provident Funding	Electronic	12/19/2017	12/27/2017	DE122717-CT2	100.00	100.00			100.00	
93	Clark	Alan	39 Boardman Place, Apt. 105	San Francisco	94114	Researcher	Facebook	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500.00	100.00			100.00	
94	Clark	Laura	39 Boardman Place, Apt. 105	San Francisco	94114	Executive Director	YIMBY Action	Electronic	12/26/2017	12/31/2017	DE123117-HS1	500.00	100.00			100.00	
95	Conkling	Will	325 Montcalm Street	San Francisco	94110	Business Development	Google	Electronic	12/28/2017	12/31/2017	DE123117-HS1	100.00	100.00			100.00	
96	Donda	Yehuda	334 Frederick Street, Apt. 3	San Francisco	94117	Attorney	Morrison & Foerster	Electronic	12/25/2017	12/31/2017	DE123117-HS1	100.00	100.00			100.00	
97	Freedman	Kevin	3028 Laguna Street	San Francisco	94123	Founder	NextPhase Partners	Electronic	12/28/2017	12/31/2017	DE123117-HS1	250.00	100.00			100.00	
98	Fruchtman	Robert	700 Broderick Street, Apt. 1	San Francisco	94117	Software Engineer	Reddit	Electronic	12/28/2017	12/31/2017	DE123117-HS1	200.00	100.00			100.00	
99	Hazel	Steven	2125 Hayes Street, #5	San Francisco	94117	Technologist	Sauce Labs Inc.	Electronic	12/25/2017	12/31/2017	DE123117-HS1	200.00	100.00			100.00	
100	Leventhal	Cliff	831 Folsom Street, #4D	San Francisco	94107	Retired	n/a	Electronic	12/28/2017	12/31/2017	DE123117-HS1	150.00	100.00			100.00	
Totals												29375	10000		0	8600	



ETHICS COMMISSION CITY AND COUNTY OF SAN FRANCISCO

April 16, 2018

DAINA CHIU
VICE-CHAIRPERSON

PAUL A. RENNE
COMMISSIONER

QUENTIN L. KOPP
COMMISSIONER

YVONNE LEE
COMMISSIONER

(VACANT)
COMMISSIONER

LEEANN PELHAM
EXECUTIVE DIRECTOR

Kim Lutz
Deane & Company
1787 Tribute Road, Suite K
Sacramento, CA 95815

Re: CORRECTED COPY - Late Fee Notice – No Action Required
Sonja Trauss-SF Bay Area Renters Political Action Committee, ID # 1377999

Dear Ms. Lutz:

By this letter, the Ethics Commission is notifying you of its decision to withdraw the late fees listed below. The Form 460s are considered Courtesy Copies from a state committee.

Type of Filing → Electronic						
Type of Statement (Form no.)	Date Reporting Period Ends	Filing Deadline	Date Filed	Applicable Daily Late Fee	Days Late	Assessed Late Fee
460	6/30/2016	8/1/2016	3/1/2017	\$25	212	\$1,140*
460	8/16/2016	1/31/2017	3/1/2017	\$25	29	\$725
460	12/31/2015	2/1/2016	3/1/2017	\$25	394	\$9,850
Total Late Fee						\$11,985
Total Late Fee After Withdrawal						\$0

*Late fee is limited to the greater of \$250 or the amount of activity reported on the late-filed statement.

If you have any questions, please call the Commission's Fines Collection Officer, Ernestine Braxton, at (415) 252-3100.

Sincerely,

/s Ernestine Braxton
Ernestine Braxton
Fines Collection Officer
Enforcement & Legal Affairs

cc: Sonia Trauss, 1618 – 12th Street, Oakland, CA 94607

Kim Lutz

From: Ford, Patrick (ETH) <patrick.ford@sfgov.org>
Sent: Tuesday, May 01, 2018 1:50 PM
To: Shawnda Deane
Cc: Kim Lutz; Trauss2018
Subject: RE: Notification of Final Determination for Eligibility for Public Financing - Sonja Trauss

Shawnda,

Our auditing team relayed your question to me. Yes, in order to proceed with qualifying for public financing, the committee will need to refile its qualifying request. However, you should be able to use the entries that you have already entered into Netfile when making such a submission.

Committees may refile a qualifying request up until the 70th day before the election. To do so, the committee must state its intention to withdraw its previous filing and refile a complete request making reasonable efforts to correct identified errors before refiling. If the committee communicates to us that it wishes to withdraw the earlier qualifying request (which, pursuant to the April 27th letter attached below, has now received a final negative determination) it may refile a qualifying request in Netfile.

I apologize that we did not make this process clearer in our previous letters. Please let me know if you have any questions. You can let me know by email if the committee decides to withdraw its earlier qualifying request and refile.

Best regards,

Pat Ford
Policy Analyst
San Francisco Ethics Commission
Patrick.Ford@sfgov.org
(415) 252-3100

From: Shawnda Deane <[REDACTED]>
Sent: Saturday, April 28, 2018 10:25 AM
To: Hodge, Robert (ETH) <Robert.hodge@sfgov.org>; Kim Lutz <[REDACTED]>; Trauss2018 <[REDACTED]>
Subject: RE: Notification of Final Determination for Eligibility for Public Financing - Sonja Trauss

Does that mean that we need to start all over as a new submission?

Shawnda Deane
Deane & Company
[REDACTED]

Phone: (916) 285-5733
Fax: (916) 333-1344
[REDACTED]

The office will be closed Monday, May 28th in Observance of Memorial Day

From: Hodge, Robert (ETH) <robert.hodge@sfgov.org>

Sent: Friday, April 27, 2018 4:29 PM

To: Kim Lutz <[REDACTED]>; Trauss2018 <[REDACTED]>

Subject: Notification of Final Determination for Eligibility for Public Financing - Sonja Trauss

Please see the attached notification from the Ethics Commissions in response to Sonja Trauss' application for public financing in connection with the Board of Supervisors, District 6 election in November.

Please let us know if you have any questions.

Robb Hodge
Campaign Finance Auditor
San Francisco Ethics Commission
25 Van Ness Avenue, Suite 220, San Francisco, CA 94102
Telephone: 415.252.3100
Sfethics.org | robert.hodge@sfgov.org





ETHICS COMMISSION CITY AND COUNTY OF SAN FRANCISCO

April 27, 2018

DAINA CHIU
CHAIR

Sonja Trauss
Sonia Trauss for Supervisor 2018
603 Natoma Street, #305
San Francisco, CA 94103

QUENTIN L. KOPP
VICE-CHAIR

PAUL A. RENNE
COMMISSIONER

Re: Final Determination of Ineligibility to Receive Public Funds under San Francisco Campaign and Governmental Conduct Code (SF C&GCC) §1.100 *et seq.*

YVONNE LEE
COMMISSIONER

Dear Ms. Trauss,

KEVIN V. RYAN
COMMISSIONER

This letter serves as notice that the resubmission of your Qualifying Request for Public Funds for the November Board of Supervisors election was not timely submitted and therefore the Commission's March 21, 2018 determination of ineligibility to receive public funds is now final.

LEEANN PELHAM
EXECUTIVE DIRECTOR

Ethics Commission Regulation 1.142-6 provides that "[a]ny candidate who is notified by the Executive Director that the candidate is ineligible to receive public funding may, within five business days of the date of notification, resubmit his or her declaration and supporting documentation... [i]f the candidate fails to resubmit in the time specified by the Executive Director, or if no further resubmissions are permitted, the Executive Director's determination is final." As noted above, notification of our prior determination of ineligibility in response to your Qualifying Request filed on February 27, 2018 was sent to your committee on March 21, 2018. A resubmission of your Qualifying Request and supporting documentation was required to be filed within five business days, in other words, no later than March 28, 2018. Your second resubmission was filed on March 29, 2018 at 3:53 PM.

Under SF C&GCC Section 1.142(g), candidates may appeal the Executive Director's final determination to the Ethics Commission. A written appeal must be submitted to the Ethics Commission within five days of the date of this notification.

If you have any questions about this letter or the process or requirements related to qualifying for public funds in your campaign, please feel free to contact our office at (415) 252-3100.

Sincerely,

A black rectangular redaction box covering the signature of LeeAnn Pelham.

LeeAnn Pelham
Executive Director

cc: Shawnda Deane, Treasurer
Enclosures

Kim Lutz

From: Hodge, Robert (ETH) <robert.hodge@sfgov.org>
Sent: Friday, June 01, 2018 8:21 AM
To: Kim Lutz; Trauss2018
Subject: RE: Notification of Ineligibility for Public Financing - Sonja Trauss
Attachments: TRAUSS Qualifying Request (05.03.18) - with Notes.pdf

Kim,

As noted in the contribution chart (attached here) the Voter ID #'s provided for Harper and Lumpkin were matched to records in the Master Voter File. However, the addresses associated with these ID's did not match the addresses provided in the Qualifying Request, and therefore was not sufficient to confirm SF residency.

Any documentation provided to substantiate the contribution information (e.g. contributor name, address) should match the information provided in the Qualifying Request. If there are any inconsistencies, our policy has been to flag these entries and asked committees to clarify the information.

Robb Hodge
Campaign Finance Auditor
San Francisco Ethics Commission
Sfethics.org | robert.hodge@sfgov.org

PLEASE NOTE THAT NOTHING IN THIS E-MAIL IS INTENDED TO CONSTITUTE A WRITTEN FORMAL OPINION OF THE SAN FRANCISCO ETHICS COMMISSION, AND THE RECIPIENT MAY NOT RELY ON THIS E-MAIL AS A DEFENSE IN ANY ENFORCEMENT PROCEEDING.

From: Kim Lutz <[REDACTED]>
Sent: Thursday, May 31, 2018 8:34 PM
To: Hodge, Robert (ETH) <Robert.hodge@sfgov.org>; Trauss2018 <[REDACTED]>
Subject: RE: Notification of Ineligibility for Public Financing - Sonja Trauss

Thank you Robert. There are two individuals that we had previously provided the Voter ID information for. They are Jackson Harper and JD Lumpkin. I just wanted to confirm that the Voter ID information for these two individuals will not be sufficient and we will need to provide additional documentation for them to be included in the qualifying request?

Thank you.

The office will be closed Wednesday, July 4th in Observance of Independence Day

Kim Lutz
Deane & Company
1787 Tribute Road, Suite K
Sacramento, CA 95815
Phone (916) 285-5733
Fax (916) 333-1344
[REDACTED]

From: Hodge, Robert (ETH) <robert.hodge@sfgov.org>

Sent: Wednesday, May 30, 2018 4:44 PM

To: Kim Lutz <[REDACTED]>; Trauss2018 <[REDACTED]>

Subject: Notification of Ineligibility for Public Financing - Sonja Trauss

Please see the attached notification from the Ethics Commissions in response to Sonja Trauss' application for public financing in connection with the Board of Supervisors, District 6 election in November. Also attached is the qualifying contribution list with our notes regarding contributions that were approved, and those that did not meet the minimum requirements for a qualifying contributions (CFRO Sec. 1.104(f) and Reg. 1.104-1 & 2).

Please note that there were some contributions marked "Approved" in prior submissions, however, after additional review we have made the determination that these contributions do not meet the qualifying contribution requirements. We apologize for any confusion or issues this may have caused.

As previously communicated to you by our office, the committee can elect to resubmit the existing Qualifying Request with additional documentation, or provide a written request to withdraw the current application and file a new submission. While requirements to be certified eligible for Public Financing require candidates for Board of Supervisors to submit at least \$10,000 in contributions from 100 unique sources, candidates are allowed to submit additional contributions above this minimum to help improve their chances of meeting the minimum to qualify.

Please feel free to contact me directly with any questions you have or if you wish to discuss any aspect of the Public Financing qualification process.

Robb Hodge
Campaign Finance Auditor
San Francisco Ethics Commission
25 Van Ness Avenue, Suite 220, San Francisco, CA 94102
Telephone: 415.252.3100
Sfethics.org | robert.hodge@sfgov.org



Candidate Name: Sonja Trauss; Committee: Sonja Trauss for Supervisor SFEC Qualifying Contributions List

														FOR SFEC USE			
Entry No.	Contributor's last name	Contributor's first name	Building number, street, apt.	City	Zip code	Contributors of \$100 or more (cumulatively): Contributor's occupation	Contributors of \$100 or more (cumulatively): Contributor's employer (if self-employed, name of contributor's business)	Method of payment (cash, check, etc.)	Date contribution received	Date contribution deposited	Deposit batch number	Amount of contribution	Amount of qualifying contribution (not less than \$10 and not more than \$100)	Payment Ratio	Approved Amount	Enter Amount of Qual. Notes	
1	Fingal-Surma	Daniel B.	1140 Castro Street	San Francisco	94114	Software Engineer	Google, Inc.	Check	07/22/2017	08/02/2017	080717-TK1	500.00	100.00				
2	Huay	Kyle Scott	499 Steiner Street, #6	San Francisco	94117	Co-Founder	Panisco	Check	07/20/2017	08/02/2017	080717-TK1	500.00	100.00				
3	Del Valle	Danielle M.	160 Wawona Street	San Francisco	94127	Student	n/a	Check	11/16/2017	12/14/2017	121417-FM1	500.00	100.00				
4	Hill	Michael A.	631 O'Farrell Street, Apt. 300	San Francisco	94109	Director of Monetization	Hipmunk	Check	11/15/2017	12/14/2017	121417-FM1	150.00	100.00				
5	Koch	Stephen R.	2545 Baker Street	San Francisco	94123	Principal	Resource Real Estate	Check	11/22/2017	12/14/2017	121417-FM1	250.00	100.00				
Contribution marked "Approved" in error on prior submission.																	
6	Livingston	Dan T.	640 Mason Street, Apt. 608	San Francisco	94108	Engineer	Tesla	Check	11/09/2017	12/14/2017	121417-FM1	150.00	100.00				Follow up.
7	Brockman	David	2296 Market Street, Apt. 204	San Francisco	94113	Professor	Stanford	Electronic	07/22/2017	07/29/2017	DE072917-DC1	500.00	100.00				Contributor address on check is PO Box. Committee must provide supporting material evidencing contributor is a San Francisco resident (SF C&GC Reg. 1.142-3).
8	Buss	Steven	1248 Hampshire Street, #A	San Francisco	94110	Software Engineer	Google, Inc.	Electronic	07/23/2017	07/29/2017	DE072917-DC1	500.00	100.00				
9	Cole	Travis	170 Russ Street	San Francisco	94103	Not Employed	n/a	Electronic	07/23/2017	07/29/2017	DE072917-DC1	500.00	100.00				
10	Engardio	Joel	1000 Eucalyptus Drive	San Francisco	94132	Marketing Manager	Firebrand Communications	Electronic	07/23/2017	07/29/2017	DE072917-DC1	100.00	100.00				
11	Fingal-Surma	Laura	1140 Castro Street	San Francisco	94114	Designer	Urban Adventures	Electronic	07/17/2017	07/29/2017	DE072917-DC1	500.00	100.00				
12	Kwik	Huey	988 Fulton Street, Apt. 341	San Francisco	94117	Not Employed	n/a	Electronic	07/23/2017	08/02/2017	DE080217-DC1	500.00	100.00				
13	Carneiro	Nick	242 Cabrillo Street	San Francisco	94118	Software Engineer	Waze	Electronic	08/02/2017	08/09/2017	DE080917-DC1	200.00	100.00				
14	Muller-Huber	Francisco	1538 Diamond Street	San Francisco	94131	Engineering Manager	Apple, Inc.	Electronic	08/11/2017	08/16/2017	DE081617-DC1	100.00	100.00				
15	Kennedy	Patrick	1321 Mission Street	San Francisco	94103	Owner	Panoramic Real Estate	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500.00	100.00				
16	Matt	Robert	199 Capp Street	San Francisco	94110	Product Manager	Quora	Electronic	08/19/2017	08/23/2017	DE082317-DC1	100.00	100.00				
17	Muller-Kennedy	Julie	2648 Steiner Street	San Francisco	94123	Teacher	Stanford Law School	Electronic	08/17/2017	08/23/2017	DE082317-DC1	500.00	100.00				
Contribution marked "Approved" in error on prior submission.																	
18	English	Mark	523 Francisco Street	San Francisco	94133	Architect	Mark English Architects	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500.00	100.00				Address provided appears to be a commercial/business address or mixture of residential and commercial features. Committee must provide supporting material evidencing contributor is a San Francisco resident (SF C&GC Reg. 1.142-3).
19	Friedman	Jared	5 Alma Street, Apt. 2	San Francisco	94117	Partner	Y Combinator	Electronic	08/24/2017	08/30/2017	DE083017-DC2	500.00	100.00				Follow up.
20	Ghaffary	Michael	4104 24th Street, #900	San Francisco	94114	Venture Capital Investor	Social Capital	Electronic	08/22/2017	08/30/2017	DE083017-DC2	150.00	100.00				
21	Kennedy	Alex	2648 Steiner Street	San Francisco	94123	Director	Silicon Valley Urban Debate League	Electronic	08/22/2017	08/30/2017	DE083017-DC2	500.00	100.00				
22	Lowe	Travis Luther	29 Capp Street, Apt. 2	San Francisco	94103	Vice President of Public Policy	Yelp	Electronic	08/21/2017	08/30/2017	DE083017-DC2	500.00	100.00				
23	Agid	Bruce	300 Berry Street, Unit 1301	San Francisco	94158	Managing Director of Business Development	Energy Experts International	Electronic	08/30/2017	09/06/2017	DE090617-DC1	250.00	100.00				
24	Caulfield	Frank	2574 Green Street	San Francisco	94123	Retired	n/a	Electronic	08/26/2017	09/06/2017	DE090617-DC1	500.00	100.00				
25	Conway	Christopher	765 Market Street, Apt. 253	San Francisco	94123	Partner	SV Angel	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500.00	100.00				
26	Conway	Danny	3150 Buchanan Street	San Francisco	94123	Partner	Technoflows Fund	Electronic	08/28/2017	09/06/2017	DE090617-DC1	500.00	100.00				
27	Kinsfather	David	672 Cheney Street	San Francisco	94131	Software Engineer	Amazon	Electronic	09/03/2017	09/06/2017	DE090617-DC1	100.00	100.00				
28	Gantwell	Robert	62 Putnam Street	San Francisco	94110	Chief Financial Officer	Everlane	Electronic	09/07/2017	09/13/2017	DE091317-DC2	100.00	100.00				
29	David	Todd	384 Eureka Street	San Francisco	94114	Executive Director	San Francisco Housing Action Coalition	Electronic	09/05/2017	09/13/2017	DE091317-DC2	200.00	100.00				
30	Dishdasy	Jonathan	1904 Baker Street	San Francisco	94115	Chief Executive Officer	Starchy	Electronic	09/08/2017	09/13/2017	DE091317-DC2	100.00	100.00				
31	Friedman	Nat	148 Alhambra Street	San Francisco	94123	Vice President	Microsoft	Electronic	09/05/2017	09/13/2017	DE091317-DC2	500.00	100.00				
32	Condon	Kelly	443 Jost Avenue	San Francisco	94127	Residential Designer	Condon Designs	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100.00	100.00				
33	Dish	Joe	730 San Jose Avenue	San Francisco	94110	Not Employed	n/a	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100.00	100.00				
34	Goldman	Eric	388 Fulton Street, Apt. 11	San Francisco	94102	Software Engineer	Vanta, LLC	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500.00	100.00				
35	Goldstein	Adam	75 Sharon Street	San Francisco	94114	Co-Founder/Chief Executive Officer	Hipmunk	Electronic	09/19/2017	09/27/2017	DE092717-BH2	500.00	100.00				
36	Hainitz	Max	83 McAlister Street	San Francisco	94102	Software Engineer	Fleaport	Electronic	09/20/2017	09/27/2017	DE092717-BH2	500.00	100.00				
37	Mullin	Joe	215 Clement Street	San Francisco	94118	Product Management	Microsoft	Electronic	09/19/2017	09/27/2017	DE092717-BH2	100.00	100.00				
38	Ballinger	Keith	33 8th Street, Apt. 1002	San Francisco	94103	Director of Project Management	Microsoft	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500.00	100.00				
39	Bond	Amy	33 8th Street, #1002	San Francisco	94103	Chief Executive Officer/Founder	San Francisco Polo & Dance	Electronic	10/01/2017	10/04/2017	DE100417-BH2	500.00	100.00				
Contribution marked "Approved" in error on prior submission.																	
40	Harper	Jackson	2632 45th Avenue	San Francisco	94116	Software Engineer	Juwo Mobile	Electronic	09/29/2017	10/04/2017	DE100417-BH3	500.00	100.00				Follow up.
41	Janicki	Ian	506 Church Street	San Francisco	94114	Product Manager	Katerra	Electronic	09/29/2017	10/04/2017	DE100417-BH3	150.00	100.00				
42	Kremer	Michael	793 Capp Street	San Francisco	94110	Associate	Cypress Creek Renewables	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100.00	100.00				
43	La	Jimmy	1633 48th Avenue	San Francisco	94116	Project Lead	TIBCO Software	Electronic	09/28/2017	10/04/2017	DE100417-BH3	100.00	100.00				
44	Liang	Mark	701 Golden Gate Ave Apt 708	San Francisco	94102	Attorney	O'Malley & Myers LLP	Electronic	09/24/2017	10/04/2017	DE100417-BH3	100.00	100.00				
45	Martin	Regan	888 Corbett Avenue	San Francisco	94131	Architect	WRNS Studio	Electronic	09/25/2017	10/04/2017	DE100417-BH3	100.00	100.00				
46	Berkman	Jacob	529 Baker Street	San Francisco	94117	Software Developer	Weebly Inc.	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500.00	100.00				
47	Gil	Eliad	229 Jersey Street	San Francisco	94114	Co-Founder	Color Genomics	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500.00	100.00				
48	Goff	Michael	16 Hydeke Street	San Francisco	94114	Energy Analyst	The Breakthrough Institute	Electronic	10/07/2017	10/11/2017	DE101117-DC1	100.00	100.00				
49	Mah	Jessica	333 Bush Street, #3805	San Francisco	94104	Chief Executive Officer	Indivivo	Electronic	10/02/2017	10/11/2017	DE101117-DC1	500.00	100.00				
50	McDonnell	John	400 Page Street, #305	San Francisco	94102	Data Scientist	Stitch Fix	Electronic	10/01/2017	10/11/2017	DE101117-DC1	500.00	100.00				
51	Pump	Daniel	3807A 17th Street	San Francisco	94114	Software Engineer	Salesforce	Electronic	10/15/2017	10/18/2017	DE101817-VG1	500.00	100.00				
52	Hirschfeld	Thomas	2608 21st Street	San Francisco	94110	Software Engineer	Uber Technologies	Electronic	10/13/2017	10/18/2017	DE101817-VG1	200.00	100.00				
53	Lehman	Karl	1703 7th Avenue, #3	San Francisco	94122	Content Service Association	Axioma	Electronic	10/13/2017	10/18/2017	DE101817-VG1	100.00	100.00				
54	Marka	Benjamin	1395 Golden Gate Avenue, Apt. 603	San Francisco	94115	Software Engineer	Google, Inc.	Electronic	10/15/2017	10/18/2017	DE101817-VG1	100.00	100.00				
55	Bosman	Tyler	1263 Mission Street	San Francisco	94103	Founder	Cleer	Electronic	10/20/2017	10/25/2017	DE102517-TG1	200.00	100.00				
56	Glasser	Stuart	666 South Van Ness Avenue, Apt. 6	San Francisco	94110	Robotist	General Motors	Electronic	10/15/2017	10/25/2017	DE102517-TG1	100.00	100.00				
57	Levin	Philip	191 Haight Street	San Francisco	94102	Manager	Facebook	Electronic	10/20/2017	10/25/2017	DE102517-TG1	250.00	100.00				
Contribution marked "Approved" in error on prior submission.																	
58	Lumpkin	JD	425 Market Street, Suite 2300	San Francisco	94105	Managing Principal	Cushman & Wakefield	Electronic	10/17/2017	10/25/2017	DE102517-TG1	500.00	100.00				Follow up.
59	Blume	Michael	75 Brighton Avenue	San Francisco	94112	Programmer	LendUp	Electronic	10/25/2017	11/01/2017	DE110117-DC1	100.00	100.00				
60	Costa-Roberts	Justin	270 Panamass Avenue	San Francisco	94117	Software Engineer	Arista Networks	Electronic	10/28/2017	11/01/2017	DE110117-DC1	100.00	100.00				
61	DiCato	David	301 King Street, Unit 900	San Francisco	94158	Senior Software Engineer	LinkedIn	Electronic	10/27/2017	11/01/2017	DE110117-DC1	500.00	100.00				
62	Hupp	Adam	851 Europa Street	San Francisco	94114	Engineer	Facebook	Electronic	10/25/2017	11/01/2017	DE110117-DC1	250.00	100.00				
63	Lee	Rebecca	1420 Taylor Street, Apt. B	San Francisco	94133	Project Manager	Southern California Edison	Electronic	10/23/2017	11/01/2017	DE110117-DC1	100.00	100.00				
64	Baron	Lee David	1040 Dolores Street, Apt. 203	San Francisco	94110	Software Engineer	Marilla	Electronic	11/02/2017	11/01							

75	Koomen	Peter	827 North Point Street, #3	San Francisco	04109	Co-founder	Optimizely	Electronic	11/13/2017	11/22/2017	DE-112217-SC2	250.00	100.00			100.00	
76	Mayo	Brandi	1206 Lombard Street, Apt. 53	San Francisco	04109	Real Estate	Self Employed/Same	Electronic	11/15/2017	11/22/2017	DE-112217-SC2	500.00	100.00			Follow up	Please clarify contributor's specific occupation. Occupation information should convey meaningful information as to the nature of business or type of activity in which the individual is engaged.
77	Ducker	Michael	1949 Mcallister Street	San Francisco	04115	Engineer	Pinch	Electronic	12/02/2017	12/09/2017	DE-120917-FM2	200.00	100.00			100.00	
78	Alexdorf	Paul	442 Gold Mine Drive	San Francisco	04131	Attorney	Mckesson	Electronic	12/23/2017	12/27/2017	DE-122717-C12	100.00	100.00			100.00	
79	Allman	Sam	29 Dorland Street	San Francisco	04110	President	V Combinator	Electronic	12/21/2017	12/27/2017	DE-122717-C12	500.00	100.00			100.00	
80	Brezina	Matthew	53 Ford Street	San Francisco	04114	Investor	Matthew Brezina	Electronic	12/22/2017	12/27/2017	DE-122717-C12	250.00	100.00			Follow up	Please clarify contributor's specific occupation and employer information. Occupation information should convey meaningful information as to the nature of business or type of activity in which the individual is engaged. If the contributor is self-employed, please list the name the contributor's business or, if there is no business name, please enter "self-employed/same name" in the employer field.
81	Catlin	Michah	357 San Jose Avenue	San Francisco	04110	Software Engineer	Looker	Electronic	12/18/2017	12/27/2017	DE-122717-C12	500.00	100.00			100.00	
82	Chen	Michael	1688 Pine Street, Unit W1004	San Francisco	04109	Data Engineer	Facebook	Electronic	12/22/2017	12/27/2017	DE-122717-C12	175.00	100.00			100.00	
83	Dorham	Jake	316 Moraga Street	San Francisco	04122	Computer Programmer	Twitter, Inc.	Electronic	12/19/2017	12/27/2017	DE-122717-C12	500.00	100.00			100.00	
84	Evans	David	775 Cheney Street	San Francisco	04131	Director	Pacific Biosciences	Electronic	12/19/2017	12/27/2017	DE-122717-C12	100.00	100.00			100.00	
85	Federman	Dan	1353 Page Street	San Francisco	04117	Software Engineer	Airbnb	Electronic	12/19/2017	12/27/2017	DE-122717-C12	200.00	100.00			100.00	
86	Goldman	John	172 Russa Street	San Francisco	04103	Architect	Goldman Architects	Electronic	12/22/2017	12/27/2017	DE-122717-C12	500.00	100.00			Follow up	Contribution marked "Approved" in error on prior submission. Address provided appears to be a commercial/business address. Committee must provide supporting material evidencing contributor is a San Francisco resident (SF C&GCC Reg. 1.140-3).
87	Grover	Daniel	750 O'Farrell Street, Suite 100	San Francisco	04109	Product Manager	Facebook	Electronic	12/19/2017	12/27/2017	DE-122717-C12	100.00	100.00			100.00	
88	Hodges	Jeff	200 Guerrero Street, Apt. 201	San Francisco	04103	Software Engineer	Darkish Green	Electronic	12/18/2017	12/27/2017	DE-122717-C12	250.00	100.00			100.00	
89	Krall	Evan	202 Virginia Avenue	San Francisco	04110	Site Reliability Engineer	Yelp	Electronic	12/21/2017	12/27/2017	DE-122717-C12	100.00	100.00			100.00	
90	Kreiseloff	Matthew	529 Fillmore Street, Room A	San Francisco	04117	Director	YC Research	Electronic	12/21/2017	12/27/2017	DE-122717-C12	100.00	100.00			100.00	
91	Little	Cody	1122 Tennessee Street, Apt. 1	San Francisco	04107	Strategy Manager	Juul Labs	Electronic	12/24/2017	12/27/2017	DE-122717-C12	100.00	100.00			100.00	
92	Lockwood	Michael	1146 Alemany Blvd.	San Francisco	04112	Analyst	Proident Funding	Electronic	12/19/2017	12/27/2017	DE-122717-C12	100.00	100.00			100.00	
93	Clark	Alan	39 Boardman Place, Apt. 105	San Francisco	04114	Researcher	Facebook	Electronic	12/28/2017	12/31/2017	DE-123117-HS1	500.00	100.00			100.00	
94	Clark	Laura	39 Boardman Place, Apt. 105	San Francisco	04114	Executive Director	YIMBY Action	Electronic	12/28/2017	12/31/2017	DE-123117-HS1	500.00	100.00			100.00	
95	Conkling	Will	325 Montcalm Street	San Francisco	04110	Business Development	Google	Electronic	12/28/2017	12/31/2017	DE-123117-HS1	100.00	100.00			100.00	
96	Donde	Yehuda	334 Frederick Street, Apt. 3	San Francisco	04117	Attorney	Morrison & Foerster	Electronic	12/29/2017	12/31/2017	DE-123117-HS1	100.00	100.00			100.00	
97	Freedman	Kevin	3028 Laguna Street	San Francisco	04123	Founder	NextPhase Partners	Electronic	12/28/2017	12/31/2017	DE-123117-HS1	250.00	100.00			100.00	
98	Fruchtmann	Robert	700 Broderick Street, Apt. 1	San Francisco	04117	Software Engineer	Rediff	Electronic	12/28/2017	12/31/2017	DE-123117-HS1	200.00	100.00			100.00	
99	Hazel	Steven	2125 Hayes Street, #5	San Francisco	04117	Technologist	Sauce Labs Inc.	Electronic	12/29/2017	12/31/2017	DE-123117-HS1	200.00	100.00			100.00	
100	Levinthal	Cliff	631 Folsom Street, #4D	San Francisco	04107	Retired	n/a	Electronic	12/28/2017	12/31/2017	DE-123117-HS1	150.00	100.00			100.00	
Totals												29375	10000			0	6000

Kim Lutz

From: Hodge, Robert (ETH) <robert.hodge@sfgov.org>
Sent: Wednesday, June 13, 2018 3:21 PM
To: Kim Lutz; Trauss2018
Subject: Final Determination of Ineligibility for Public Financing - Sonja Trauss
Attachments: Trauss 6.6.2018 Submission Final Determination Ineligible.docx

Kim,

Attached is a letter from the Executive Director regarding Sonja Trauss' ineligibility to receive public financing. As noted in the letter, this represents the Executive Director's final decision.

Please contact our office if you have any questions.

Robb Hodge
Campaign Finance Auditor
San Francisco Ethics Commission
25 Van Ness Avenue, Suite 220, San Francisco, CA 94102
Telephone: 415.252.3100
Sfethics.org | robert.hodge@sfgov.org



PLEASE NOTE THAT NOTHING IN THIS E-MAIL IS INTENDED TO CONSTITUTE A WRITTEN FORMAL OPINION OF THE SAN FRANCISCO ETHICS COMMISSION, AND THE RECIPIENT MAY NOT RELY ON THIS E-MAIL AS A DEFENSE IN ANY ENFORCEMENT PROCEEDING.



ETHICS COMMISSION

CITY AND COUNTY OF SAN FRANCISCO

DAINA CHIU
CHAIR

June 13, 2018

QUENTIN L. KOPP
VICE-CHAIR

Sonja Trauss
Sonja Trauss for Supervisor 2018
603 Natoma Street, #305
San Francisco, CA 94103

PAUL A. RENNE
COMMISSIONER

Re: Final Determination of Ineligibility to Receive Public Funds under San Francisco Campaign and Governmental Conduct Code §1.100 *et seq.*

YVONNE LEE
COMMISSIONER

Dear Ms. Trauss:

NOREEN AMBROSE
COMMISSIONER

Under Section 1.142(a) of the San Francisco Campaign & Governmental Conduct Code (the "Code"), all candidates for the Board of Supervisors must sign and file a Statement of Participation or Non-Participation in the public financing program with the Ethics Commission no later than the deadline for filing nomination papers. That statement indicates whether a candidate intends to participate in the public financing program and is required to be filed in order for a candidate to be eligible for public financing. The law does not provide for extensions of this filing obligation, and the Statement may not be amended after the deadline for filing nomination papers.

LEEANN PELHAM
EXECUTIVE DIRECTOR

This letter serves as notice that the Ethics Commission did not receive from you a Form SFEC-142(a) – Statement of Participation or Non-Participation in the Public Financing Program by yesterday's deadline for filing nomination papers. Your campaign for the November 2018 Supervisorial election, therefore, is ineligible to receive public funds, and our May 3, 2018 determination of your Committee's ineligibility is now final.

Code Section 1.142(g) provides that candidates may appeal the Executive Director's final determination to the Ethics Commission. To do so, the candidate must deliver the written appeal to the Ethics Commission within five days of the date of notification of the Executive Director's determination, in this case, by the close of business on Monday June 18, 2018.

If you have any questions about this letter or the process or requirements related to qualifying for public funds in your campaign, please feel free to contact our office at (415) 252-3100.

Sincerely,

LeeAnn Pelham
Executive Director

cc: Shawnda Deane, Treasurer

ATTACHMENT 9

Type of filing: ☒ Initial ☐ Amendment

San Francisco Ethics Commission
25 Van Ness, Suite 220
San Francisco, CA 94102
Phone: (415) 252-3100
Fax: (415) 252-3112
Email: ethics.commission@sfgov.org
Web: www.sfethics.org



For SFEC use

E-Filed
06/25/2018
09:38:17
Filing ID:
172242361

**STATEMENT OF PARTICIPATION OR NON-PARTICIPATION
IN PUBLIC FINANCING PROGRAM**

(S.F. Campaign and Governmental Conduct Code § 1.142a)

Note: No later than the deadline for filing nomination papers, each candidate for Mayor or Board of Supervisors must file this form with the Ethics Commission to indicate whether he or she intends to participate in the public financing program. See Ethics Commission Regulation 1.142-1. Please write legibly and fill out the form completely. This form may not be amended after the deadline for filing nomination papers.

CANDIDATE INFORMATION	
Name of Candidate Trevor McNeil	
Name of Campaign Committee Trevor McNeil for District 4 Supervisor 2018	FPPC I.D. No. Pending
CANDIDATE'S STATEMENT Please check the appropriate box: <input checked="" type="checkbox"/> I agree to participate in the public financing program. I understand that filing this Statement does not establish my eligibility for, or obligate me to participate in, the public financing program. <i>(For further information regarding the application forms for public funds, please contact the San Francisco Ethics Commission, 25 Van Ness Avenue, Suite 220, San Francisco, CA 94102; telephone (415) 252-3100, or visit its web site at www.sfethics.org.)</i> <input type="checkbox"/> I decline to participate in the public financing program. I understand that my statement of non-participation means that I will not participate in the public financing program. I have read and understand the requirements of the public financing program as set out in the Campaign Finance Reform Ordinance. <div style="display: flex; justify-content: space-between;"><div>Candidate's signature <u>Trevor McNeil</u></div><div>Date <u>06/22/2018</u></div></div>	

ATTACHMENT 10

From: [Ethics Commission, \(ETH\)](#)
Subject: Public Financing Ineligibility Notice
Date: Tuesday, June 26, 2018 12:38:57 PM

Dear candidate:

Under Section 1.142(a) of the San Francisco Campaign & Governmental Conduct Code (the “Code”), all candidates for the Board of Supervisors must sign and file a Statement of Participation or Non-Participation in the public financing program with the Ethics Commission no later than the deadline for filing nomination papers. That statement indicates whether a candidate intends to participate in the public financing program and is required to be filed in order for a candidate to be eligible for public financing. The law does not provide for extensions of this filing obligation, and the statement may not be amended after the deadline for filing nomination papers.

This notice is to inform you that the Ethics Commission did not receive from you a Form SFEC-142(a) – Statement of Participation or Non-Participation in the Public Financing Program by the deadline for filing nomination papers. That deadline was June 12th for candidates in Districts 2, 6, & 10 and June 18th for candidates in Districts 4 & 8. Your campaign for the November 2018 Supervisorial election, therefore, is ineligible to receive public funds.

Code Section 1.142(g) provides that candidates may appeal ineligibility in the public financing program to the Ethics Commission. To do so, the candidate must deliver the written appeal to the Ethics Commission. In order to be heard at the Commission’s July 20th meeting, all appeals, including any accompanying documentation you may choose to include, must be received by 5 PM on Friday July 13th. **Any appeals received after that time will not be heard at the Commission’s July 20th meeting but may be heard at the Commission’s next regularly scheduled meeting on September 21st.**

If you have any questions about this notice or the process or requirements related to qualifying for public funds in your campaign, please feel free to contact our office at (415) 252-3100.

Sincerely,

San Francisco Ethics Commission
25 Van Ness Ave., Suite 220
San Francisco, CA 94102
(415) 252-3100
sfethics.org | ethics.commission@sfgov.org

ATTACHMENT 11

FILED

18 JUL -2 PM 3:37

SAN FRANCISCO
ETHICS COMMISSION

Trevor McNeil

Candidate for District 4 Supervisor

City & County of San Francisco
Ethics Commission
Room 316, City Hall
1 Dr. Carlton B. Goodlett Pl.
San Francisco, CA 94102
ethics.commission@sfgov.org
415-252-3100

San Francisco Ethics Commission:

My name is Trevor McNeil, I am a candidate for District 4 Supervisor in this upcoming November election. I am writing to you today to appeal my ineligibility from public financing due to missing the deadline to submit a Statement of Participation form.

On June 18th, I successfully submitted my nomination papers, candidate statement, Chinese name, and Form 700 to the Department of Elections. I intended to apply for public financing, but was not informed that I needed to submit a Statement of Participation that day. I had asked the Department of Elections staff whether I should visit the Ethics Commission to file campaign finance forms and was told that I could wait until later in the week.

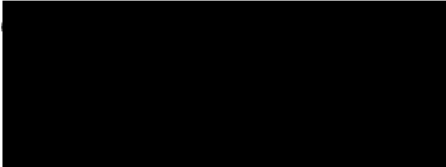
I was horrified and embarrassed that I had missed the deadline to participate in public financing, and deeply regret the mistake. As you know, District 4 Supervisor race unexpectedly became an open seat when Supervisor Katy Tang declined to run for re-election. With the sudden change in nomination deadline and rush to obtain nomination signatures, it was difficult to gain a clear understanding of the different forms required of the candidates. In the confusion, I failed to realize that the Statement of Participation for public financing was now due on Monday, June 18th. In addition, I am a first-time Supervisor candidate without a professional political consultant. I was not able to benefit from the additional staff and experience that my competitors may have had in keeping track of all of the necessary procedures. The deadline for the Statement of Participation was never updated in the candidate's guide online.

The spirit of public financing is to provide a level playing ground for all viable political candidates, especially those from the local community or who lack established, institutional support. As a public school teacher with three young children, I believe I fit the profile of someone who public financing was meant to support. I am asking for the opportunity to be eligible for public financing, especially if I am able to raise the qualifying funds from local donors in San Francisco. Without public financing, it will be very difficult for my campaign to be

competitive against other candidates with large institutional support. Our democratic society and government are strengthened by public financing, which helps voters become educated about their full range of choices.

I deeply apologize for my mistake, but due to the unique circumstances of the election, the Ethics Commission moving offices, and the confusion in online notifications of dates, I believe my request is reasonable. Thank you so much for your consideration.

Sin



Trevor McNeil

ATTACHMENT 12

San Francisco Ethics Commission
 25 Van Ness, Suite 220
 San Francisco, CA 94102
 Phone: (415) 252-3100
 Fax: (415) 252-3112
 Email: ethics.commission@sfgov.org
 Web: www.sfethics.org



For SFEC use

E-Filed
 06/20/2018
 13:04:16
 Filing ID:
 172201191

**STATEMENT OF PARTICIPATION OR NON-PARTICIPATION
 IN PUBLIC FINANCING PROGRAM**
 (S.F. Campaign and Governmental Conduct Code § 1.142a)

Note: No later than the deadline for filing nomination papers, each candidate for Mayor or Board of Supervisors must file this form with the Ethics Commission to indicate whether he or she intends to participate in the public financing program. See Ethics Commission Regulation 1.142-1. Please write legibly and fill out the form completely. This form may not be amended after the deadline for filing nomination papers.

CANDIDATE INFORMATION	
Name of Candidate	
Arthur Tom	
Name of Campaign Committee	FPPC I.D. No.
Art Tom for Supervisor 2018	Pending
CANDIDATE'S STATEMENT	
Please check the appropriate box:	
<input checked="" type="checkbox"/> I agree to participate in the public financing program. I understand that filing this Statement does not establish my eligibility for, or obligate me to participate in, the public financing program. <i>(For further information regarding the application forms for public funds, please contact the San Francisco Ethics Commission, 25 Van Ness Avenue, Suite 220, San Francisco, CA 94102; telephone (415) 252-3100, or visit its web site at www.sfethics.org.)</i>	
<input type="checkbox"/> I decline to participate in the public financing program. I understand that my statement of non-participation means that I will not participate in the public financing program.	
I have read and understand the requirements of the public financing program as set out in the Campaign Finance Reform Ordinance.	
<u>Arthur Tom</u> Candidate's signature	<u>06/20/2018</u> Date

ATTACHMENT 13

July 10, 2018

VIA E-MAIL: sfethics.org | ethics.commission@sfgov.org

San Francisco Ethics Commission
25 Van Ness Ave., Suite 220
San Francisco, CA 94102
Attn.: Executive Director Lee Ann Pelham

Re: Appeal by Arthur Tom of director's denial of eligibility for Public Financing Program participation [Hearing Date: July 20, 2018]

Dear Commissioners:

On June 18, 2018, I, Arthur M. Tom III, filed with the Department of Elections to qualify as a candidate for election to the San Francisco Board of Supervisors, District 4. As you know, the deadline for such filing had been extended from June 12 to June 18 because the incumbent had chosen just after the deadline to decline running for reelection on this November's ballot.

Having met all of the requirements to qualify for the November ballot within the short time frame, I proceeded to apply on June 20 for participation in the Public Financing Program at the Ethics Commission. To my surprise, however, I was informed that I could not seek public financing, as the deadline for applying had been set for June 18.

I hereby appeal the denial of my request for public financing to the Ethics Commission and request that the Commission accept my application for public financing for the November election. This request should be granted for the following reasons:

1. Insufficient and inadequate notice was provided to potential candidates about the deadline for public financing.

When I submitted my papers to the Department of Elections ("Elections"), no one explained to me that the Ethics Commission was located in another building, and that the deadline to apply for public financing was the same day as the filing date for candidacy documents, i.e., June 18. The staff at Elections appeared to be completely inundated with the extended deadline activities and clearly failed to inform me that the Ethics Commission had deemed June 18 to be the same deadline for public financing. Conversely, the Ethics Commission made no public announcement, and none of its staff notified me, that the application deadline for public financing would occur on the same day of the extended candidacy filing deadline.

Moreover, the Ethics Commission could not practically have learned about the validity of my candidacy from Elections because I had only qualified on that last day. In fact, Elections did not even

notify me of my qualification by posting it to their site until late in the day on June 18, after I had left thinking everything was complete. Therefore, I had no reason to believe I had to submit a statement of participation to the Ethics Commission on that same day. Furthermore, by the time I looked up the posting, the Ethics Commission offices were already closed. Under the rushed circumstances of a mere five-day extension for filing candidate papers (which included two weekend days), it was manifestly unfair for any person who never run previously for city office before, and even an experienced candidate, to be expected to apply with the Commission on June 18 without reasonable prior notification of the public financing deadline.

My case is far from unique, in that other candidates for the District 4 office have been denied access to public financing. It is simply unreasonable to assume that any candidate would want to forgo the receipt of at least \$155,000 in public financing for what will be a short campaign cycle. In fact, this entire incident could have been avoided if Elections had included a public financing application or even a one-page notice in the package of candidacy documents. The City also could have averted the problem posed by my case and others if the regulations automatically granted public financing eligibility and allowed candidates the choice to opt-out of receiving such funds.

Thus, the Commission director's denial of my application to participate in the public financing program should be overturned as a matter of fairness.

2. The shortness of the extension of the District Four filing deadline represented extraordinary circumstances for which the relief requested by this appeal is appropriate.

The sudden announcement by Supervisor Katy Tang was calculated to achieve precisely the result that the Commission's director has effectuated, i.e., to preclude the candidacies of as many challengers to Supervisor Tang's chosen successor.

As you may know, Supervisor Tang's declination to seek reelection created a hectic rush by interested persons to file with Elections all necessary documents and proofs on time. All of the purported candidates were required to obtain signatures on the their petitions, fill out forms, evaluate hurriedly the feasibility of running a campaign, and still discharge normal work and family responsibilities.

The truncated period of time by which documents were to be filed with Elections required an extraordinarily concentrated effort and, to a degree, achieved Supervisor Tang's calculated, political objective to winnow the field of potential challengers. In fact, not all of the applicants seeking an appearance on the ballot were deemed qualified to run for the District 4 seat.

Compounding Supervisor Tang's deliberate subterfuge, other candidates for District 4 missed the deadline for applying for public financing. My case does not represent an isolated incident, but it is merely one consequence of a material flaw in the lack of coordinated operations of two city departments in response to a shortened filing period. Based on such extraordinary circumstances, this appeal should be granted in its entirety.

3. The unfair and obscure nature of the June 18 deadline punished first-time candidates for the District 4 office and posed an unreasonable barrier to such candidates.

As a normal citizen and political layperson, the sudden announcement of an open seat for the District 4 office meant that I had not, and still lack, access to any competent advice regarding the complex election and public financing laws and regulations that apply to running for the Board of Supervisors. Two of the days within the five-day extension of the filing deadline were weekend days when neither the Department of Elections nor Ethics Commission offices were open.

Lacking such advice, and even the opportunity to communicate over the weekend preceding the June 18 deadline with any experienced campaign counsel meant, in practical terms, that the subject of public financing never arose. The practical effect of having time to seek professional advice is that the providers of such advice expect to be compensated, the inquiry about which inevitably calls attention to the public financing system.

As I am not independently wealthy and cannot afford to match the \$155,000 of public financing available to other candidates, the consequent fundraising effort to obtain the resources to communicate effectively with voters will draw off critical time and effort away from meeting potential voters. This not only imposes a huge disadvantage, but it practically tilts the playing field in favor of better financed persons in this election.

I made every good faith effort possible to comply with all applicable regulations to run for city office, including eligibility for public financing; my application was lodged a mere two days after the deadline, and the City would incur no harm by admitting me to participate in the public finance program.

4. Denial of my application for public financing accomplished no economies for the City; granting this appeal and the request to participate in public financing would not prejudice the City's rights or compromise in any way the integrity of the election for District 4 Supervisor.

The deadline to withdraw from the ballot (i.e., July 3, 2018), has already passed, however the Ethics Commission to hear my appeal was not set until July 20, 2018, meaning that my name will appear on the ballot regardless of the Commission's action on my appeal. Thus, Elections must incur the significant expense of printing and allocating other resources in its ballot, handbook, and other materials related to the election.

More significant, *my acceptance into public financing program does not guarantee that I can meet all of the thresholds for qualifying contributions for matching by the City.* Qualifying for public financing would only put me on an equal footing with the candidates who are already participating in the program. As a practical matter, other candidates may already have a head start in raising funds. I am merely asking for the chance to more fairly compete for the privilege to represent the residents of my district and the City.

Granting my appeal will advance the policy objectives approved by San Francisco voters when they adopted Proposition O, the Fair Elections Ordinance. The intent of the ordinance was to provide

public financing, so that all candidates had a fair chance to compete by offsetting the outsized influence of moneyed, special interests.

5. Conclusion

To conclude, the District 4 election presented the unique situation of a truncated time-frame during which interested persons could qualify for appearance on the ballot and apply for public financing. Lack of departmental communication, coordination and transparency – coupled with the inadequate notice to potential candidates -- created a publicly-created predicament wherein the policy and procedures failed to achieve the applicable law's stated objectives. Having complied in good faith with all applicable requirements for qualifying my candidacy, and, in view of the fact that my name will continue to appear on the ballot, granting the relief sought herein would not prejudice in any way the rights or interests of the City or of other candidates in the subject election.

For all of the foregoing reasons, I respectfully request that the Ethics Commission grant my appeal and approve my application for participation in public financing.

By service of this formal appeal, I seek to avail myself of all administrative remedies offered by the Ethics Commission or any other related governmental agency with jurisdiction, and hereby request that I be informed of any such process. Please address all communications regarding this appeal to the undersigned.

I look forward to addressing the Commission at the July 20 hearing on this matter. Please do not hesitate to call or e-mail me in the meantime if you have any questions. Thank you for your attention.

Very truly yours,



Arthur M. Tom, III

Cc: Commissioners

ATTACHMENT 14

Type of filing: ☒ Initial ☐ Amendment

San Francisco Ethics Commission
25 Van Ness, Suite 220
San Francisco, CA 94102
Phone: (415) 252-3100
Fax: (415) 252-3112
Email: ethics.commission@sfgov.org
Web: www.sfethics.org



For SFEC use

E-Filed
06/20/2018
16:37:02
Filing ID:
172205915

**STATEMENT OF PARTICIPATION OR NON-PARTICIPATION
IN PUBLIC FINANCING PROGRAM**

(S.F. Campaign and Governmental Conduct Code § 1.142a)

Note: No later than the deadline for filing nomination papers, each candidate for Mayor or Board of Supervisors must file this form with the Ethics Commission to indicate whether he or she intends to participate in the public financing program. See Ethics Commission Regulation 1.142-1. Please write legibly and fill out the form completely. This form may not be amended after the deadline for filing nomination papers.

CANDIDATE INFORMATION	
Name of Candidate Uzuri Pease-Greene	
Name of Campaign Committee Uzuri Pease-Greene for Supervisor 2018	FPPC I.D. No. 1396515
CANDIDATE'S STATEMENT	
Please check the appropriate box: <input checked="" type="checkbox"/> I agree to participate in the public financing program. I understand that filing this Statement does not establish my eligibility for, or obligate me to participate in, the public financing program. <i>(For further information regarding the application forms for public funds, please contact the San Francisco Ethics Commission, 25 Van Ness Avenue, Suite 220, San Francisco, CA 94102; telephone (415) 252-3100, or visit its web site at www.sfethics.org.)</i> <input type="checkbox"/> I decline to participate in the public financing program. I understand that my statement of non-participation means that I will not participate in the public financing program. I have read and understand the requirements of the public financing program as set out in the Campaign Finance Reform Ordinance. <div><div>Uzuri Pease-Greene Candidate's signature</div><div>06/12/2018 Date</div></div>	

ATTACHMENT 15



July 11, 2018

San Francisco Ethics Commission
25 Van Ness Avenue, #220
San Francisco, CA 94102

Re: Uzuri Pease-Greene for Supervisor 2018 – Appeal of Ineligibility for Public Financing

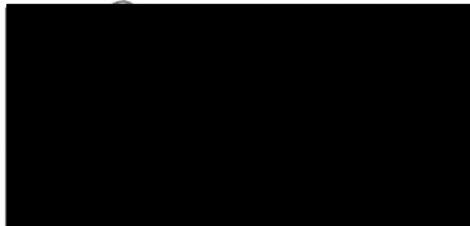
To Whom It May Concern:

This is in response to the Public Financing Ineligibility Notice our offices received on June 26, 2018 for Uzuri Pease-Greene for Supervisor 2018 (ID# 1396515). My office was under the incorrect impression that the Statement of Participation or Non-Participation (SFEC Form 142a) was a document submitted by our client at the time of filing the nomination paperwork, a process with which we are not involved. At the same time, our client was under the impression that this was a form that my office is responsible for filing with San Francisco Ethics. Unfortunately, this miscommunication brings with it dire consequences. My office filed the Statement of Participation immediately upon realizing the delinquency.

My office does not specialize in San Francisco reporting and we have been relying heavily on the staff at San Francisco Ethics for guidance regarding the documentation needed for Qualifying Requests, how to appropriately upload the documentation, when we can resubmit a request, and when we need to cancel a request and submit a new request. This has been a learning experience for us, as we have not been through the matching funds process in San Francisco for several years.

We ask that you please take the above reference information into consideration in reevaluating your determination of ineligibility rather than penalizing our client, Uzuri Pease-Greene for Supervisor 2018 (ID# 1396515), for this error. Your reconsideration would be greatly appreciated.

If you have any questions, please contact me directly.



Shawnda Deane
Treasurer

ATTACHMENT 16

Type of filing: ☐ Initial ☐ Amendment

San Francisco Ethics Commission
25 Van Ness, Suite 220
San Francisco, CA 94102
Phone: (415) 252-3100
Fax: (415) 252-3112
Email: ethics.commission@sfgov.org
Web: www.sfethics.org



For SFEC use

FORM SFEC-142(a):
STATEMENT OF PARTICIPATION OR NON-PARTICIPATION
IN PUBLIC FINANCING PROGRAM
(S.F. Campaign and Governmental Conduct Code § 1.142a)

Note: No later than the deadline for filing nomination papers, each candidate for Mayor or Board of Supervisors must file this form with the Ethics Commission to indicate whether he or she intends to participate in the public financing program. See Ethics Commission Regulation 1.142-1. Please write legibly and fill out the form completely. This form may not be amended after the deadline for filing nomination papers.

CANDIDATE INFORMATION

Name of Candidate (print)

Name of Campaign Committee

FPPC I.D. No.

CANDIDATE'S STATEMENT

Please check the appropriate box:

- ☐ I agree to participate in the public financing program. I understand that filing this Statement does not establish my eligibility for, or obligate me to participate in, the public financing program. *(For further information regarding the application forms for public funds, please contact the San Francisco Ethics Commission, 25 Van Ness Avenue, Suite 220, San Francisco, CA 94102; telephone (415) 252-3100, or visit its web site at www.sfethics.org.)*
- ☐ I decline to participate in the public financing program. I understand that my statement of non-participation means that I will not participate in the public financing program.

I have read and understand the requirements of the public financing program as set out in the Campaign Finance Reform Ordinance.

Candidate's signature

Date