

# ETHICS COMMISSION FY20 BUDGET

OVERVIEW FOR THE BOARD OF SUPERVISORS

JUNE 12, 2019



<https://sfethics.org>

LeeAnn Pelham, Executive Director

Gayathri Thaikkendiyil, Deputy Director & Chief Programs Officer

415.252.3100

[ethics.commission@sfgov.org](mailto:ethics.commission@sfgov.org)

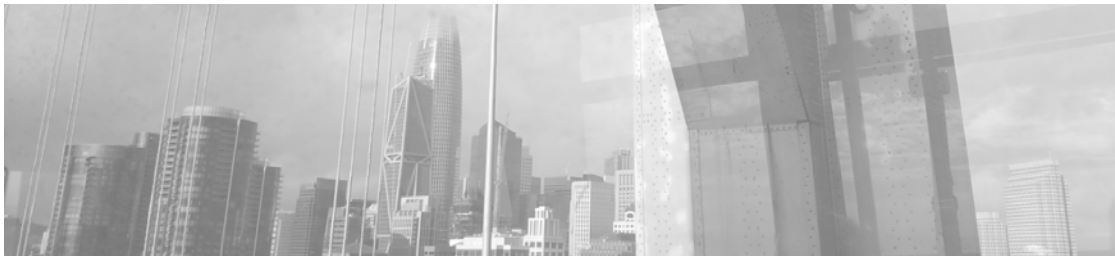
# ETHICS COMMISSION

## MISSION

To promote and practice  
the highest standards  
of ethical behavior  
in government

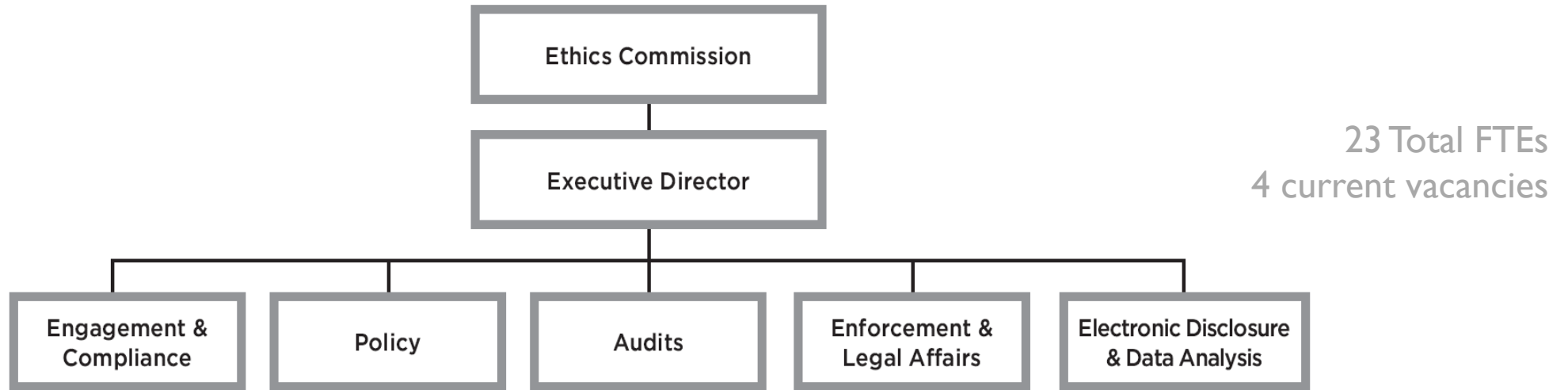
“Public office is a public trust  
and all officers and employees  
of the City and County  
shall exercise their public duties  
in a manner consistent  
with this trust.”

*SF Charter Sec. 15.102*



ETHICS COMMISSION

# STRUCTURE AND FUNCTIONS



## Broad Programmatic Responsibilities

campaign finance  
governmental ethics and conflicts of interest  
lobbying and permit consultants  
campaign consultants

## Broad Functional Duties

act as filing officer for public disclosures  
provide advice, education, compliance assistance  
conduct policy analysis and development  
perform audits, investigations & administrative enforcement

ETHICS COMMISSION

# STRATEGIC GOALS AND APPROACHES

**Enhanced compliance** through proactive guidance and useful tools and information

**Strong, effective laws** through a heightened focus on policy analysis

**Meaningful public disclosure and improved service delivery** through Electronic-Filing Conversion and operational efficiencies

**Promote accountability and public trust** through objective, thorough, and timely audits, investigations, and case resolution

## Assess

*evaluate and propose laws that are strong, workable in practice, and enforceable*

## Invest

*program effectiveness requires sufficient and sustained staffing resources and modernized tools for the job*

## Align

*changing nature of the work requires aligning resources with necessary knowledge, skills, and abilities, and support for staff development and retention*

## Adapt

*broaden implementation of leading practices to enhance accountability and transparency*

ETHICS COMMISSION

# FY20 KEY INITIATIVES

- Public financing legislative recommendations and implementation
- Implementation of E-filing conversion across program areas
- Compliance guidance, tools, and training for newly enacted laws
- Implement enhanced public disclosure and data sharing tools
- Audit completion and audit program enhancements
- Enforcement program enhancements
- Complete departmental hiring plan

# BROADENING IMPACT & ACCESS

## Operating Budget Foundation - Approx. \$ 4.75M

- Retention of operational budget from FY19 - a foundation for progress in FY20
- Retention of permanent authorized positions
- Investments for staff development and retention are sustained

## Includes Departmental Requests of Approx. \$ 203,807

*All support building capacity and ability to focus and deliver on strategic goals*

- One position realignment to strengthen effectiveness of compliance outreach
- Increase in ongoing work order with Department of Human Resources Client Services for continued hiring and essential HR needs
- One new position for a three-year data project; one year of limited term funding provided)

## Election Campaign Fund

Allocations mandated by the Charter of approximately \$6.8 million are met. While not a part of the Ethics Commission's operating budget, this General Fund allocation ensures funding for the City's public financing program, which is key for expanding engagement in local campaigns.