San Francisco Ethics Commission Filing Schedule for Committees Primarily Formed to Support/Oppose San Francisco Ballot Measures Listed on the November 5, 2019 Ballot



Deadline	Period	Form	Notes
Apr 30, 2019 Quarterly	1/1/19 – 3/31/19	460	 Committees formed for a measure being voted upon November 5, 2019 must file quarterly reports until the pre-election period begins for that election, regardless of activity.
Jul 31, 2019 Semi-Annual	* – 6/30/19	460	 All committees must file Form 460. The period covered is 4/1/19 – 6/30/19 if a quarterly report was filed.
Within 24 Hours Contribution Reports	8/7/19 – 11/5/19	497	 File if a contribution of \$1,000 or more in the aggregate is received from a single source. File if a contribution of \$1,000 or more in the aggregate is made to a candidate or <i>another</i> ballot measure being voted on a November 5 ballot. The recipient of a non-monetary contribution of \$1,000 or more must file a Form 497 within 48 hours from the time the contribution is received.
Sep 26, 2019 1 st Pre-Election	7/1/19 – 9/21/19	460	 All committees must file Form 460.
Oct 24, 2019 2 nd Pre-Election	9/22/19 – 10/19/19	460	 All committees must file Form 460.
Nov 1, 2019 3 rd Pre-Election	10/20/19 – 10/30/19	460	 All committees must file Form 460.
Jan 31, 2020 Semi-Annual	10/31/19 – 12/31/19	460	 All committees must file Form 460 unless the committee filed termination Forms 410 and 460 before December 31, 2019.

Additional Notes:

- * Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- Deadline Extensions: Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to the deadline for a Form 497 due the weekend before the election, or to any Form 496. Form 496 reports must be filed within 24 hours regardless of the day of the week.
- Late Filing Fines: Late filed statements will be assessed a \$25 per day late fine, until the statement is filed.
- Method of Delivery: All reports must be e-filed.

Page 1 of 2

San Francisco Ethics Commission

Additional Notes Cont.:

- Form SFEC-113: The proponent of any petition that is circulated to qualify a measure for the ballot must inform the Ethics Commission that the
 proponent has begun to circulate the petition by filing by filing Form SFEC -113 within one business day of the first date that the petition is circulated.
- Form SFEC-114.5: Each committee which receives contributions that in aggregate total \$5,000 or more in a single calendar year, which were made at the behest of a City elective officer, must file this form no later than the deadline to file its semi-annual or pre-election statement.
- Form SFEC-124: Each committee which receives contributions that in aggregate total \$10,000 or more during an election cycle, from a single business entity, must file this form no later than the deadline to file its semi-annual or pre-election statement.
- Form SFEC-125: Any candidate-controlled ballot measure committee which receives contributions which were "bundled" must file Form SFEC-125 at the time they are required to file a semi-annual or pre-election statement.
- Form 511 Paid Spokesperson Report: File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) A payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) A payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter).
- Public Documents: All forms are public documents. Instructional information is available at <u>www.sfethics.org</u>. Click on Compliance, then Committees.
- **Committee Status:** See FPPC <u>Regulation 18247.5</u> to determine if a committee is primarily formed.
- Other Committee Expenditures: Contact the Ethics Commission if the committee makes independent expenditures/contributions to candidates and/or other measures. Additional reports may be required.

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