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LEEANN PELHAM
EXECUTIVE DIRECTOR

Date: September 16, 2019
To: Members of the Ethics Commission
From: Jeff Pierce, Director of Enforcement & Legal Affairs
Subject: **AGENDA ITEM 4: Enforcement Report for the September 20, 2019 Meeting**

Summary: This report highlights programmatic information and operational updates related to the Enforcement & Legal Affairs division.

Action Requested: No action is required by the Commission, as this item is for informational purposes only.

Presentation: Department of Human Resources

During presentation of the Enforcement Report at the June 28, 2019 Commission Meeting, the Commission discussed government ethics considerations affecting City officers and employees who engage in employment secondary to their City jobs, as well as how the City’s Department of Human Resources (DHR) implements its jurisdiction over Civil Service rules governing secondary employment. At that time Commissioners requested that a representative from the Department of Human Resources address the Commission in greater detail about the Department’s oversight of secondary employment. DHR’s Deputy Director of Employment Services Anna Biasbas and its HR Consulting Services Manager Shawn Sherburne have agreed to address the Commission on this issue at the September meeting.

Enforcement Statistics

The Commission’s enforcement docket now consists of 138 matters, in comparison to 156 matters reported at the August meeting. Approximately one third of the Commission’s current docket is in preliminary review, and approximately two thirds is under investigation.

The following tables compare the number and average age of the Commission’s enforcement docket to the same figures as reported last month and as reported in this month last year:

Number and Average Age of Matters in Preliminary Review

Month	September 2018	August 2019	September 2019
Number	87	61	47
Avg. Age (mo.)	3.5	7.6	6.7

Number and Average Age of Matters in Open Investigation

Month	September 2018	August 2019	September 2019
Number	85	95	91
Avg. Age (mo.)	13.2	16.0	16.3

Attachment 1 contains additional data on the type and age of matters under preliminary review. Attachment 2 contains additional data on open investigations.

Referrals to Bureau of Delinquent Revenues

Under San Francisco Charter section C3.699-13(c)(i)(3), the Ethics Commission must refer to the Bureau of Delinquent Revenue penalties—including late fees—that the Commission has assessed but which remain unpaid after a specified period of time. The Commission’s Fines Collections Officer continues to work with delinquent filers to collect outstanding late fees and to refer unpaid accounts to the Bureau. A summary of the status of accounts that remain after referral by the Ethics Commission to the City’s Bureau of Delinquent Revenues appears below.

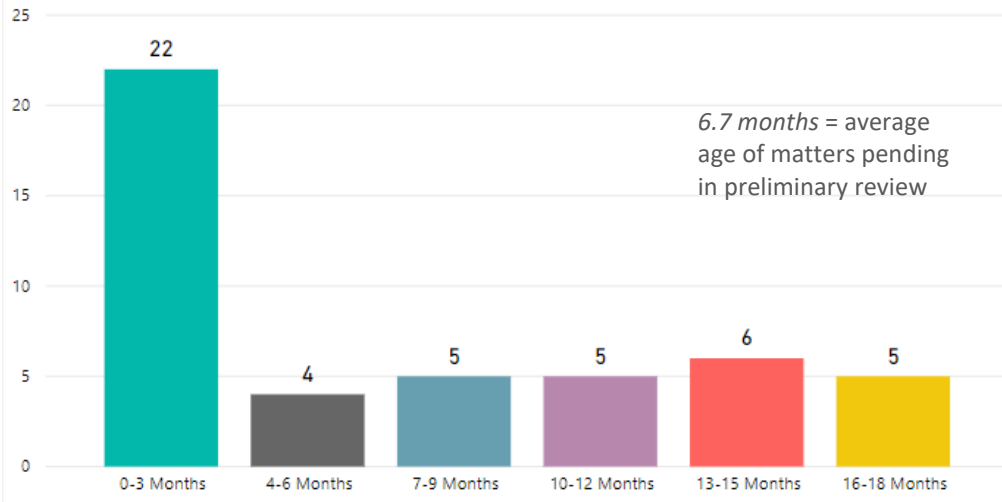
Committee/ Filer	ID #	Treasurer/ Responsible Officer	Referral Date	Original Amount Referred	Current Balance	General Status	Status
Chris Jackson	1347066	Chris Jackson	7/12/13	\$6,601.00	\$5,100.99	Judgment	No change in resident status, Debtor continue to evade collections. An Order of Examination Hearing is rescheduled to 1/16/2020 at 11:00am. Skip Tracing to locate Mr. Jackson's place of residence, employment and additional assets.
Chris Jackson	22-12119	Chris Jackson	9/26/16	\$6,100.00	\$6,100.00	Judgment	See above.
Committee to Elect Norman for Supervisor	14-131112	Jacqueline Norman	5/1/15	\$9,000.00	\$9,000.00	Judgment	No change in resident status, Debtor continue to evade collections. An Order of Examination Hearing is rescheduled to 1/16/2020 at 11:00am. Skip Tracing to locate Ms. Norman's place of residence, employment and additional assets.
Isabel Urbano	SFO-153993	Isabel Urbano	3/23/16	\$7,000.00	\$6,850.00	Agency Assignment	Assigned to collections agency.

Committee/ Filer	ID #	Treasurer/ Responsible Officer	Referral Date	Original Amount Referred	Current Balance	General Status	Status
Lynette Sweet	1324331	Lynette Sweet	12/29/16	\$74,408.19	\$74,408.19	Settlement Negotiation	Legal Department negotiating repayment with debtor per Bankruptcy. Debtor is to complete a Financial Statement to validate income.
SF Latino Democratic Club	1342652	Sarah Souza	6/21/19	\$10,979.00	\$10,979.00	Payment Plan	BDR emailed Debtor's attorney to request that he contact the Senior Collections Officer.
San Franciscans for Democracy, Yes on D Committee	1391630	Jeremy Pollock	6/21/19	\$1,625.00	\$1,625.00	No response from Debtor	Debtor has not responded to delinquency letter. BDR emailed treasurer to contact the Senior Collections Officer.
Noe Valley Democratic Club	963103	Hunter Stern	6/21/19	\$3,275.00	\$3,275.00	No response from Debtor	Debtor has not responded to delinquency letter. BDR emailed treasurer to contact the Senior Collections Officer.
Arlo Smith For Democratic County Central Committee	1388142	Hunter Stern	4/30/2019	\$1,750	\$1,750	No response from Debtor	Debtor has not responded to delinquency letter. BDR emailed treasurer to contact the Senior Collections Officer.
						Total:	\$119,088.18

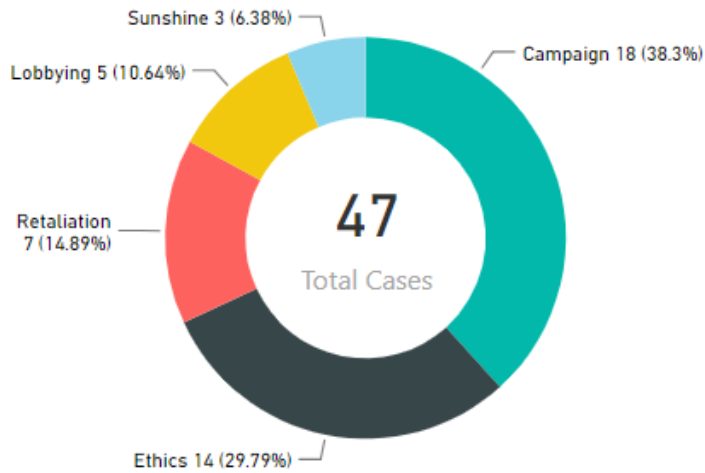
I look forward to answering any questions you might have at the upcoming Commission meeting.

Agenda Item 4, Attachment 1

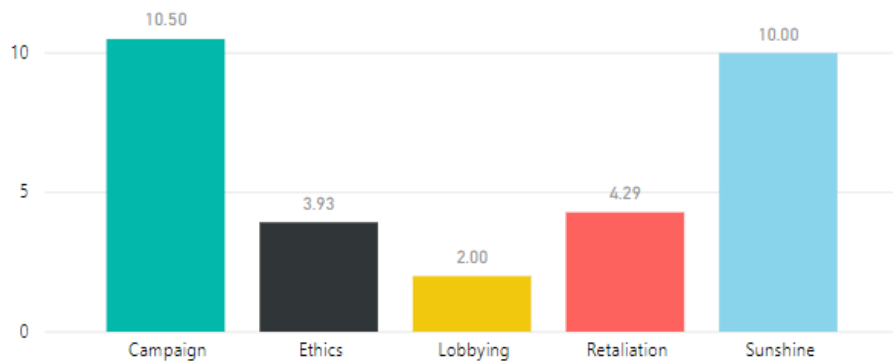
Age of Matters in Preliminary Review



Matters in Preliminary Review by Type

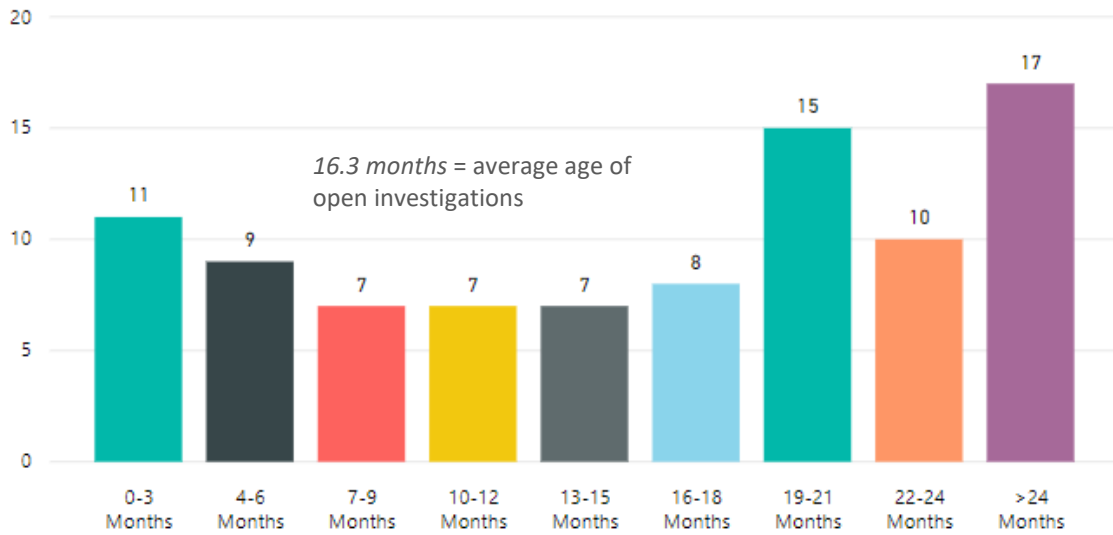


Average Age of Matters in Preliminary Review by Type

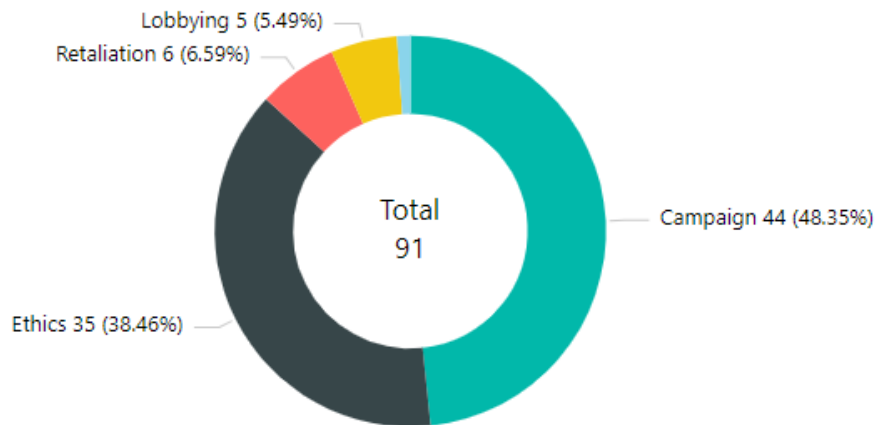


Agenda Item 4, Attachment 2

Age of Matters under Open Investigation



Open Investigations by Type



Average Age of Matters under Open Investigation by Type

