

Engagement & Compliance Division

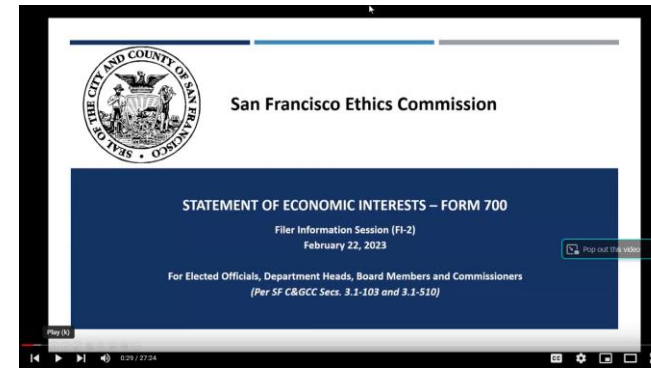
Annual Filings - April 2023 Commission Update

Introduction

- Annual filings were due April 3, 2023
 - Includes Statement of Economic Interests (SEI, or Form 700) and Sunshine Training Declarations
 - Typical deadline of April 1 was pushed back due to landing on a weekend
- Following is a summary of the Division's activities and data from this reporting period
- This report uses data pulled on April 5, 2023

Activities

- Two remote informational sessions on SEIs held in February
(Also posted on YouTube, & shared on social media)
- Two general Ethics trainings, including SEI content, held in March for Municipal Executives Association members
(led by Ethics@Work)
- Twenty social media posts on Ethics Commission-owned profiles with SEI reminders and educational content
- Personal staff response to over 400 requests



Screenshot of an SEI training ([click to view](#)).



Screenshot of an MEA training.

Activities

- Notices to department heads, filing officers, and clerks:
 - Starting March 30, staff proactively contacted agencies with board or commission meetings occurring shortly after the deadline
 - They were notified members who had not yet completed their SEIs, as this would disqualify them from participating in meetings
 - Included (agency w/ date of meeting):
 - **Airport Commission** (Tuesday, April 4)
 - **Board of Supervisors** (Tuesday, April 4)
 - **SFMTA Board of Directors** (Tuesday, April 4)
 - **Residential Rent Stabilization & Arbitration Board** (Tuesday, April 4)
 - **Disability and Aging Commission** (Wednesday, April 5)
 - **San Francisco Police Commission** (Wednesday, April 5)
 - **Sheriff's Department Oversight Board** (Friday, April 7)

Compliance

- Overall 90% Compliance Rate (On-par with past years' rates)
- Division maintaining a [webpage listing out-of-compliance officers and designated filers](#).
- 31 agencies/departments at or over 95% compliance

- **Four agencies/departments under 80%**

- Fine Arts Museums 76%
- Fire Department 75%
- Dept. Children Youth & Families of 75%
- Police Department 67%
- Housing Authority Commission 67%

*Out of 55 agencies/departments. Some depts. and commissions/boards were merged for this data.

*Note that the above data might contain slight abnormalities due to how persons with multiple positions are processed by NetFile.

What's Next

- 1) Continued reminders and possible enforcement for late filers**
- 2) Continued aid for those completing leaving office SEIs**
- 3) Support for newly-designated filers from the Biannual Code Update**
- 4) Review of NetFile and code data to prepare for next year's filings**



City & County of San Francisco
Ethics Commission

Thank You

Peter Lauterborn

Acting Manager, Engagement & Compliance Division

Contact at: Peter.Lauterborn@sfgov.org

Pat Petersen

Engagement & Compliance Officer, Engagement & Compliance Division

Contact at: Patricia.Petersen@sfgov.org