



# San Francisco Ethics Commission

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April 4, 2022

To: Members of the Ethics Commission

From: Steven Massey, Director of Technology Services

Subject: Agenda Item 6 – Public Disclosure Technology Overview & Campaign Finance Dashboards Demonstration

## Summary and Action Requested

No action is requested by the Commission, as this item is for informational purposes only.

## Staff Presentation

Staff will provide an informational presentation to the Commission about the e-filing system process, components, and vendors. It will also provide an overview of the tools the public can use to access disclosure filings and data and an in-depth look at the Campaign Finance Dashboards. The slide deck to be presented by staff is attached for your reference.



# City & County of San Francisco **Ethics Commission**

## Public Disclosure Technology Overview & Campaign Finance Dashboards Demonstration

Ethics Commission Meeting  
Friday, April 8, 2022  
Agenda Item 6

Steven Massey,  
*Director of Technology Services*

Tyler Field,  
*Information Systems Engineer*

# ***Agenda***

- 1. E-filing Process***
- 2. E-Filing System Components***
- 3. E-Filing System Vendors***
- 4. Public Access Tools***
- 5. Campaign Finance Dashboards***

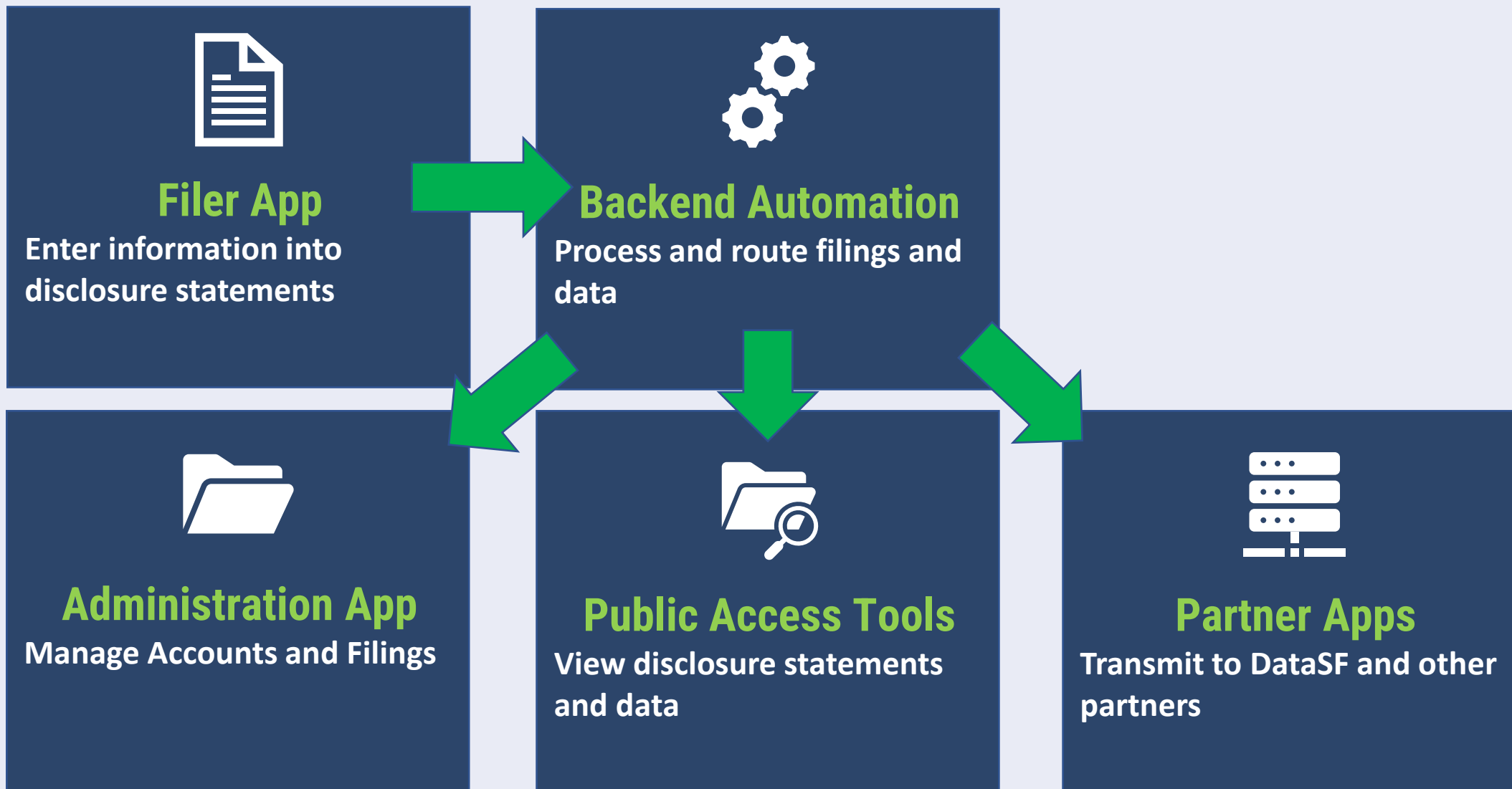
## Campaign Finance Reform Ordinance Sec. 1.100 Purpose and Intent:

***Make it easier for the public, the media and election officials to efficiently review and compare campaign statements by requiring committees that meet certain financial thresholds to file copies of their campaign statements on designated electronic media***

Campaign and Governmental Conduct Code Sec. 1.100(b)(9)

# ***Electronic Filing of Disclosure Statements***

- ***Campaign Finance***
- ***Public Finance***
- ***Lobbying***
- ***Conflict of Interests***
- ***Major Developer Projects***
- ***Permit Consulting***





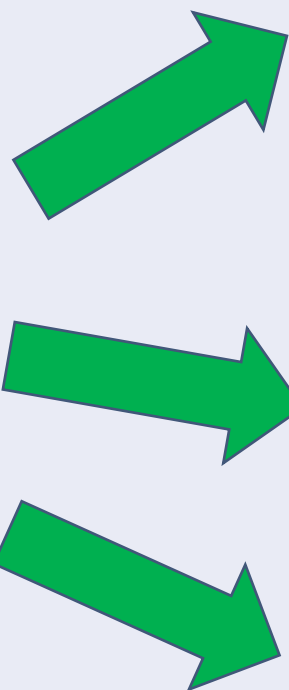
## Filing Application

- **Data-driven:**  
Enter transactions →  
Output on multiple form types  
Connect to Third-Party Apps
- **Form-driven:**  
Enter directly on forms



**Activity:** Independent Expenditure  
Impacting Three Candidates Equally

Vendor	Date	Amount
Mailings Inc.	10/15/22	\$6,000



## Disclosures Required:



Three 24-hour Independent  
Expenditure Reports (FPPC Form  
496) – One for each candidate  
*Itemize \$2,000 & Copy of Mailing*



One Recipient Committee Campaign  
Statement – (FPPC Form 460)

Schedule D  
*Itemize three transactions:  
\$2,000 for each candidate*

Schedule E  
*Itemize one transaction:  
\$6,000 payment to vendor*





# E-Filing and Admin Components



## Filing Application

- **Data-driven:**  
Enter transactions →  
Output on multiple form types  
Connect to third-party apps
- **Form-driven:**  
Enter directly on forms



## Electronic Signature

- **Signature verification:**  
Physical signature to obtain a PIN code to sign
- **Electronic signature:**  
Sign in electronic format only



## Administration Application

- Store & view records
- Manage accounts
- Assign filing requirements



## Audit & Enforcement Tools

- Review transactions & supporting documentation
- Export disclosed activity
- Run compliance reports



# E-Filing System Vendors



Data & Form-Driven



Integrated Deadlines



Automated Reports



Certified by FPPC & SOS / Statewide Use



Ethics Commission Contracted Service



External Development / Long Term Planning Required



Form-Driven



No Integrated Deadlines



Staff Verification



Local Filings / Shared Citywide







Citywide Contracted Service



Internal Development / More Flexible Timelines



# E-Filing System Metrics

	 Filing Processes	 Filings in 2020
	22	6.7k
	30	2.7k



***How do we make it easier to review disclosure information?***



\*\*\*\*\*ECRWSSSEDDM\*\*\*\*\*  
Residential Customer

PRESORTED  
STANDARD  
U.S. POSTAGE  
**PAID**  
PERMIT #288  
ANAHEIM, CA



*Where do you find this information?*

# Vote YES to Recall the School Board

Ad paid for by Recall School Board Members Lopez, Collins & Moliga.

Committee major funding from:

1. Arthur Rock (\$49,500)
2. David Sacks (\$49,500)
3. Nicola Miner (\$10,099)

Financial disclosures are available at [sfethics.org](https://sfethics.org).



Sign the petition to  
**Recall the School Board**



Gabriella  
LÓPEZ

Alison  
COLLINS

Fausto  
MOLIGA

簽名罷免三藩市教育委員

Ad paid for by Recall School Board Members  
& Moliga. Financial disclosures are available at

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# Annual Statement of Economic Interests (Form 700) Due

E-file the Form 700 online no later than Friday,  
April 1 2022

[Learn More](#)

01  
APRIL

[Past Updates ▸](#)



**GET GUIDANCE ▸**

[Support](#) | [Info](#) | [Resources](#)



**E-FILE STATEMENTS ▸**

[Submit disclosures online](#)



**VIEW DISCLOSURES ▸**

[Search statements & data](#)



**FILE A COMPLAINT ▸**

[Report violations of ethics laws](#)



# Public Access System Components



## View Filings

- Electronic statements
- Scanned paper statements
- Redactions where required



## Availability

- All program areas

Campaign Disclosure Statement Summary Page		Amounts may be rounded to whole dollars.		SUMMARY PAGE	
Statement covers period		CALIFORNIA FORM		460	
from 04/22/2018		Page 3 of 266		I.D. NUMBER	
through 05/19/2018		1401170			
SEE INSTRUCTIONS ON REVERSE NAME OF FILER London Breed for Mayor 2018					
<b>Contributions Received</b>		<b>Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)</b>	<b>Column B CALENDAR YEAR TOTAL TO DATE</b>	<b>Calendar Year Summary for Candidates Running in Both the State Primary and General Elections</b>	
1. Monetary Contributions ..... Schedule A, Line 3		\$ 258,628.00	\$ 1,127,099.00	1/1 through 6/30 7/1 to Date	
2. Loans Received ..... Schedule B, Line 3		\$ 0.00	\$ 0.00	20. Contributions Received \$ \$	
3. SUBTOTAL CASH CONTRIBUTIONS ..... Add Lines 1 + 2		\$ 258,628.00	\$ 1,127,099.00	21. Expenditures Made \$ \$	
4. Nonmonetary Contributions ..... Schedule C, Line 3		\$ 9.98	\$ 5,847.27		
5. TOTAL CONTRIBUTIONS RECEIVED ..... Add Lines 3 + 4		\$ 258,637.98	\$ 1,132,946.27		
<b>Expenditures Made</b>				<b>Expenditure Limit Summary for State Candidates</b>	
6. Payments Made ..... Schedule E, Line 4		\$ 640,443.73	\$ 1,568,842.15	<b>22. Cumulative Expenditures Made*</b> (If Subject to Voluntary Expenditure Limit)	
7. Loans Made ..... Schedule H, Line 3		\$ 0.00	\$ 0.00	Date of Election (mm/dd/yy) Total to Date	
8. SUBTOTAL CASH PAYMENTS ..... Add Lines 6 + 7		\$ 640,443.73	\$ 1,568,842.15	/ / \$	
9. Accrued Expenses (Unpaid Bills) ..... Schedule F, Line 3		\$ -38,261.10	\$ 114,763.98	/ / \$	
10. Nonmonetary Adjustment ..... Schedule C, Line 3		\$ 9.98	\$ 5,847.27		
11. TOTAL EXPENDITURES MADE ..... Add Lines 8 + 9 + 10		\$ 602,192.61	\$ 1,689,453.40		
<b>Current Cash Statement</b>					
12. Beginning Cash Balance ..... Previous Summary Page, Line 16		\$ 451,032.58		To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).	
13. Cash Receipts ..... Column A, Line 3 above		\$ 258,628.00			
14. Miscellaneous Increases to Cash ..... Schedule I, Line 4		\$ 385,188.00			
15. Cash Payments ..... Column A, Line 8 above		\$ 640,443.73			
16. ENDING CASH BALANCE ..... Add Lines 12 + 13 + 14, then subtract Line 15 If this is a termination statement, Line 16 must be zero.		\$ 454,404.85			
17. LOAN GUARANTEES RECEIVED ..... Schedule B, Part 2		\$ 0.00			
<b>Cash Equivalents and Outstanding Debts</b>					
18. Cash Equivalents ..... See instructions on reverse		\$ 0.00			
19. Outstanding Debts ..... Add Line 2 + Line 9 in Column B above		\$ 114,763.98			
www.netfile.com					





## Search for Contacts of Public Officials

Public Official (Last Name)	Department
<input type="text" value="Breed"/>	<input type="text"/>
Lobbyist (Last Name)	Client
<input type="text"/>	<input type="text"/>
Issue	Subject Area
<input type="text"/>	<input type="text"/>
Start Date	End Date
<input type="text"/>	<input type="text"/>

Search

Export

50



Date	Lobbyist	Client	Public Official	Issue
02/26/2022	Tourk, Alex	Lyft	Breed, London	CITY POSITION ON AB371
02/23/2022	Keener, Christine	Verizon Communications, Inc. And Its Affiliates	Breed, London	Digital Skills and Entrepreneurship Playbook
02/10/2022	Tourk, Alex	Lyft	Breed, London	CITY POSITION ON AB371
02/10/2022	Brackett-Thompson, Bivett	Neutron Holdings, Inc. Dba Lime	Breed, London	Public Safety
02/10/2022	Noyola, David G.	Recology	BREED, LONDON	Labor negotiations
02/08/2022	Noyola, David G.	Recology	BREED, LONDON	Labor negotiations



## Web Search

- Search activity on statements
- User-friendly web interface
- Most common fields are searchable



## Availability

- Campaign Finance
- Lobbyists
- Statement of Economic Interests



## Open Data & APIs

- **Open Data:**  
Bulk data downloads, filtering, export spreadsheets, all disclosed data fields included
- **Application Programming Interfaces:**  
Connect research applications to disclosed data

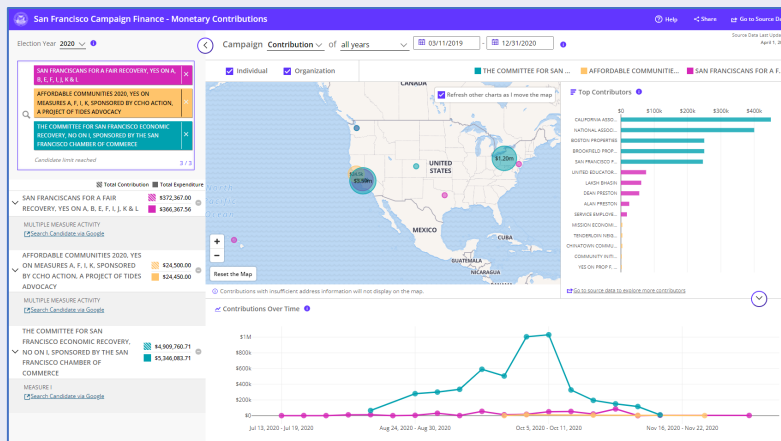


## Availability

- Full transaction data available for all program areas except Permit Consultant, Major Developers, and Campaign Consultants

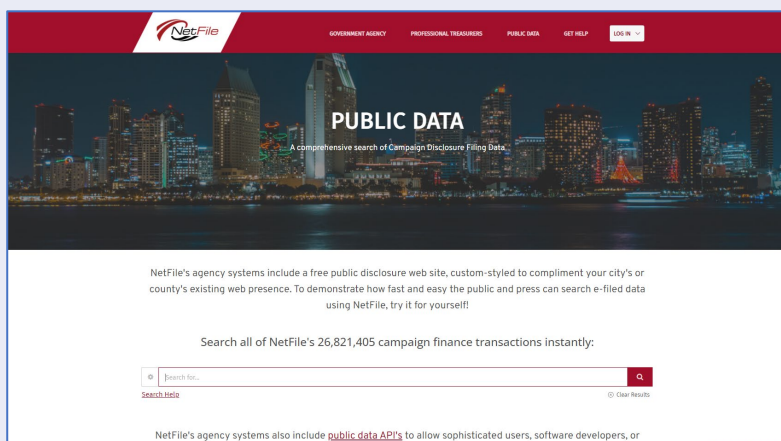
The screenshot shows the DataSF website interface. The header includes the DataSF logo and navigation links: OPEN DATA, SHOWCASE, PUBLISHING, ACADEMY, RESOURCES, and BLOG. Below the header is a search bar and a 'Sign In' button. The main content area displays a table titled 'Form 700 Schedule D - Income: Gifts' with a subtitle 'Based on Form 700 Schedule D - Income: Gifts' and a description 'Gifts (such as tickets to sporting or entertainment events)'. The table has columns for Id, filerId, filingId, filerName, filingDate, departm..., position..., offices, periodSt..., periodEnd, nameOf..., and business... The table contains 20 rows of data, including entries for Mohammed, Hall Wines, Superfly, Universal To..., London Breed, 2018 San Fra..., Kelly, Jr., Harl..., SHN Theater, San Francisc..., Lawrence Lui, Home Box O..., Mark Hopkin..., Cirque Du So..., San Francisc..., and Mayor Londo... The table is filtered by 'Breed' and has options for More Views, Filter, Visualize, Export, Discuss, Embed, and About.

Id	filerId	filingId	filerName	filingDate	departm...	position...	offices	periodSt...	periodEnd	nameOf...	business...
b700ae3c437...	SFO-1503	190,281,381	Breed, London	05/29/2020 0...	EOBCDH_Red...	Commission...	Mayor - Offic...	01/01/2019	12/31/2019	Mohammed ...	
b700ae3c437...	SFO-1503	190,281,381	Breed, London	05/29/2020 0...	EOBCDH_Red...	Commission...	Mayor - Offic...	01/01/2019	12/31/2019	Mohammed ...	
4d503dc6a91...	SFO-1503	190,281,381	Breed, London	05/29/2020 0...	EOBCDH_Red...	Commission...	Mayor - Offic...	01/01/2019	12/31/2019	Hall Wines	Winery
4d503dc6a91...	SFO-1503	190,281,381	Breed, London	05/29/2020 0...	EOBCDH_Red...	Commission...	Mayor - Offic...	01/01/2019	12/31/2019	Hall Wines	Winery
aae47669ca3...	SFO-1503	190,281,381	Breed, London	05/29/2020 0...	EOBCDH_Red...	Commission...	Mayor - Offic...	01/01/2019	12/31/2019	Superfly	Marketing an...
bf6f3696820...	SFO-1503	190,281,381	Breed, London	05/29/2020 0...	EOBCDH_Red...	Commission...	Mayor - Offic...	01/01/2019	12/31/2019	Universal To...	Music Manag...
4f180ec8802...	SFO-1104	189,044,774	Chu, Carmen	03/31/2020 1...	EOBCDH_Ass...	Assessor-Rec...	Member - Re...	01/01/2019	12/31/2019	London Breed	
0a6e86d5ac5...	SFO-1503	178,860,610	Breed, London	04/02/2019 0...	EOBCDH_Red...	Commission...	Supervisor - ...	01/01/2018	12/31/2018	2018 San Fra...	
1fb3e38193f...	SFO-153072	178,286,741	Kelly, Jr., Harl...	03/25/2019 0...	EOBCDH_Pu...	General Man...	General Man...	01/01/2018	12/31/2018	2018 San Fra...	Inauguration ...
687159d303...	SFO-1503	170,602,406	Breed, London	04/02/2018 0...	EOBCDH_Red...	Commission...	President - B...	01/01/2017	12/31/2017	SHN Theater	Theatrical Pr...
8e4b679a69a...	SFO-1503	159,915,142	Breed, London	03/28/2016 0...	Redevelopm...	Commission...	President - B...	01/01/2015	12/31/2015	San Francisc...	Performing A...
66077ab0b0...	SFO-1503	159,915,142	Breed, London	03/28/2016 0...	Redevelopm...	Commission...	President - B...	01/01/2015	12/31/2015	Lawrence Lui	Business Ow...
f3cb5df1b0d...	SFO-1503	159,915,142	Breed, London	03/28/2016 0...	Redevelopm...	Commission...	President - B...	01/01/2015	12/31/2015	Home Box O...	Entertainment
fb5ca8182a3...	SFO-1503	150,809,997	Breed, London	03/27/2014 0...	Redevelopm...	Commission...	Commission...	01/01/2013	12/31/2013	San Francisc...	Recreation & ...
fb5ca8182a3...	SFO-1503	150,809,997	Breed, London	03/27/2014 0...	Redevelopm...	Commission...	Commission...	01/01/2013	12/31/2013	San Francisc...	Recreation & ...
fb5ca8182a3...	SFO-1503	150,809,997	Breed, London	03/27/2014 0...	Redevelopm...	Commission...	Commission...	01/01/2013	12/31/2013	San Francisc...	Recreation & ...
0d1a4e42edc...	SFO-1503	170,734,919	Breed, London	04/05/2018 0...	Redevelopm...	Commission...	Supervisor - ...	01/01/2014	12/31/2014	Mark Hopkin...	Hotel
05411aa8b28...	SFO-1503	170,734,919	Breed, London	04/05/2018 0...	Redevelopm...	Commission...	Supervisor - ...	01/01/2014	12/31/2014	Cirque Du So...	Performing A...
04f1b8732b3...	SFO-1503	170,734,919	Breed, London	04/05/2018 0...	Redevelopm...	Commission...	Supervisor - ...	01/01/2014	12/31/2014	San Francisc...	Performing A...
81577d04e9...	SFO-1503	170,734,919	Breed, London	04/05/2018 0...	Redevelopm...	Commission...	Supervisor - ...	01/01/2014	12/31/2014	Lawrence Lui	Business Ow...
b8ab6b36fb0...	SFO-155033	202,855,161	Carroll, Mary ...	03/18/2022 1...	Emergency ...	Executive Dir...	Executive Dir...	01/01/2021	12/31/2021	Mayor Londo...	



## Partnerships & Integrations

- Campaign Data Explorer  
*Tyler Technologies*
- Public Data Search  
*Netfile*



## Availability

- Campaign Finance



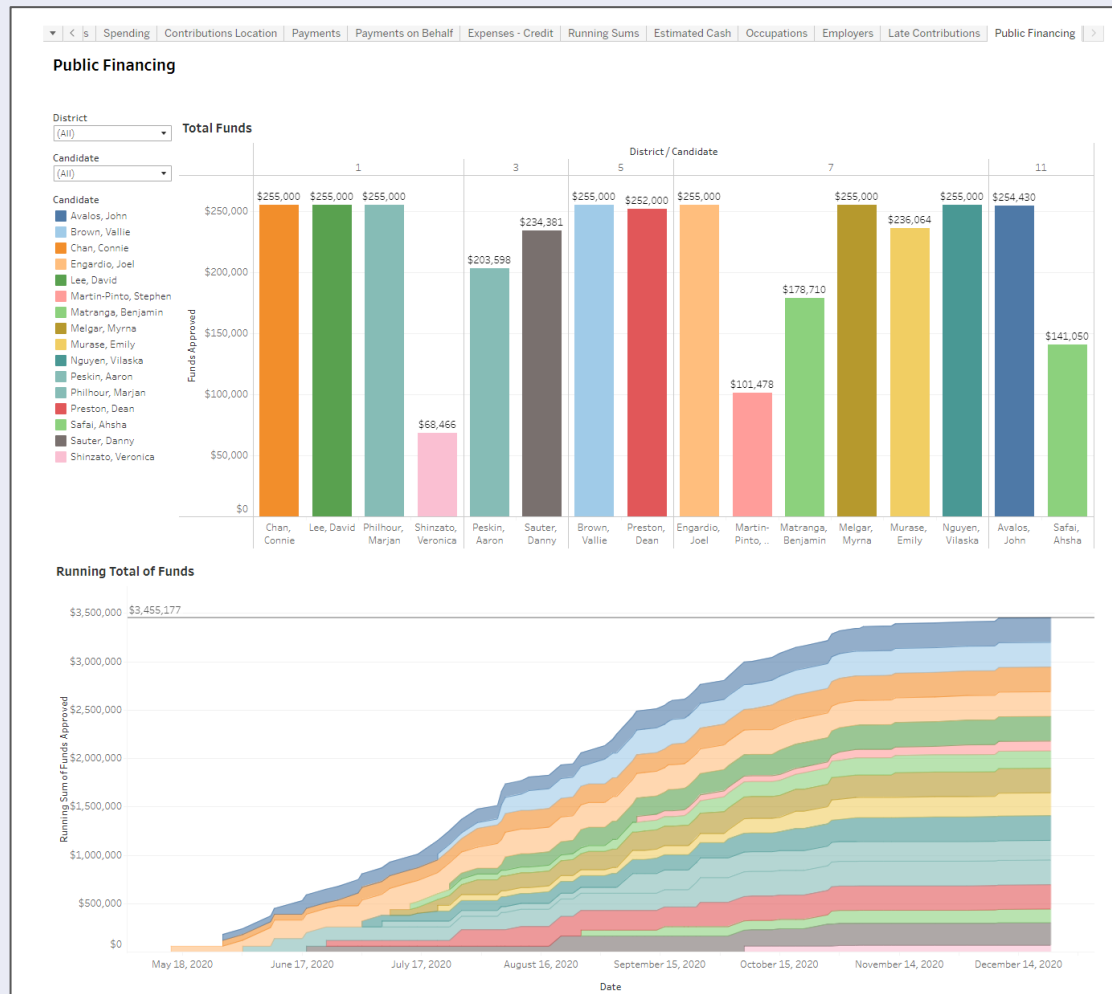
## Data Visualizations

- Connects information disclosed via multiple sources
- Summarizes activity (ie: election cycle, seat, contributor occupation or location)
- User customizable charts and graphs

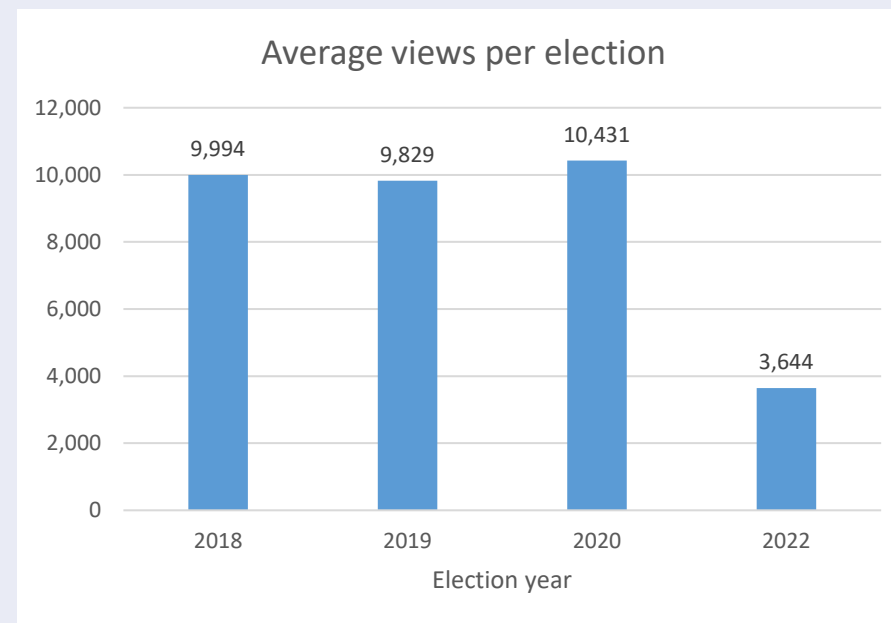
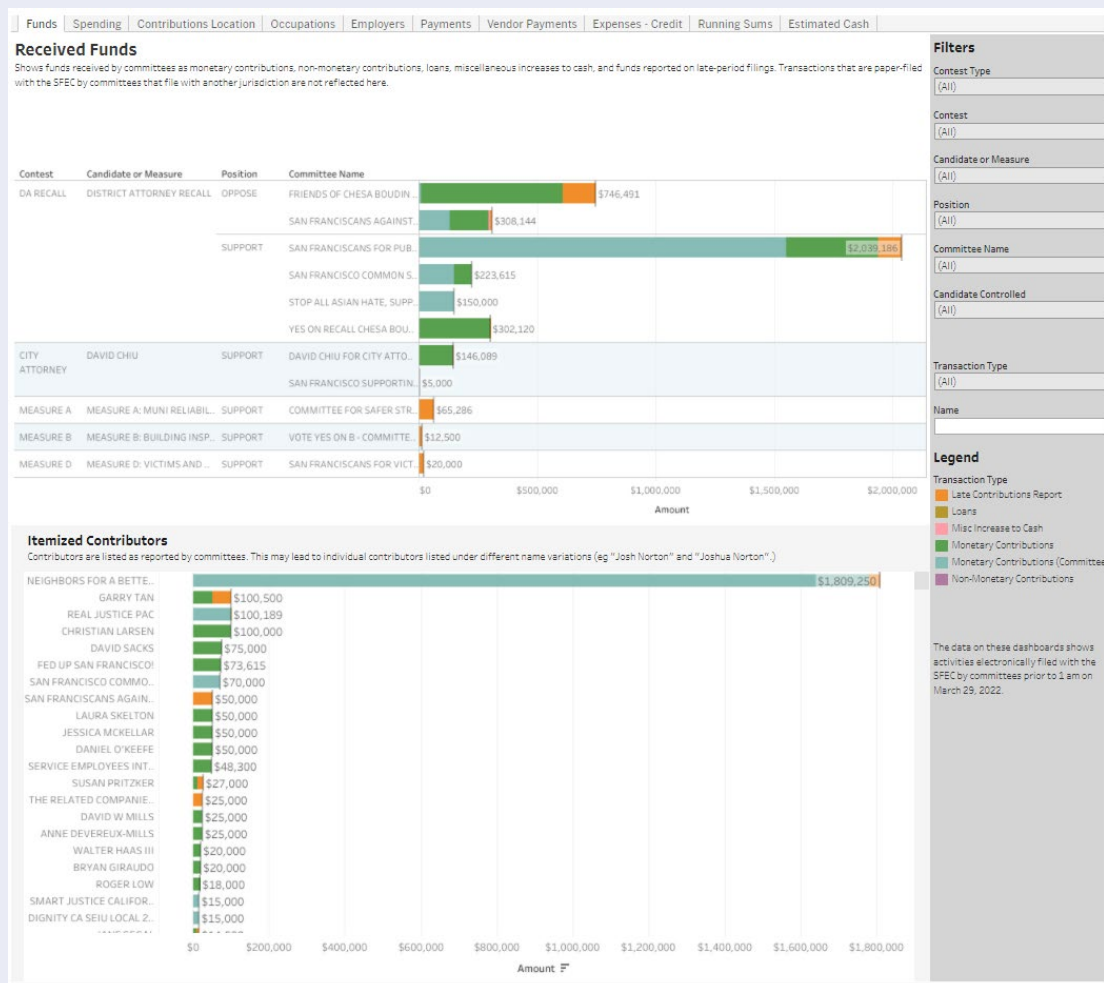


## Availability

- Campaign Finance
- Public Financing



# ***Campaign Finance Dashboards***



as of 3/29/2022



## Referenced and Remixed by Local Media

### Why Is This Recall Happening?

Parent frustration with lengthy COVID-19 school closures seems to be the primary animus motivating school board recalls in San Francisco, and across the country. San Francisco was slower to reopen than many other districts (which may have had some upside), but really, all school districts had lengthy closures, and many are facing blowback over this.

Yet there are a few uniquely San Francisco issues at play here. This school board's effort to rename certain schools drew national criticism. Their stances on a controversial mural at Washington High School and the Lowell High admissions policy have enraged alumni at those high schools. Board member Allison Collins used her own school district and board colleagues for \$87 million, out of rage over being removed from her committee roles and stripped of her VP title, which is not going to win you any popularity contests. (That lawsuit was dismissed and subsequently dropped.) And the district is running a massive deficit while having declining enrollment, though the same is happening at many other districts in California.



Image: SFEthics.org

### Whose Money is Behind the Recall?

As we see above, school board recall supporters have a massively outlandish financial advantage here. A Mission Local analysis notes that recall supporters "have raised 46 times more money than the anti-recall campaign."

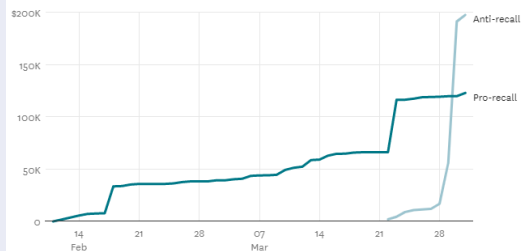


Image: SFEthics.org

And wouldn't you know, the majority of the recall campaign coffers comes from a small number of super PACs and center-right billionaires. The top donor is a PAC called Neighbors for a Better San Francisco, which as we have noted in the past, is based in San Rafael. We also see individual donor Arthur Rook, who according to 48 Hills, "is a billionaire venture capitalist who has no children." Rounding out the top donors are the California Association of Realtors and the California Real Estate Political Action Committee, which are not exactly parent advocacy groups.

### Cumulative amount of donations to the Chesa Boudin recall and anti-recall movements over time

Donations to the recall campaign steadily increased over time, while donations to the anti-recall movement picked up in just a few days.



Source: San Francisco Ethics Commission

Data as of March 31, 2021 and do not include unitemized donations of less than \$100.

<https://www.sfchronicle.com/local/article/These-charts-show-who-is-funding-both-sides-of-16082178.php>

### Top donors to the campaign to recall S.F. school board members

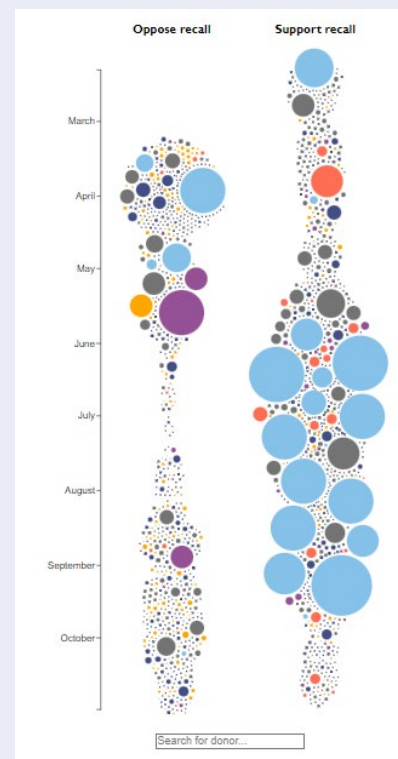
Donors that have given at least \$10K to one of the two pro-recall campaigns

Donor	Total contribution
Arthur Rook	\$400K
Neighbors for a Better San Francisco Advocacy	\$275K
David Sacks	\$15K
California Association of Realtors Issues Mobilization PAC	\$15K
California Real Estate PAC	\$15K
Garry Tan	\$15K
Zack Rosen	\$15K
David Thompson	\$15K
Daniel Murphy	\$15K
Sean Place	\$15K
San Francisco Forward Sponsored By San Francisco Chamber of Commerce	\$15K
Sachin Agarwal	\$15K
Raymond Tensing	\$15K
Paul Buchheit	\$15K
Ethan Kurzweil	\$15K
Willie K. Budge	\$15K
Cyan Banister	\$15K
Chinese American Democratic Club	\$15K

Table: Nami Sumida / The Chronicle - Source: San Francisco Ethics Commission

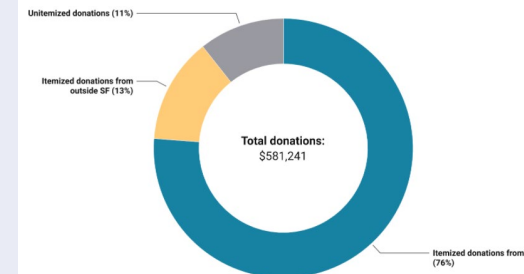
All itemized monetary contributions and late contributions for Recall School Board Members López, Collins, and Holiga and Concerned Parents Supporting the Recall of Collins, López, and Holiga. Data as of January 14.

<https://www.sfchronicle.com/sf/article/Who-is-supporting-the-S-F-Board-of-Education-16779875.php>



<https://missionlocal.org/2021/12/explore-see-just-who-is-funding-the-boudin-recall/>

### Donations to SF School Board Recall Campaign



Unitemized donations are contributions of less than \$100, which do not require disclosure of a donor's name, occupation and employer.

<https://www.kqed.org/news/11894065/sf-school-board-recall-funded-mostly-by-local-donors-with-venture-capitalists-topping-the-list>

### Top Occupations For Employed Recall Supporters

This data was analyzed and aggregated by The Standard. Non-employed recall supporters were removed from the dataset. Since occupation is self-reported by contributors, some were too general to categorize, such as "manager" or "consultant."

Occupation	Number of contributors
Engineer or similar technical role	134
Non-technical business job (i.e. sales)	80
Business executive (i.e. VP or C-level officer)	69
Cannot categorize (description was too general)	57
Legal professional (i.e. attorney)	52
Healthcare professional	47
Real Estate professional	30
Finance professional	29
Education professional	27
Other (did not fit into categories)	22
Venture Capital	20
Arts	11
Scientist or researcher	11

TABLE: ANNA TONG • SOURCE: THE STANDARD BASED ON SF ETHICS • EDITED • CREATED WITH DATAVIZHUB

<https://sfstandard.com/city-hall/techs-latest-disruption-target-sf-board-of-education/>

<https://sfist.com/2022/01/21/whats-the-deal-with-this-school-board-recall-election-and-why-are-we-having-it-an-analysis/>



## Campaign Committees

Persons, organizations, groups, or other entities that raise contributions from others to spend on California elections must register with the Secretary of State and report all receipts and expenditures.

Must form committee if:

- Receive \$2,000, OR
- Spend \$1,000 on Independent Expenditure, OR
- Contribute \$10,000

Currently ~350 active committees in SF





## Campaign Committees Types of Committees

- **Candidate-Controlled**
  - Usually primarily formed to seek (and hold) office
    - City elective office (Mayor, Board of Supervisors, City Attorney, Assessor-Recorder, etc)
    - Political Party County Central Committee
- **Non-Candidate-Controlled**
  - Primarily-formed
    - (to support or oppose one or more measure or candidate in a single election)
  - General Purpose
    - (to support or oppose various candidates or measures)



# Campaign Finance Overview

## Campaign Finance Reporting Forms Form 460 - Recipient Committee Campaign Statement

Committee financial totals for reporting  
period:

- Contributions
- Expenditures
- Cash on Hand

Campaign Disclosure Statement Summary Page		Amounts may be rounded to whole dollars.		SUMMARY PAGE	
Statement covers period from _____ through _____		CALIFORNIA FORM 460		Page _____ of _____	
SEE INSTRUCTIONS ON REVERSE NAME OF FILER _____		I.D. NUMBER _____			
<b>Contributions Received</b>		<b>Column A</b> TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	<b>Column B</b> CALENDAR YEAR TOTAL TO DATE	<b>Calendar Year Summary for Candidates Running in Both the State Primary and General Elections</b>	
1. Monetary Contributions..... Schedule A, Line 3		\$ _____	\$ _____	1/1 through 6/30 7/1 to Date	
2. Loans Received..... Schedule B, Line 3		_____	_____	20. Contributions Received \$ _____ \$ _____	
3. SUBTOTAL CASH CONTRIBUTIONS..... Add Lines 1 + 2		\$ _____	\$ _____	21. Expenditures Made \$ _____ \$ _____	
4. Nonmonetary Contributions..... Schedule C, Line 3		_____	_____		
5. TOTAL CONTRIBUTIONS RECEIVED..... Add Lines 3 + 4		\$ _____	\$ _____		
<b>Expenditures Made</b>				<b>Expenditure Limit Summary for State Candidates</b>	
6. Payments Made..... Schedule E, Line 4		\$ _____	\$ _____	22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)	
7. Loans Made..... Schedule H, Line 3		_____	_____	Date of Election (mm/dd/yyyy) Total to Date	
8. SUBTOTAL CASH PAYMENTS..... Add Lines 6 + 7		\$ _____	\$ _____	_____/_____/_____ \$ _____	
9. Accrued Expenses (Unpaid Bills)..... Schedule F, Line 3		_____	_____	_____/_____/_____ \$ _____	
10. Nonmonetary Adjustment..... Schedule C, Line 3		_____	_____		
11. TOTAL EXPENDITURES MADE..... Add Lines 8 + 9 + 10		\$ _____	\$ _____		
<b>Current Cash Statement</b>					
12. Beginning Cash Balance..... Previous Summary Page, Line 16		\$ _____		To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).	
13. Cash Receipts..... Column A, Line 3 above		_____			
14. Miscellaneous Increases to Cash..... Schedule I, Line 4		_____			
15. Cash Payments..... Column A, Line 8 above		_____			
16. ENDING CASH BALANCE..... Add Lines 12 + 13 + 14, then subtract Line 15		\$ _____			
If this is a termination statement, Line 16 must be zero.					
17. LOAN GUARANTEES RECEIVED..... Schedule B, Part 2		\$ _____			
<b>Cash Equivalents and Outstanding Debts</b>					
18. Cash Equivalents..... See instructions on reverse		\$ _____			
19. Outstanding Debts..... Add Line 2 + Line 9 in Column B above		\$ _____			

\*Amounts in this section may be different from amounts reported in Column B.

FPPC Form 460 (Jan/2016)  
FPPC Advice: advice@fppc.ca.gov (866/275-3772)  
www.fppc.ca.gov



# Campaign Finance Overview

## Campaign Finance Reporting Forms

### Form 460 - Recipient Committee Campaign Statement

Itemized transactions in each category:

- Contributions
  - Monetary Contributions
  - Loans received
  - Non-Monetary Contributions
- Expenditures
  - Payments
  - Accrued Expenses (unpaid bills)
  - Loans made

**Schedule A**  
Monetary Contributions Received

Amounts may be rounded to whole dollars.

Statement covers period from \_\_\_\_\_ to \_\_\_\_\_

**CALIFORNIA FORM 460**

**Schedule B - Part 1**  
Loans Received

Amounts may be rounded to whole dollars.

Statement covers period from \_\_\_\_\_ to \_\_\_\_\_

**CALIFORNIA FORM 460**

**Schedule C**  
Nonmonetary Contributions Received

Amounts may be rounded to whole dollars.

Statement covers period from \_\_\_\_\_ to \_\_\_\_\_

**CALIFORNIA FORM 460**

**Schedule E**  
Payments Made

Amounts may be rounded to whole dollars.

Statement covers period from \_\_\_\_\_ to \_\_\_\_\_

**CALIFORNIA FORM 460**

**Schedule F**  
Accrued Expenses (Unpaid Bills)

Amounts may be rounded to whole dollars.

Statement covers period from \_\_\_\_\_ to \_\_\_\_\_

**CALIFORNIA FORM 460**

**Schedule H**  
Loans Made to Others\*

Amounts may be rounded to whole dollars.

Statement covers period from \_\_\_\_\_ to \_\_\_\_\_

**CALIFORNIA FORM 460**

Page \_\_\_\_ of \_\_\_\_

I.D. NUMBER \_\_\_\_\_

FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT LOANED THIS PERIOD	(c) REPAYMENT OR FORGIVENESS THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST RECEIVED	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE LOANS TO DATE
				<input type="checkbox"/> PAID \$ _____ <input type="checkbox"/> FORGIVEN \$ _____	\$ _____ DATE DUE _____	_____% RATE	\$ _____ DATE INCURRED _____	CALENDAR YEAR \$ _____ PER ELECTION** \$ _____
				<input type="checkbox"/> PAID \$ _____ <input type="checkbox"/> FORGIVEN \$ _____	\$ _____ DATE DUE _____	_____% RATE	\$ _____ DATE INCURRED _____	CALENDAR YEAR \$ _____ PER ELECTION** \$ _____

\* Payments that are repaid or forgiven must be reported in this section.



# Campaign Finance Overview

Campaign Finance Reporting  
Reporting Schedules  
Form 460 - Semiannual

Who files?

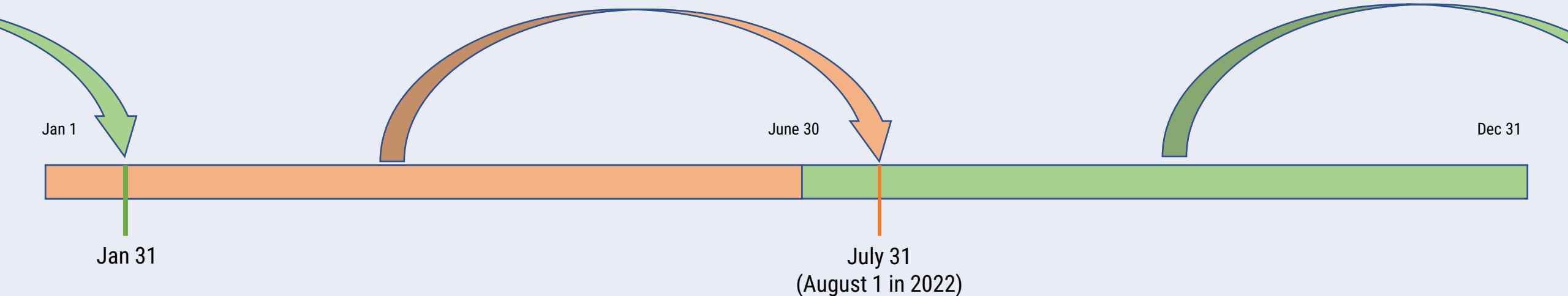
- Active Committees

## When is it filed?

- July 31<sup>st</sup>
- Jan 31<sup>st</sup>

## What period does it cover?

- Jan 1<sup>st</sup> – June 30<sup>th</sup>
- July 1<sup>st</sup> – Dec 31<sup>st</sup>





# Campaign Finance Overview

## Campaign Finance Reporting Reporting Schedules Form 460 - Preelection

### Who files?

- Primarily-formed committees
- General Purpose Committees with certain reportable activity

### When are they filed?

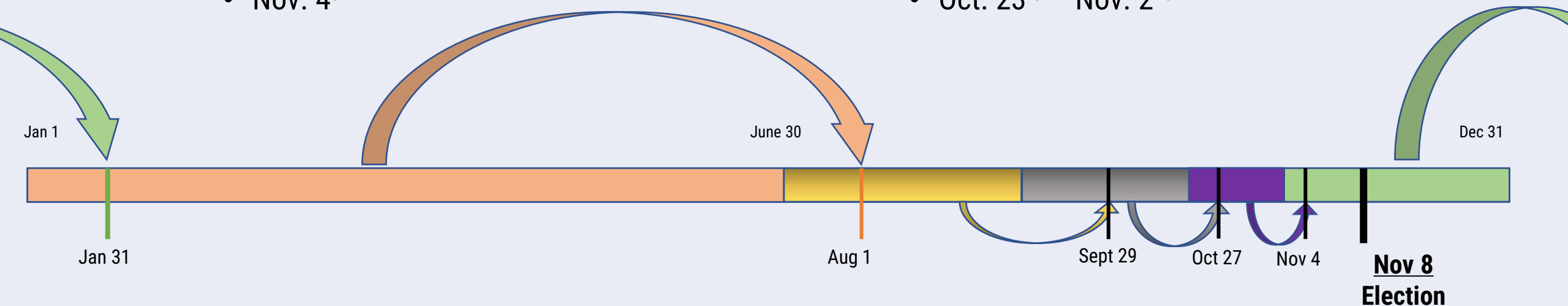
(for 11/8/22 election)

- Sept. 29<sup>th</sup>
- Oct. 27<sup>th</sup>
- Nov. 4<sup>th</sup>

### What period do they cover?

(for 11/8/22 election)

- July 1<sup>st</sup> – Sept. 24<sup>th</sup>
- Sept. 25<sup>th</sup> – Oct. 22<sup>nd</sup>
- Oct. 23<sup>rd</sup> – Nov. 2<sup>nd</sup>





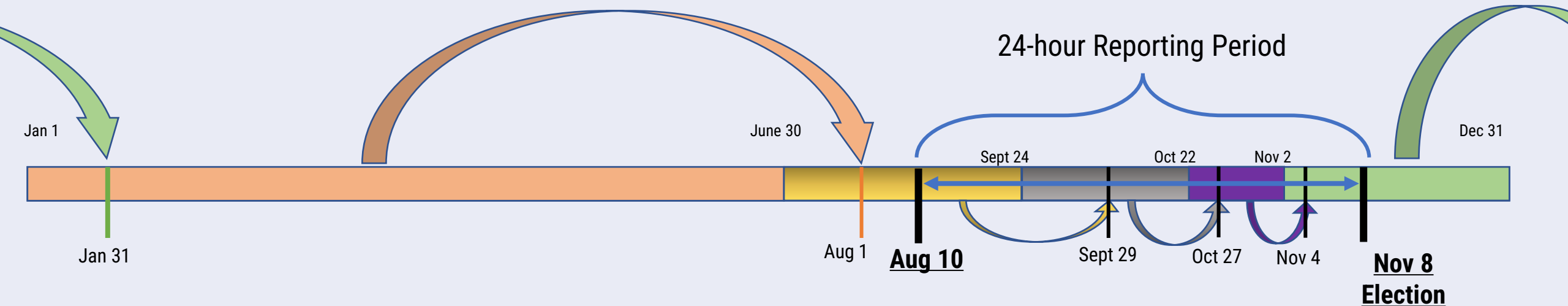
# Campaign Finance Overview

## Campaign Finance Reporting Reporting Schedules 24-hour Reports

### Who files?

- Active committees with reportable activity

- Within 90 days of an election, certain activity must be reported within 24 hours
- This “fills in the gaps” between 460 filings





A complicated equation

- Committees
  - Which candidates are participating in an election?
  - Are any candidates receiving matching funds from the public finance program?
  - What are the names of their campaign committees?
  - Are there any primarily-formed candidate committees?
  - What are the ballot measures for an election?
  - What ballot measure committees have been primarily-formed and for what measure?
  - For each primarily-formed committee, do they support or oppose the candidate/measure?
- Campaign filings
  - Which forms to look for?
  - Are there any local forms to look at?
  - Which filings have the latest information?
  - What if a committee hasn't submitted a filing on time?
- Reporting schedules
  - Has a pre-election filing deadline passed?
  - Is "today" currently within the late reporting period?
  - Has a particular late-period report been duplicated by a pre-election statement?



## Demonstration

Live demo of dashboards:

<https://sfethics.org/dashboards>

