



# San Francisco Ethics Commission

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November 8, 2021

To: Members of the Ethics Commission  
From: LeeAnn Pelham, Executive Director  
Gayathri Thaikkendiyil, Deputy Director & Chief Operating Officer  
Subject: Agenda Item 7 - Discussion and possible action on FY22 First Quarter Status Report on Implementation of Recommendations of the August 2020 Budget & Legislative Analyst’s (BLA) Performance Report of the Ethics Commission

## Summary and Action Requested

The attached report provides a status update for the first quarter of Fiscal Year 2021-22 on the implementation of recommendations made by the Budget & Legislative Analyst (BLA) in its August 2020 performance audit of the Ethics Commission. This report is provided for informational purposes only and requires no action by the Commission.

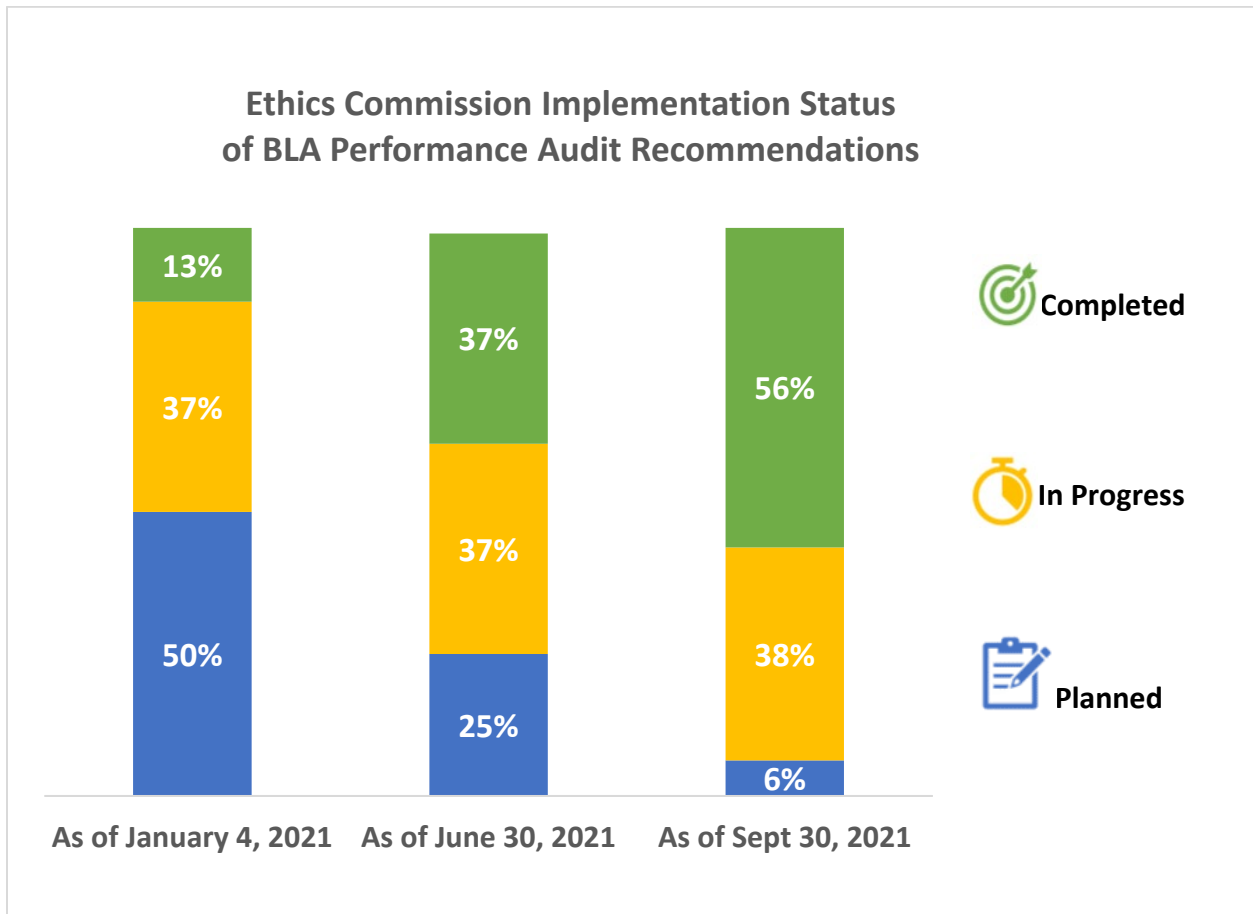
## Background

In August 2020, a performance audit of the Ethics Commission conducted at the request of the Board of Supervisors was completed by the Budget & Legislative Analyst (BLA). The audit assessed a range of Commission functions to look for opportunities to improve the effectiveness and efficiency of those operations. In its response to the audit, the Department fully agreed with the 16 recommendations contained in the report and noted that steps were underway to implement process enhancements informed by the audit’s findings. Following a discussion of the report by the Board of Supervisors’ Government Audit and Oversight Committee on November 19, 2020 (BOS File No. 201158), the Board filed the report without taking further action.

## First Quarter FY22 Update

To provide visibility into ongoing work to fully implement the BLA recommendations, Staff are providing regular progress reports to the Commission. This status report updates information provided at the Commission’s January and July 2021 meetings through the first quarter of Fiscal Year 2022. As illustrated below, as of September 30, nine recommendations had been completed, six were in progress, and one remain planned for future action.

Status of BLA Recommendation	As of <a href="#">Jan 2021</a>	As of <a href="#">June 2021</a>	As of <a href="#">Sept 2021</a>
# Completed	2	6	9
# In Progress	6	6	6
# Planned	8	4	1



The attached chart provides further detail on the implementation status of each recommendation as of September 30, 2021.

We look forward to answering any questions you may have at the November 12 meeting.