

San Francisco Ethics Commission
Filing Schedule for
Committees Primarily Formed to Support/Oppose Local Candidates in
Connection with the November 5, 2019 Election



Deadline	Period	Form	Notes
Within 10 Days <i>Independent Expenditure Verification</i>	Ongoing	462	<ul style="list-style-type: none"> Committees making independent expenditures of \$1,000 or more in the aggregate for a specific candidate or measure must file this form with the FPPC. Email only - no paper copy. Committees file only one Form 462 per election for each candidate or measure supported or opposed by an independent expenditure
Jul 31, 2019 <i>Semi-Annual</i>	* – 6/30/19	460	<ul style="list-style-type: none"> All committees must file Form 460.
Within 24 Hours <i>Contribution/Independent Expenditure Reports</i>	8/7/19 – 11/5/19	496 ¹ 497	<ul style="list-style-type: none"> 496: File if an independent expenditure of \$1,000 or more in the aggregate for a specific candidate is made. 497: File if a contribution of \$1,000 or more in the aggregate is received from a single source. 497: File if a contribution of \$1,000 or more in the aggregate is made to a candidate or measure being voted upon November 5, 2019. The recipient of a non-monetary contribution of \$1,000 or more must file a Form 497 within 48 hours from the time contribution is received.
Sep 26, 2019 <i>1st Pre-Election</i>	7/1/19 – 9/21/19	460	<ul style="list-style-type: none"> All committees must file Form 460.
Oct 24, 2019 <i>2nd Pre-Election</i>	9/22/19 – 10/19/19	460	<ul style="list-style-type: none"> All committees must file Form 460.
Nov 1, 2019 <i>3rd Pre-Election</i>	10/20/19 – 10/30/19	460	<ul style="list-style-type: none"> Committees primarily formed to support or oppose candidates are not required to file the 3rd pre-election statement, the statement is instead recommended.
Jan 31, 2020 <i>Semi-Annual</i>	* – 12/31/19	460	<ul style="list-style-type: none"> All committees must file Form 460 unless the committee filed termination Forms 410 and 460 before December 31, 2019.

Additional Notes:

- * **Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.

¹ A copy of any advertisement associated with a Form 496 filing must be filed with the Ethics Commission via email or mail delivery at the time the e-filing is required.

² Fees for the establishment or administration of the committee do not count towards the \$500 threshold requiring the pre-election statement.

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Additional Notes Cont.:

- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to the deadline for a Form 497 due the weekend before the election, or to any Form 496. Form 496 reports must be filed within 24 hours regardless of the day of the week.
- **[Form SFEC 114.5](#):** Each committee which receives contributions that in aggregate total \$5,000 or more in a single calendar year, which were made at the behest of a City elective officer, must file this form no later than the deadline to file its semi-annual or pre-election statement.
- **[Form SFEC 124](#):** Each committee which receives contributions that in aggregate total \$10,000 or more during an election cycle, from a single business entity, must file this form no later than the deadline to file its semi-annual or pre-election statement.
- **[Form 511](#) – Paid Spokesperson Report:** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) A payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) A payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter).
- **Late Filing Fines:** Late filed statements required to be e-filed will be assessed a \$25 per day late fine, until the statement is e-filed. Late filed statements required to be filed on paper will be assessed a \$10 per day late fine, until the statement is filed.
- **Method of Delivery:** All reports must be filed e-filed.
- **Public Documents:** All forms are public documents. Instructional information is available at www.sfethics.org. Click on Compliance, then Contributors & Third Parties.
- **Advertisement Disclaimers:** Many advertisements require disclaimers, please review [disclaimer charts](#) for specific disclaimer requirements.
- **Committee Status:** A city committee may make contributions or independent expenditures in connection with other elections. Generally, a city committee is one that spends more than 70% of its funds in connection with elections in one city. See [FPPC Regulation 18227.5](#) to determine whether your committee is a city, county or state committee.