

Lobbying Audit Implementation - Key Goals

- Improve **oversight** and promote **accountability** for lobbying activities.
- Determine **compliance** with City's Lobbying Ordinance.
(SF Campaign and Governmental Conduct Code Lobbying Ordinance Sections 2.100 et seq.)
- Assess emerging **lobbying trends** and/or patterns.
- Identify potential **gaps** in lobbyist laws and regulations.
- Identify possible **improvement opportunities** in 1) lobbyist training and education and 2) audit effectiveness and efficiency.



Lobbying Audit Program Development Phase Completed

Information and Data Gathering

Develop shared understanding of applicable laws, regulations, requirements, and procedures.

Review availability of Lobbyist information and data.

Program Analysis and Design

Determine Audit Cycle Scope and Objectives.

Determine selection criteria and methodology.

Business Process and Procedures Development

Develop standardized audit objectives and procedures.

Develop standardized audit workpaper guidelines.

Overview of Audit Selection Components

Universe of Lobbyists and Lobbyist Activity

Number of Contact & Expenditure Lobbyists Filing Statements for 2021

Types of Lobbying Activities Required to be Reported in 2021

Payments Received/Promised
Contacts with public officials
Activity Expenses
Political Contributions
Payments to Influence

Lobbyist Audit Pool

Selection methods designed to obtain representative audit pool



As examples, in 2020

218 lobbying filers
\$10.6M in reported payments received/promised
\$1.1M in reported political contributions
\$900k in reported payments to influence

Lobbying Audit Implementation Next Steps

**Finalize Selection
of Auditees**

Following filing of
December 2021
monthly filings due
January 15, 2022

Notify Auditees

February 2022

**Initiate Audit
Testing**

February
through June
2022

**Complete Audit
Testing**

Targeted for
June 30, 2022

**Report on
Audit Results**

Summer 2022

**Reflect and
Revise for Next
Audit Cycle**

Through
Sept 30, 2022