



# ETHICS COMMISSION

## CITY AND COUNTY OF SAN FRANCISCO

DAINA CHIU  
CHAIR

Date: November 9, 2018

QUENTIN L. KOPP  
VICE-CHAIR

To: Members of the Ethics Commission

PAUL A. RENNE  
COMMISSIONER

From: Jeff Pierce, Director of Enforcement & Legal Affairs

YVONNE LEE  
COMMISSIONER

Subject: **AGENDA ITEM 7: Enforcement Report for the November 16, 2018 Meeting**

NOREEN AMBROSE  
COMMISSIONER

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**Summary:** This report highlights programmatic information and operational updates related to the Enforcement & Legal Affairs division.

LEEANN PELHAM  
EXECUTIVE DIRECTOR

**Action Requested:** No action is required by the Commission, as this item is for informational purposes only.

### Programmatic Highlights

The Commission received ten new complaints since October 12, 2018. There are now 97 complaints in preliminary review and 85 under open investigation. As a result of preliminary review in the last month, investigators dismissed two complaints; ten more preliminary review reports await my review before staff can dismiss them or convert them into open investigations. The average age of matters in preliminary review is now 4.6 months, and the average age of matters under open investigation is now 14.2 months. Finally, in consultation with the Executive Director, Enforcement staff are actively negotiating a number of stipulated orders with respondents who are considering settlement to avoid litigation.

Attachment 1 contains additional detail regarding matters in preliminary review. Attachment 2 contains additional detail regarding open investigations.

### Operational Updates/Investigative Caseload Data

Investigative matters under the jurisdiction of the Ethics Commission merit investigation after the Enforcement Director's preliminary review finds there is reason to believe a violation of law may have occurred. Once the Enforcement Director has made that determination, the Enforcement division forwards the complaint to the City Attorney and District Attorney under San Francisco Charter section C3.699-13(a) and the complaint is converted into an open investigation. Table 1 summarizes the number of pending open investigations within the Ethics Commission's jurisdiction that remained pending as of November 9, 2018 and as compared to the prior reporting period. As noted above, more detailed information about the Commission's enforcement caseload is provided in Attachments 1 and 2.

Table 1 – Summary of Open Investigations by Type as of November 9, 2018:

Type	October 2018	November 2018
Campaign Finance	38	36
Governmental Ethics	34	32
Lobbyist Ordinance	6	6
Whistleblower Retaliation	10	10
Sunshine Ordinance	1	1
<b>Total</b>	<b>89</b>	<b>85</b>

**Referrals to Bureau of Delinquent Revenues**

Table 2 – Summary of the status of accounts that remain after referral by the Ethics Commission to the City's Bureau of Delinquent Revenues.

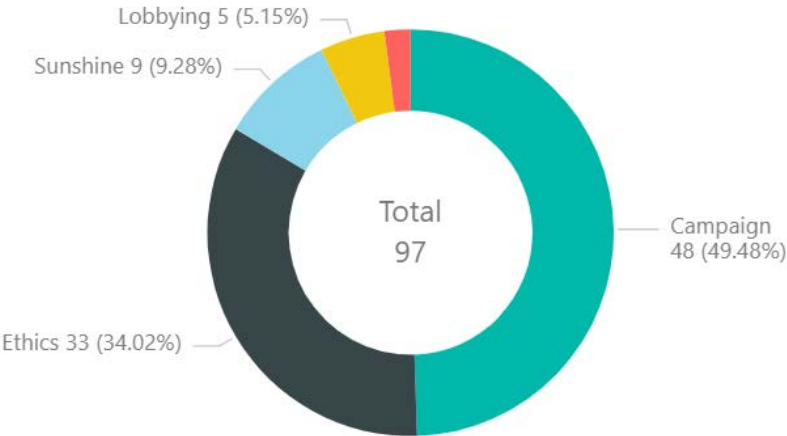
Committee/ Filer	ID #	Treasurer/ Responsible Officer	Referral	Original Amount Referred	Last Month's Balance	Current Balance	Status
Chris Jackson	1347066	Chris Jackson	7/12/13	\$6,601.00	\$5,100.99	\$5,100.99	The Order of Examination Hearing has been rescheduled for 1/3/19 (to allow more time to serve the debtor), where the debtor is ordered to appear to disclose assets.
Chris Jackson	22-12119	Chris Jackson	9/26/16	\$6,100.00	\$6,100.00	\$6,100.00	The Order of Examination Hearing has been rescheduled for 1/3/19 (to allow more time to serve the debtor), where the debtor is ordered to appear to disclose assets.
Committee to Elect Norman for Supervisor	14-131112	Jacqueline Norman	5/1/15	\$9,000.00	\$9,000.00	\$9,000.00	The Order of Examination Hearing has been rescheduled for 1/7/19 (to allow more time to serve the debtor), where the debtor

Committee/ Filer	ID #	Treasurer/ Responsible Officer	Referral	Original Amount Referred	Last Month's Balance	Current Balance	Status
							is ordered to appear to disclose assets.
Isabel Urbano	SFO-153993	Isabel Urbano	3/23/16	\$7,000.00	\$6,850.00	\$6,850.00	Debtor resides in New York, no response to demand letters mailed to all known addresses for the debtor. The Legal Department will send their Intent to File Lawsuit letter via registered mail to all known address, since BDR cannot confirm where the debtor lives.
Lynette Sweet	1324331	Lynette Sweet	12/29/16	\$74,408.19	\$74,408.19	\$74,408.19	Debtor has filed for Bankruptcy, BK Case #17-31041. The Chapter 13 trustee dismissal was approved on 9/28/2018. The debtor refiled under BK case 18-31033. The Bankruptcy unit will file a claim for the new BK case.
						<b>Total:</b>	\$101,459.18

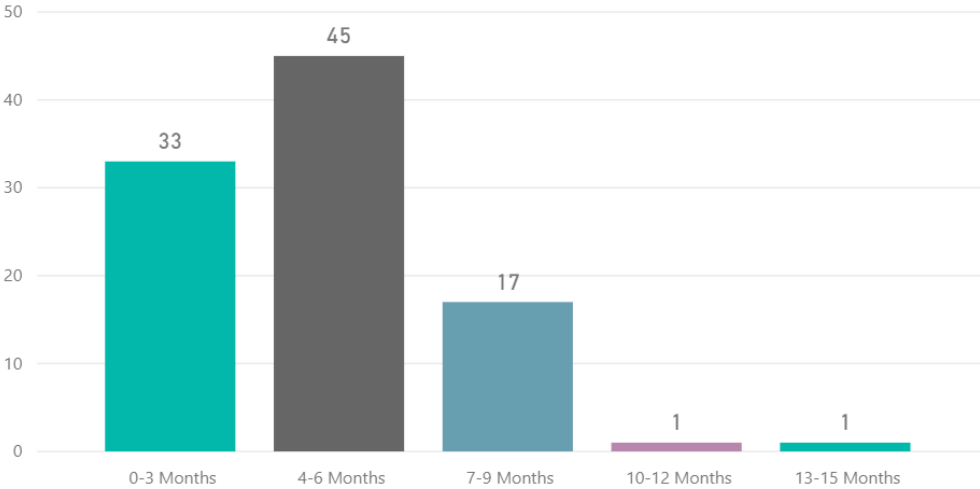
I look forward to answering any questions you may have at the upcoming Commission meeting.

# Agenda Item 7, Attachment 1: Preliminary Review Summary

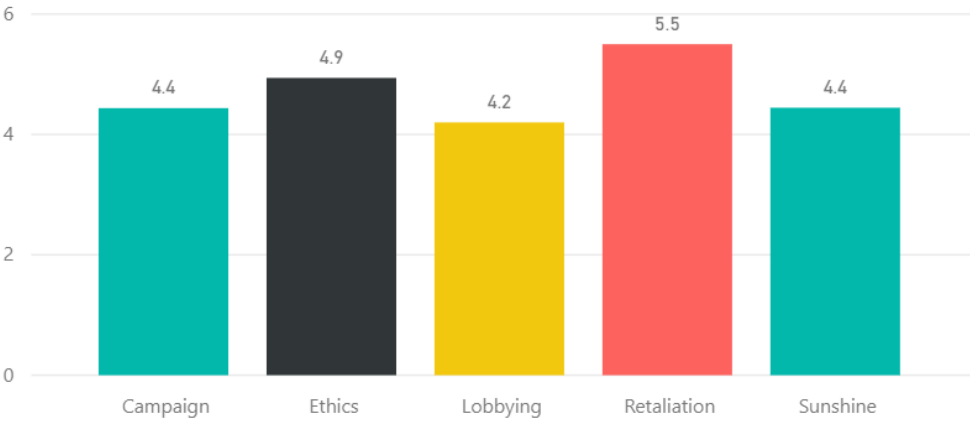
Matters in Preliminary Review by Type



Age of Matters in Preliminary Review

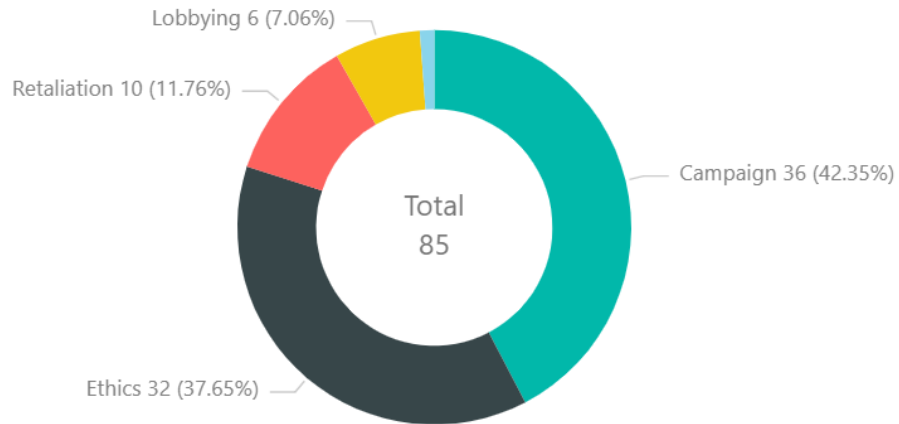


Average Age of Cases in Preliminary Review

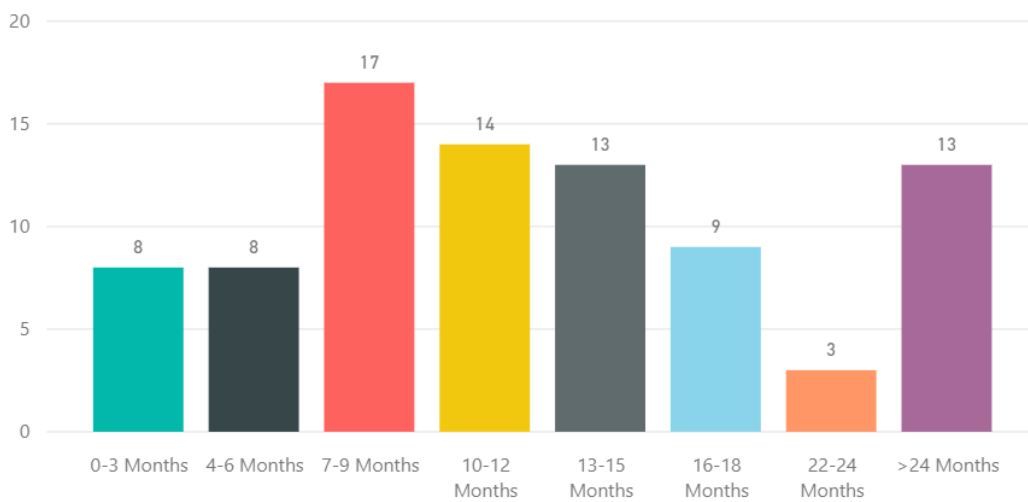


## Agenda Item 7, Attachment 2: Open Investigations Summary

Open Investigations by Type



Age of Matters under Investigations



Case Type by Average Age in Months

